



International Atomic Energy Agency

**Consistent data compilation and error
propagation for stable isotope data to
compile meaningful reference values and
uncertainties**

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Abstract

Nowadays stable isotope data need to be accompanied by meaningful uncertainty statements for their full utilization, whether to evaluate their isotopic composition as evidence for origin of samples, for observation and proper evaluation of small isotopic trends due to transient effects, or to their use as laboratory standards. The Guide of Expression of Uncertainty in Measurements (GUM) provides a general framework to perform the task to calculate data with combined standard uncertainties. However, combining several such measurement data in a proper way is not straightforward without consideration of the correlation matrix and mathematical complicated elaborations. An Excel based tool provides means for any laboratory to calculate individual data with their associated combined standard uncertainties, including all major sources of uncertainty like the repeatability and long-term reproducibility of measurements, the possible bias of quality controls, the assigned uncertainty of used reference materials and their measurement data scatter. The tool further allows to calculate and correct memory effects and drifts as occurring in measurements. Standardized correction means allow the merging of data from different instruments with varying performance. This provides ultimately the means to combine such data without compromising the validity of the calculated combined standard uncertainty of the average value. This constitutes the possibility to produce a meaningful reference value with associated combined standard uncertainty from heterogeneous data, e.g. for the purpose to characterize a laboratory reference material by use of independent methods. The tool (SiCalib) is available free of charge, is based on Excel macros as a standalone tool for measured rawdata files without the requirement of any particular database or other tool, and is still under further development. Its intention is complementary to available data management systems with a focus of proper uncertainty propagation.

M. Gröning, Jan 2020, for EGU-2020 session BG2.5: Quality of stable isotope data – Methods and tools for producing high quality data



Purpose of the talk

Documenting the quality of stable isotope data is needed including checking state of the art techniques to achieve quality.

Internal laboratory standards (ILS) for calibration and quality control are needed, as well as calculation of the uncertainty for their calibrated values.

A tool (SICalib) will be presented to calculate Combined Standard Uncertainties of measurements (following GUM principle “Guide to the Expression of Uncertainty in Measurements”)

A solution with SICalib tool will be presented for the non-trivial task to properly calculate the mean from several data with a proper uncertainty propagation

Outline of the talk

Four parts:

A – General principles for stable isotope analyses

B – Existing problems in data evaluations including the non-trivial task to properly calculate the mean from several data with a proper uncertainty propagation

C – A tool (SICalib) to apply corrections, calibration and GUM compliant uncertainty evaluation

D – Detailed explanation of correction tools applied and uncertainty calculations performed

A: General principles

Repetition of what we all know already...

Basics (A)

To assure the quality of data from stable isotope laboratories, general principles are applied:

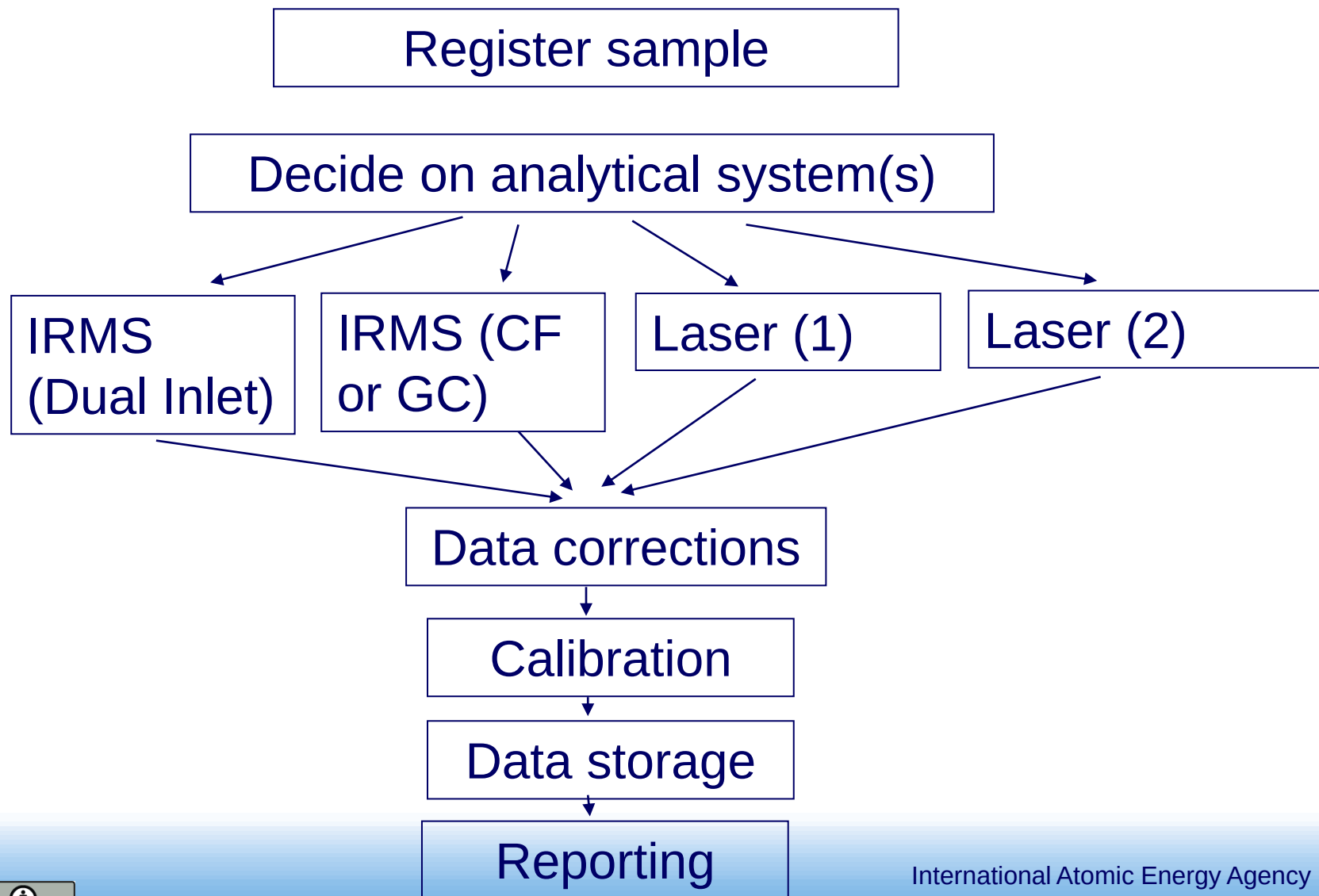
- a) Use of state of the art techniques for measurements
- b) Use of reference materials and internal laboratory standards for calibration and quality control
- c) Reporting of Combined Standard Uncertainties of measurements as qualifiers for measurement values
- d) Appropriate combination of all relevant uncertainty sources will establish combined standard uncertainty for a value (following GUM principles)
- e) Tool is needed to properly calculate mean values with useful associated uncertainty values

Calibration of measurement data (A)

Calibration of measurements is key to ensure comparability of data between laboratories

- a) Raw data as measured by instrument
- b) External influences and corrections – temperature fluctuations, variations in used amounts, background
- c) Memory effect correction
- d) Drift of isotope data with time and correction
- e) Actual calibration using ILS and
- f) Uncertainty evaluation

Sample flowchart at a Laboratory (A)



Basic Concepts (A)

After installation of a new analytical instrument:

1. Decide on internal laboratory standards (ILS), obtain and test them to ensure long term performance
2. Calibrate the ILS samples versus suitable reference materials (RMs) and monitor the data (QC, ILS)
3. Establish routine reporting of data and uncertainties with appropriate quality assurance and tests

In case of several available instruments:

4. Decide which one(s) to use and how to evaluate and combine data and how to calculate its uncertainty

B: Headaches for data evaluation

Some non trivial cases...

