

# Identifying oceanic-atmospheric controls on hydrology using a machine learning approach

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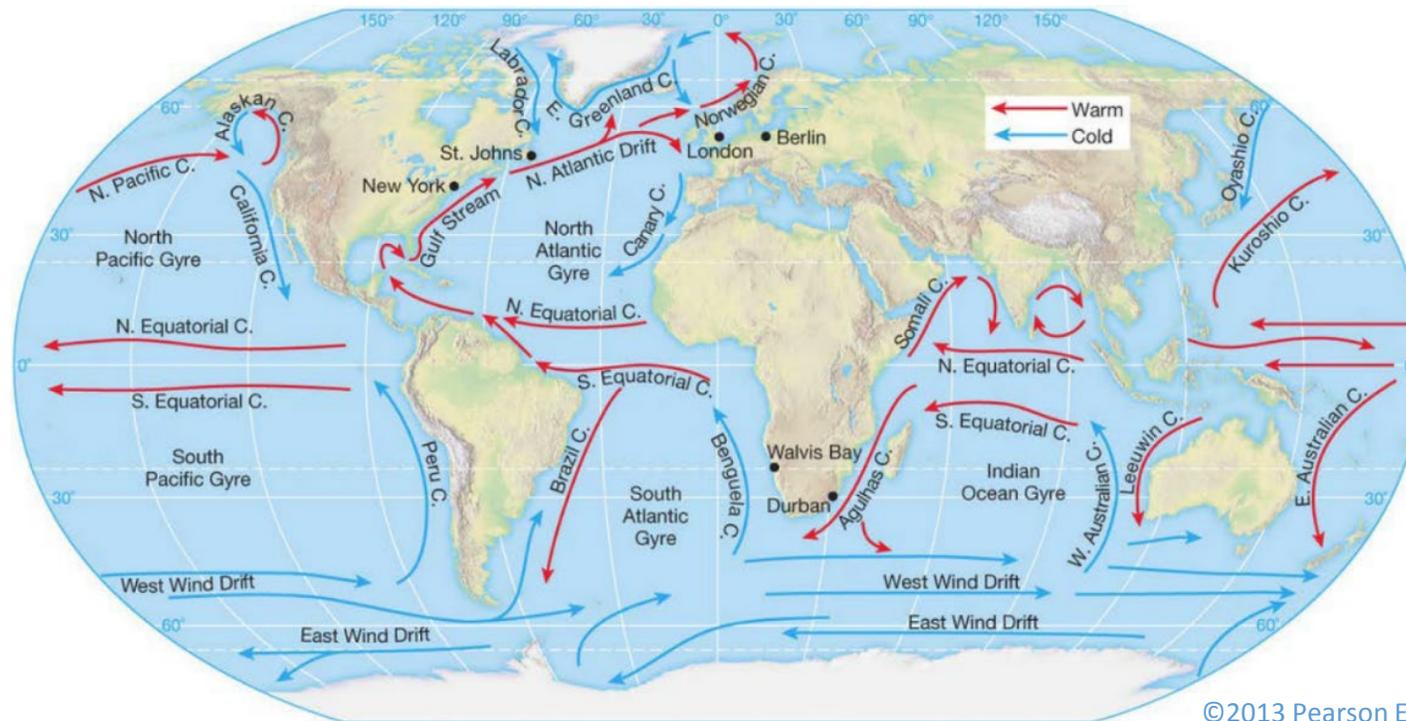
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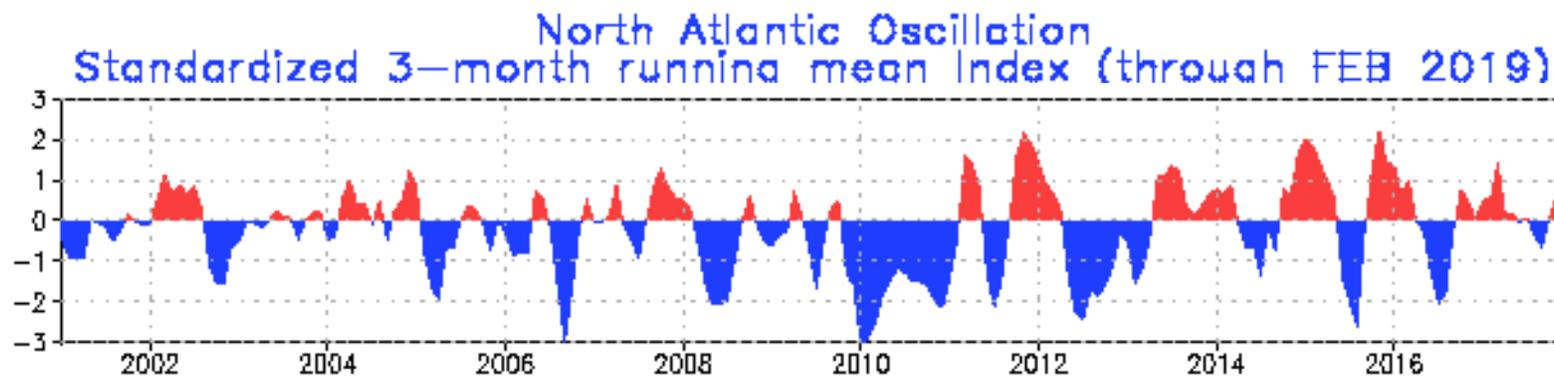
3) Computational Statistics, Institute of Statistics and Mathematical Methods in  
Economics, TU Wien



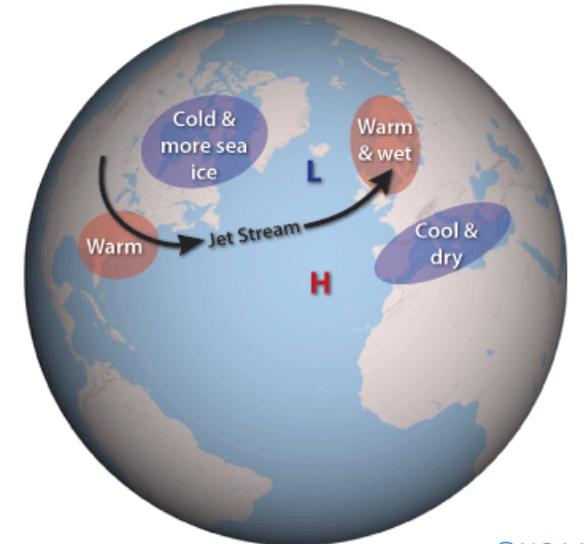
- Climate variability
- Ocean and atmosphere are strongly coupled



