



# Strongly coherent dynamics of stochastic waves causes abnormal sea states

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Russian  
Science  
Foundation



# Abstract

The **dynamic kurtosis** (i.e., produced by the free wave component) is shown to contribute essentially to the abnormally large values of the full kurtosis of the surface displacement, according to the direct numerical simulations of realistic directional sea waves within the HOSM framework. In this situation the **free wave stochastic dynamics is strongly non-Gaussian**, and the kinetic approach is inapplicable. Traces of **coherent wave patterns** are found in the Fourier transform of the directional irregular sea waves. They strongly **violate the classic dispersion relation** and hence lead to a greater spread of the actual wave frequencies for given wavenumbers.

## References

- **A. Slunyaev, A. Kokorina**, The method of spectral decomposition into free and bound wave components. Numerical simulations of the 3D sea wave states. *Geophys. Research Abstracts*, V. 21, EGU2019-546 (2019).
- **A.V. Slunyaev, A.V. Kokorina**, Spectral decomposition of simulated sea waves into free and bound wave components. *Proc. VII Int. Conf. "Frontiers of Nonlinear Physics"*, 189-190 (2019).
- **A. Slunyaev, A. Kokorina, I. Didenkulova**, Statistics of free and bound components of deep-water waves. *Proc. 14th Int. MEDCOAST Congress on Coastal and Marine Sciences, Engineering, Management and Conservation* (Ed. E. Ozhan), Vol. 2, 775-786 (2019).
- **A. Slunyaev**, Strongly coherent dynamics of stochastic waves causes abnormal sea states. *arXiv*: 1911.11532 (2019).