Author's experience and data needs (B)

- In the author's former lab at IAEA, five different methods existed to analyze hydrogen $\delta^2\text{H}$ and four methods for oxygen $\delta^{18}\text{O}$, all with different reproducibility and individual data correction requirements
- Individual instruments used different data algorithms
- New laser-systems were tested (which had yet no evaluation software at that time)
- All individual data were fed into a central database LabData
- However, for customers only one mean value per sample was to be reported

A new unified evaluation method was needed to take into account differences in method precision and accuracy

General data handling problems I (B)

- Due to large differences in performance of instruments and number of data produced, in view of different data evaluation algorithms no straightforward method for data compilation was available
- An application of a similar data correction for all instruments was tested with positive result
- All individual instrument data were calibrated and relevant uncertainty components added into a combined standard uncertainty for each analysis
- The combination of all these data using a weighted mean approach was used (however the uncertainties of individual measurements were still highly correlated) and the combined uncertainty was still questionable

General data handling problems II (B)

- The evaluated combined standard uncertainty for each measurement was split into two components: the statistically independent “type A”-component (from individual measurements), and the fully correlated systematic “type B”-component (e.g. assigned unc. of calibration standards from certificate, exactly the same for all measurements)
- Now every user can evaluate all measurements, combine all individual data in a weighted means approach according to their type A uncertainties, and in a final step adds to the weighted mean uncertainty once the type B uncertainty which is the same for all measurements to create a combined standard uncertainty for the weighted mean

General data handling problems III (B)

- This last step has established a combined standard uncertainty for the weighted mean of all data
- This is (in case of use of the same calibration standards for all instruments) equivalent to using the correlation matrix as stated in GUM
- This is strictly applicable only in fully correlated condition (all measurements are done with calibration against the same reference materials with same assigned uncertainties)
- With this approach the calibration of internal laboratory standards is possible with a proper uncertainty statement, which then fulfills the requirements of data traceability back to the delta-scale definition

C: How to automate the process

SI-Calib as evaluation tool, especially for calibration needs of references ...

SICalib as Calibration tool (C)

- Development of an Excel based tool (to facilitate use in a very IT-regulated environment) to evaluate $\delta^2\text{H}$ and $\delta^{18}\text{O}$ data for five different methods used interchangeably in one lab for input to a common database
- Use common features for all instruments: raw-data-corr; memory-corr; drift-corr; calibration and uncertainty evaluation
- Increase user-acceptance by use of Excel (and VBA-macros)
- Use for new laser-systems (which had no available evaluation software at that time in 2006)
- H and O isotope names are hardcoded in program, however use for other elements possible

SICalib – Basic Concepts I (C)

- SICalib: semi-automated calibration of any stable isotope measurements (H & O) using a common set of equations
- Database LabData can directly import the final data from the evaluation file. Individual data ValueID is stored back in the Excel file to ensure data consistency.
- Later re-calibrations are possible, re-import with ValueID updates properly the information stored in LabData.

SICalib – Basic Concepts II (C)

- SICalib supported the raw data formats of all instrumentation available at the IAEA laboratory:
 1. IsoDat and IsoDatNT (Finnigan Delta+, DI-IRMS, water equilib with H₂, CO₂)
 2. ASCII File format (Finnigan MAT250, DI-IRMS, Zn-reduction)
 3. MassLynx (GVI Isoprime, CF-IRMS, pyrolysis)
 4. LGR laser isotope ratio data
 5. Picarro laser isotope ratio data
 6. Generic Excel format (import of any raw data format)

Further import formats could be added with moderate effort (write macro-import routine)

SICalib – Basic Concepts III (C)

Requirements for use of SICalib:

- Necessity for use of two different calibration standards, analysed at least twice per analytical run (for drift correction)
- Knowledge on reference values of ILS standards (to be stored once in SICalib)
- Knowledge on typical reproducibility for used analytical method (at least its estimation)
- For initial memory correction: apply the same number of injections for each sample is a requirement for computation

SICalib – Status (C)

Published version 2.14 (2011): Gröning, M.: Improved water $\delta^2\text{H}$ and $\delta^{18}\text{O}$ calibration and calculation of measurement uncertainty using a simple software tool. Rapid Comm Mass Spec 2011, 25, 2711-2720 doi: 10.1002/rcm.5074

Available version 2.16f (2016) download at IAEA Reference Products website (expected again from June 2020 onwards):

<https://nucleus.iaea.org/sites/ReferenceMaterials/SitePages/Home.aspx>

Most recent version 2.16k (2020): available from author (M.Groening@iaea.org)

In development: new version 2.20 (major revision supporting major isotope systems H C N O S; import script for conceptually most data files; versatile user-lab adjustment)

SI-Calib for sample calibration (C)

Procedure in steps:

- Select the analytical system
- Import the raw data file
- Eventual corrections to raw data for external effects are possible later e.g. amount effects, temperature changes
- Memory correction (simple automatic algorithm)
- Drift correction
- Calibration using two standards
- Calculation of combined standard uncertainties

SICalib Main Screen 2.16k (2020) (C)