- Climate variability
- Ocean and atmosphere are strongly coupled
- Dominant climate mode in the North Atlantic  
→ **North Atlantic Oscillation (NAO)**
- NAO index: difference of normalized surface pressure between Lisbon, Portugal and Reykjavik, Iceland

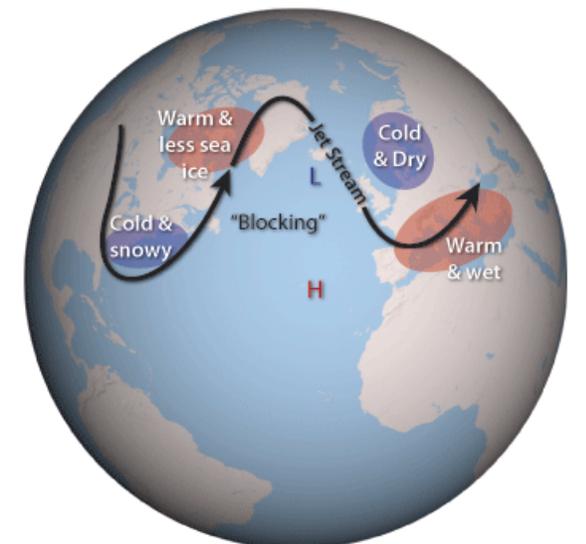


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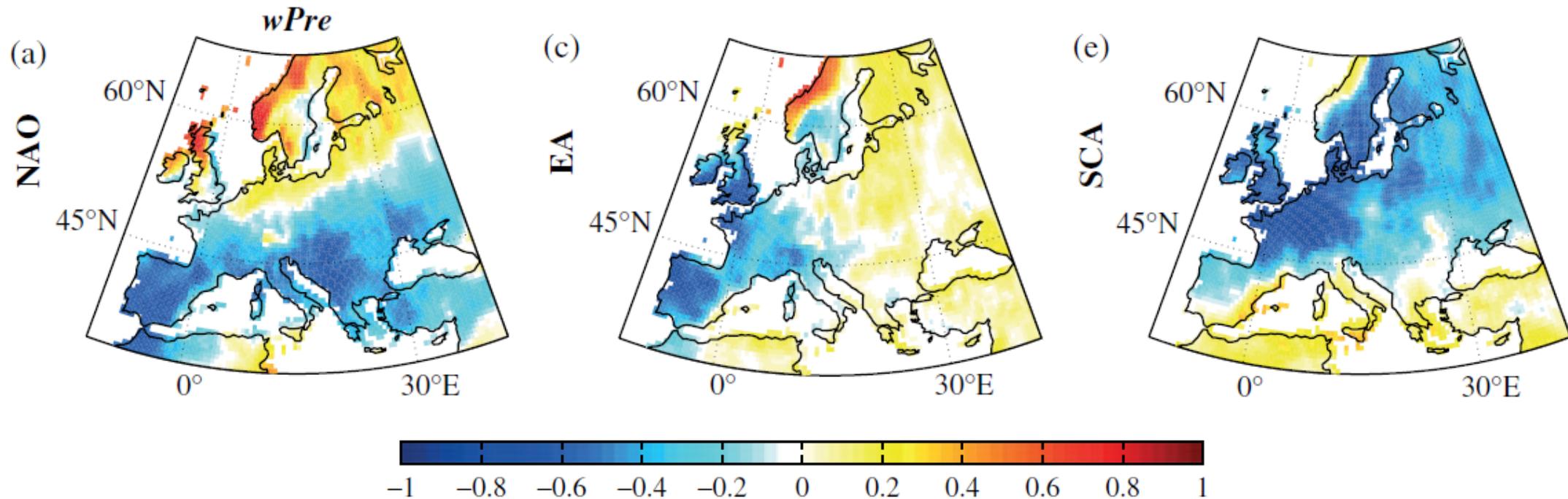
NAO Positive Mode

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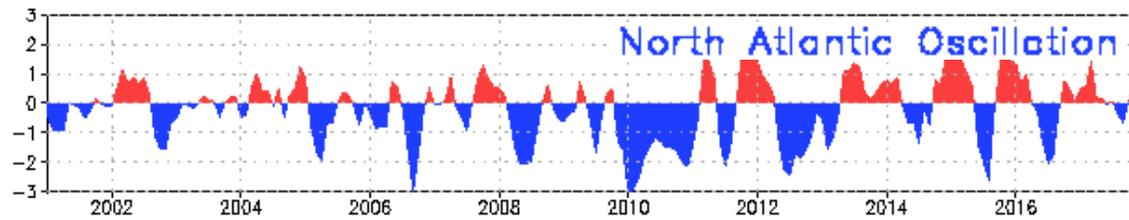
NAO Negative Mode

- Correlations between winter precipitation and climate modes **North Atlantic Oscillation, East Atlantic Pattern, Scandinavian Pattern**  
*[Comas-Bru and McDermott, 2013]*

