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# Strongly-coupled ensemble data assimilation of boundary-layer observations for the atmosphere-land interface

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# What should you take home?

Strongly-coupled ensemble data assimilation of boundary-layer observation for the atmosphere-land interface is possible in perfect twin experiments

We show advantages of strongly-coupled data assimilation compared to weakly-coupled data assimilation

We show control mechanisms for the assimilation of the 2-metre-temperature into soil moisture

Clickable!



If you are interested, please continue

Or you can directly jump to sections



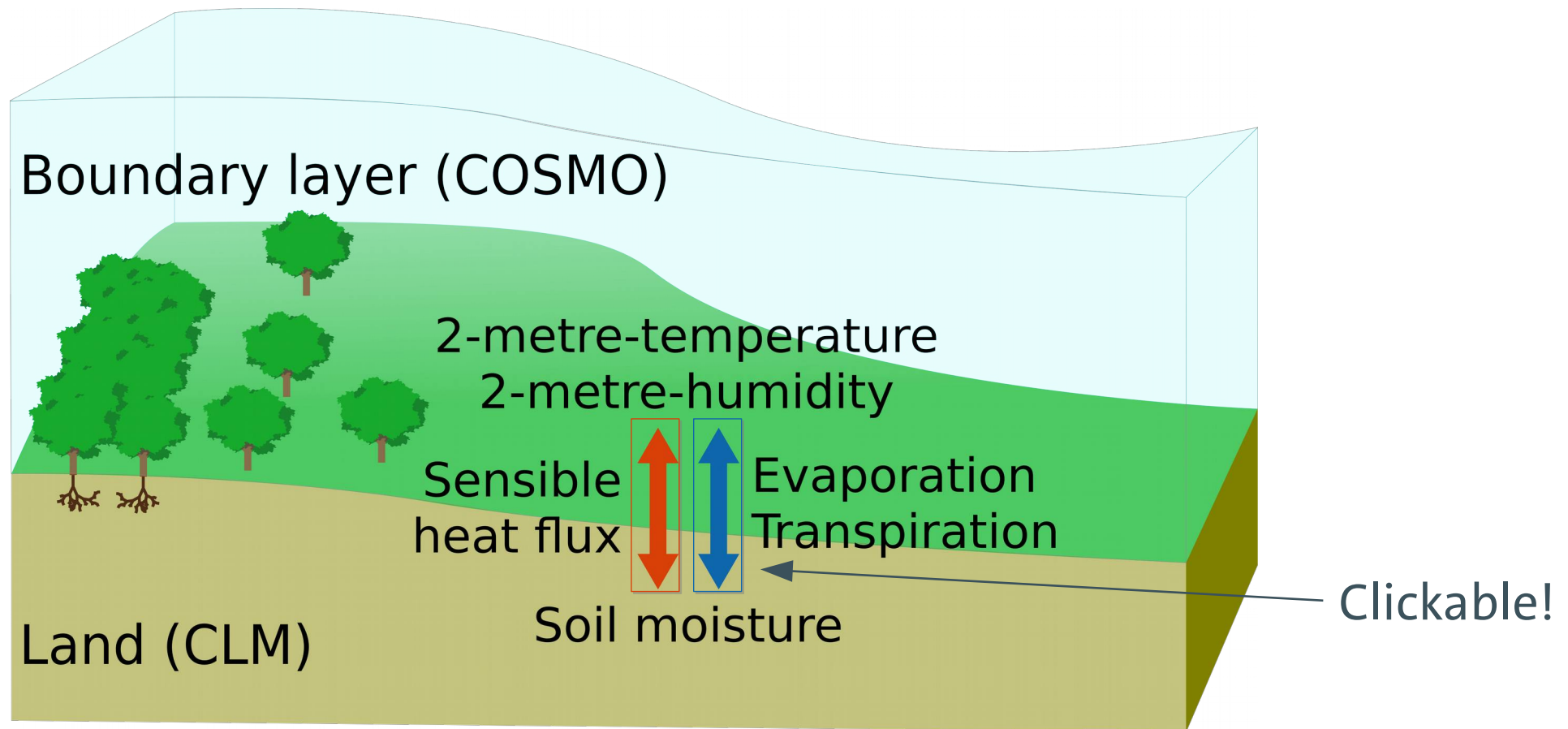
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# Fluxes couple atmospheric boundary layer to soil moisture



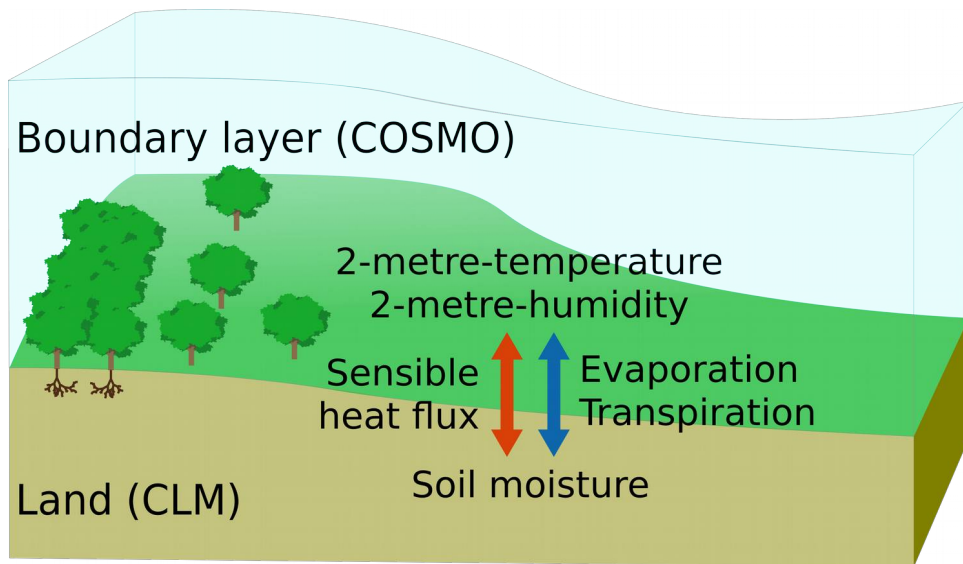
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# Assimilation of boundary-layer observations for soil moisture



## Current state of the art

Weakly-coupled data assimilation  
(e.g. Carrera et al., 2015)

Simplified extended Kalman filter  
(e.g. Hess et al., 2001; Rosnay et al., 2013)

Updating of soil moisture to correct  
biases in atmospheric boundary layer

## **Negative assimilation impact on soil moisture**

(e.g. Muñoz-Sabater et al., 2019; Carrera et al., 2019)

What is the impact of strongly-coupled ensemble data assimilation on the assimilation of the 2-metre-temperature into soil moisture?



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# Our plan

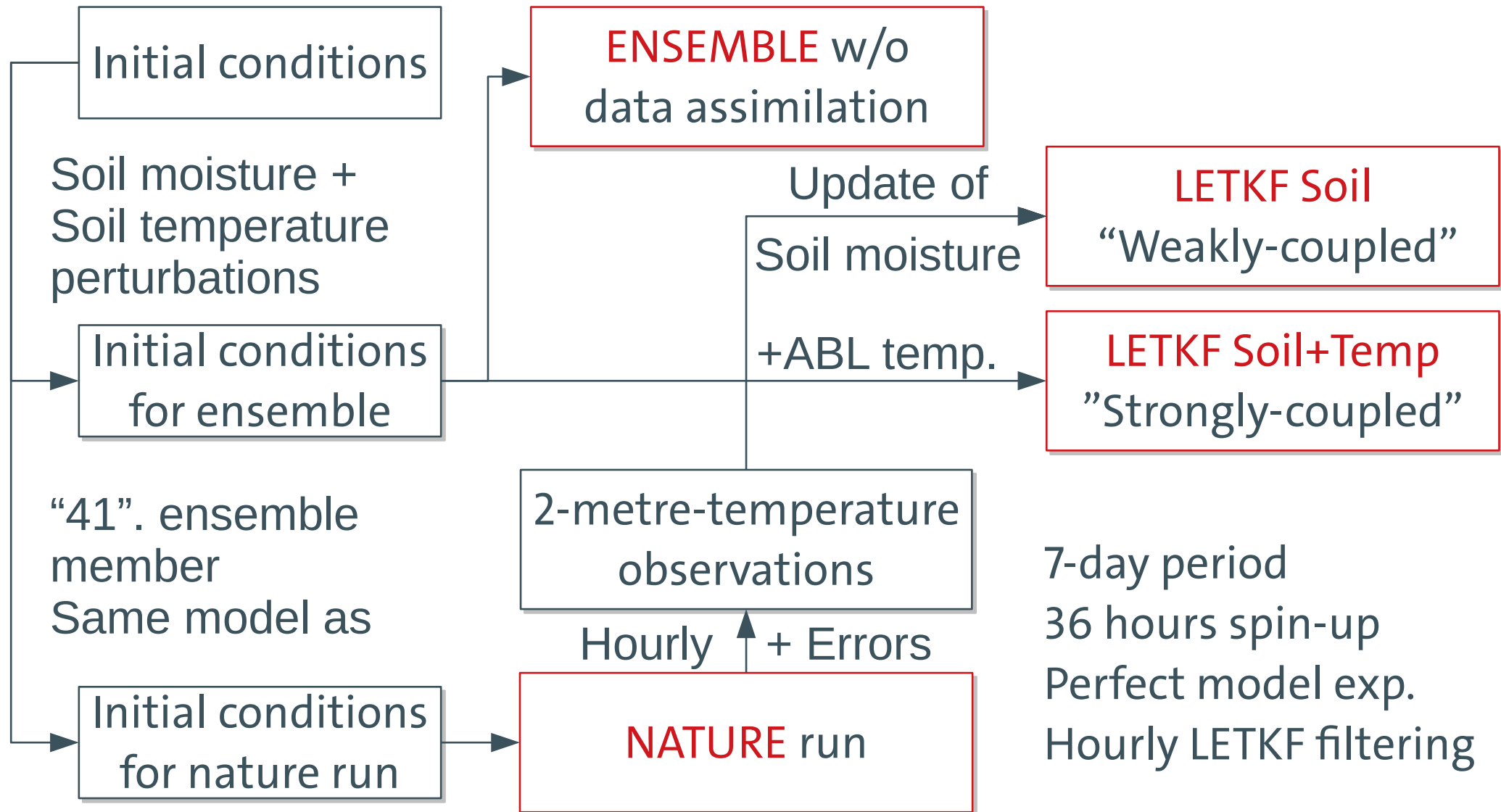
Compare strongly-coupled data assimilation with weakly-coupled data assimilation for the atmosphere-land interface

Use an state-of-the-art 3D ensemble data assimilation system together with a fully-coupled model system

Use simple perfect twin experiments with initial soil perturbations only



# Differences are driven by initial soil perturbations and data assimilation only



# Results overview

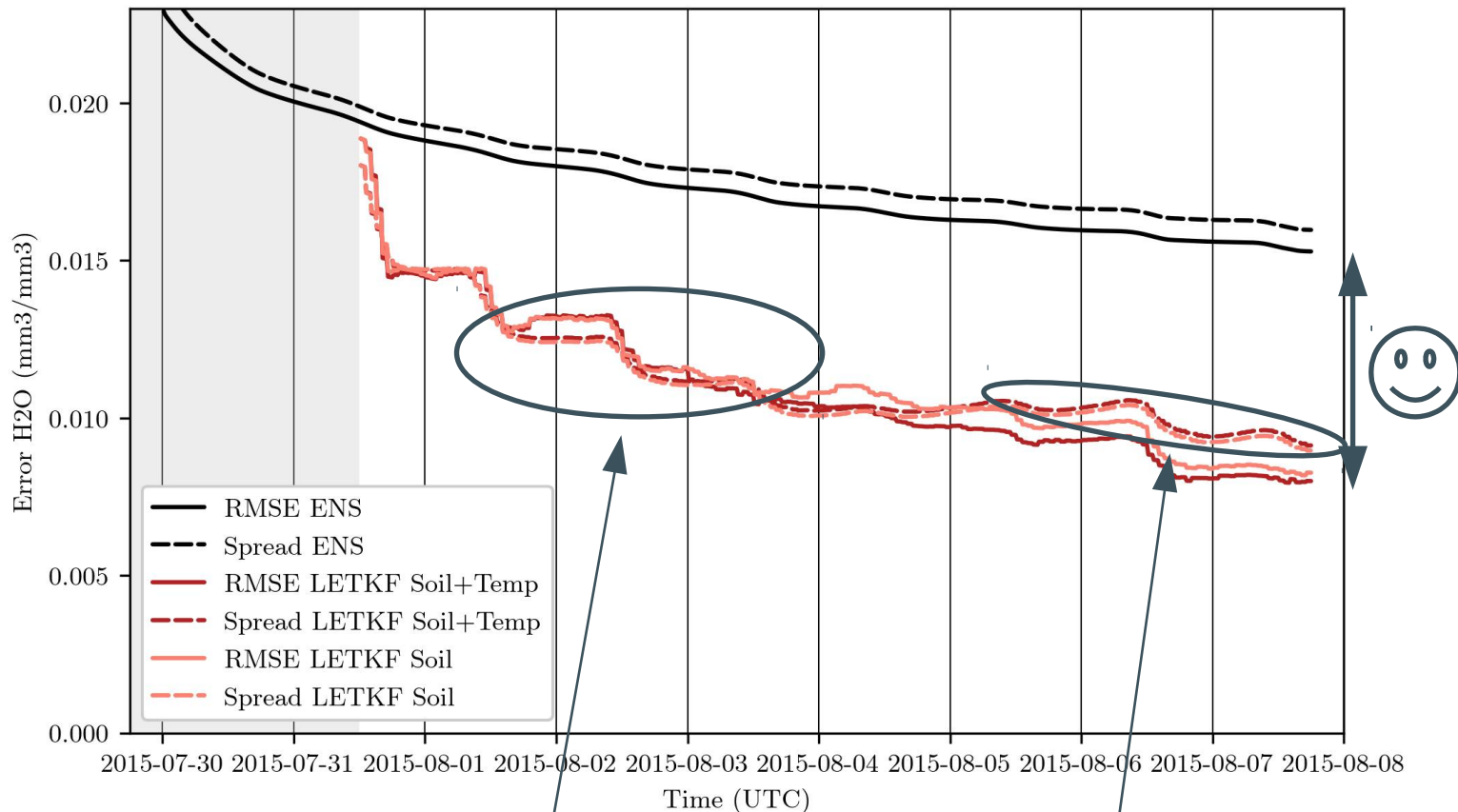
LETKF Soil+Temp experiment has lower errors than the LETKF Soil experiment

