

# Greening and Browning in Response to the Effects of Rising CO<sub>2</sub>

*Driver Attribution Using Causal Theory*

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May 5, 2020

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# What is driving the observed changes in natural vegetation?

- Human dominated land surface is greening due to **land management** (Chen et al., 2019).
- **Rising CO<sub>2</sub>** as driver of changes in natural vegetation is **debated**:
  - **CO<sub>2</sub> fertilization** explain 70% of the global greening trend (Zhu et al., 2016).
  - However, **no significant increase** in leaf area under elevated CO<sub>2</sub> in field studies (e.g. Norby et al. 2003).
- A **rigorous regional attribution at biome-level** of what is behind the observed changes is currently lacking.

## Our approach:

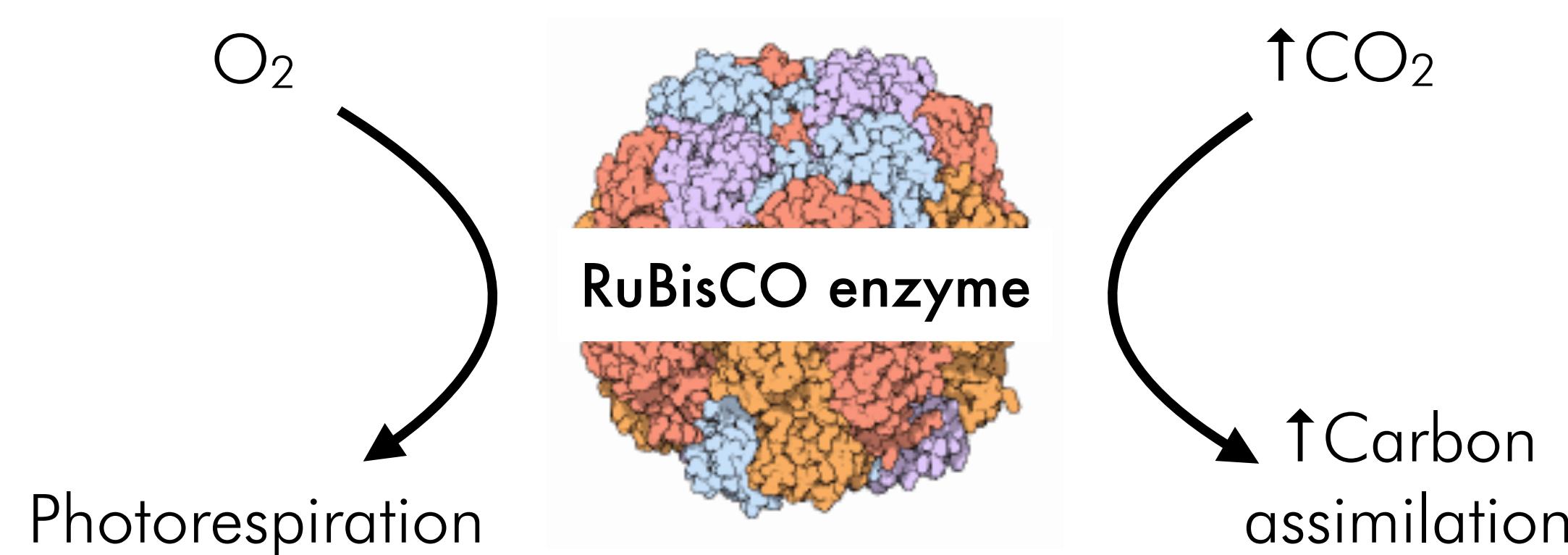
- Analyze multi-decadal satellite observations of natural vegetation
- Disentangle the effects of CO<sub>2</sub> in idealized model simulations
- Apply Causal Theory for driver attribution on biome scale\*