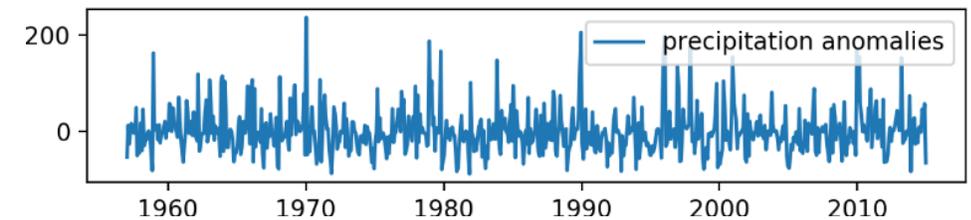


- Reveal dominant modes of climate variability controlling hydrology

### Climate modes

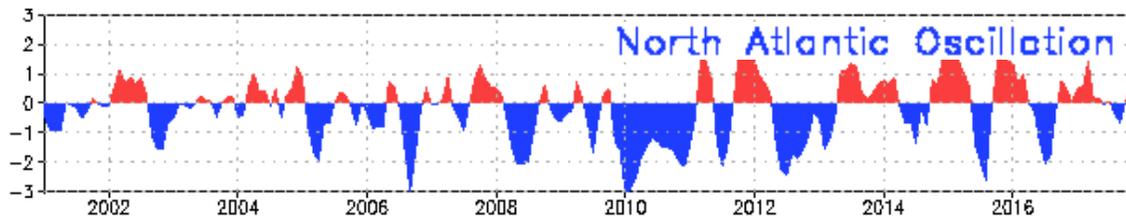


### Precipitation anomalies

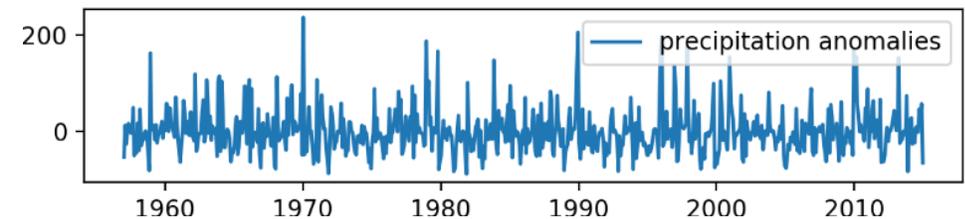


- Reveal dominant modes of climate variability controlling hydrology

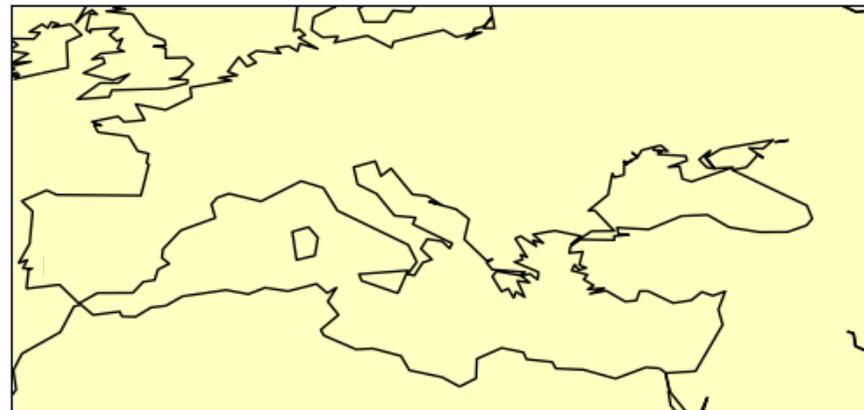
### Climate modes



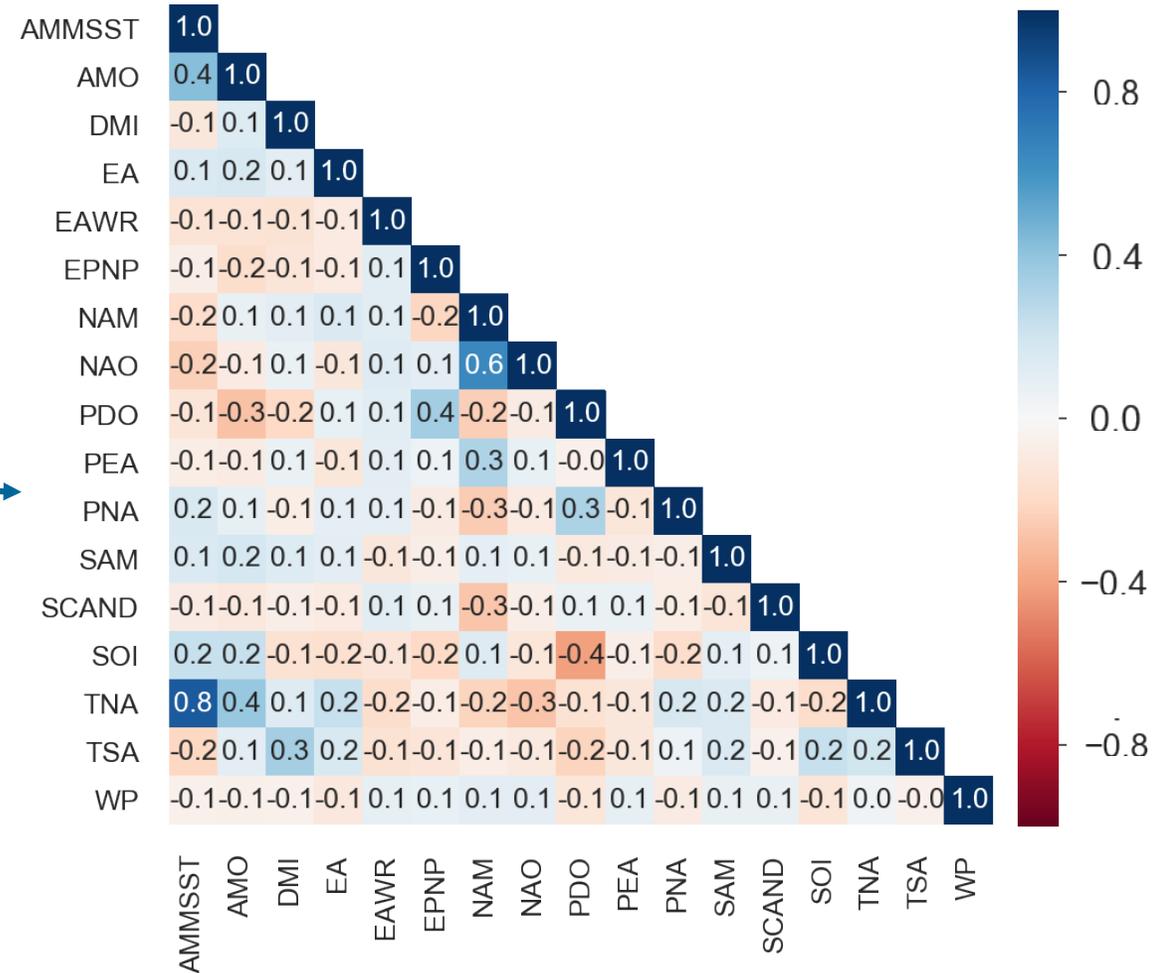
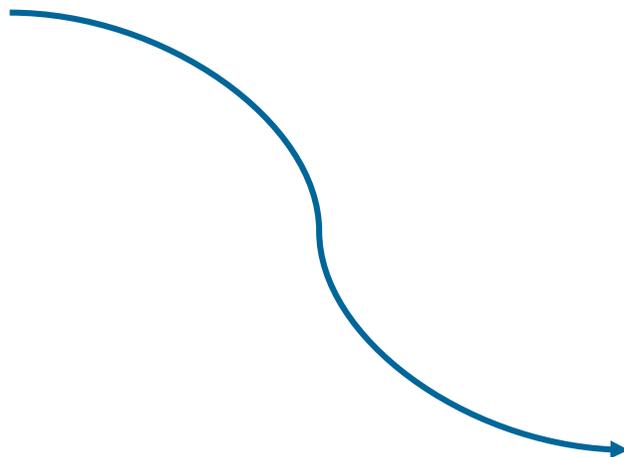
### Precipitation anomalies