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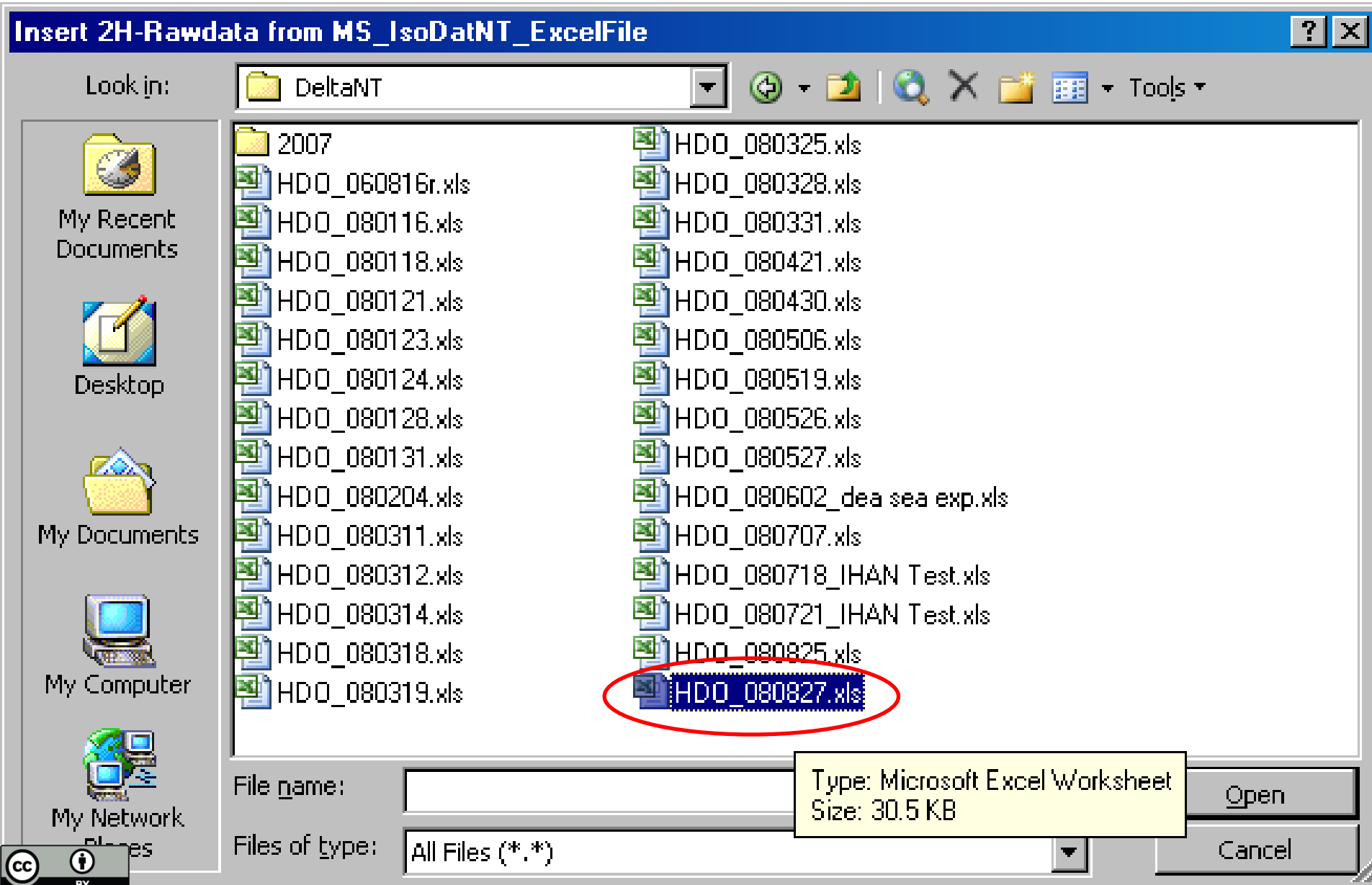
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1	SICalib Version 2.16k Manfred Gröning, 2020-04-16														
2	Calibration Data of used Standards (status as of 2017-08) Measurement standard reproducibilities (status as of 2017-08)														
3	Selected Calibration Procedure:		Name delta2H u(d2H) delta18O u(d18O)				Instrument delta2H-re delta18O-reproducibility								
4			VSMOW 0 0 0 0				DELTA+ 0.7 0.05								
5			SLAP -428 0 -55.50 0				MAT251 0.7 not meas.								
6	Delta+: H&O IsoDatNT		GISP -189.5 0.3 -24.78 0.02				GVI 1.5 0.25								
7							LGR 0.0000003 0.0000004								
8	Delta+: H		VSMOW2 0 0.3 0 0.02				LGR 0.7 0.20								
9			SLAP2 -427.5 0.3 -55.50 0.02				PICARRO 0.7 0.10								
10	Delta+: O		GRESF -257.8 0.4 -33.39 0.04				GENERIC see in Gen see in GenericImportFile								
11			IAEA-604 799.9 0.4 -5.86 0.04												
12	LGR: H&O LGR-csv		IAEA-607 802.4 0.4 99.02 0.13												
13															
14	MAT250: H						Individual File Names:								
15							Memory: SIMemory.xls								
16	IsoPrime: H Telsi1 SIR1 -1.1 0.3 0.38 0.03						LabCodes: SSForSI.xls								
17			Telsi2 SIR2 -43.0 0.3 -5.30 0.03												
18	IsoPrime: O		SIR3 -69.0 0.3 -9.73 0.03												
19			SIR4 -81.4 0.3 -11.73 0.03				Parameters:								
20	Generic: H&O		SIR5 -84.2 0.3 -11.93 0.03				DriftTimeSpanLimit [%] 20								
21			Telsi3 SIR6 -73.5 0.3 -10.07 0.03				KindOfDriftCorrection: linearDrift								
22	Picarro: H&O		Telsi4 SIR7 -113.6 0.3 -15.38 0.03				MemoryMode: singleF								
23			SIR8 -180.0 0.5 -23.55 0.05				AutoCalib: TRUE								
24			Southpole SIR9 -399.0 0.4 -50.99 0.04				UsingLabData No								
25			Vostok SIR10 -440.9 0.4 -56.87 0.04				LGRNameColumn Sample_name								
26							PicarroNameColumn Identifier1								
27	Tools:						UsingLIMS No								
28			d2H&d13C NBS22 -116.9 0.80 -30.03 0.05				CalibrationUncertainty PartDeriv								
29	CheckRawFiles		d2H&d13C IAEA-CH-7 -100.3 2.00 -32.2 0.05				UseQC-Bias_forUnc no								
30			d2H&d13C IAEA-CH-3 -24.72 0.04												
31	CleanupCell-		d2H&d13C IAEA-CH-6 -10.47 0.13												
32			d13C&15N USGS40 -26.39 0.04 -4.52 0.06												
33			d13C&18C NBS19 1.95 0.02 28.65 0.02												
34			d13C&18C LSVEC -46.6 0.30 3.69 0.30												
35							Individual Instrument Name: DELTAPLUS1								
							LabData Proc delta2H-Proc del 14								



Import the raw data file (C)



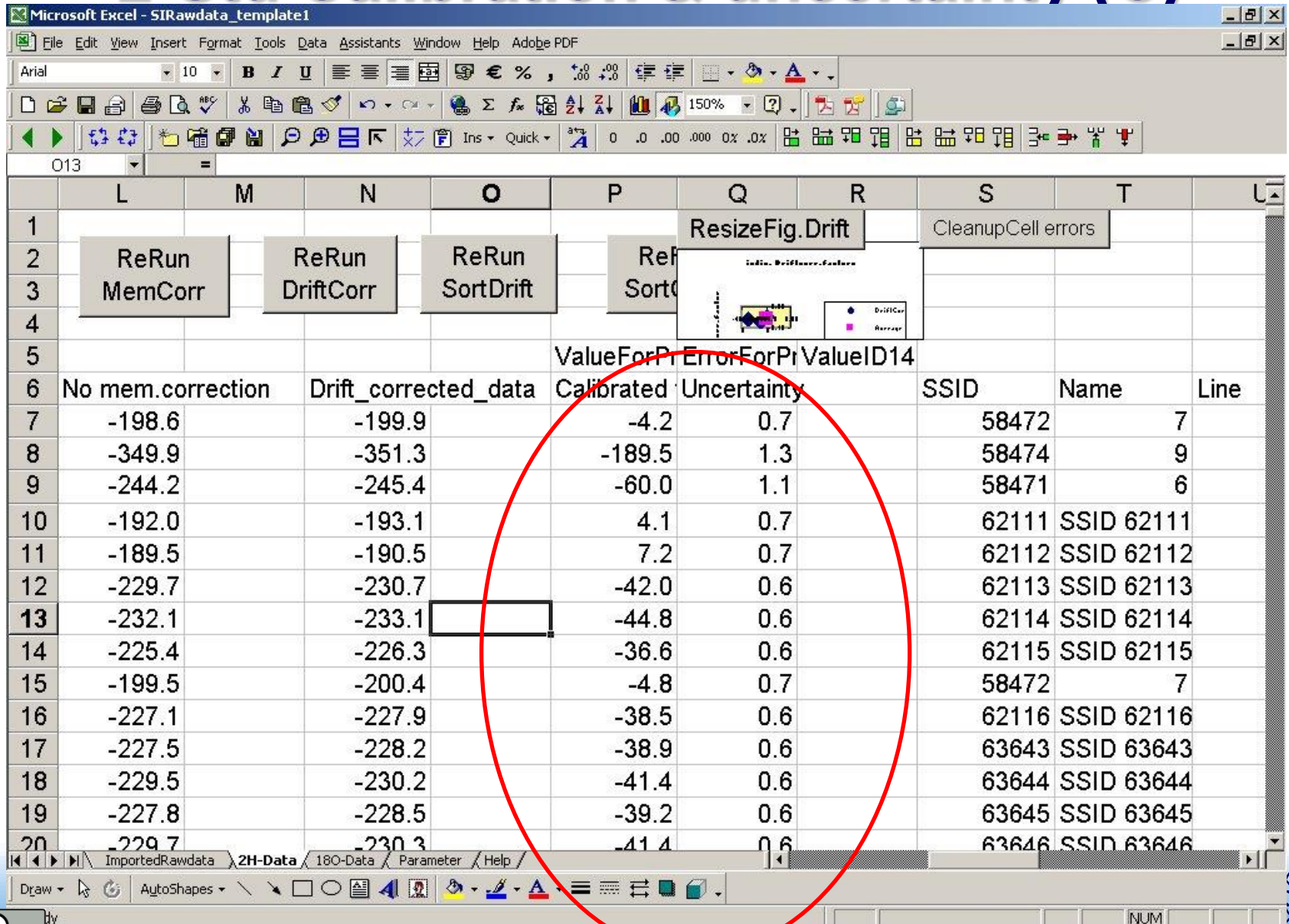
Memory correction (C)