\* here only tropical forests and northern ecosystems are shown

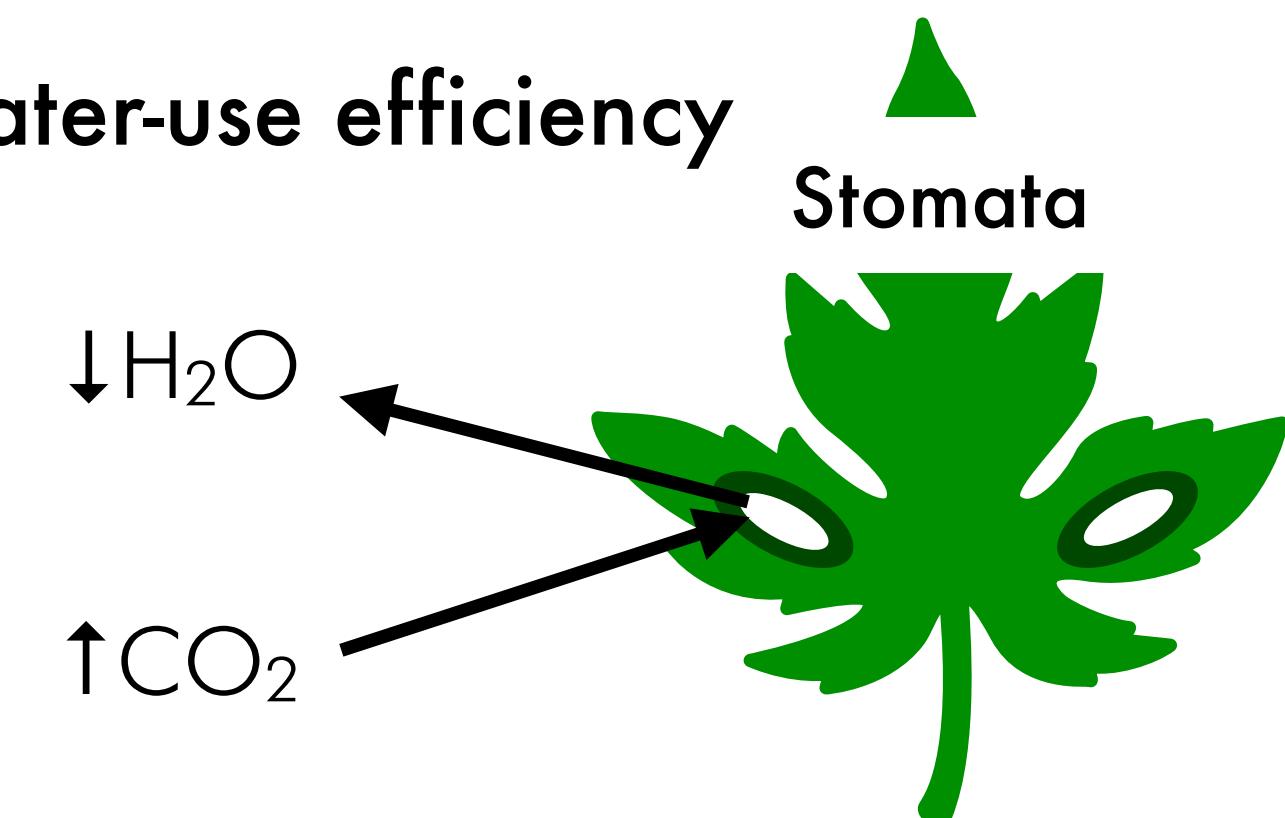
# How Rising CO<sub>2</sub> Affects Plant Productivity