- Extended Mediterranean area  
Latitude:  $28.5^{\circ}\text{N} - 56.5^{\circ}\text{N}$   
Longitude:  $10^{\circ}\text{W} - 46^{\circ}\text{E}$



- Some climate modes are highly correlated
- Supervised learning approach: **LASSO regression**  
= least absolute shrinkage and selection operator



- LASSO regression  
= least absolute shrinkage and selection operator

$$\hat{\beta} = \operatorname{argmin} \left\{ \sum_{i=1}^n \left( y_i - \beta_0 - \sum_{j=1}^p \beta_j x_{ij} \right)^2 + \alpha \sum_{j=1}^p |\beta_j| \right\}$$

$\hat{\beta}$  ... p-dimensional vector with the estimated regression coefficients

$n$  ... number of training samples in the dataset

$y_i$  ... value of the target variable in sample  $i$

$p$  ... number of features

$x_{ij}$  ... value of feature  $j$  in sample  $i$

$\alpha \sum_{j=1}^p |\beta_j|$  ... regularization  $\rightarrow$  prevents overfitting by restricting the model, typically to reduce its complexity

$\rightarrow \alpha$  controls the amount of regularization

- 17 major climate modes = **predictive features  $x_i$**
- 6 time lags between zero and five months → **102 features**
- Temporal resolution: monthly