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V	W	X	Y	Z	AA	AB	AC	AD	AE	
1	CalibrationParameters									
2	LS1:	LS2:								
3	std7	std9	std6							Sample
4	300.1	62.5	226.5							Mean:
5	0.9	1.8	1.5							Stddev
6	-4.1	-189.1	-61.1							
7	1.2	0.9	0.3							
8		1.283983								
9	\$AG\$43	\$AH\$43	\$AF\$43							
10	MemoryCorrectionFactor date			DriftCorrectionParameters			Slope: linearDrift			
11	0.05	used value		used:	-0.0128	0.0000	-0.0049	Update		
12					ConstSlop	Slope(_Slope)	Slope(_Inte	DriftPara		
13	0.055833				-0.0128	0.0000	-0.0049			
14	0.017553				Samples_t	MaxNo.MultAna	TotalCount	TotalSum:		
15					77	32	392	77420		
16	x 3.363766	std6_std7		SampleNa	Slope	Intercept	Count	SumNo		
17	0.047	std7_std9		std6	-0.0133	229.09	32	6000		
18	0.042	std9_66476		std7	-0.0175	303.59	32	6128		
19	x .1330396	66476_67368		std9	-0.0077	63.68	32	6256		
20	x-.225714	67368_67375		66476	no(<7)-1.028811	15747223	4			
21	x-6.318395	67375_67564		67368	no(<7)-.904072263646958		4			
22	x-2.480655	67564_67655		67375	no(<7) 9.16413855047722		4			
23	x-1.074804	67655_66388		67564	no(<7) 1.09002954401731		4			

Drift correction (C)

[illegible]

2-Std Calibration & uncertainty (C)



Combined std uncertainty & type A and B (C)

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The combined standard uncertainty of each sample is listed together with its components of type A and B; it allows calculation of combined uncertainty for mean of different samples (e.g. for mean of all listed GISP2 samples)

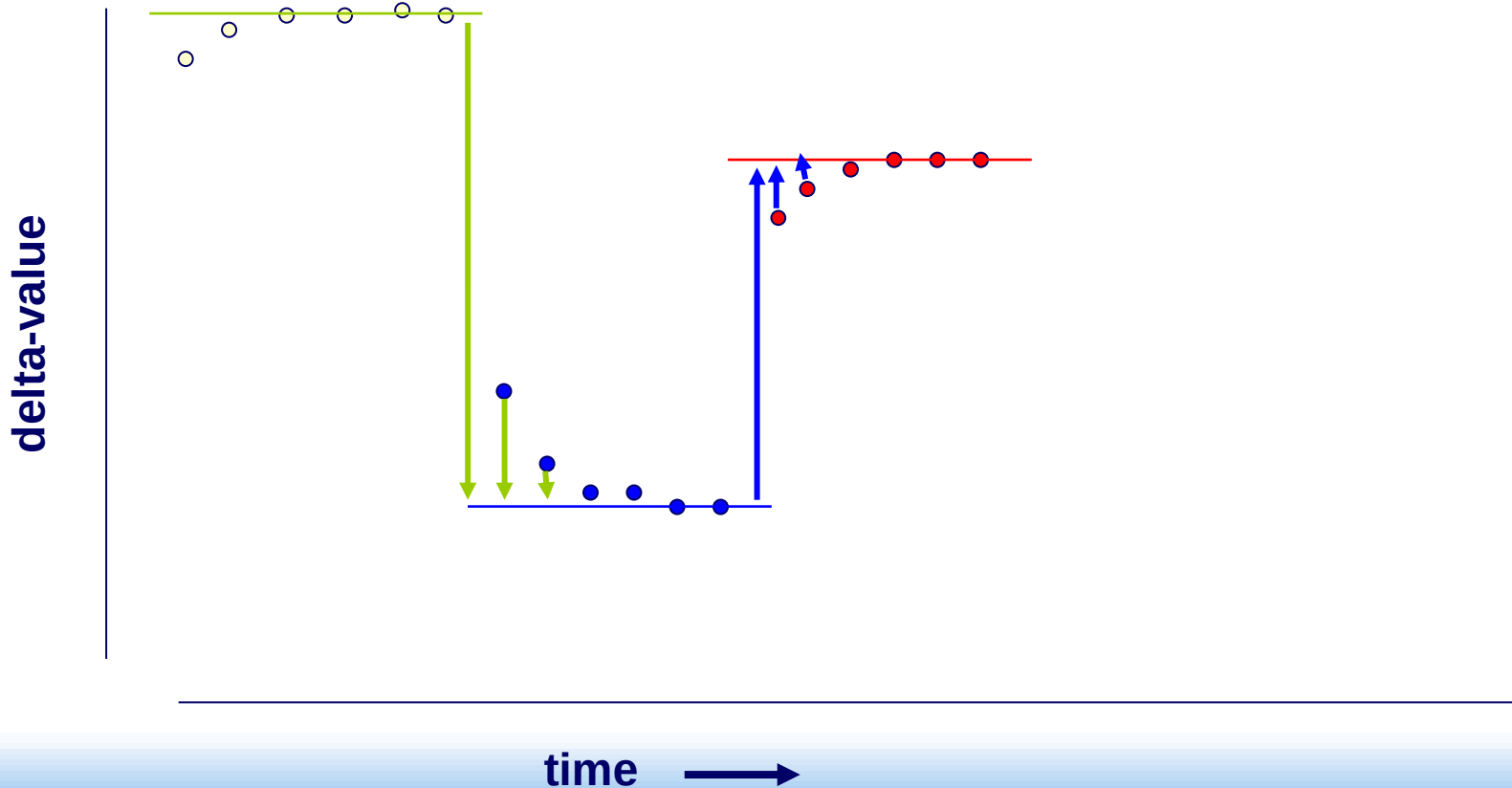


D: Concepts for corrections

Here finally some details on correction methods as applied in SICalib ...