Physiological effect (PE): CO<sub>2</sub> fertilization

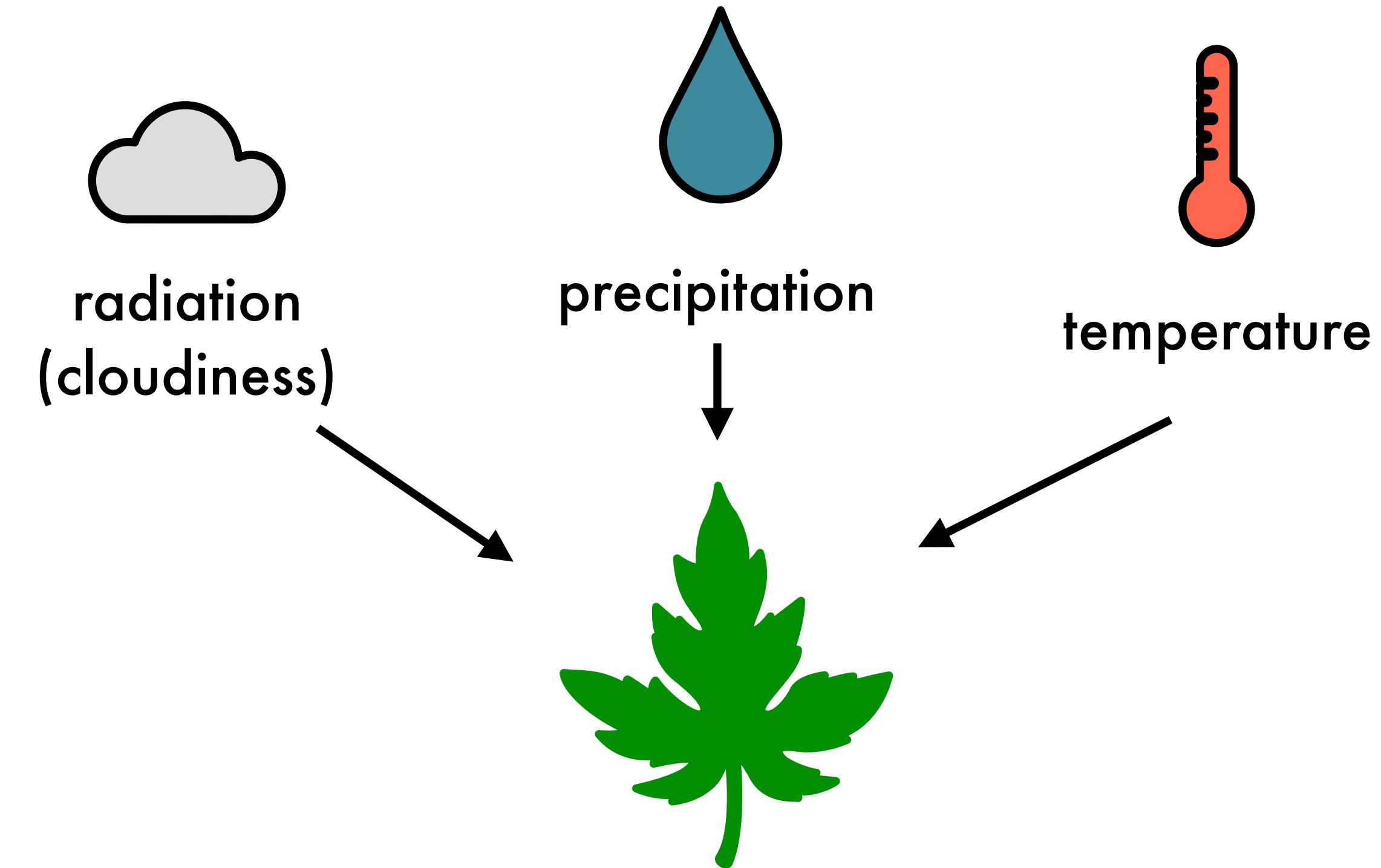
## 1. Stimulation of carbon fixation



## 2. Enhancement of water-use efficiency



Radiative effect (RE): Climatic changes



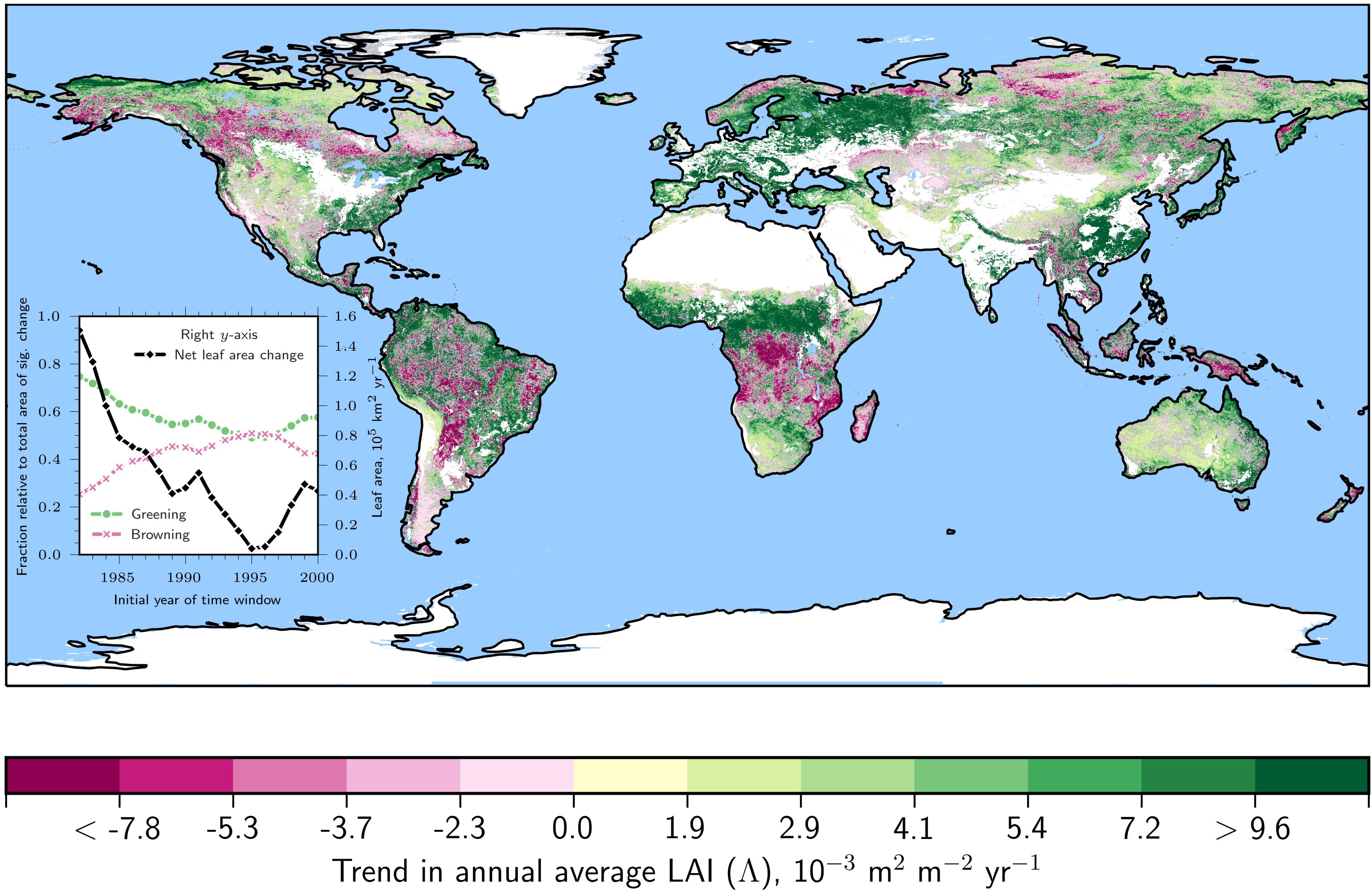
Plant productivity can be affected either way:

- ↑ Increase due to e.g. warming or increased rainfall
- ↓ Decline due to e.g. drying or disturbances

# Observed Patterns of Greening and Browning

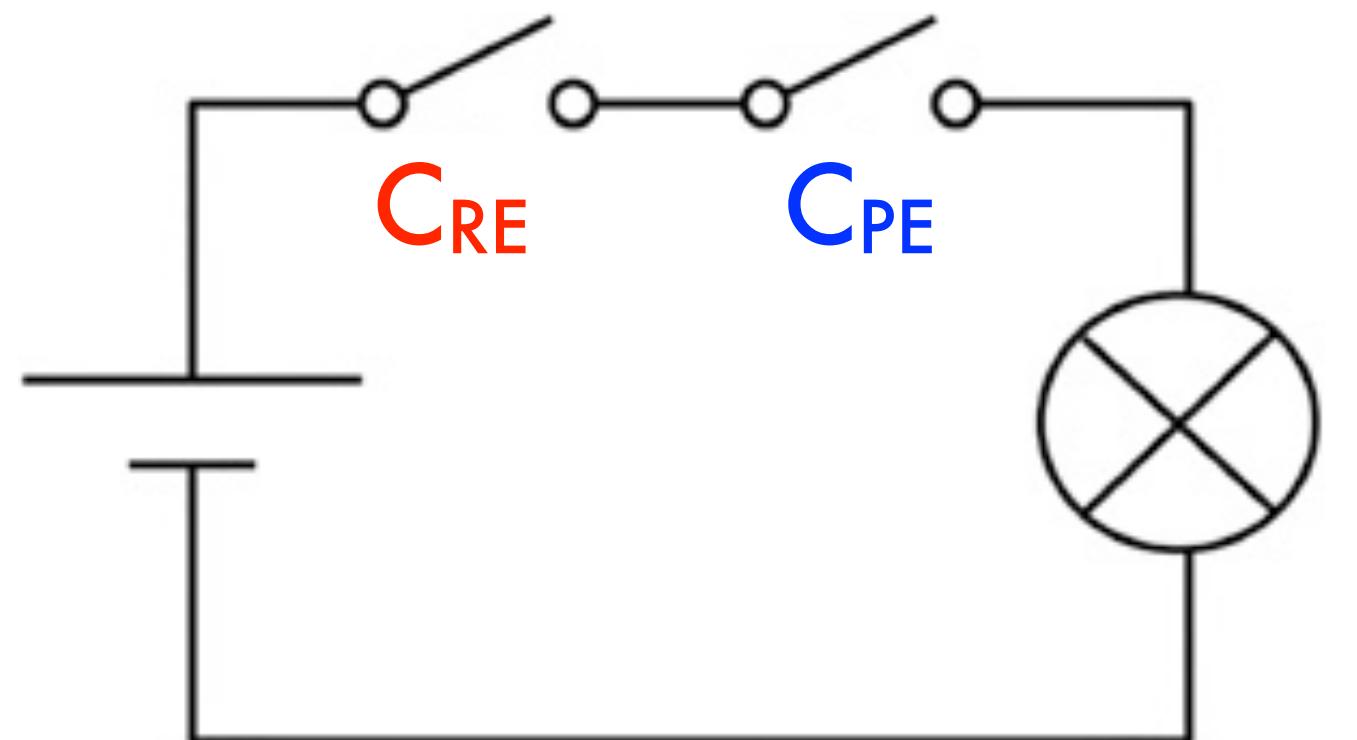
AVHRR LAI3g

- CO<sub>2</sub> increased by **65 ppm** throughout the satellite era (1982 to 2017).
- Satellite observations of **leaf area index** (LAI, leaf area per unit ground area).
- **54%** of Earth's natural vegetation exhibit significant\* trends:
  - Greening: **40%**
  - Browning: **14%**