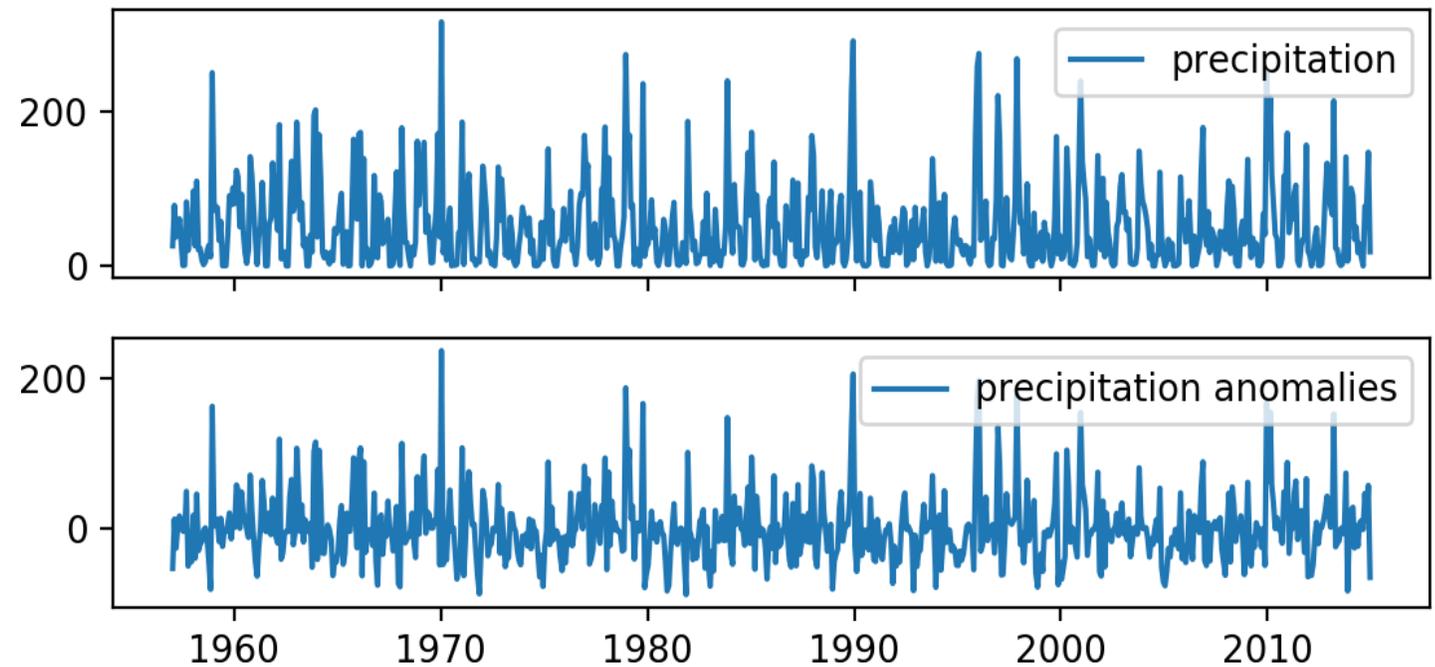


## CLIMATE OSCILLATION INDICES

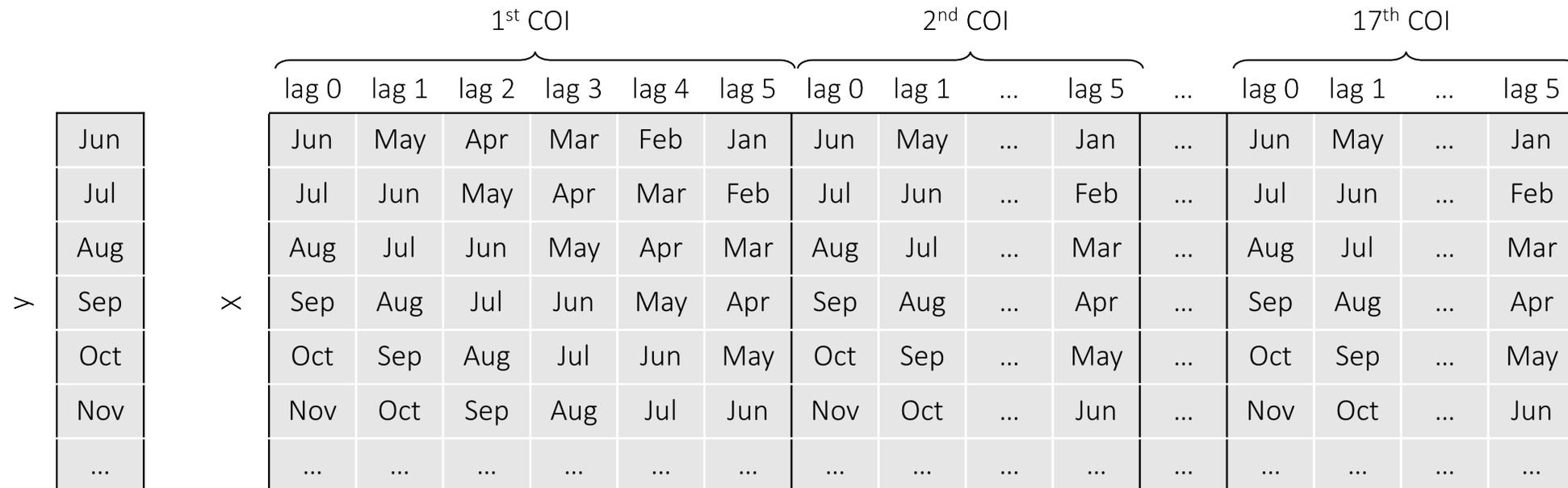
Atlantic Meridional Mode ( <b>AMMSST</b> ) index
Atlantic Multidecadal Oscillation ( <b>AMO</b> ) index
Dipole Mode Index ( <b>DMI</b> )
East Atlantic ( <b>EA</b> ) index
East Atlantic / West Russia ( <b>EAWR</b> ) index
East Pacific-North Pacific ( <b>EPNP</b> ) index
Northern Annular Mode ( <b>NAM</b> ) index
North Atlantic Oscillation ( <b>NAO</b> ) index
Pacific Decadal Oscillation ( <b>PDO</b> ) index
Polar / Eurasia ( <b>PEA</b> ) index
Pacific / North American Index ( <b>PNA</b> ) index
Southern Annular Mode ( <b>SAM</b> ) index
Scandinavian Pattern ( <b>SCAND</b> ) index
Southern Oscillation Index ( <b>SOI</b> )
Tropical Northern Atlantic ( <b>TNA</b> ) index
Tropical Southern Atlantic ( <b>TSA</b> ) index
Western Pacific ( <b>WP</b> ) index

- Precipitation anomalies = **target variable  $y$**
- Provided by Climate Research Unit (**CRU**) TS v.3.23
- Temporal resolution: monthly
- Spatial resolution:  $0.5^\circ$

CRU precipitation and precipitation anomalies



- LASSO regression model
- **Target  $y$** : CRU precipitation anomalies
- **Features  $X$** : 17 COIs · 6 time lags = 102 features
- Overlapping time period: 1957-2014



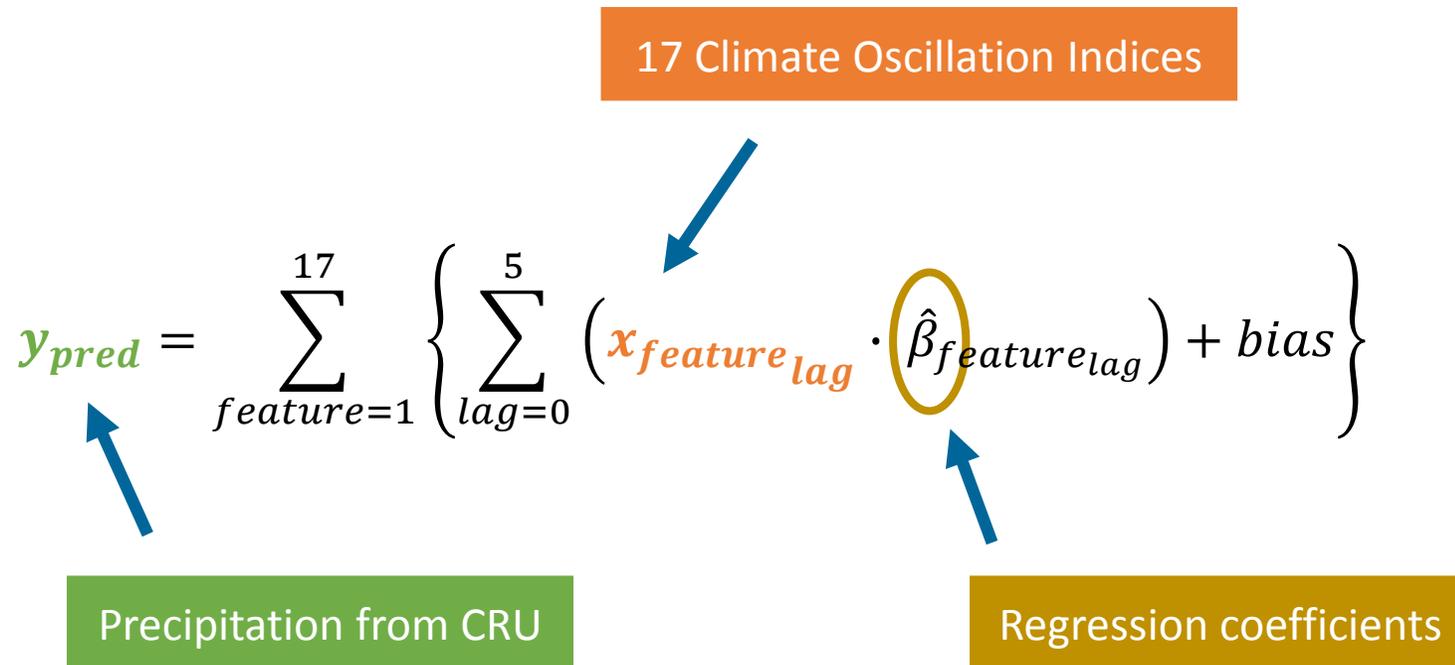
- Two five-fold cross-validations ( $\alpha$ ,  $R^2$ )
- Significance test using Benjamini-Hochberg procedure
- Seasonal models
  - Winter model: December, January, February
  - Spring model: March, April, May
  - Summer model: June, July, August
  - Autumn model: September, October, November
- Including 3x3 neighbourhood