# Rogue wave problem

## Wave height probability and the Benjamin – Feir instability

Routine forecast of the BFI maps

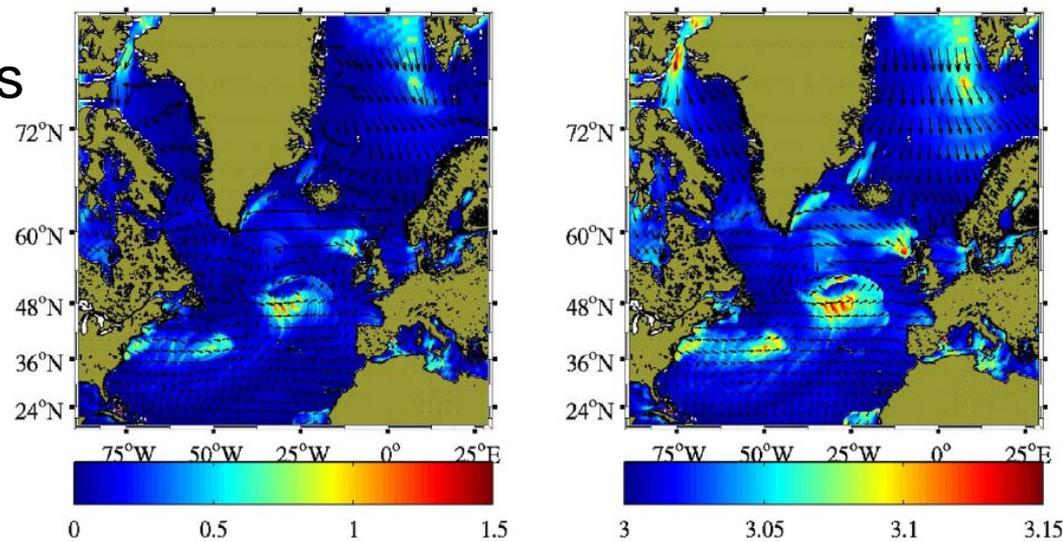
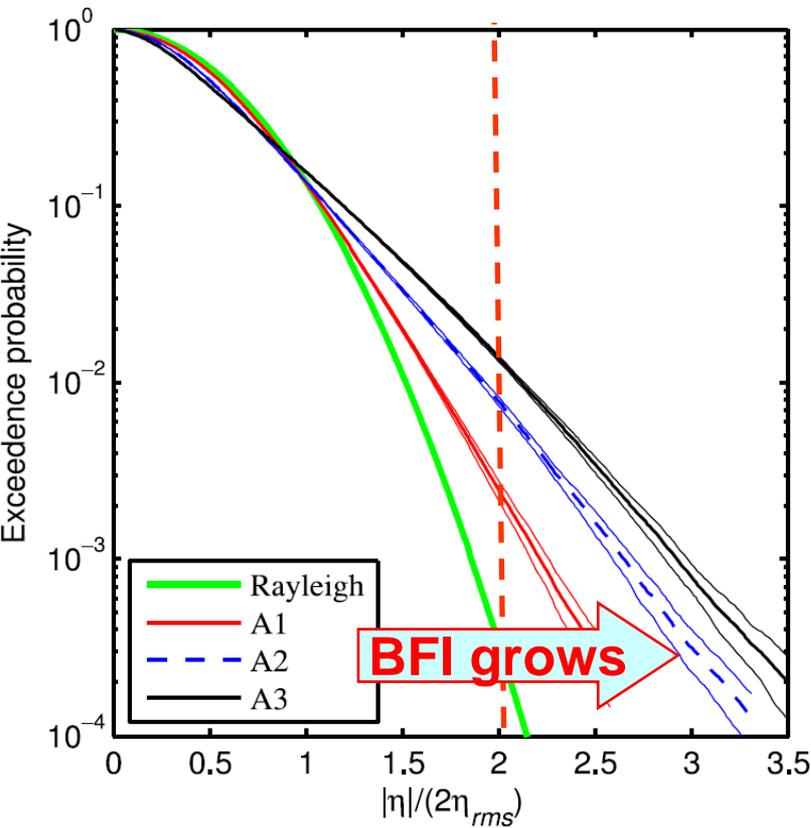
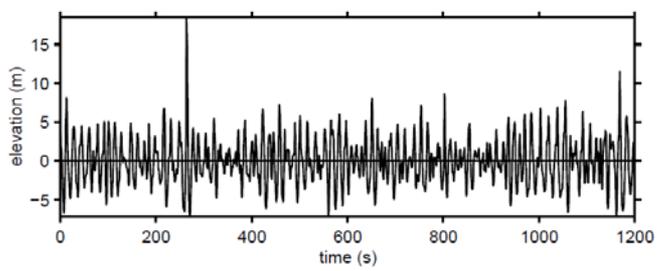


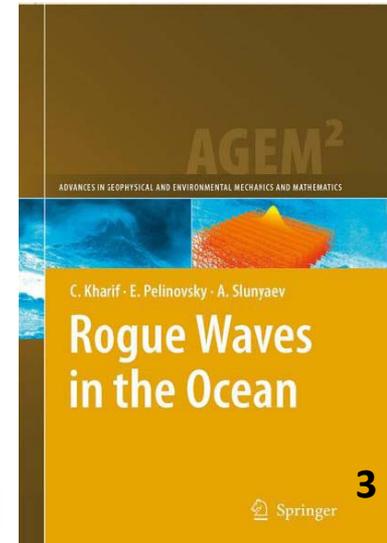
Fig. 6. BFI (left) and the kurtosis (right) spatial distribution. Date: 9th February 2007 at 12 UTC.

[De Ponce & Guedes Soares, 2014]



Large **BFI** corresponds to **high probability** of large waves

[Kharif, Pelinovsky, Slunyaev, 2009]



# Rogue wave problem

## Wave height probability and the Benjamin – Feir instability

PHYSICS OF FLUIDS 17, 078101 (2005)

### Modulational instability and non-Gaussian statistics in experimental random water-wave trains

M. Onorato, A. R. Osborne, and M. Serio  
*Dipartimento di Fisica Generale, Università di Torino, Via Pietro Giuria 1, 10125 Torino, Italy*

L. Cavaleri  
*Istituto di Scienze Marine (ISMAR), 1364 S. Polo—30125 Venezia, Italy*

(Received 3 February 2005; accepted 10 May 2005; published online 21 June 2005)

We study random, long crested surface gravity waves in the laboratory environment. Starting with

Available online at [www.sciencedirect.com](http://www.sciencedirect.com)



European Journal of Mechanics B/Fluids

### Extreme waves, modulational instability wave flume experiments on ir

M. Onorato <sup>a,\*</sup>, A.R. Osborne <sup>a</sup>, M. Serio <sup>a</sup>, L. Cavaleri

<sup>a</sup> Dipartimento di Fisica Generale, Università di Torino, Via P.