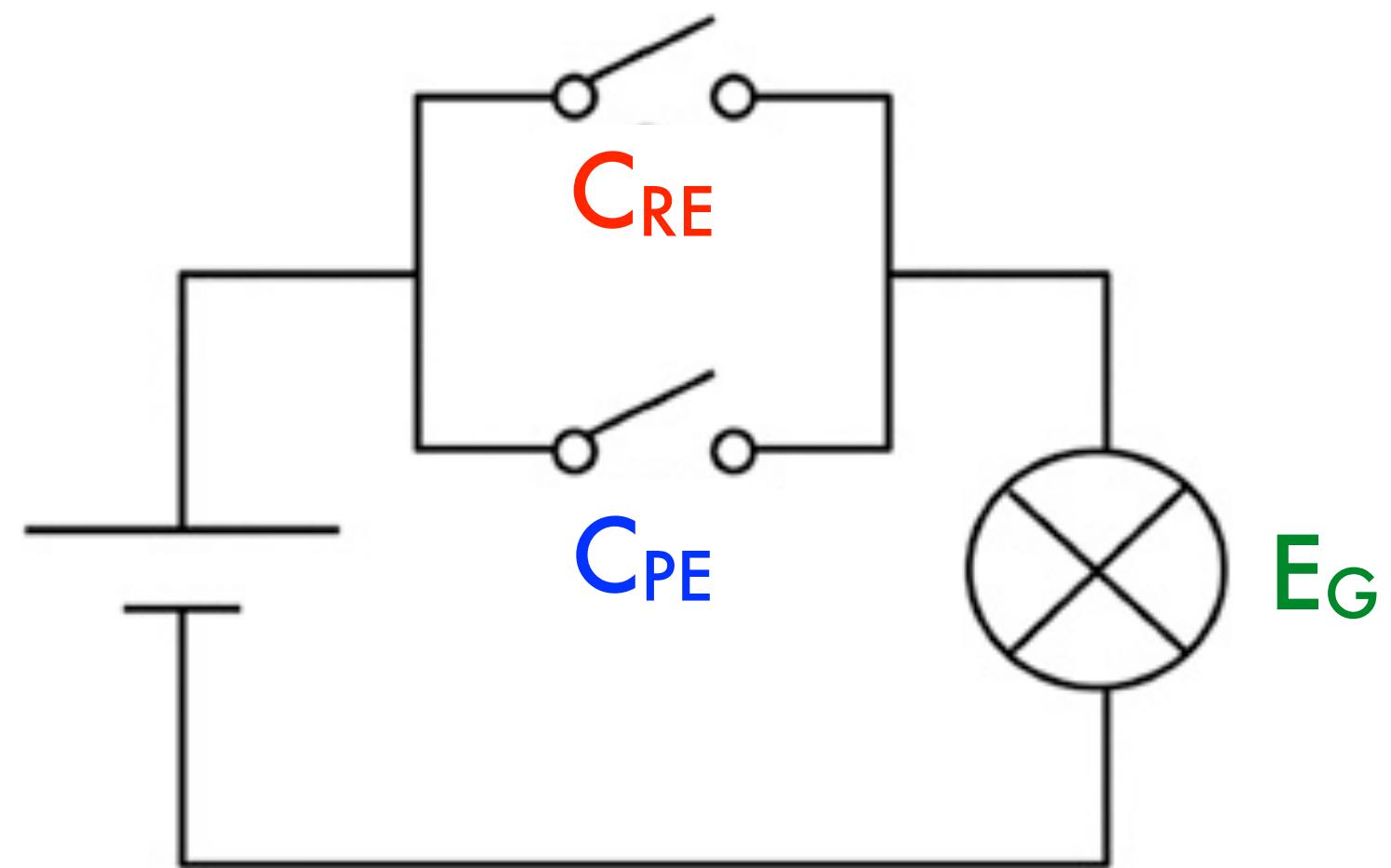


\* Mann-Kendall test ( $p < 0.1$ )

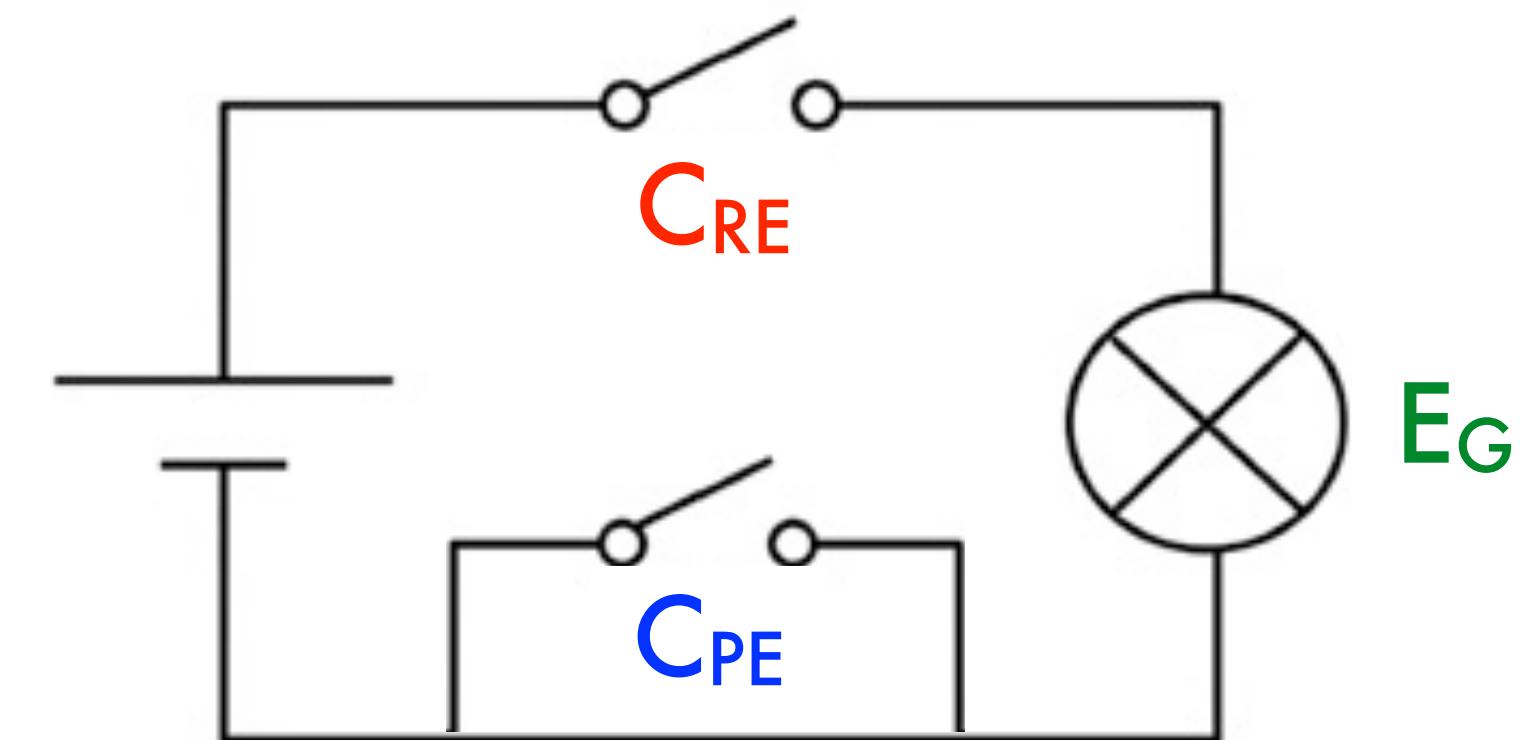
# Driver Attribution Using Causal Theory