Strongly-coupled data assimilation is more consistent across the interface compared to weakly-coupled assimilation

Processes within the atmospheric boundary layer have an impact on the data assimilation for soil moisture



# Assimilation of the 2-metre-temperature improves the soil moisture analysis



Inflation too small?    Inflation too large?

Problems defining the right inflation → Process-dependent inflation?



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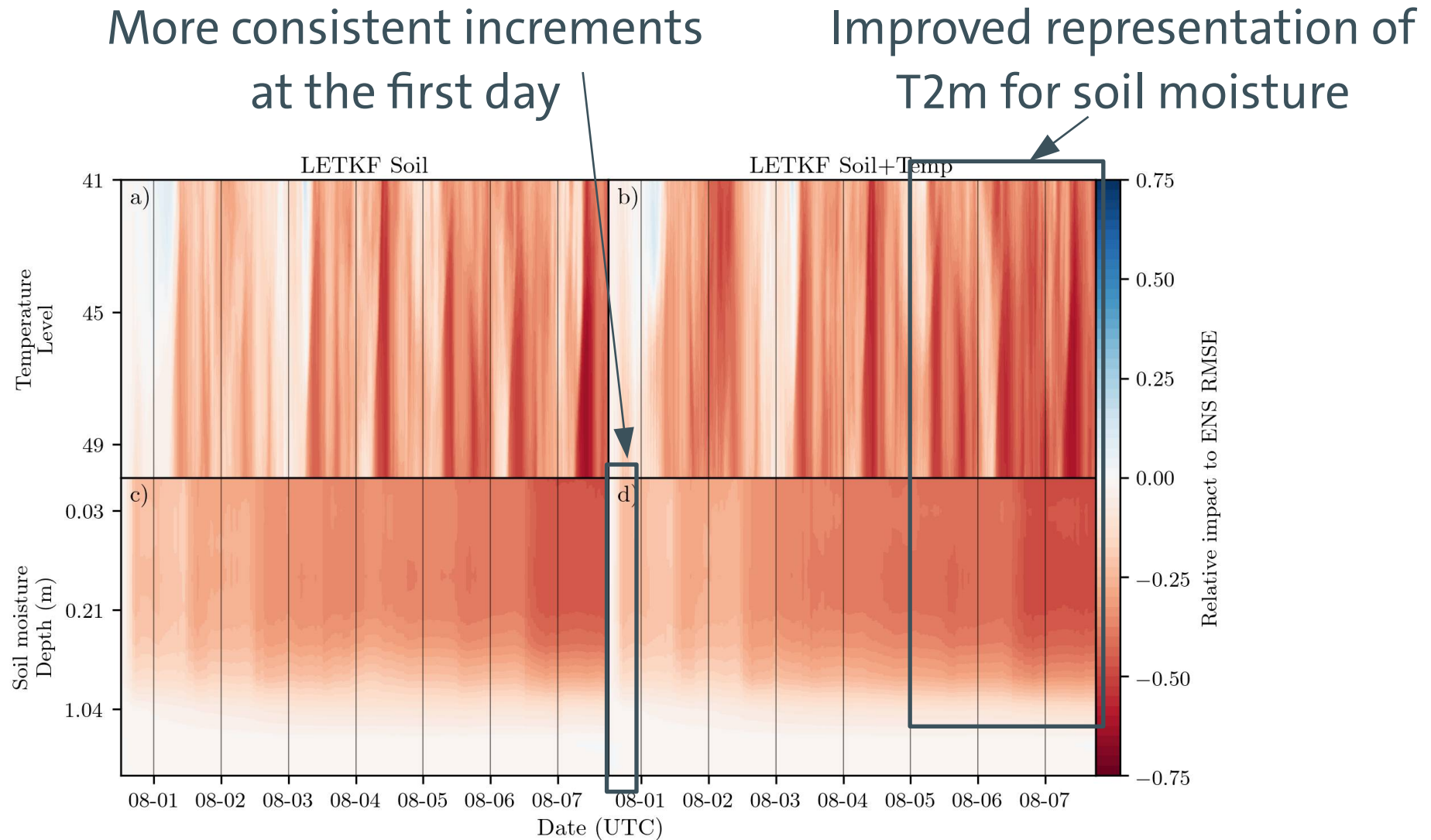
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# Strongly-coupled data assimilation has a more consistent assimilation impact



# Positive impact caused by theoretical and practical advantages of consistent updates

## Theory

Strongly-coupled data assimilation improves consistency and reduces chances of “correcting the same error twice”

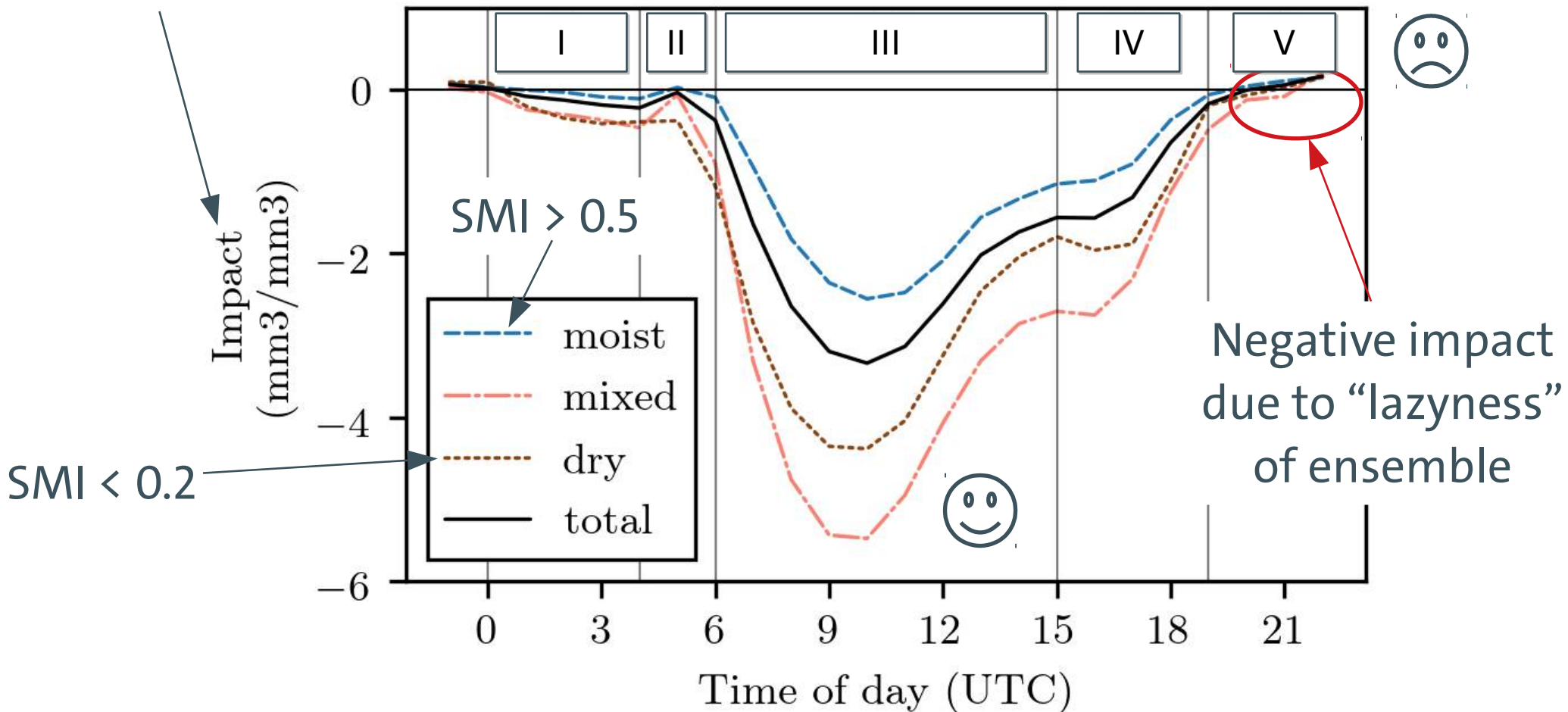
Decreased magnitude of innovations

Increased covariances across compartments

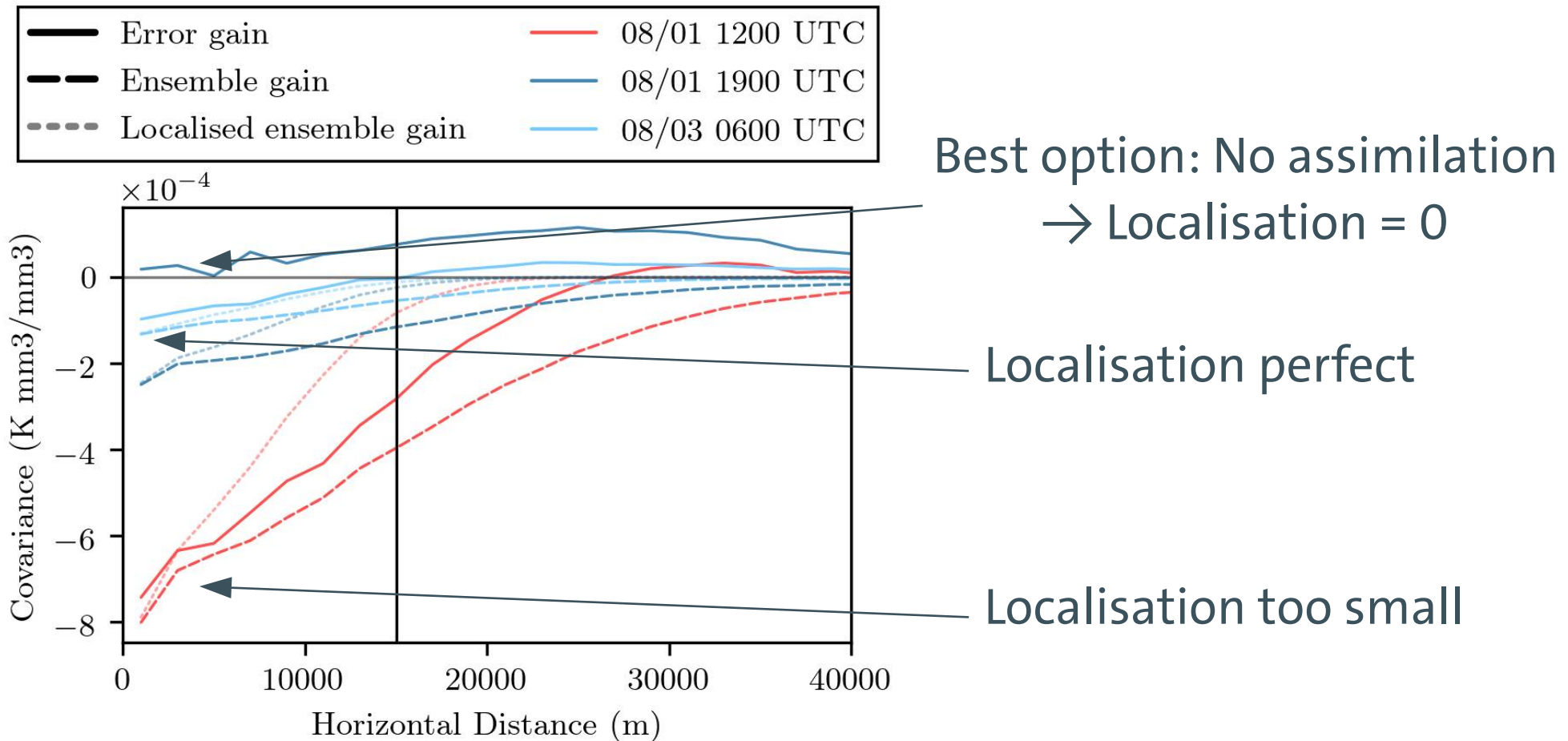


# Processes in boundary layer have an impact on soil moisture

Error decrease due to assimilation



# Localisation has to be process-dependent



Covariance between T2m and soil moisture dependent on distance between grid points



# Conclusion overview

Summary: 3D LETKF assimilation of T2m into soil moisture improves soil moisture in perfect twin experiments

Tackling of negative assimilation impact caused by ensemble and non-linearities with fingerprinter operators + smoothing

Strongly-coupled data assimilation of boundary-layer observations improves consistency for the atmosphere-land interface



# Summary

Simple perfect twin experiments with initial soil perturbations only

→ Assimilation with LETKF of T2m into soil moisture

Positive assimilation impact on soil moisture and atmospheric boundary layer

Additional updating the atmospheric temperature decreases error in soil moisture

Process-based disentanglement of assimilation impact shows impact of boundary-layer on assimilation

Inflation and localisation have a slightly negative impact on assimilation → How to choose right tuning parameters?