PRL 102, 114502 (2009)

PHYSICAL REVIEW LETTERS

### Statistical Properties of Directional Ocean Waves: The Role in the Formation of Extreme B

M. Onorato,<sup>1</sup> T. Waseda,<sup>2</sup> A. Toffoli,<sup>3</sup> L. Cavaleri,<sup>4</sup> O. Gramstad,<sup>5</sup> P. A. B.  
N. Mori,<sup>9</sup> A. R. Osborne,<sup>1</sup> M. Serio,<sup>1</sup> C. T. Stansberg,<sup>10</sup> H.

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# SCIENTIFIC REPORTS

OPEN

## Real world ocean rogue waves explained without the modulational instability

Francesco Fedele<sup>1,2</sup>, Joseph Brennan<sup>3</sup>, Sonia Ponce de León<sup>3</sup>, John Dudley<sup>4</sup> & Frédéric Dias<sup>3</sup>

Received: 17 March 2016

Accepted: 20 May 2016

Published: 21 June 2016

Since the 1990s, the modulational instability has commonly been used to explain the occurrence of rogue waves that appear from nowhere in the open ocean. However, the importance of this instability in the context of ocean waves is not well established. This mechanism has been successfully studied in mathematical studies, but there is no consensus on what actually takes the question the oceanic relevance of this paradigm. In particular, we in various European locations with various tools, and find that the main waves is the constructive interference of elementary waves enhanced ities and not the modulational instability. This implies that rogue nces of weakly nonlinear random seas.



### ements of Rogue Water Waves

ARIOS CHRISTOU\*

ns International B.V., Rijswijk, Netherlands

KEVIN EWANS

ll Berhad, Kuala Lumpur, Malaysia

September 2013, in final form 17 April 2014)

### ABSTRACT

ty control, and analysis of single-point field measurements from ns. In total, the quality-controlled database contains 122 million aves. Geographically, the majority of the field measurements were tary data from the Gulf of Mexico, the South China Sea, and the nt wave height ranged from 0.12 to 15.4 m, the peak period ranged was 18.5 m, and the maximum recorded wave height was 25.5 m.

This paper will describe the offshore installations, instrumentation, and the strict quality control procedure employed to ensure a reliable dataset. An examination of sea state parameters, environmental conditions, and local characteristics is performed to gain an insight into the behavior of rogue waves. Evidence is provided to demonstrate that rogue waves are not governed by sea state parameters. Rather, the results are consistent with rogue waves being merely extraordinary and rare events of the normal population caused by dispersive focusing.

# Numerical simulations of irregular sea waves



# Narrow-banded weakly nonlinear waves

## Statistical moments for the surface displacement

### Fourth statistical moment, the kurtosis

$$\lambda_4 \approx 3 + 24\varepsilon^2 + \frac{\pi}{\sqrt{3}} BFI^2$$

$\lambda_4 = \frac{\langle \eta^4 \rangle}{\langle \eta^2 \rangle^2}$

[Mori & Janssen, JPO2006]

May be  $O(1)$

The Gaussian statistics

Bound wave contribution  
(wave uninusoidality)

Dynamic part: Benjamin-Feir  
instability (quasi-resonant  
interactions) [Onorato et al, 2001]

### Exceedance probability for wave heights $H$

$$P(H) \approx \exp\left(-\frac{H^2}{8\sigma^2}\right) \left[ 1 + (\lambda_4 - 3) B\left(\frac{H}{\sigma}\right) \right]$$

The probability of large waves  
increases when the kurtosis  
surpasses the value of three

$$B(\xi) = \frac{1}{384} \xi^2 (\xi^2 - 16)$$

**Large kurtosis is a signature  
of dangerous wave conditions**

[Mori & Janssen, JPO2016] <sup>7</sup>

# Dynamical spectral theory

## Hamiltonian weakly nonlinear Zakharov's equation

**Non-resonant** terms are eliminated with the help of the canonical transformation of variables  $(\eta, \Phi) \rightarrow a(\mathbf{k}, t) \rightarrow b(\mathbf{k}, t)$ .