$$R^2 = \text{corr}(y, y_{pred})^2$$

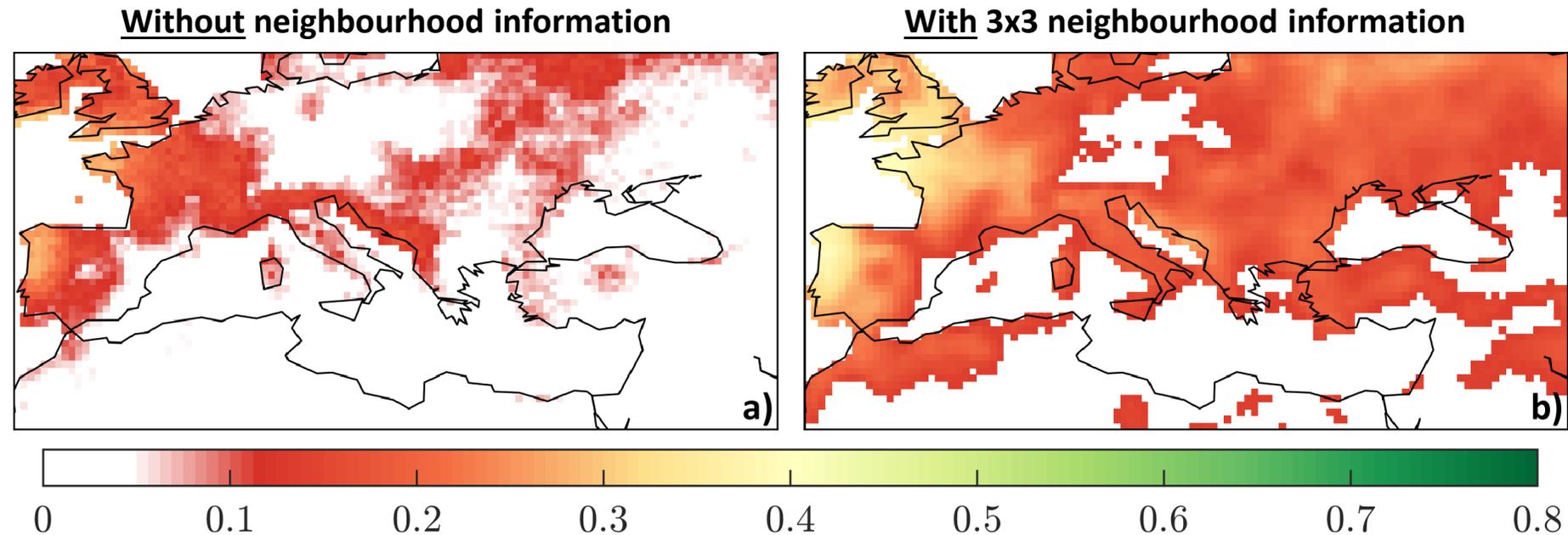
→ coefficient of determination  $R^2$

TARGET VARIABLE = PRECIPITATION ANOMALIES
CRU TS v.3.23
Spatial resolution: 0.5°
Temporal resolution: monthly

PREDICTIVE FEATURES = CLIMATE OSCILLATION INDICES
Atlantic Meridional Mode ( <b>AMMSST</b> ) index
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- $R^2$  for full-year model