Memory – Calculation (D)

Relative offset of isotopic value of injection i from true difference to previous sample



Necessary minimum number of injections (D)

		Injection		1	2	3	4	5	6	7	8	9	10	11	12
Picarro	delta2H	Memory (%)		6.1	2.8	1.6	1.0	0.7	0.6	0.5	0.4	0.3	0.3	0.2	0.2
LGR	delta2H	Memory (%)		6.9	1.7	0.8	0.5	0.4	0.3	0.2	0.2	0.1	0.1	0.1	0.0
Sample-Sample difference:															
Picarro	bias for	400	(‰)	24.2	11.3	6.2	4.1	3.0	2.3	1.9	1.6	1.3	1.1	0.9	0.7
	bias for	200	(‰)	12.1	5.6	3.1	2.0	1.5	1.2	0.9	0.8	0.6	0.5	0.4	0.4
	bias for	100	(‰)	6.1	2.8	1.6	1.0	0.7	0.6	0.5	0.4	0.3	0.3	0.2	0.2
LGR	bias for	400	(‰)	27.8	6.9	3.2	2.1	1.5	1.1	0.8	0.6	0.5	0.3	0.3	0.2
	bias for	200	(‰)	13.9	3.4	1.6	1.1	0.8	0.6	0.4	0.3	0.2	0.2	0.1	0.1
	bias for	100	(‰)	6.9	1.7	0.8	0.5	0.4	0.3	0.2	0.2	0.1	0.1	0.1	0.0

Result for 6 injections: Remaining $\delta^2\text{H}$ bias of 1-3 per mill

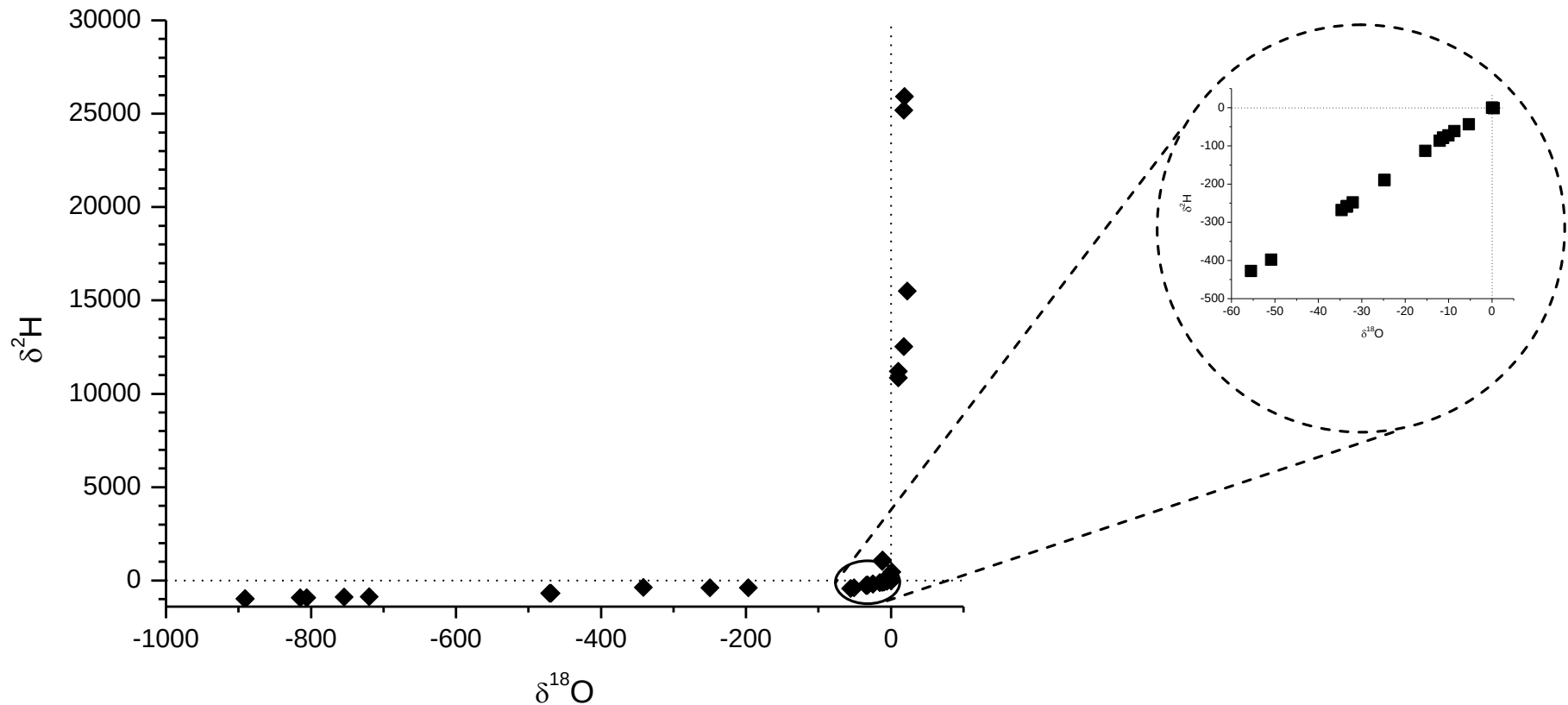
- even when first three injections are rejected.

Solution: more injections or memory correction of all results.

Deletion of first 3 injections does not solve the problem !!!

Memory – Isotopic range of samples (D)

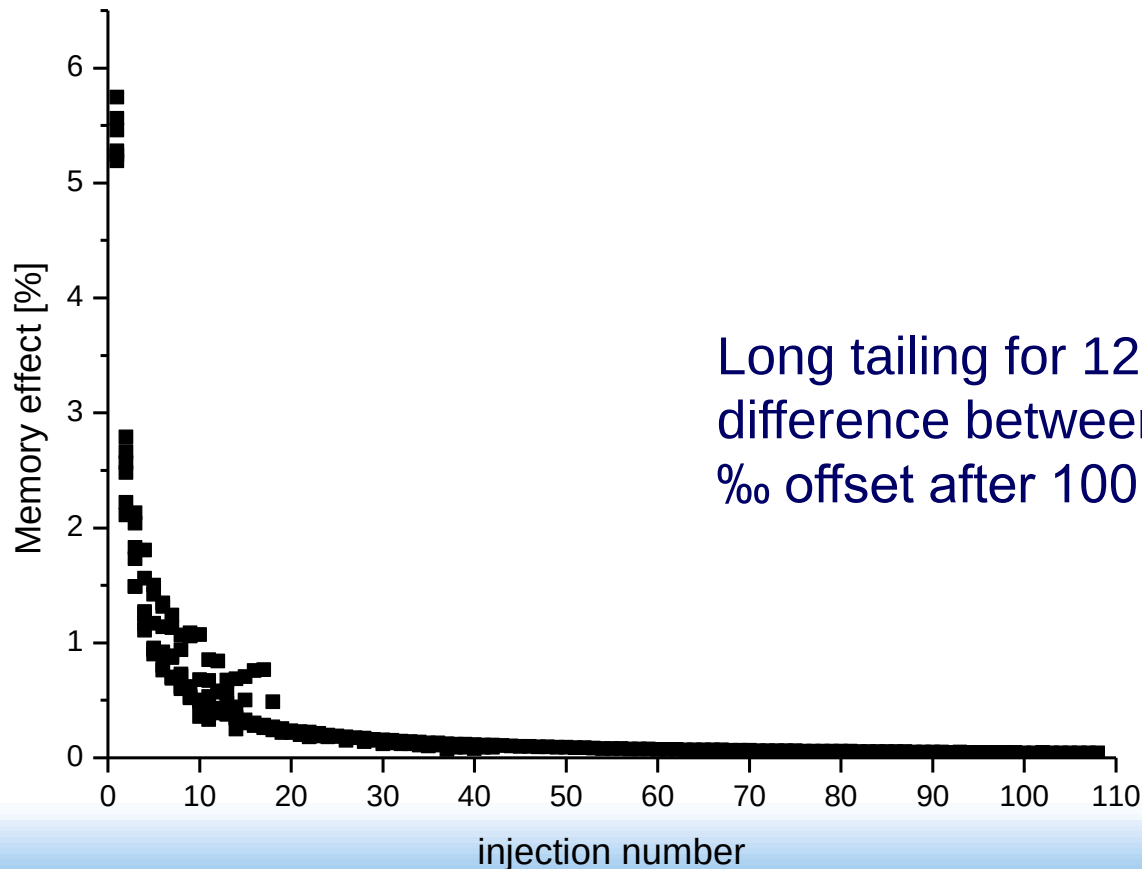
Large (!) isotopic ranges studied to fully assess memory effects in routine use