# Outlook

Delayed impact of soil moisture on boundary-layer observations → Smoothing instead of filtering

“Lazyness” of ensemble comparable to spin-up problem  
→ Running-in-place or no-cost-smoother approach

(Kalnay and Yang, 2010)

Use of derived observations (e.g. temporal T2m gradient) to tackle lazyness and non-linearities → Fingerprint operators

Process-dependent localisation and inflation  
→ Additional statistical models for localisation and inflation



# Conclusions

Strongly-coupled data assimilation improves consistency in atmosphere-land interface → Positive assimilation impact

We can use boundary-layer observations in a fully-coupled hydrology model to infer soil moisture with 3D assimilation

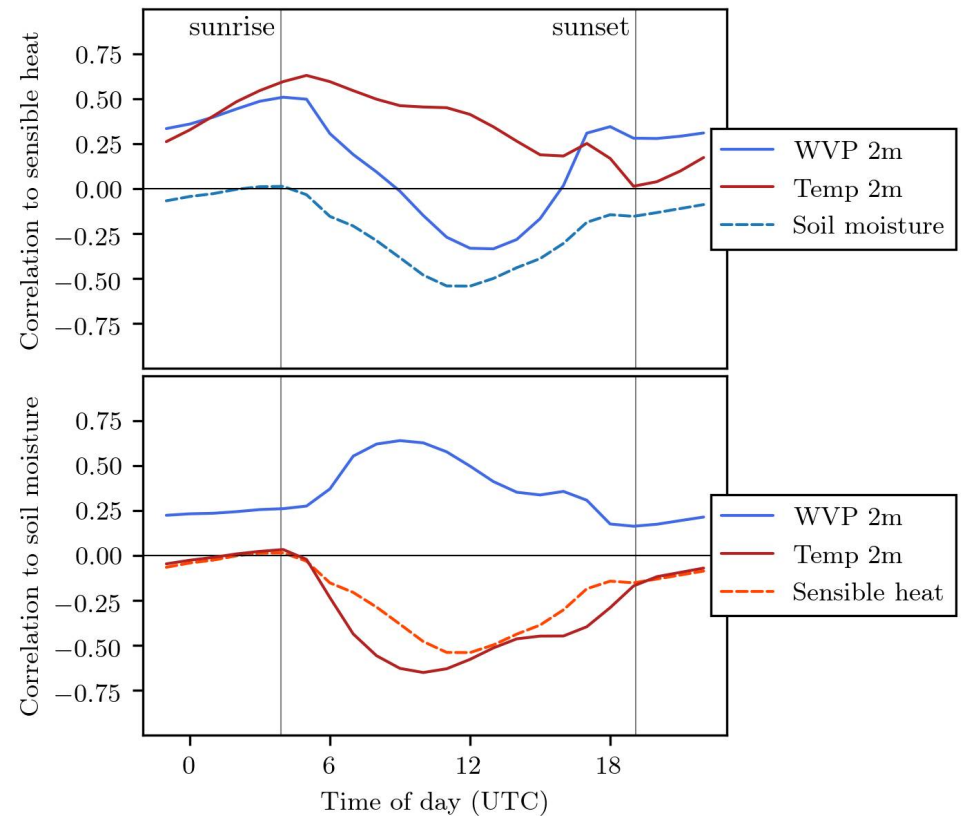
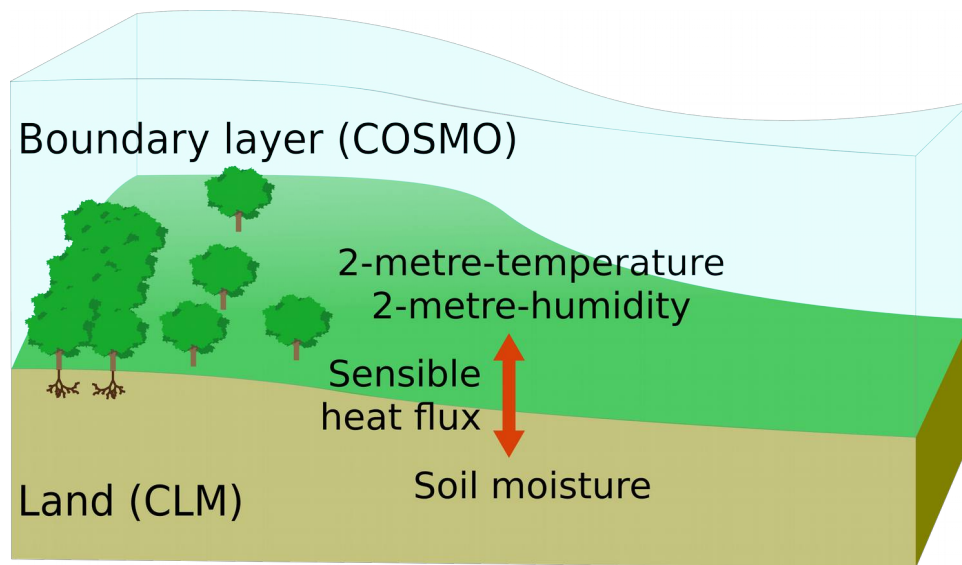
Processes in the boundary-layer have a major impact on assimilation at the atmosphere-land interface  
→ We need new ideas for “real-world” data assimilation

Do you have questions?





# Sensible heat flux couples atmospheric temperature to soil moisture during day-time



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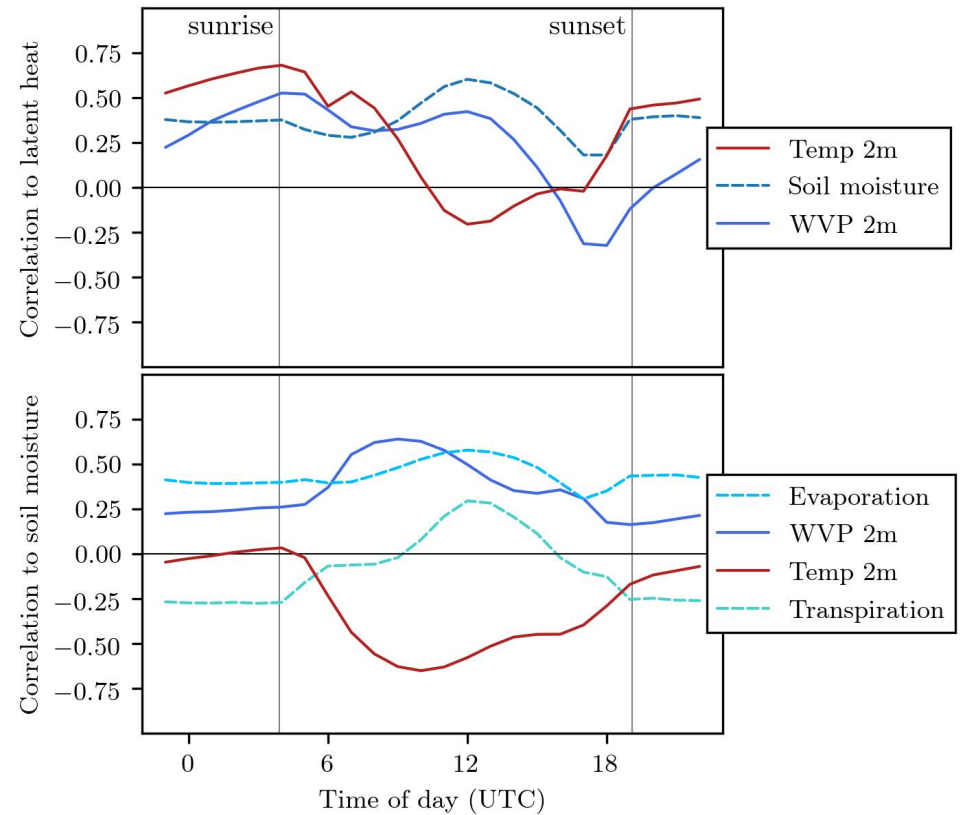
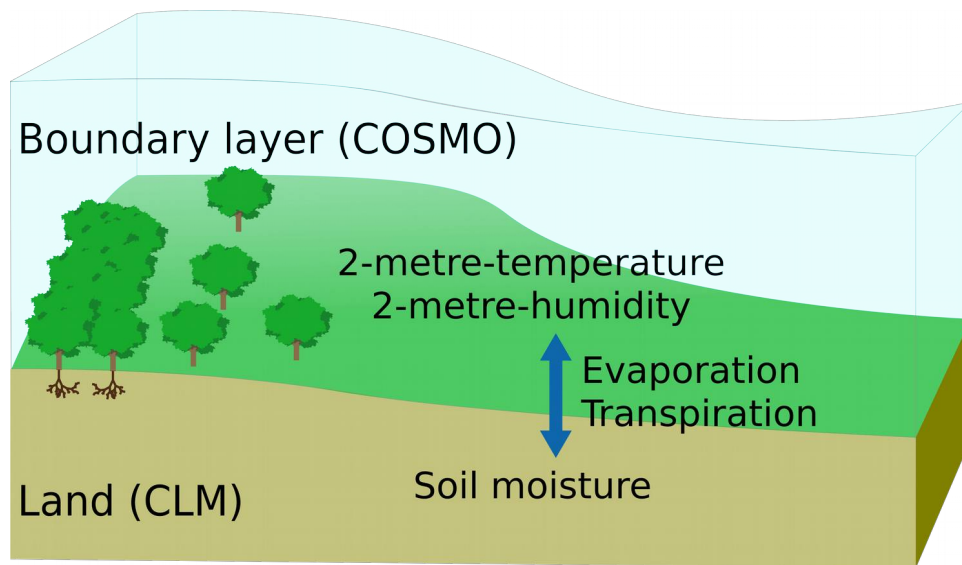
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# Latent heat flux couples atmospheric humidity to soil moisture



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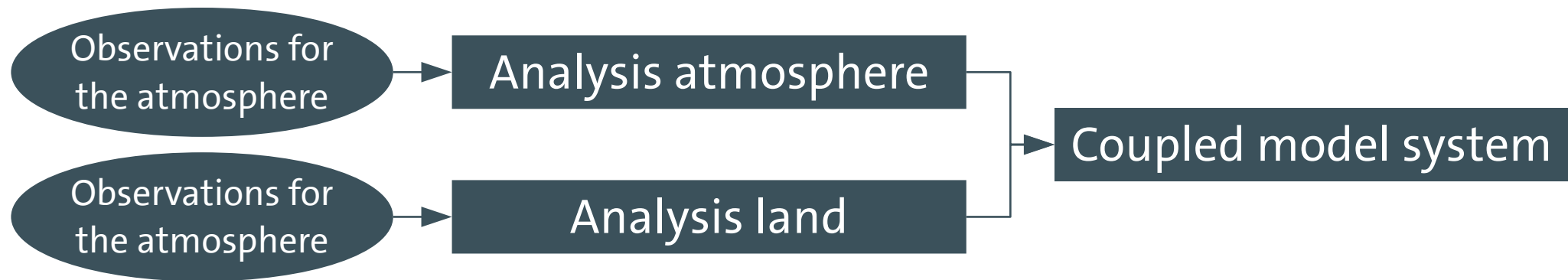
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# Strongly-coupled data assimilation uses an unified data assimilation across compartments

## Weakly-coupled



## Strongly-coupled



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# 3D-Ensemble Data Assimilation system with a fully-coupled model system