Higher than 4-wave resonances are not resolved (**wave slopes should be mild**).

$$i \frac{\partial b_0}{\partial t} = (\omega_0 + i\gamma_0) b_0 + \iiint T(\vec{k}_0, \vec{k}_1, \vec{k}_2, \vec{k}_3) b_1^* b_2 b_3 \delta(\vec{k}_0 + \vec{k}_1 - \vec{k}_2 - \vec{k}_3) d\vec{k}_1 d\vec{k}_2 d\vec{k}_3$$

$$b(\vec{k}, t) = \frac{1}{\sqrt{2}} \left( \sqrt{\frac{\omega(\vec{k})}{|\vec{k}|}} \eta(\vec{k}, t) + i \sqrt{\frac{|\vec{k}|}{\omega(\vec{k})}} \Phi(\vec{k}, t) \right) + \text{HOT} \quad \omega(\vec{k}) = \sqrt{g|\vec{k}|}$$

## Dynamic kurtosis (resonant and near-resonant interactions)

$$\lambda_4^{\text{dyn}} = \frac{\frac{3}{4} \iiint \sqrt{\omega_0 \omega_1 \omega_2 \omega_3} \langle b_0^* b_1^* b_2 b_3 \rangle d\vec{k}_0 d\vec{k}_1 d\vec{k}_2 d\vec{k}_3 + c.c.}{\sigma^4} \quad \sigma^2 = \int \omega_0 N_0 d\vec{k}_0$$

## Bound wave kurtosis (non-resonant interactions)

$$\lambda_4^{\text{bound}} = \frac{12 \int F(\vec{k}_0, \vec{k}_1, \vec{k}_2) \omega_0 \omega_1 \omega_2 N_0 N_1 N_2 d\vec{k}_0 d\vec{k}_1 d\vec{k}_2}{\sigma^4} \quad N_0 = \langle b_0^* b_0 \rangle$$

# Kinetic spectral theory

## Phase-averaged equations

$$\frac{\partial N_{\vec{k}}}{\partial t} + \underbrace{\nabla_{\vec{k}} \omega_{\vec{k}} \nabla_{\vec{r}} N_{\vec{k}}}_{\text{group velocity advection}} = \underbrace{S_{nl}(N_{\vec{k}})}_{\text{nonlinear effects}} + \underbrace{S_{in}(N_{\vec{k}})}_{\text{forcing}} + \underbrace{S_{diss}(N_{\vec{k}})}_{\text{dissipative terms}}$$

wave action  $N_{\vec{k}} = \frac{E_{\vec{k}}}{\omega(\vec{k})}$

dispersion relation  $\omega(\vec{k})$

**Cannot describe effects of coherent wave dynamics**

$$S_{nl}[N_{\mathbf{k}}] = \int_{\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3} |T_{\mathbf{k}, \mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3}|^2 \{N_2 N_3 (N + N_1) - N N_1 (N_2 + N_3)\} \times \delta(\mathbf{k} + \mathbf{k}_1 - \mathbf{k}_2 - \mathbf{k}_3) \delta(\omega + \omega_1 - \omega_2 - \omega_3) d\mathbf{k}_1 d\mathbf{k}_2 d\mathbf{k}_3$$

~ Boltzmann collision integral

$$N_{\vec{k}} = \langle b_{\vec{k}}^* b_{\vec{k}} \rangle$$

phase averaging  
assuming random incoherent phases

# Kinetic spectral theory

## Phase-averaged equations

$$\frac{\partial N_{\vec{k}}}{\partial t} + \nabla_{\vec{k}} \omega_{\vec{k}} \nabla_{\vec{r}} N_{\vec{k}} = S_{nl}(N_{\vec{k}}) + \cancel{S_{in}(N_{\vec{k}})} + \cancel{S_{diss}(N_{\vec{k}})}$$