Probability of  
**Necessary** Causation



Probability of  
**Sufficient** Causation



Probability of **Necessary**  
and **Sufficient** Causation

What is the **probability** that a given **forcing** has caused a long-term **change** in the system?

flip switch      light bulb

Event **E**: occurrence of long-term change in the system, e.g. greening ( $E_G$ )

Cause **C**: presence of given forcing, e.g. radiative ( $C_{RE}$ ) or physiological ( $C_{PE}$ ) effect of CO<sub>2</sub>

**PNS**  
High **PNS** reflects  
evidence for the existence  
of a causal relationship.

# Model Simulations

## Overview of experiments:

1. Control run at **preindustrial** conditions
2. Historical simulation with **all forcings**

All historical forcings except the

3. **physiological effect of CO<sub>2</sub>** (No PE)
4. **radiative effect of CO<sub>2</sub>** (No RE)
5. combined **effect of CO<sub>2</sub>** (No CO<sub>2</sub>)

## Single Model Ensemble: MPI-ESM1.2

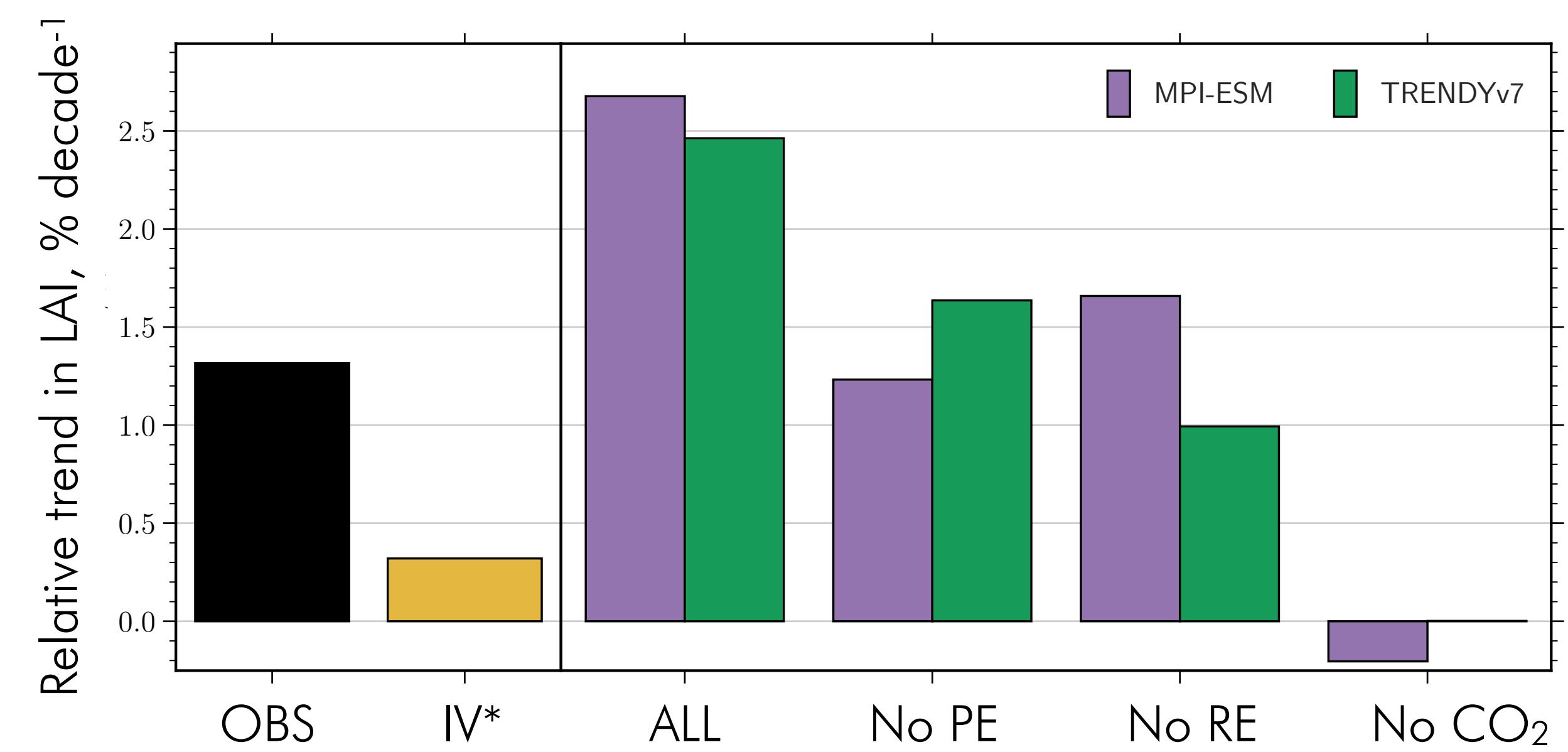
- Fully-coupled Earth system model
- Historical simulations 1850-2013
- Ensemble of 6 realizations