## Data assimilation

Localised Ensemble Transform Kalman filter

Gaspari-Cohn localisation – Horizontal radius: 15 km

Small multiplicative inflation:  $\gamma = 1.006$

Python-based: <https://gitlab.com/tobifinn/torch-assimilate>

## Fully-coupled model system

TerrSysMP (Shrestha et al., 2014, doi:10.1175/MWR-D-14-00029.1)

Atmosphere: COSMO 4.21

Land: Community Land Model 3.5

Coupler: Oasis 3 MCT

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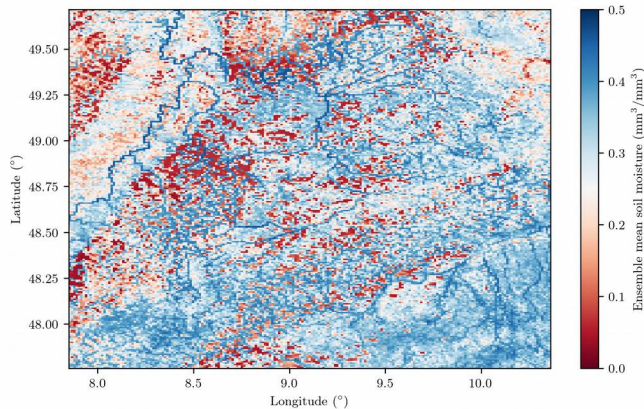
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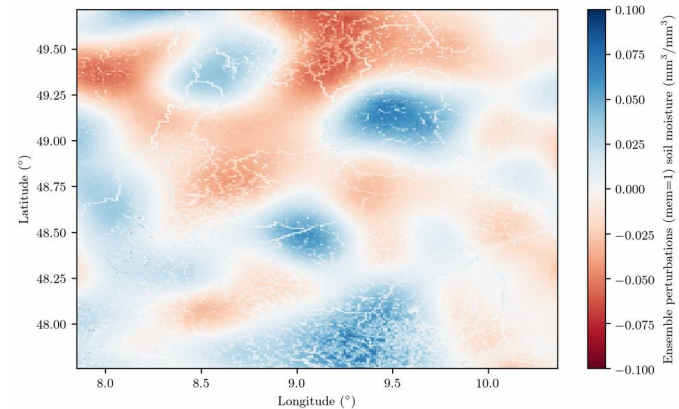
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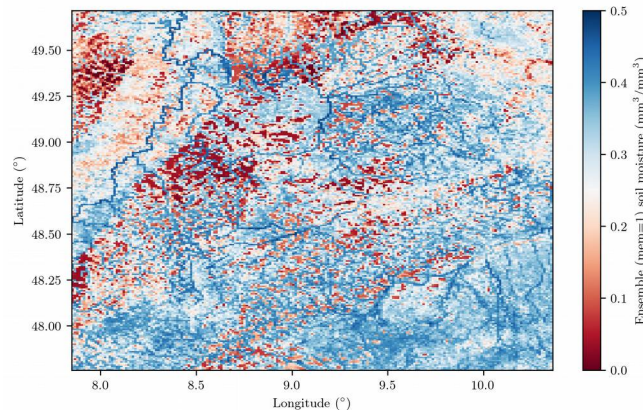
# Correlated perturbations in soil moisture and soil temperature



+



=



Soil moisture  $\sigma = 6 \%$   
Soil temp.  $\sigma = 1 \text{ K}$

Horizontal and vertical Gaussian correlation structures

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Creation of 40 ensemble members  
+ Creation of nature run

All members have same initial + lateral atmospheres



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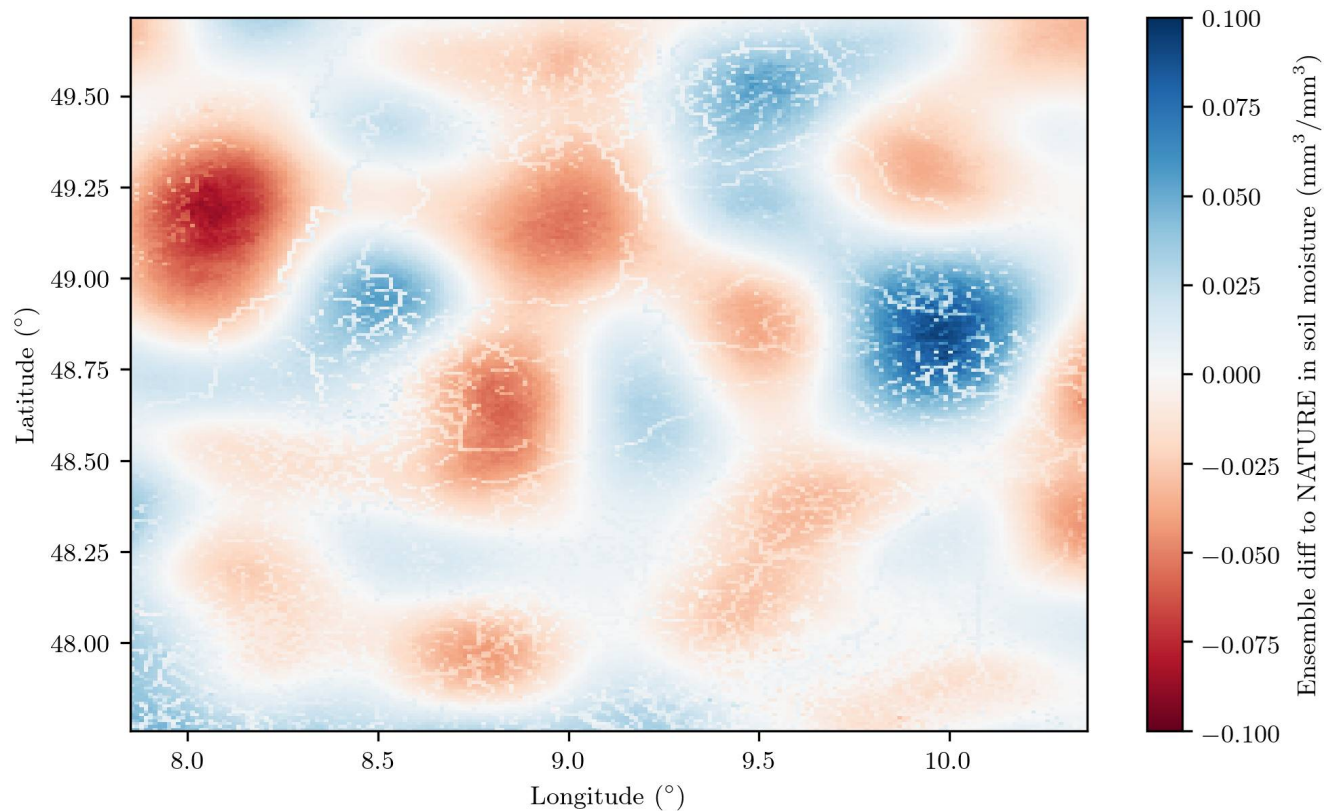
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# NATURE run is initialised as a single additional ensemble member

Initial soil moisture perturbations compared to ENSEMBLE mean



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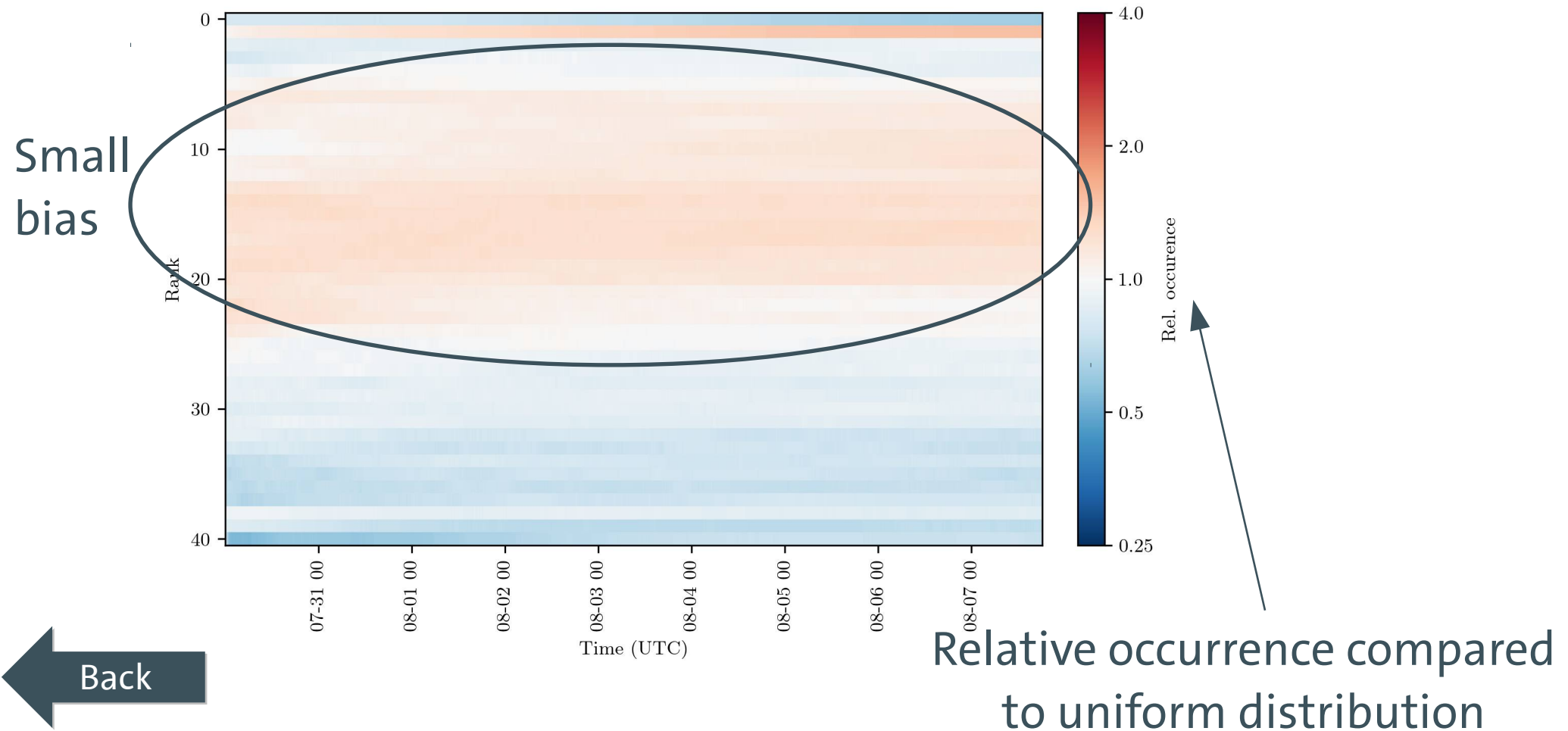
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# ENSEMBLE spread is representative for error to NATURE run

Rank histogram for all grid points compared to NATURE run



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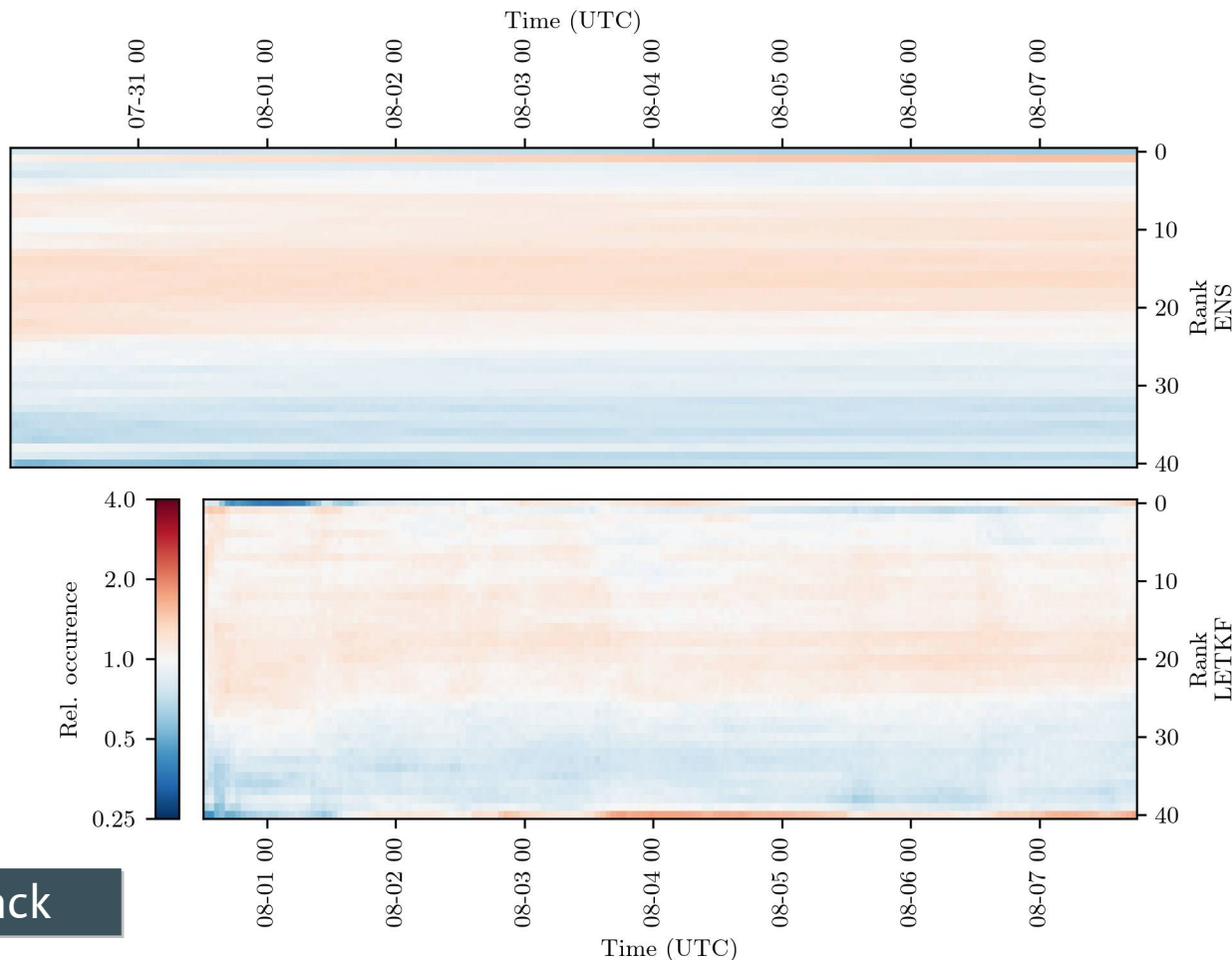
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# LETKF Soil has a similar spread as ENSEMBLE experiment