Conservative equations in what follows (no wind, no dissipation)

# Wave evolution within different frameworks

## Evolution of the total kurtosis

*J. Fluid Mech.* (2018), vol. 844, pp. 766–795. © Cambridge University Press 2018  
doi:10.1017/jfm.2018.185

766

### Spectral evolution of weakly nonlinear random waves: kinetic description versus direct numerical simulations

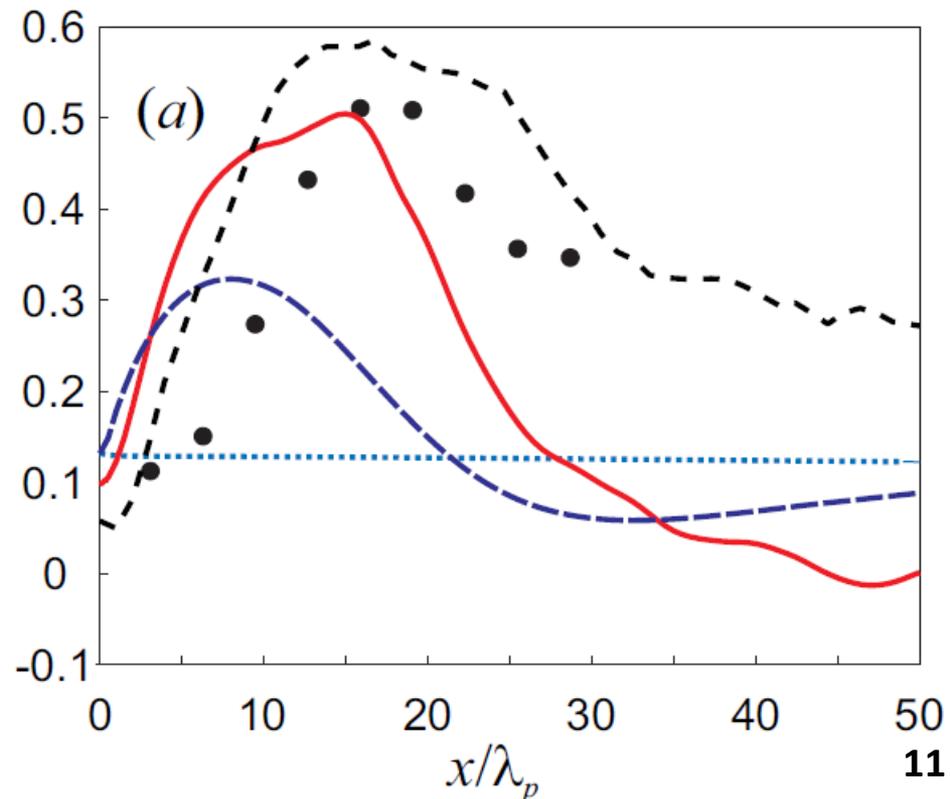
Sergei Y. Annenkov<sup>1,†</sup> and Victor I. Shrira<sup>1</sup>

<sup>1</sup>School of Computing and Mathematics, Keele University, Keele ST5 5BG, UK

(Received 27 April 2017; revised 26 December 2017; accepted 17 February 2018)