## Multi-Model Ensemble: TRENDYv7

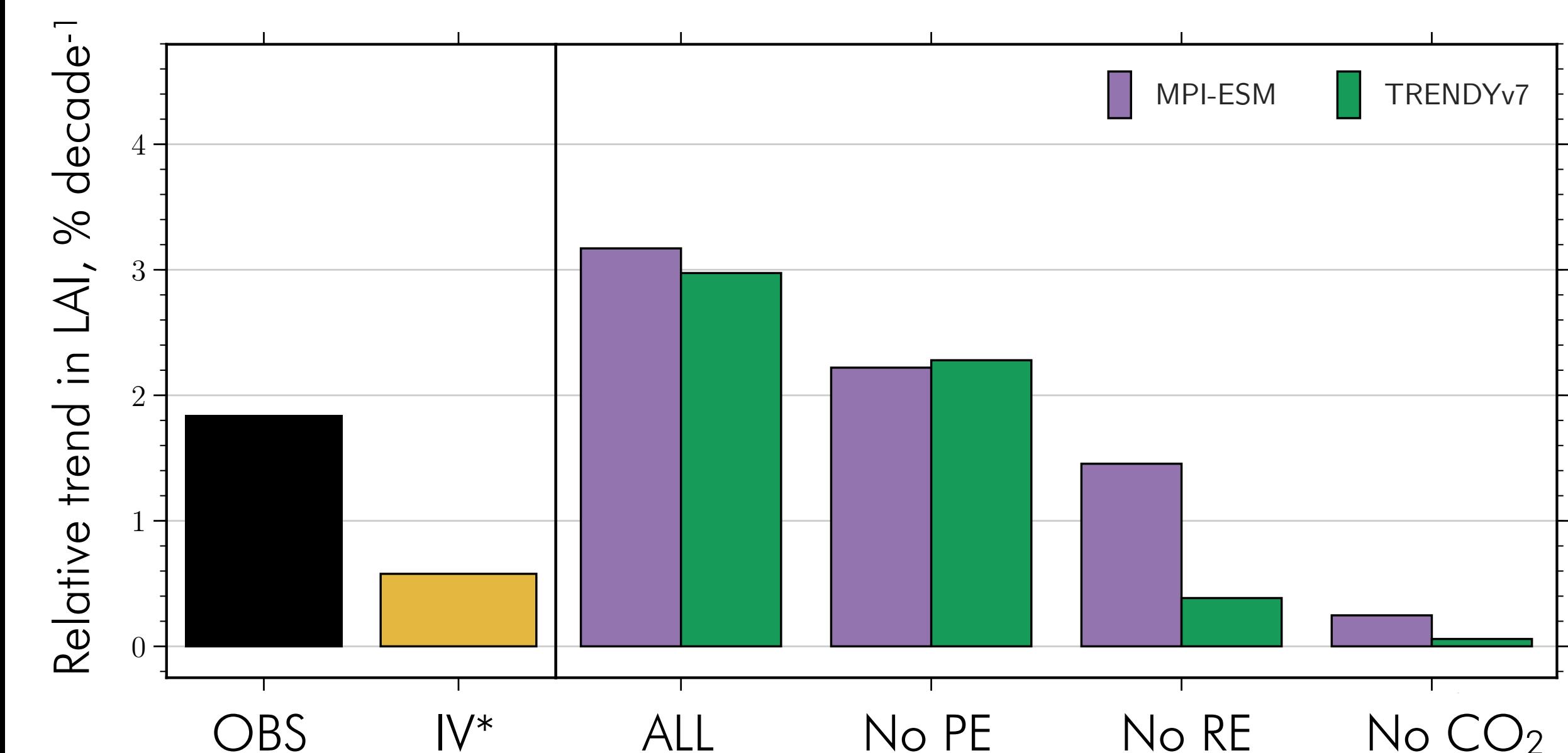
- Stand-alone land surface models
- Driven with observed climate 1900-2017
- Ensemble of 13 different models