Rank histogram for all grid points compared to NATURE run



Multiplicative inflation  
 $\gamma=1.006$

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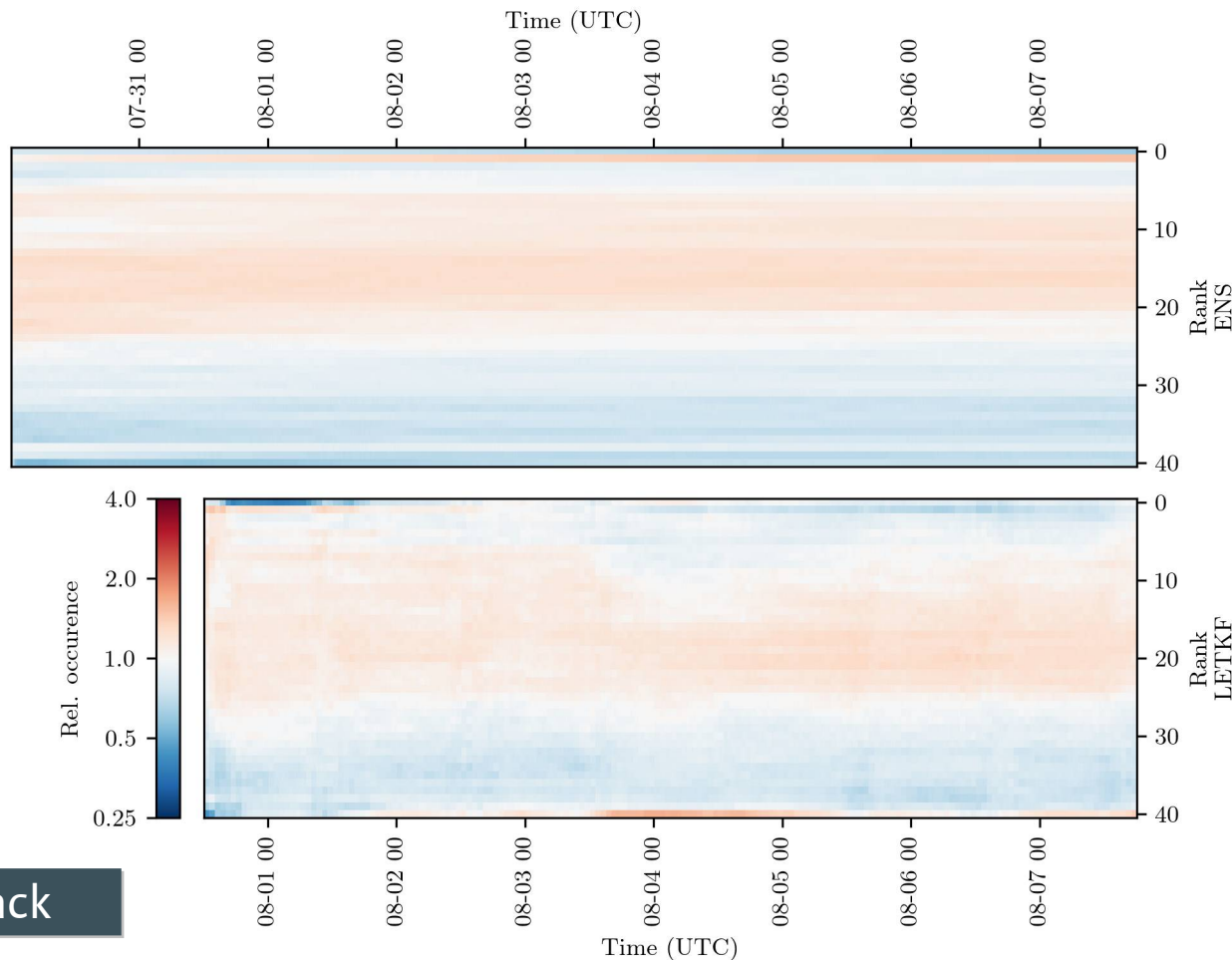
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# LETKF Soil+Temp has a similar spread as ENSEMBLE experiment

Rank histogram for all grid points compared to NATURE run



Multiplicative inflation  
 $\gamma=1.006$

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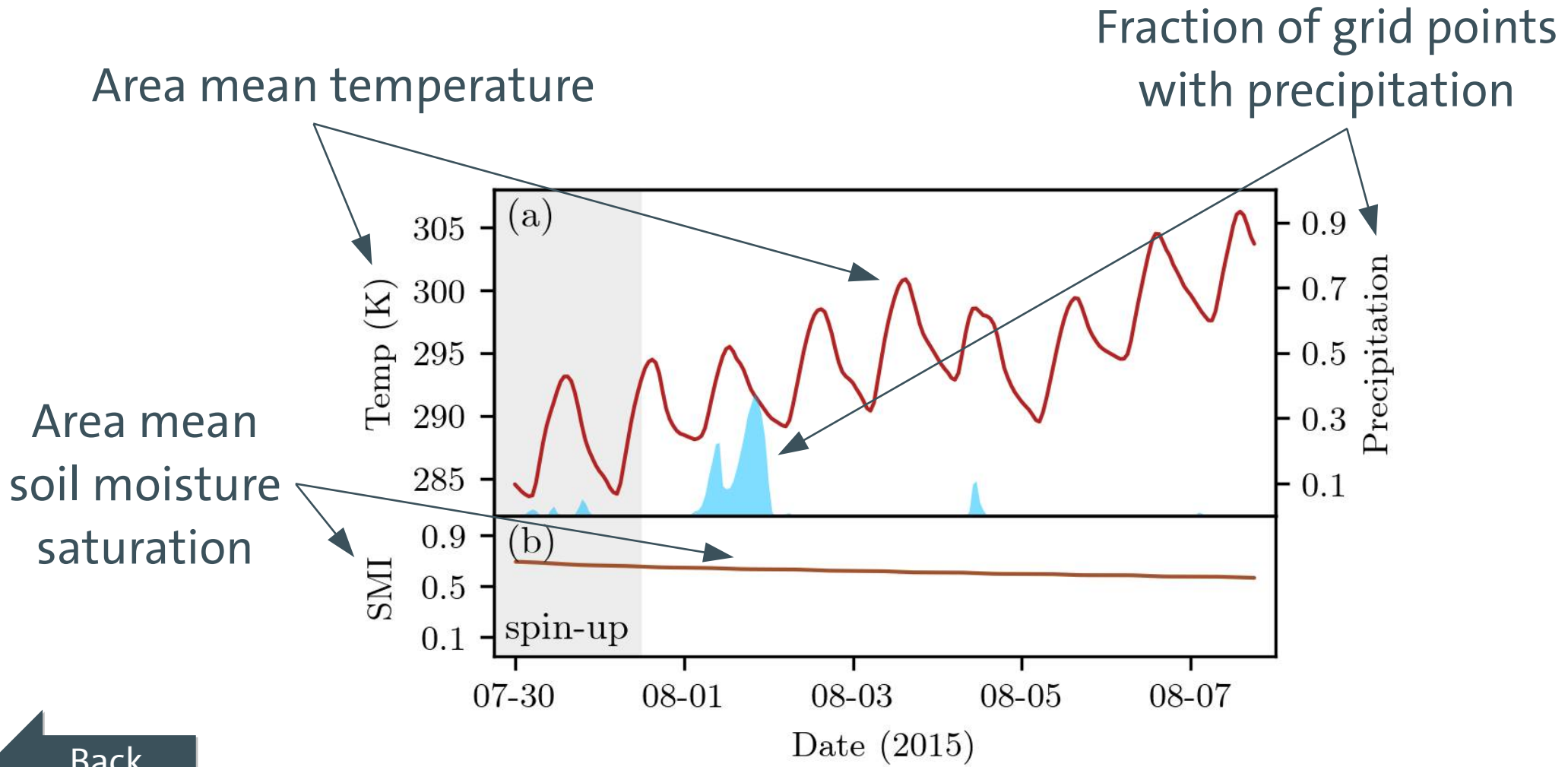
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# Mainly sunny and dry weather conditions



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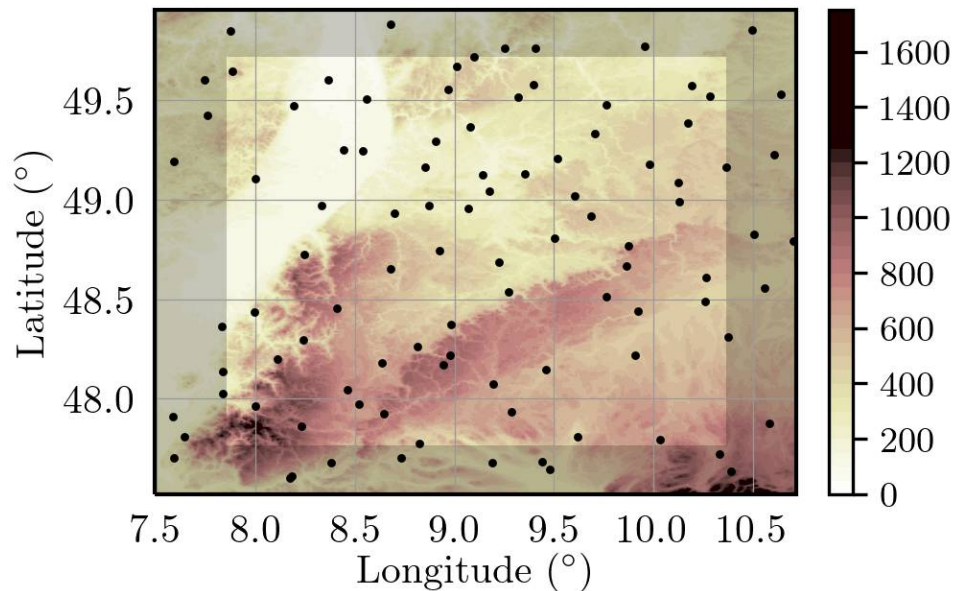
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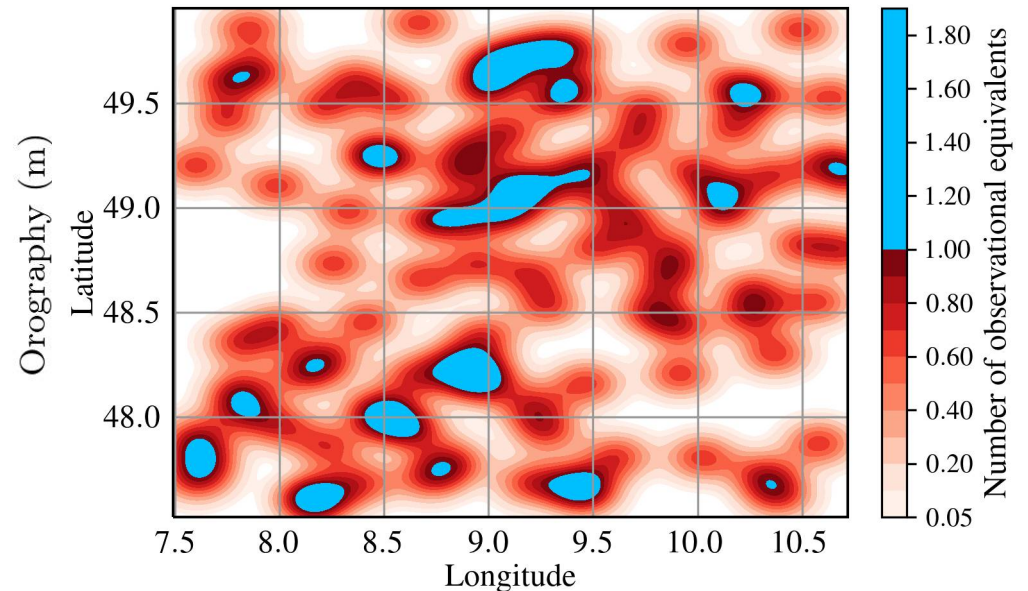
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# 99 Virtual observation at DWD measurement sites