$$\lambda_4 = \frac{\langle \eta^4 \rangle}{\langle \eta^2 \rangle^2} - 3$$

**The curves differ noticeably.  
The reason is unclear**



--- HOS (Euler eqs.)    — DNS-ZE    --- gKE

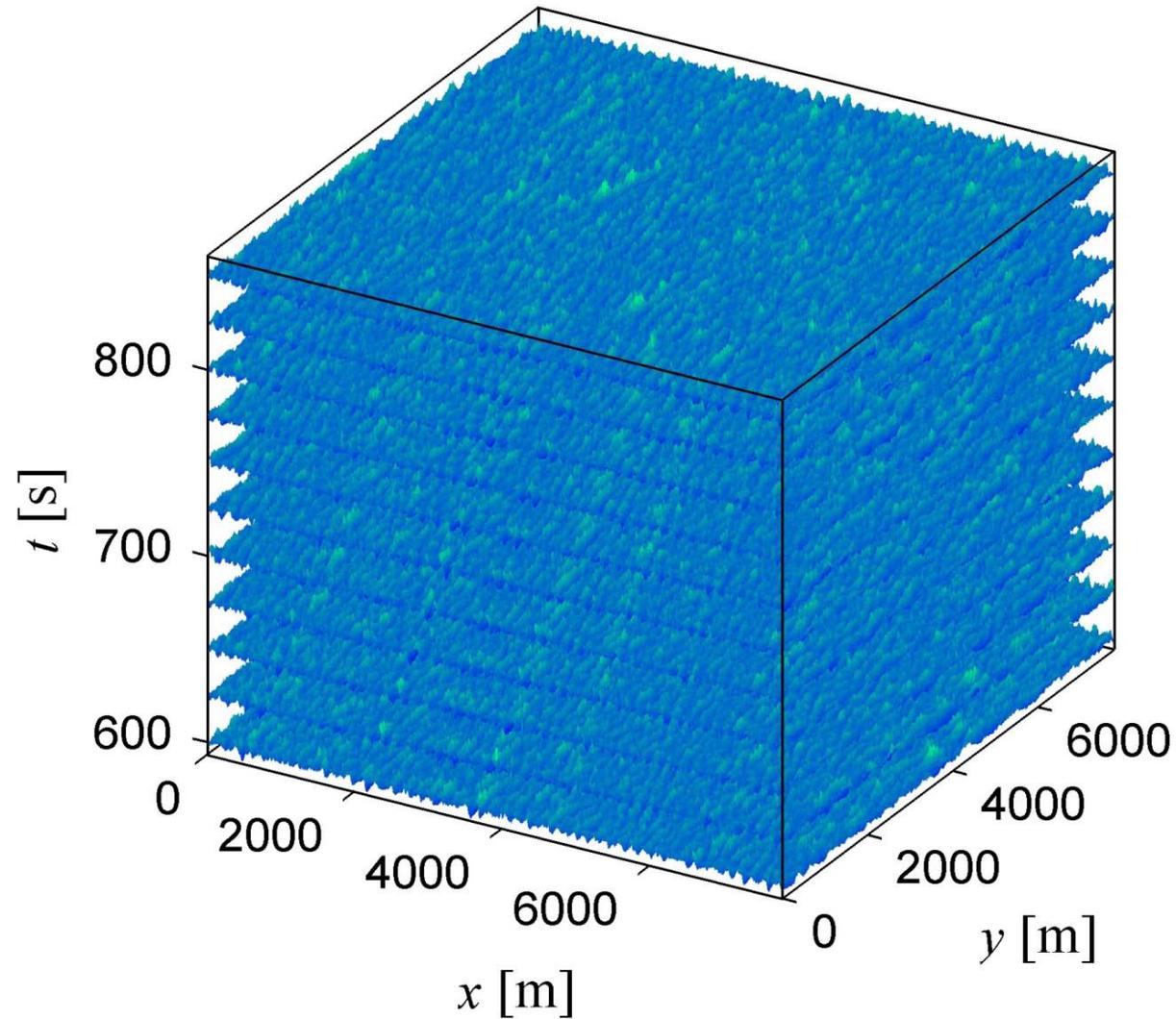
**The method of wave decomposition  
into free wave and bound wave constituents**

# Direct numerical sims + Triple Fourier transform

Deep-water gravity waves obeying the JONSWAP spectrum are simulated by the High Order Spectral Method (HOSM, the potential Euler equations with truncated order of nonlinearity).