# Radiative Effect is Dominant Driver in the North

## Boreal Forests



## Tundra

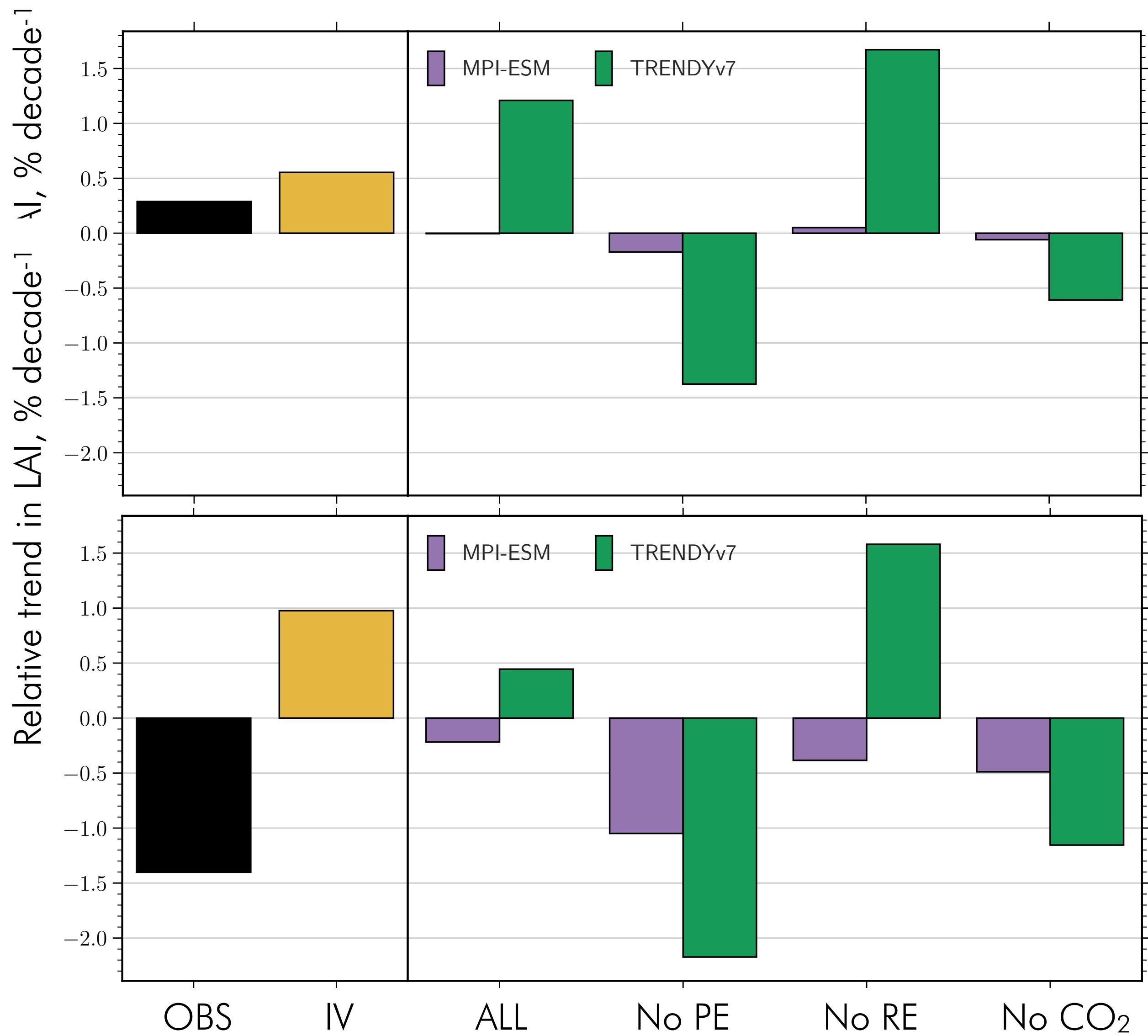


PNS	MPI-ESM	TRENDYv7
Combined	99 %	99 %
Physiological	98 %	61 %
Radiative	86 %	87 %

PNS	MPI-ESM	TRENDYv7
Combined	98 %	99 %
Physiological	59 %	47 %
Radiative	84 %	99 %

# Tropical Forests are Browning with Rising CO<sub>2</sub>

- No attribution possible due to high uncertainty.
- Models show **compensatory effects** of rising CO<sub>2</sub>.
- **Observed trend switches sign** in recent decades.



1982-2017

2000-2017

# Conclusions

- **Northern ecosystems** are mainly greening due to the radiative effect of CO<sub>2</sub>.
- **Tropical forests** enter browning phase which is not captured by models.
- ➡ Rising CO<sub>2</sub> drives **divergent responses** of the natural vegetation:  
**Persistent leaf area loss in tropical forests and gain in northern ecosystems.**
- This development indicates the respective contributions of these ecosystems to the recent **terrestrial carbon sink**.