Memory: consistent corrections (D)

Same relative memory correction factor for given injection for all δ -ranges regardless of span and isotopic direction

Memory for $\delta^2\text{H}$ measurements



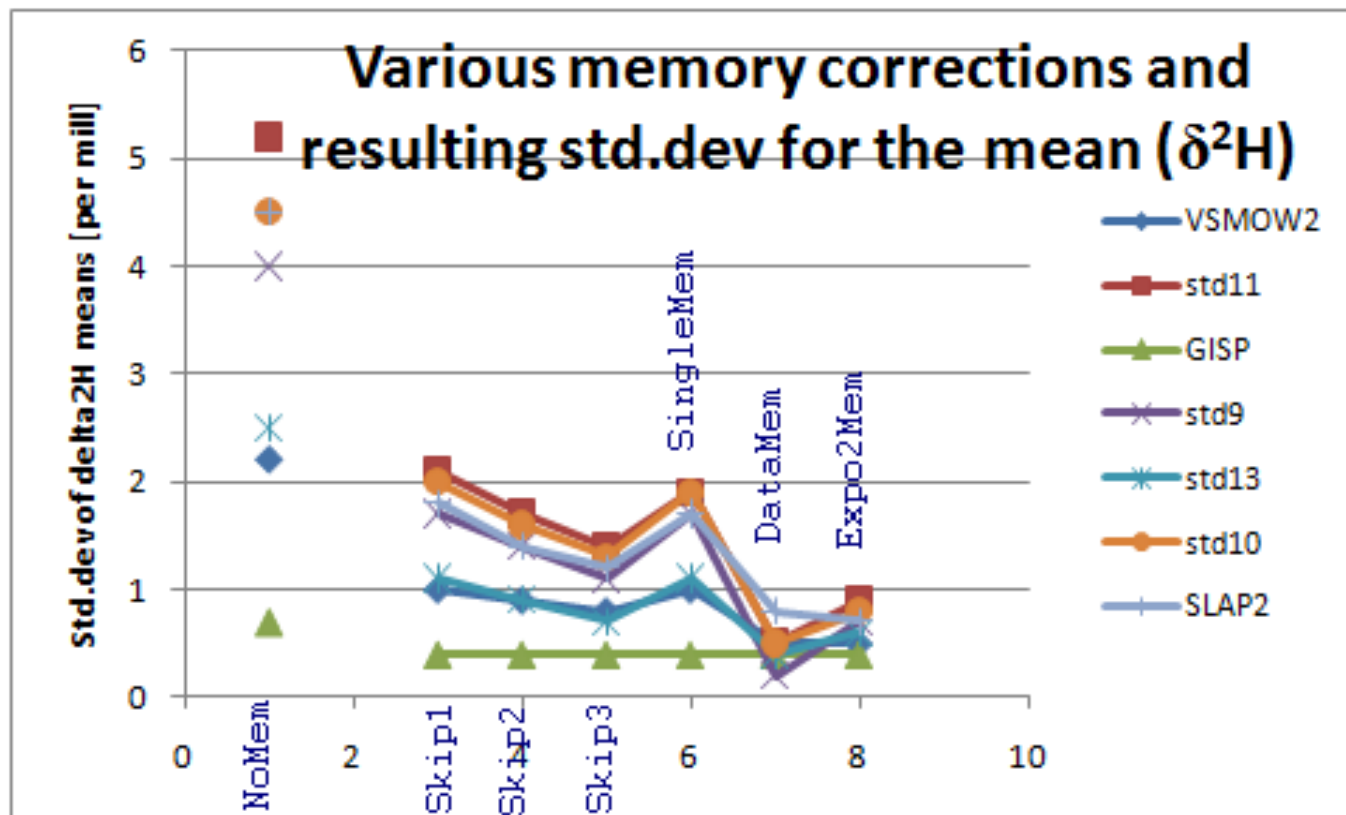
Long tailing for 12000 ‰ $\delta^2\text{H}$ isotopic difference between samples (still 6 ‰ offset after 100 injections)

Memory correction methods (D)

Several alternatives exist for correction/approximation of memory effects of repeated measurements:

1. Power x^{-n} : memory decreases fast with certain fixed percentage per step n : e.g. 10%, 1 %, 0.1%
2. Expo2 $c \times e^{-ax} + (1-c) \times e^{-bx}$: memory decreases according to two coupled exponential terms (two memory reservoirs with different relaxation times; $c=0$ is normal exponential function)
3. Data Fitting: Numerical fitting to measured data
4. 3Res: interaction of three reservoirs (variable size & exchange & isotopic composition) with each sample

Comparing memory corrections (D)



Y-Axis: Resulting std.dev for different samples after Mem.corr.
 Horizontal numbers: different memory corrections applied:
 1-no correction; 3-skipFirst; 4-skipTwo; 5-skipThree;
 6-SingleMemory; 7-DataMemory; 8-TwoExpoFunctions

Memory approach: Power (D)

Power x^{-n} :

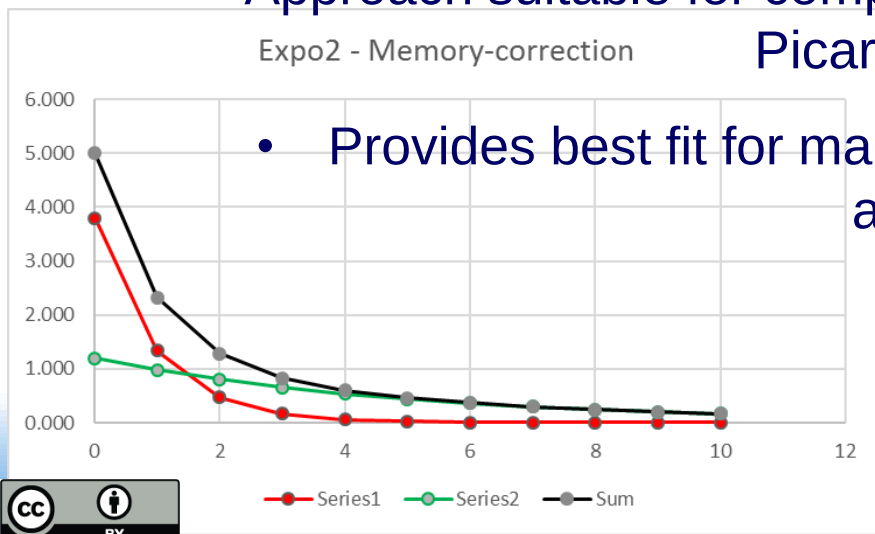
- calculate one correction factor f for first injection
- Method implies that memory decreases with same factor all the time. E.g. when $f=0.2$ (20%), next step is $f*f=0.04$ (4%), then $f*f*f=0.008$ (0.8%)
- Due to contribution from earlier injections, effective f has to be corrected accordingly
- Approach suitable for single reservoir memory effects, like for LGR or HT-EA

Memory approach: Expo2 (D)

Expo2 bi-exponential correction:

$$x = x_0 \times (c \times \exp(-a \times i) + (1-c) \times \exp(-b \times i))$$

- Input of three parameters is needed: a and b for the decrease of the two exponential functions and c as fraction.
 - Setting $c=0$ converts to normal exponential equation.
- Method allows to fit behaviour of two memory reservoirs (red and green, resulting in blue memory curve).
- Approach suitable for complex reservoir memory effects, like for Picarro laser system