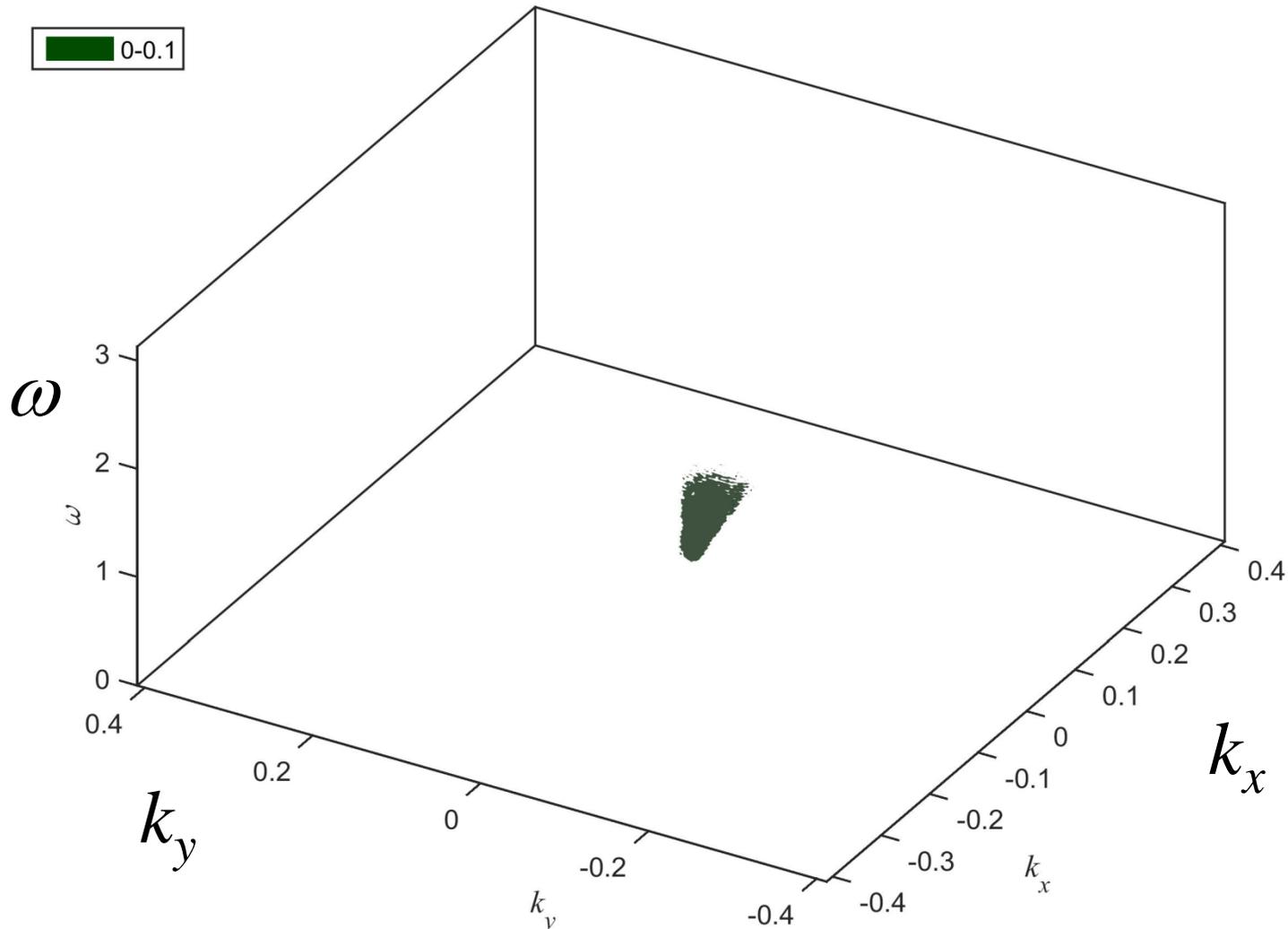
No winds.  
Almost no dissipation.

A sequence of snapshots of the water surface represents a real-valued field in a space-time domain, periodic along the two horizontal axes.  $50 \times 50$  dominant wave lengths  $\times$  25 periods.