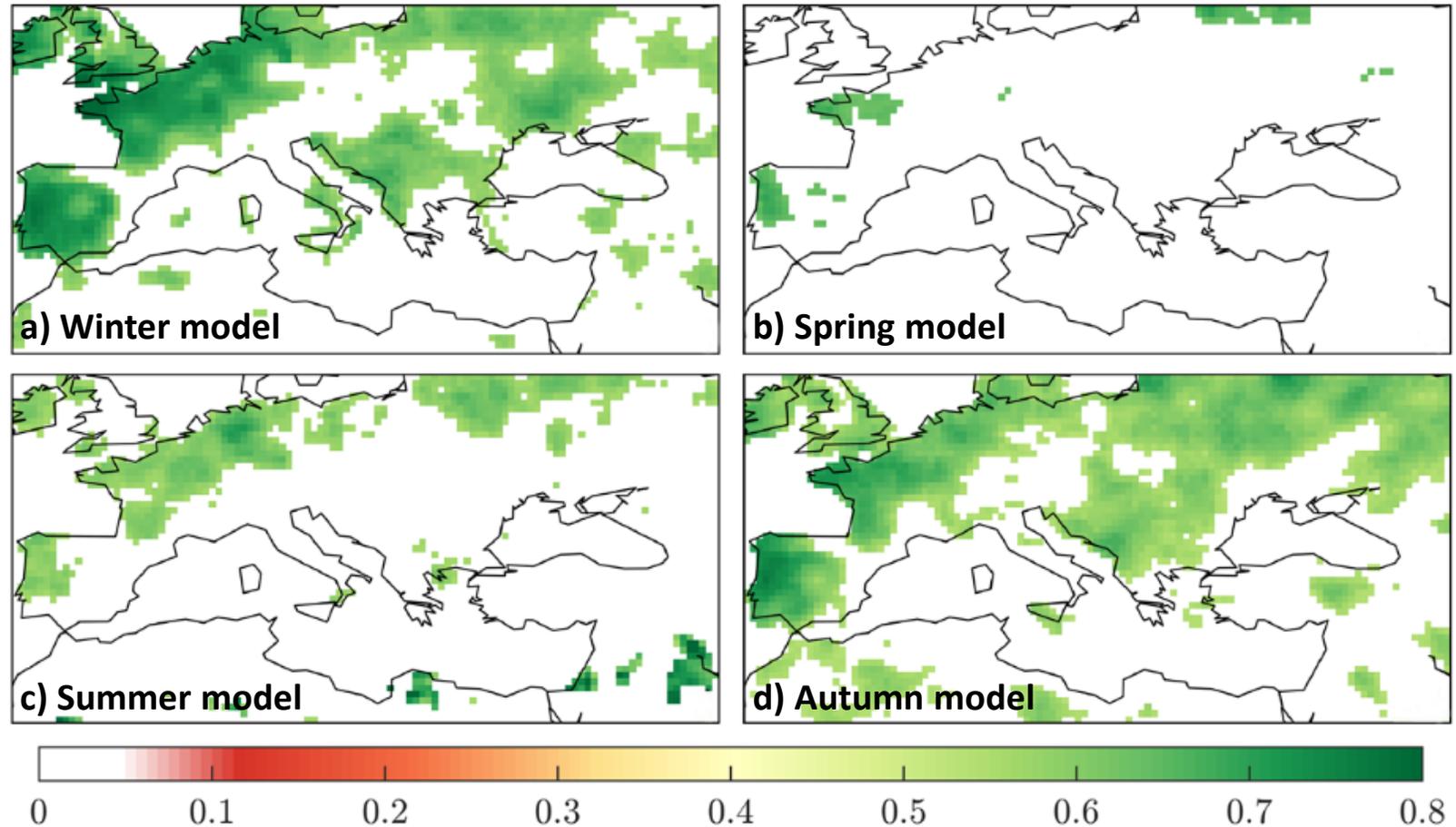


→  $R^2$  gets significantly higher when 3x3 neighbourhood is used

- $R^2$  for seasonal models

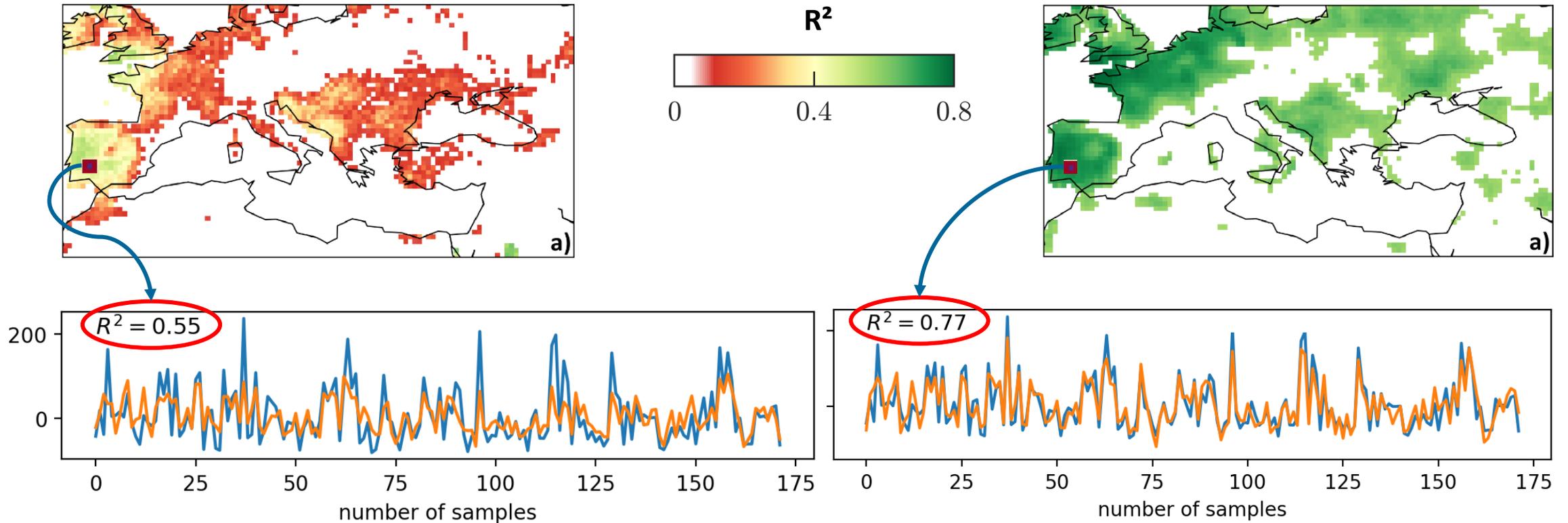
→ considerably higher  $R^2$

With 3x3 neighbourhood information



Without neighbourhood information

With neighbourhood information



- target variable  $y$ , predicted target variable  $y_{pred}$

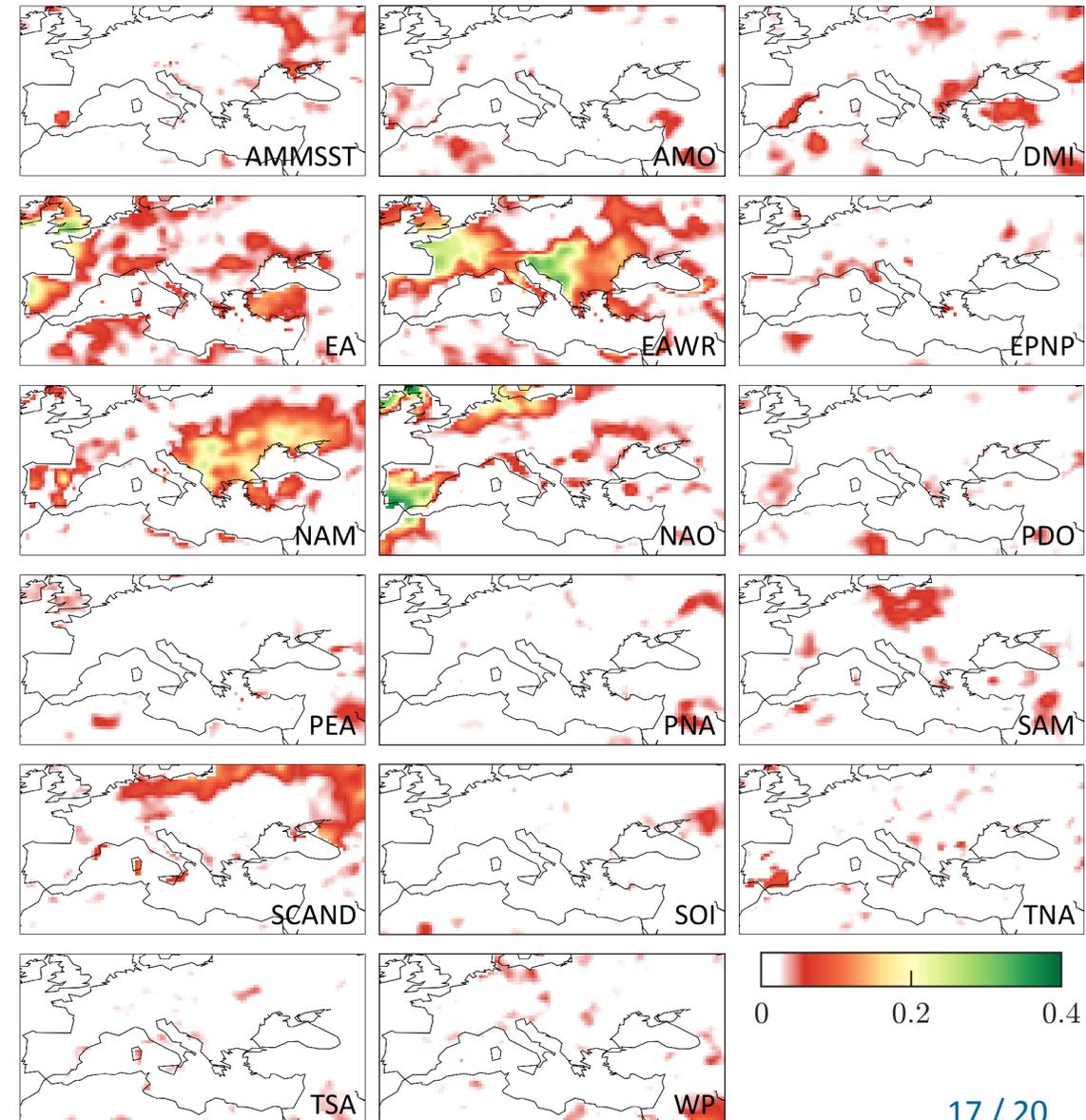
→ model including the neighbourhood corresponds better to the target variable  $y$