Memory approach: Data fitting (D)

1. Data fitting according to measured data:

- In principle the most appropriate method as it really fits the real effects
- However, due to random fluctuations in analyses, it often suffers from higher degree of variations and is therefore not very satisfactory for minor corrections of long series of injections

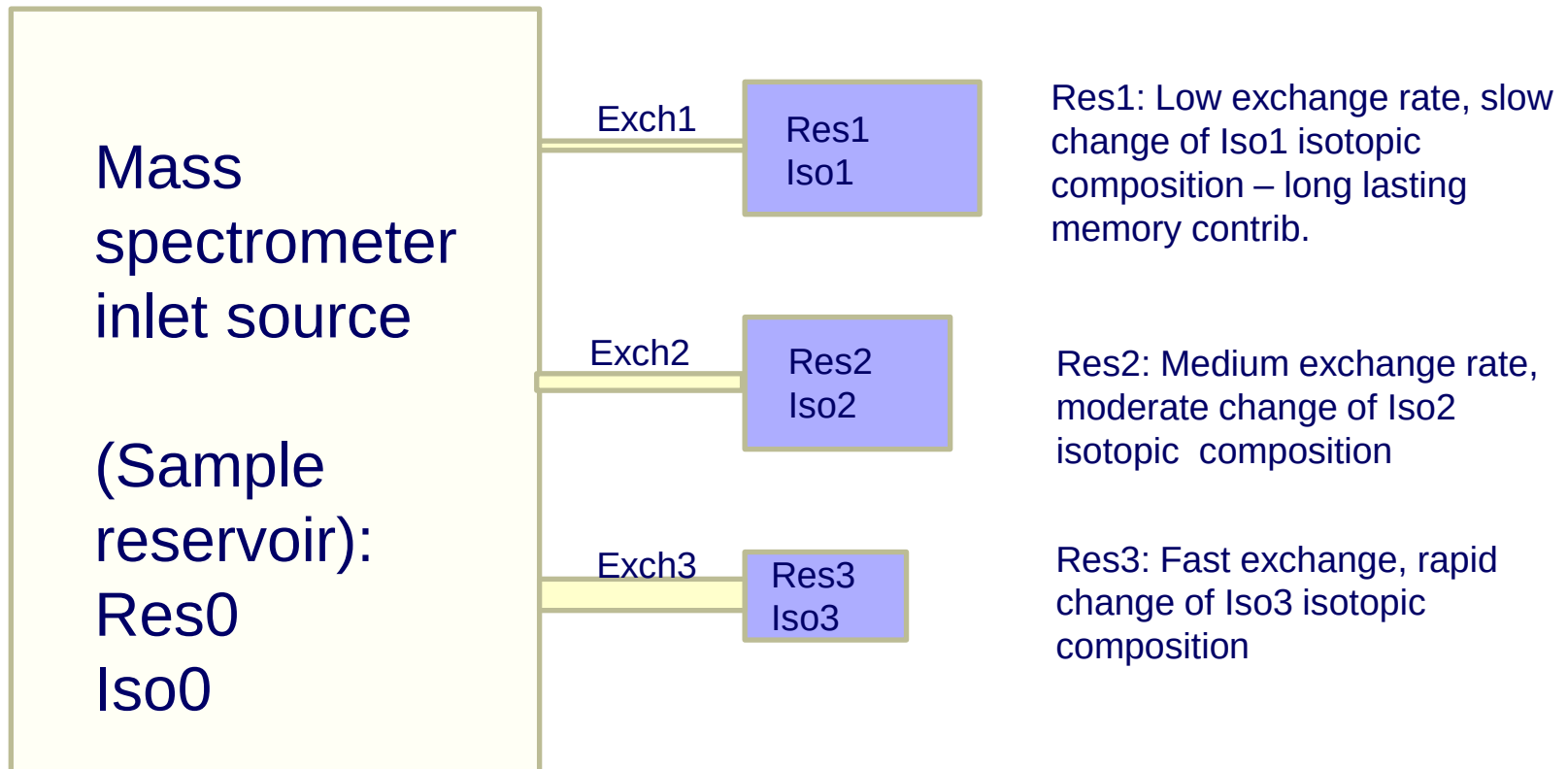
Memory approach: 3Res (D)

Three isotopically exchanging reservoirs:

- Input of six parameters: three reservoir sizes and three respective exchange rates with sample gas resulting in gradual isotopic change of reservoirs.
 - Approach suitable for complex reservoir memory effects in enrichment studies, simulating long persisting memory effects.
- Evaluation by fitting and minimizing only one evaluation parameter (like least square)

Memory approach: 3Res (D)

Principle of Exchange between Sample and three Reservoirs (different reservoir sizes and exchange rates) – continuous change of isotopic compositions with time in sample and in all reservoirs



Drift correction I (D)

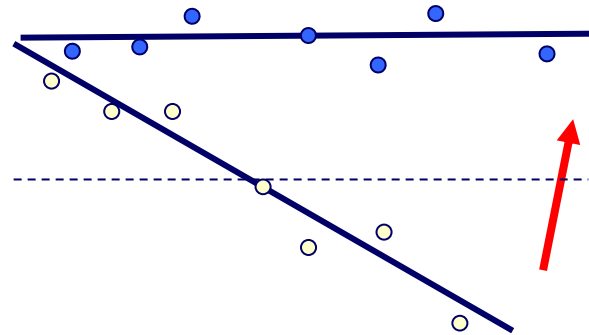
- Time drift – uses actual measurement time or individual measurement number taken as proxy for time.
- Calculated for each sample which is measured more than once over a reasonable time span.
 - All data are averaged and therefore improve reliability.
- Drift correction factor can be chosen to be constant for all samples or to be dependent on the isotopic composition of samples (e.g. for H^3 effects).

Drift correction II (D)

- Drift corrections are adjusted for same time of measurements (equals a time median for equidistant analyses). This improves data consistency.

$$\delta^2\text{H}_{\text{drift}} = \delta^2\text{H}_{\text{memory}} - \left(\text{Position} - \frac{\text{TotalSum}}{\text{TotalCount}} \right) \times (\text{SlopeOfSlope} \times \delta^2\text{H}_{\text{memory}} + \text{InterceptOfSlope})$$

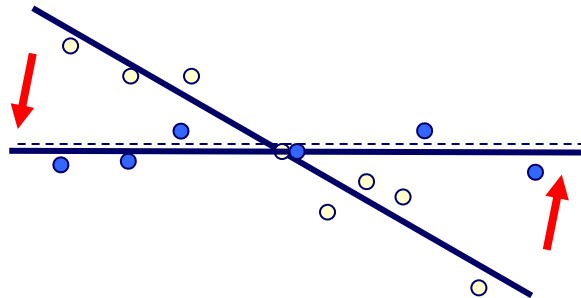
Drift correction III (D)



Drift corrected mean

Incorrect !!!