Model orography with  
observation points  
(grey: boundary area)



3D LETKF observational equivalents  
per grid point  
estimated based on localisation



Blue: more “observations” at grid point  
than 1D assimilation (e.g. SEKF)

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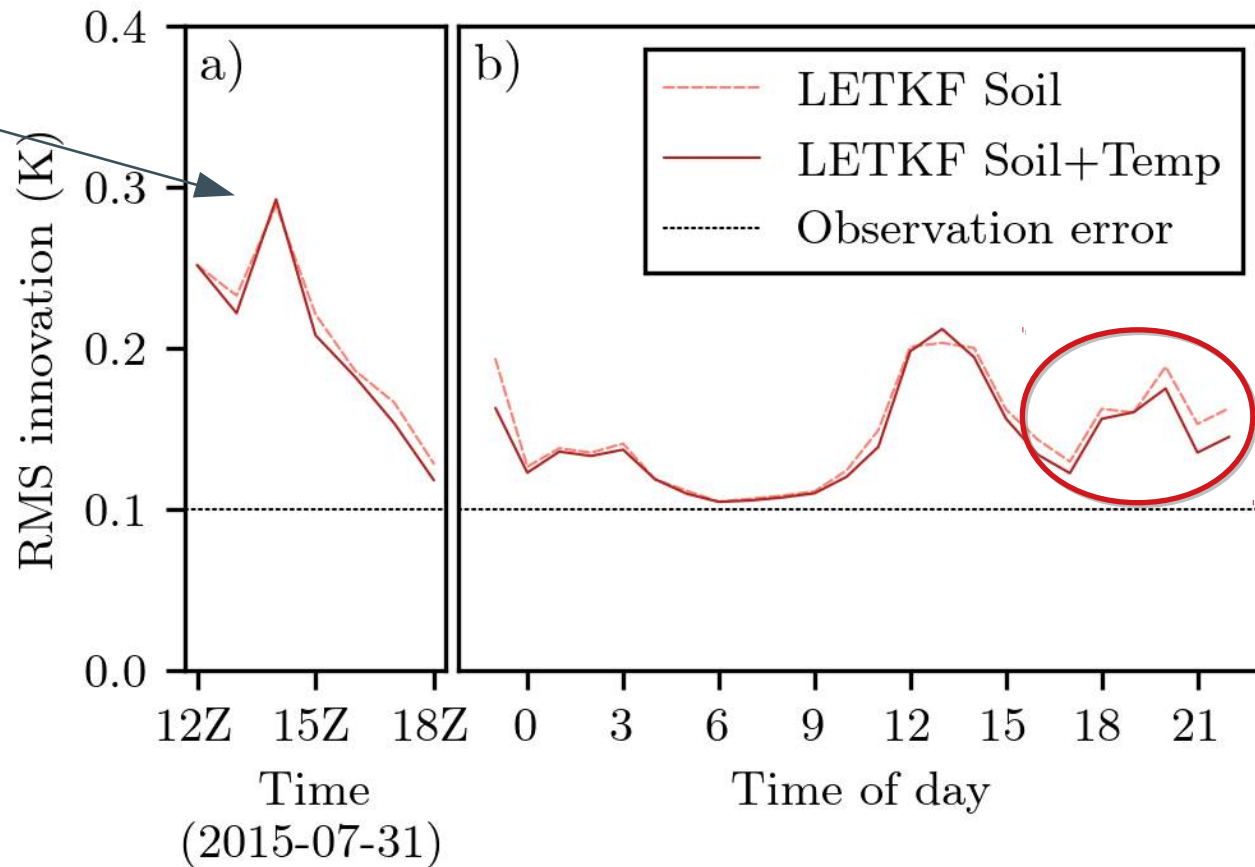
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# Innovations are decreased due to additional temperature updates

First 7 analysis cycles



Diurnal cycle over the 7 days and all observations

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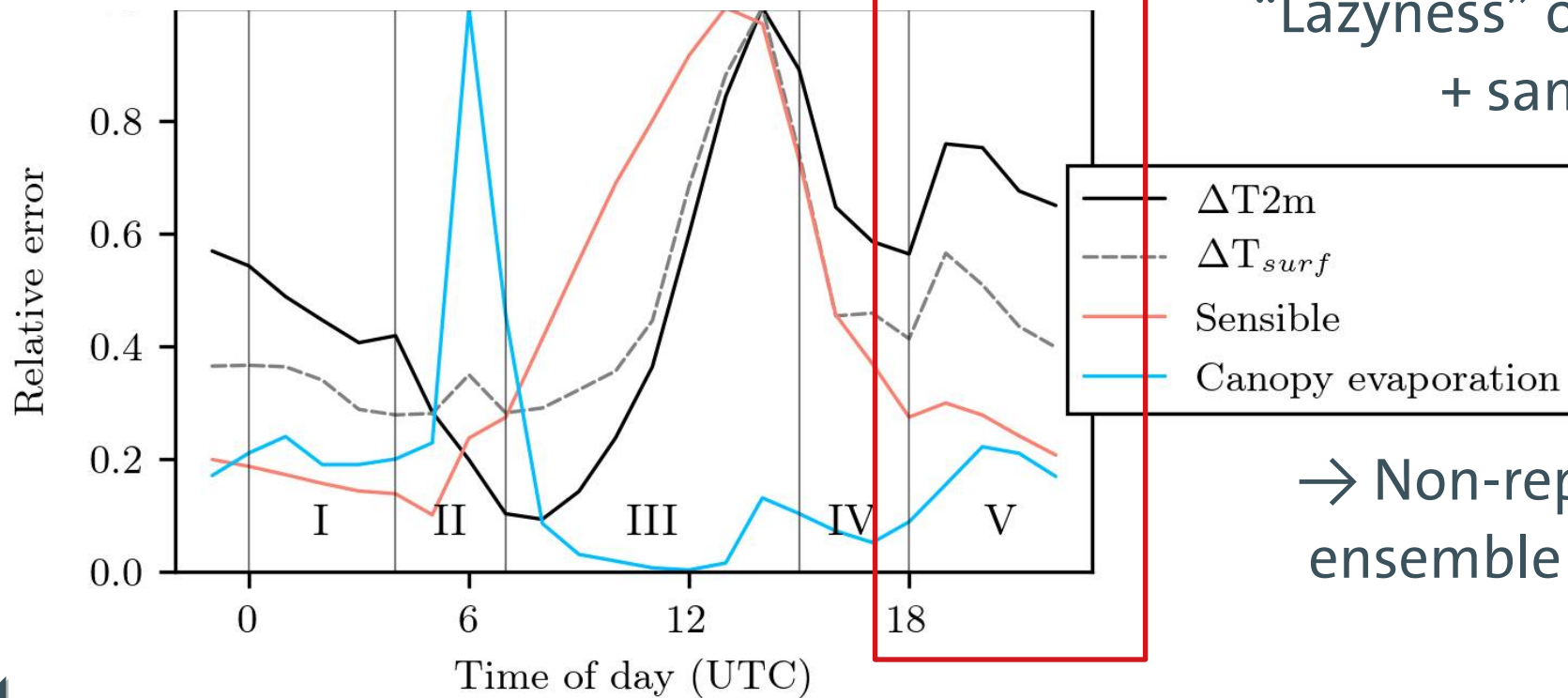
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# Negative impact due to nocturnal boundary layer transition

Transition from weakly-stratified to strongly-stratified boundary layer

“Lazyness” of ensemble + sampling error



→ Non-representative ensemble covariances

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Diurnal cycle over the 7 days and all grid points



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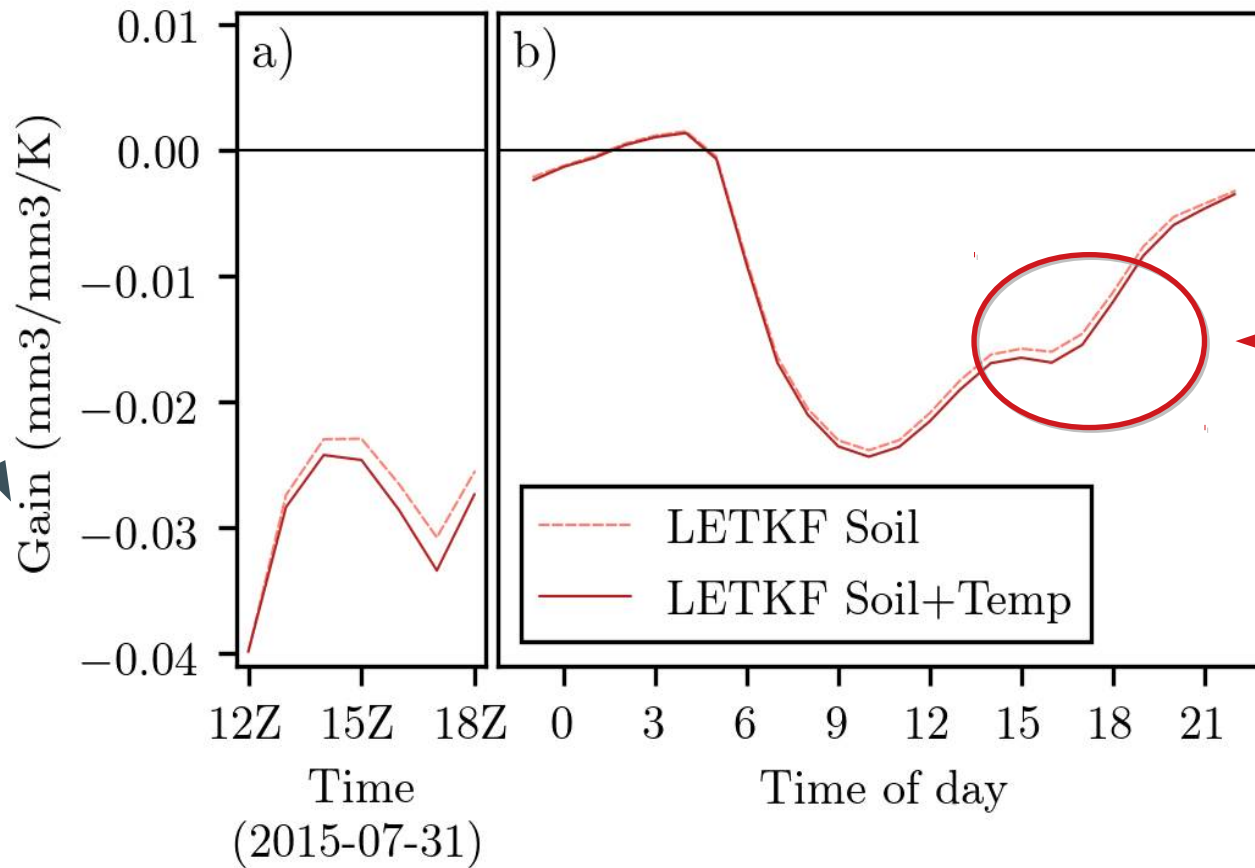
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# Increased magnitude of gain