- $R^2_{COI}$  for winter model

$$y_{pred_{COI}} = \sum_{lag=0}^5 x_{COI_{lag}} \cdot \hat{\beta}_{COI_{lag}}$$

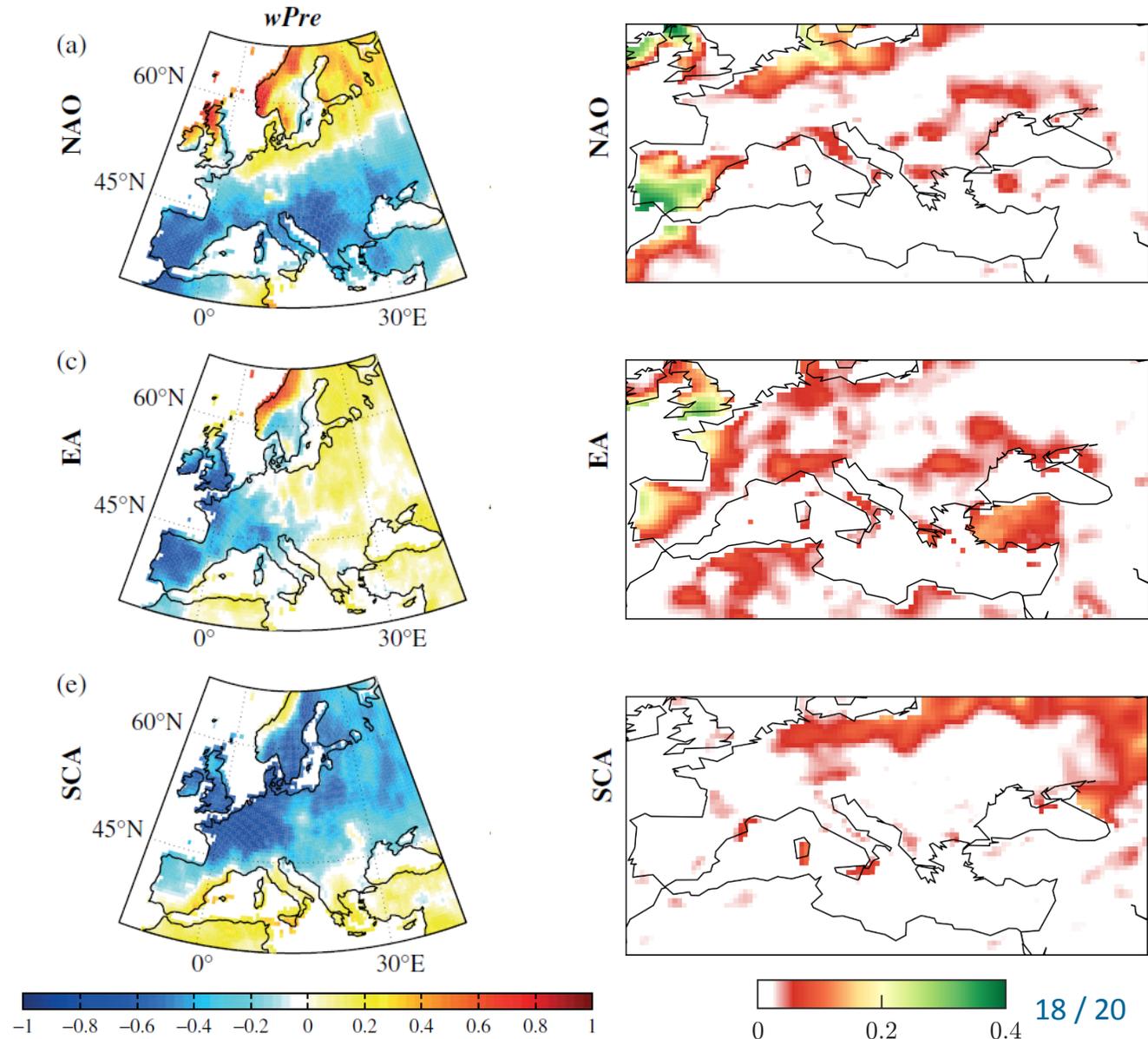
$$R^2_{COI} = corr(y, y_{pred_{COI}})^2$$

- East Atlantic Pattern **EA**
- East Atlantic West Russia Pattern **EAWR**
- Northern Annular Mode **NAM**
- North Atlantic Oscillation **NAO**
- Scandinavian Pattern **SCAND**



Comparison:

- Correlations between winter precipitation and climate modes **NAO, EA, SCAND**  
*[Comas-Bru and McDermott, 2013]*
- $R_{COI}^2$  for winter model gained by LASSO regression



- Variability of **precipitation anomalies** is strongly affected by **climatic oscillations**
- LASSO regression
- Cross-correlations between individual climate modes are considered
- Especially winter model shows a high  $R^2$ 
  - East Atlantic Pattern, East Atlantic West Russia Pattern, Northern Annular Mode, North Atlantic Oscillation, Scandinavian Pattern
- The model improves significantly when including the neighbourhood
  - Data quality

### Further investigations:

- Limits of the method
  - Pre-processing of the data
  - Separate the investigated area into groups with similar hydro-climatic properties and train the model based on these areas
  - Global scale
  - Different response variables
- 
- Improve understanding of the relation between **climatic oscillations** and **precipitation anomalies**