Mean



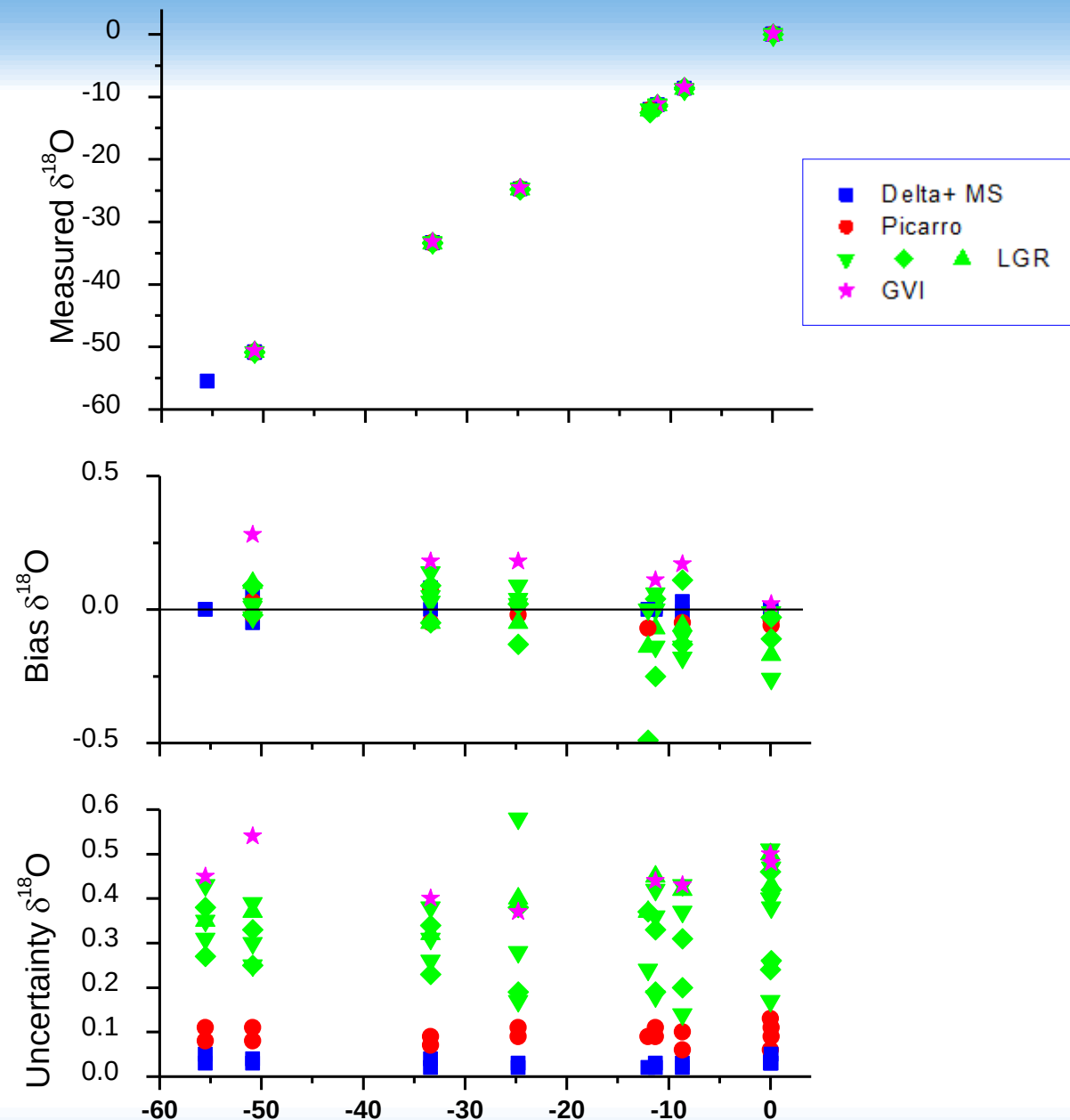
Correct

Mean & Weighted mean

For further details of the drift correction and used formula see

Manual of SICalib

Uncertainty and bias for $\delta^{18}\text{O}$ measurements (D)



Stable isotope measurements and their associated uncertainty (D)

Main uncertainty components for measurement (e.g. water):

	$\delta^{18}\text{O}$	$\delta^2\text{H}$
Sample measurement repeatability:	$\pm 0.01 \text{ ‰}$	$\pm 0.1 \text{ ‰}$
Sample measurement reproducibility:	$\pm 0.048 \text{ ‰}$	$\pm 0.76 \text{ ‰}$
Daily lab standard measurement:	$\pm 0.03 \text{ ‰}$	$\pm 0.6 \text{ ‰}$
Daily control sample measurement:	$\pm 0.018 \text{ ‰}$	$\pm 0.3 \text{ ‰}$
Bias offset (via QC sample)	$\pm \text{ ?? ‰}$	$\pm \text{ ?? ‰}$

Uncertainty of internat. standards:	$\pm 0.02 \text{ ‰}$	$\pm 0.3 \text{ ‰}$
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Combined standard uncertainty:	0.07 ‰	1.2 ‰
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Uncertainty evaluation (D)

- Calibration formula f:

$$\delta^2\text{H}_{\text{sample}} = \delta^2\text{H}_{\text{cal1}} + \left(\delta_{\text{W}}^2\text{H}_{\text{sample}} - \delta_{\text{W}}^2\text{H}_{\text{cal1}} \right) \cdot \left(\delta^2\text{H}_{\text{cal2}} - \delta^2\text{H}_{\text{cal1}} \right) / \left(\delta_{\text{W}}^2\text{H}_{\text{cal2}} - \delta_{\text{W}}^2\text{H}_{\text{cal1}} \right)$$

- Uncertainty components can be assessed according to the general law of uncertainty propagation
- Partial derivatives of the calibration formula
- Sensitivity factors
- GUM Workbench program as tool to facilitate calculations
- Components: $\delta^2\text{H}_{\text{cal1}}$, $\delta^2\text{H}_{\text{cal2}}$, $\delta^2\text{H}_{\text{cal1-W}}$, $\delta^2\text{H}_{\text{cal2-W}}$, $\delta^2\text{H}_{\text{sample-W}}$

Combined Uncertainty (D)

Combined uncertainty of formula f:

$$u(\delta_{\text{sample}}) = \sqrt{\left(\frac{\partial f}{\partial \delta_{\text{cal1}}}\right)^2 \cdot u(\delta_{\text{cal1}})^2 + \left(\frac{\partial f}{\partial \delta_{\text{cal2}}}\right)^2 \cdot u(\delta_{\text{cal2}})^2 + \left(\frac{\partial f}{\partial \delta_{\text{w cal1}}}\right)^2 \cdot u(\delta_{\text{w cal1}})^2 + \left(\frac{\partial f}{\partial \delta_{\text{w cal2}}}\right)^2 \cdot u(\delta_{\text{w cal2}})^2 + \left(\frac{\partial f}{\partial \delta_{\text{w sample}}}\right)^2 \cdot u(\delta_{\text{w sample}})^2}$$

For further details on the uncertainty evaluation algorithm see

Manual of SICalib

Proxy for unknown sample uncertainty (D)

Proxy needs to represent the standard deviation for a hypothetical repeated determination for each sample. It can be approximated in different manner and various approaches exist. One useful proxy (in my opinion) is the long term reproducibility of analyses:

- Long term evaluation of QC data
- Repetition of a representative fraction of samples at different days and evaluation of range in terms of standard deviation

Comparison of both methods at IHL in 2004 resulted in identical results:

$\pm 0.046\text{‰}$ for QC data (n=77)

$\pm 0.047\text{‰}$ for duplicate measurements (n=596)

Last calculation (D)

Reproducibility and repeatability:

$$u(\delta_{w \text{ sample}}) = \sqrt{(\text{reproducibility})^2 + (\text{repeatability})^2}$$

Now the resulting sample uncertainty can be used for evaluation of the last uncertainty component.

Then the combined uncertainty of the sample $u(\delta_{\text{sample}})$ can be calculated using the combined uncertainty formula.

Information / Downloads

- Further information can be obtained from the author:

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M.Groening@iaea.org