→ T2m perturbations are more representative

Grid-point-based gain between T2m and soil moisture



Diurnal cycle over the 7 days and all grid points

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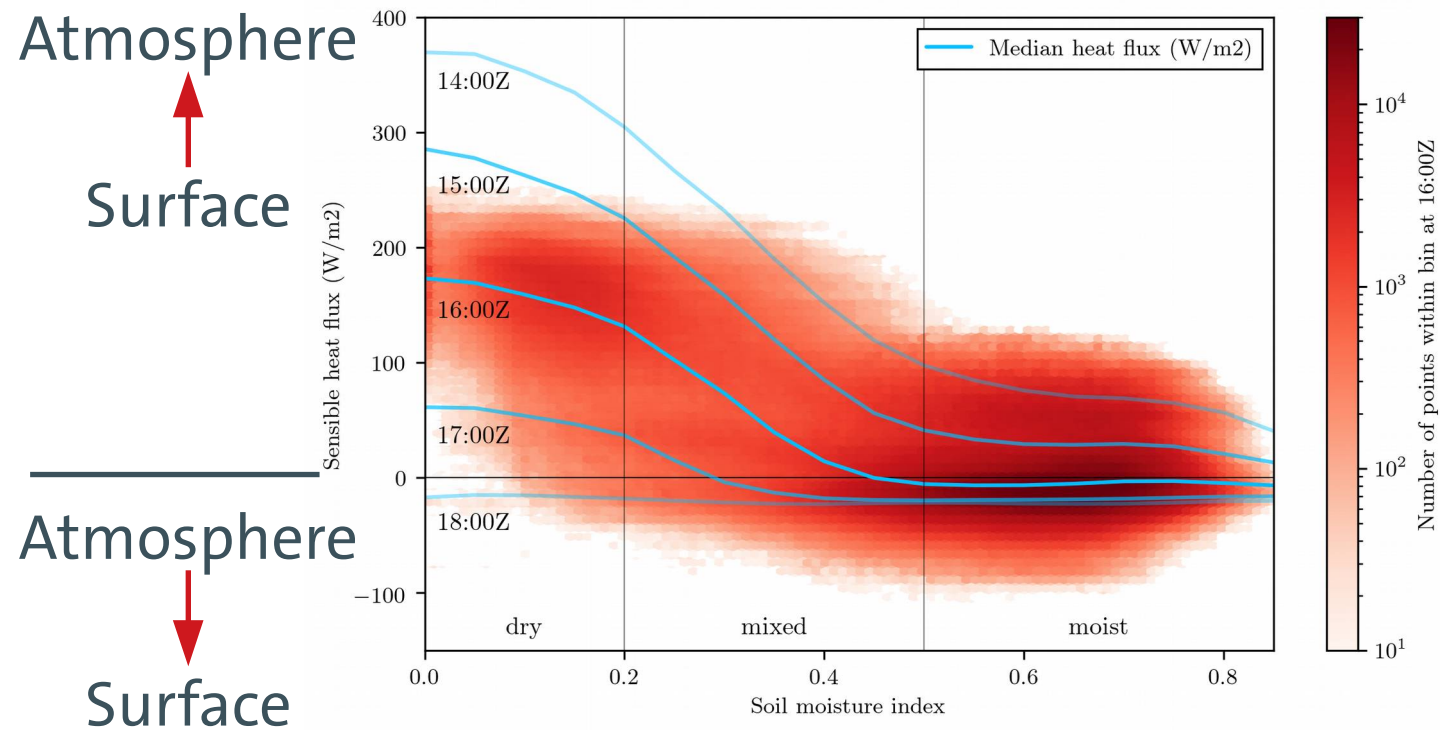
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# Covariance reinforcement mechanism caused by non-linear sensible heat flux

Time of inversion is soil moisture dependent



Cooler temperatures have earlier inversion

Stronger differences in temperature perts.

Increased covariance

Binned over the 7 days, all grid points and all ensemble members

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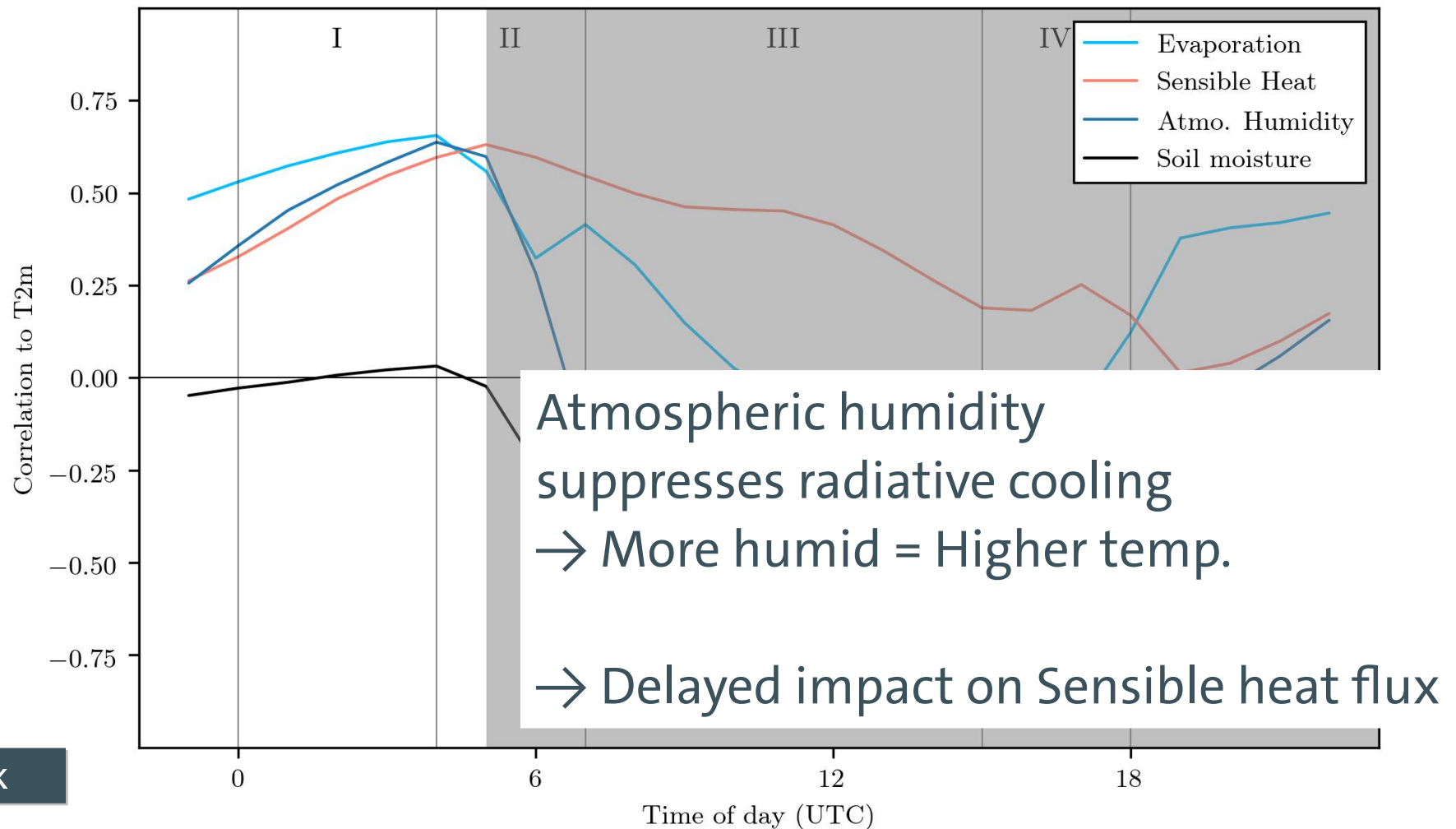
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# I – Positive coupling between T2m and soil moisture due to humidity

Diurnal cycle over the 7 days and all grid points



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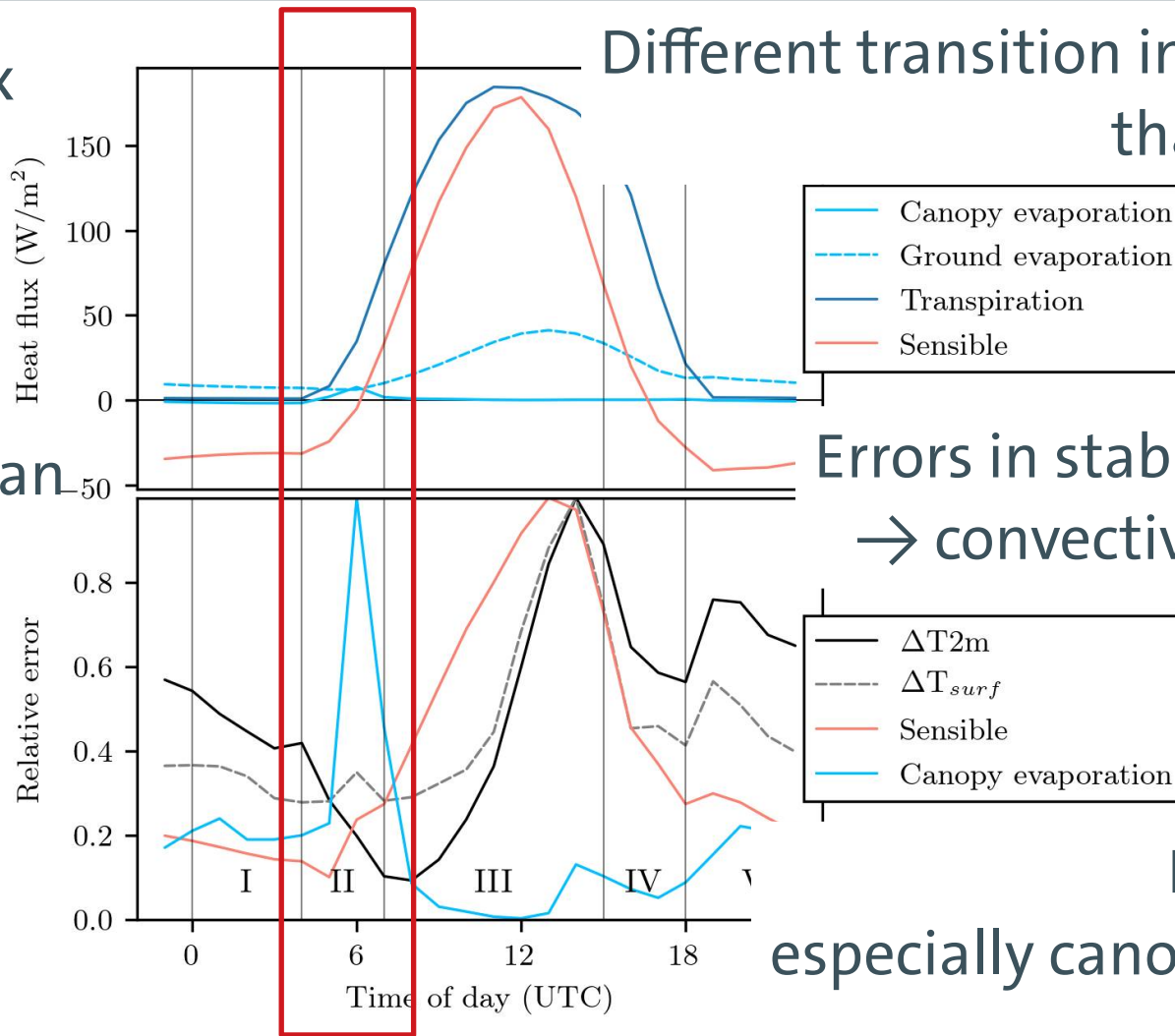
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# II – Negative impact due to morning transition and canopy evaporation

Mean heat flux



Different transition in ENS members than NATURE run

Errors in stable stratification → convective stratification

Errors in fluxes, especially canopy evaporation

Diurnal cycle over the 7 days and all grid points

RMSE of ENS mean to NATURE / maximum



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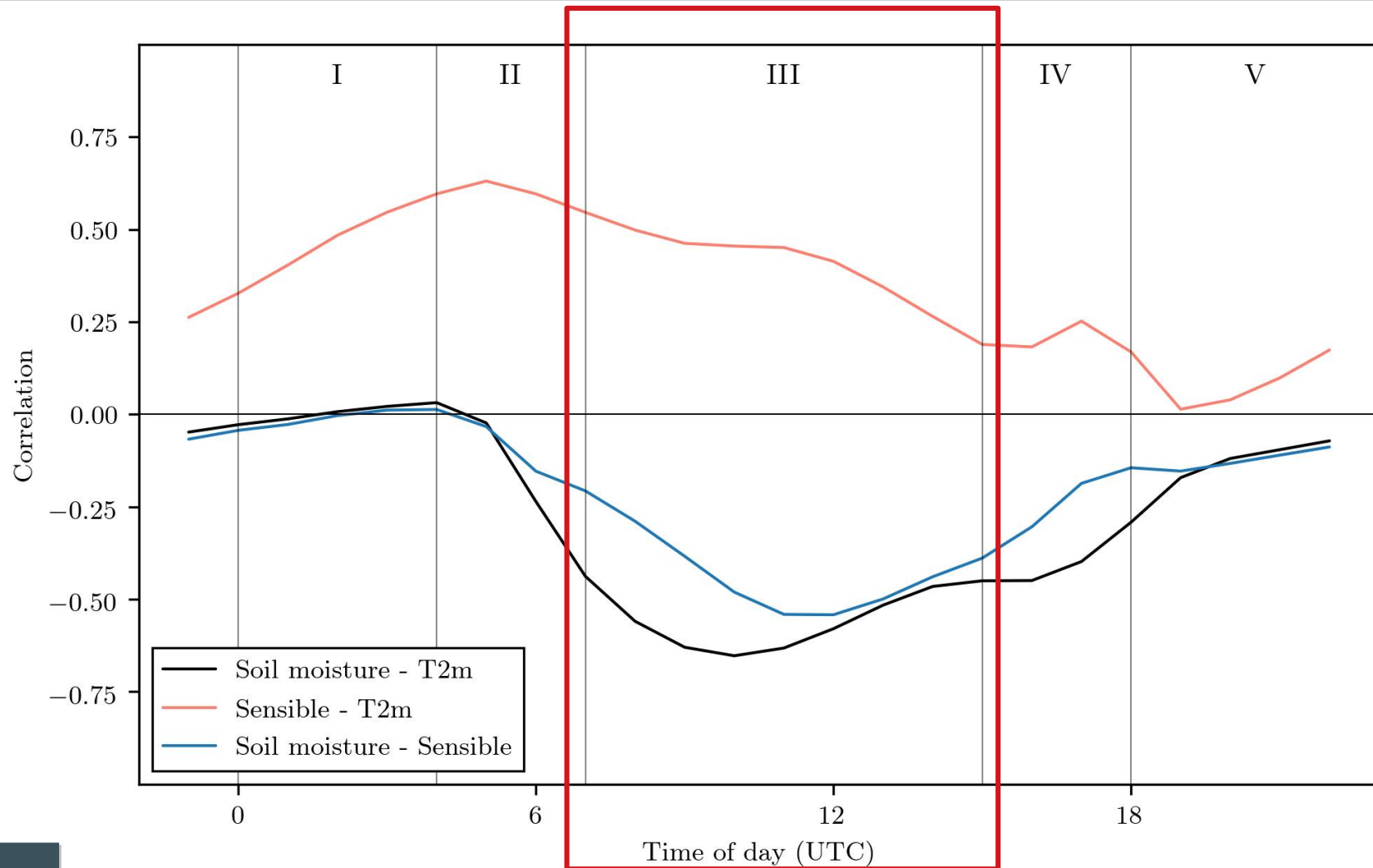
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# III – Positive impact caused by strong coupling via sensible heat flux



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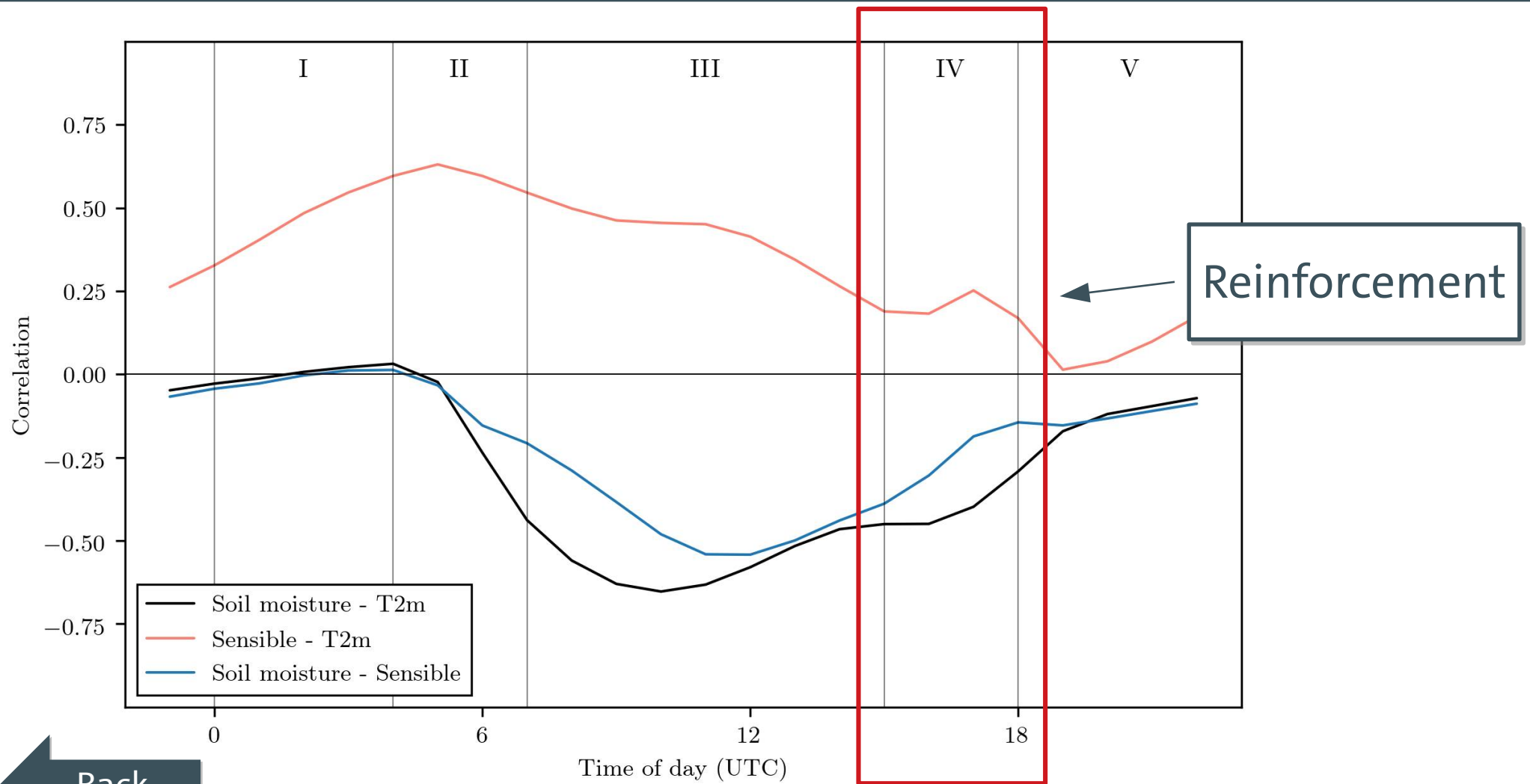
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# IV – Reinforcement mechanism increases correlation between T2m and soil moisture



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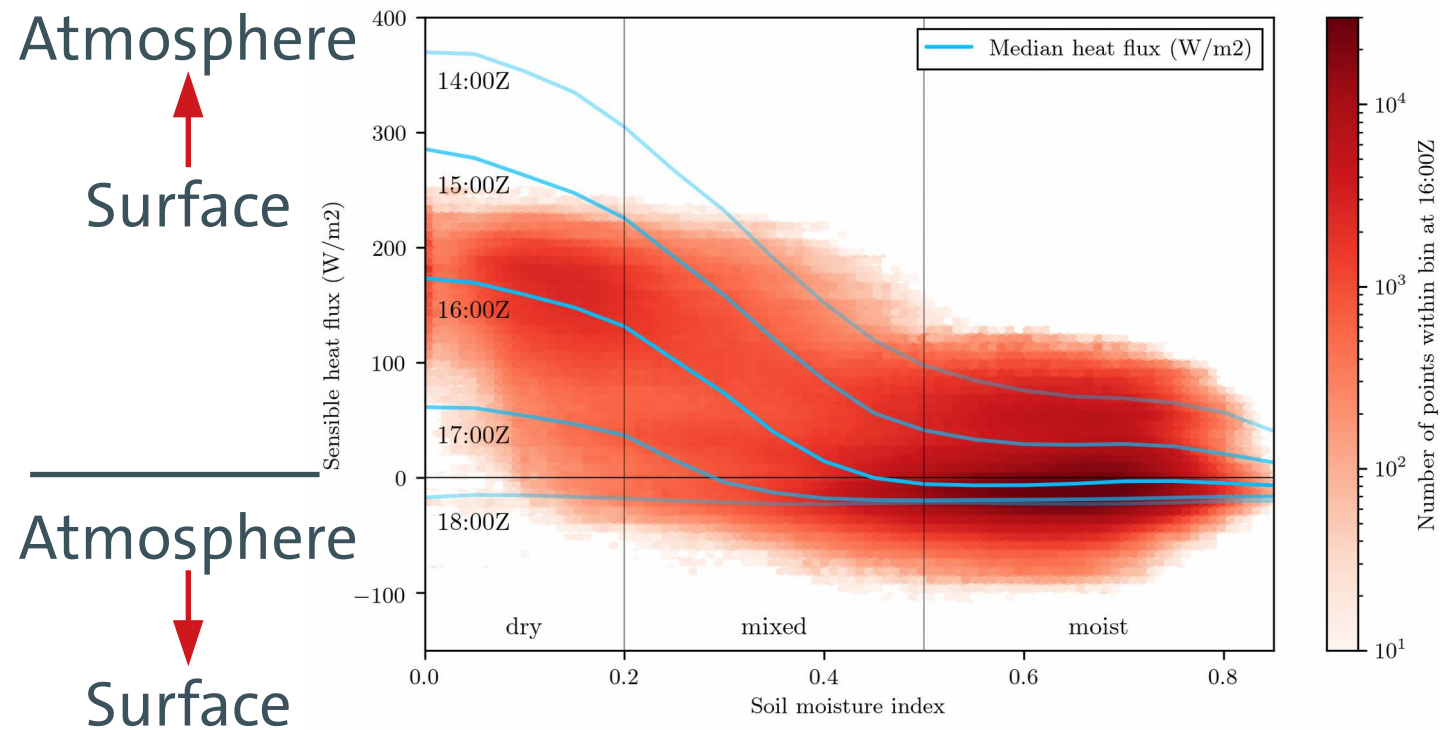
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# Covariance reinforcement mechanism caused by non-linear sensible heat flux

Time of inversion is soil moisture dependent



Cooler temperatures have earlier inversion

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Increased covariance

Binned over the 7 days, all grid points and all ensemble members

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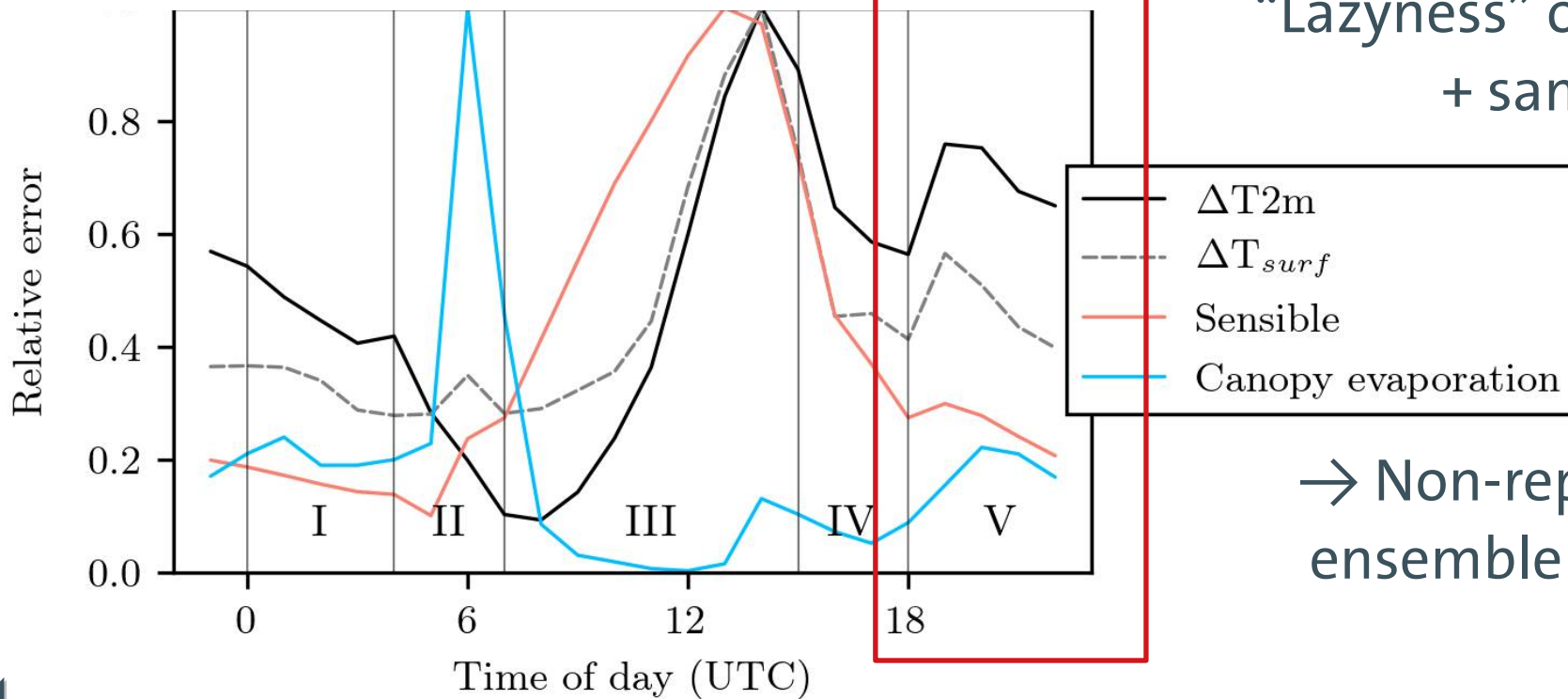
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# V – Negative impact due to nocturnal boundary layer transition

Transition from weakly-stratified to strongly-stratified boundary layer

“Lazyness” of ensemble + sampling error



→ Non-representative ensemble covariances

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Diurnal cycle over the 7 days and all grid points



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# Contact and publication

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Publication (to be submitted, including comparison to SEKF):

Finn, Tobias Sebastian, Gernot Geppert, and Felix Ament. “Towards strongly-coupled ensemble data assimilation of boundary-layer observations for the atmosphere-land interface”, to be submitted to “Hydrology and Earth System Science”, 2020.

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