# Fourier domain

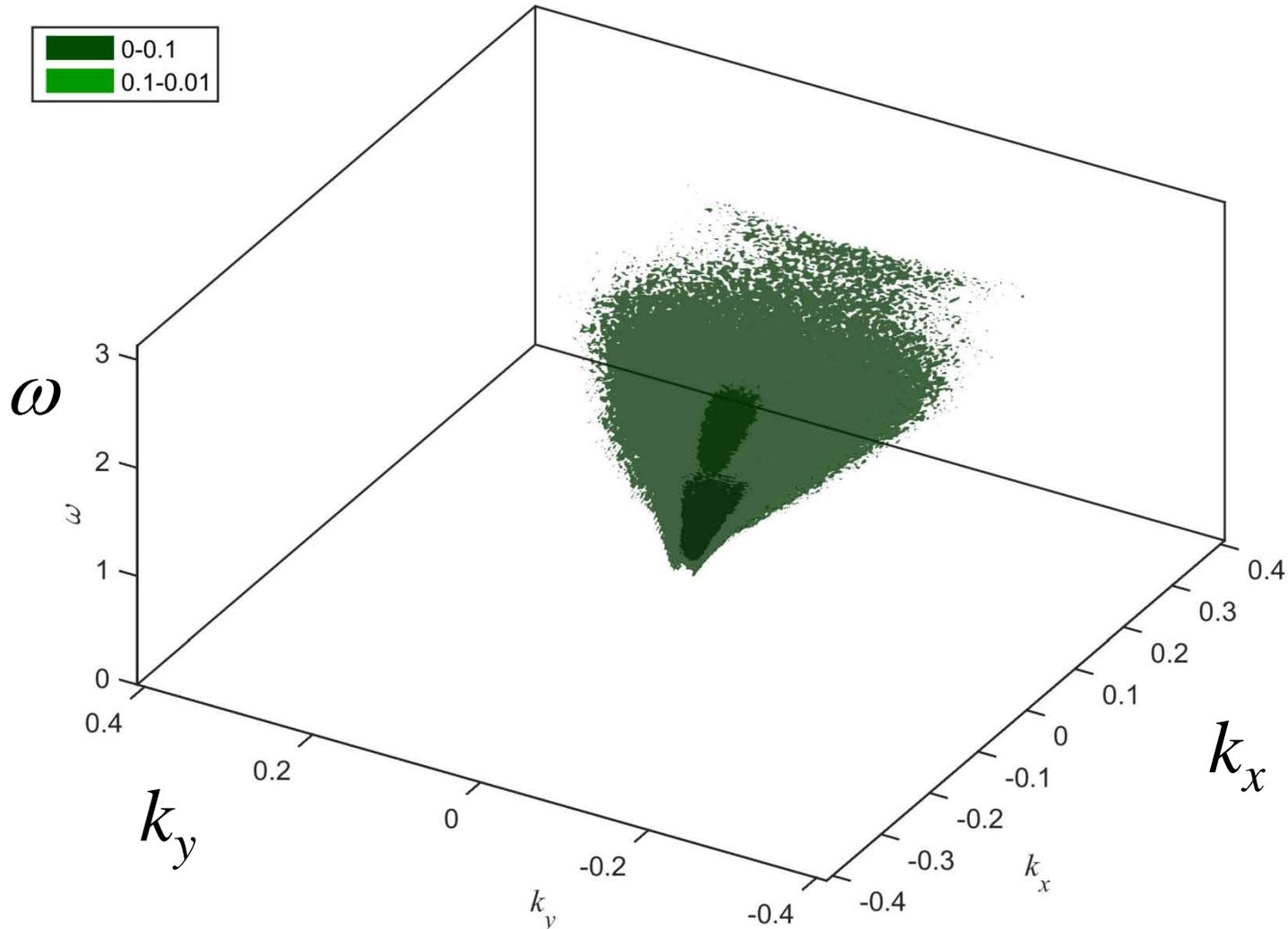
We plot contours for the normalized Fourier amplitudes by different colors (0 ... -10 Db)



JONSWAP,  $H_s = 7$  m,  $\gamma = 3$ ,  $\Theta = 62^\circ$

# Fourier domain

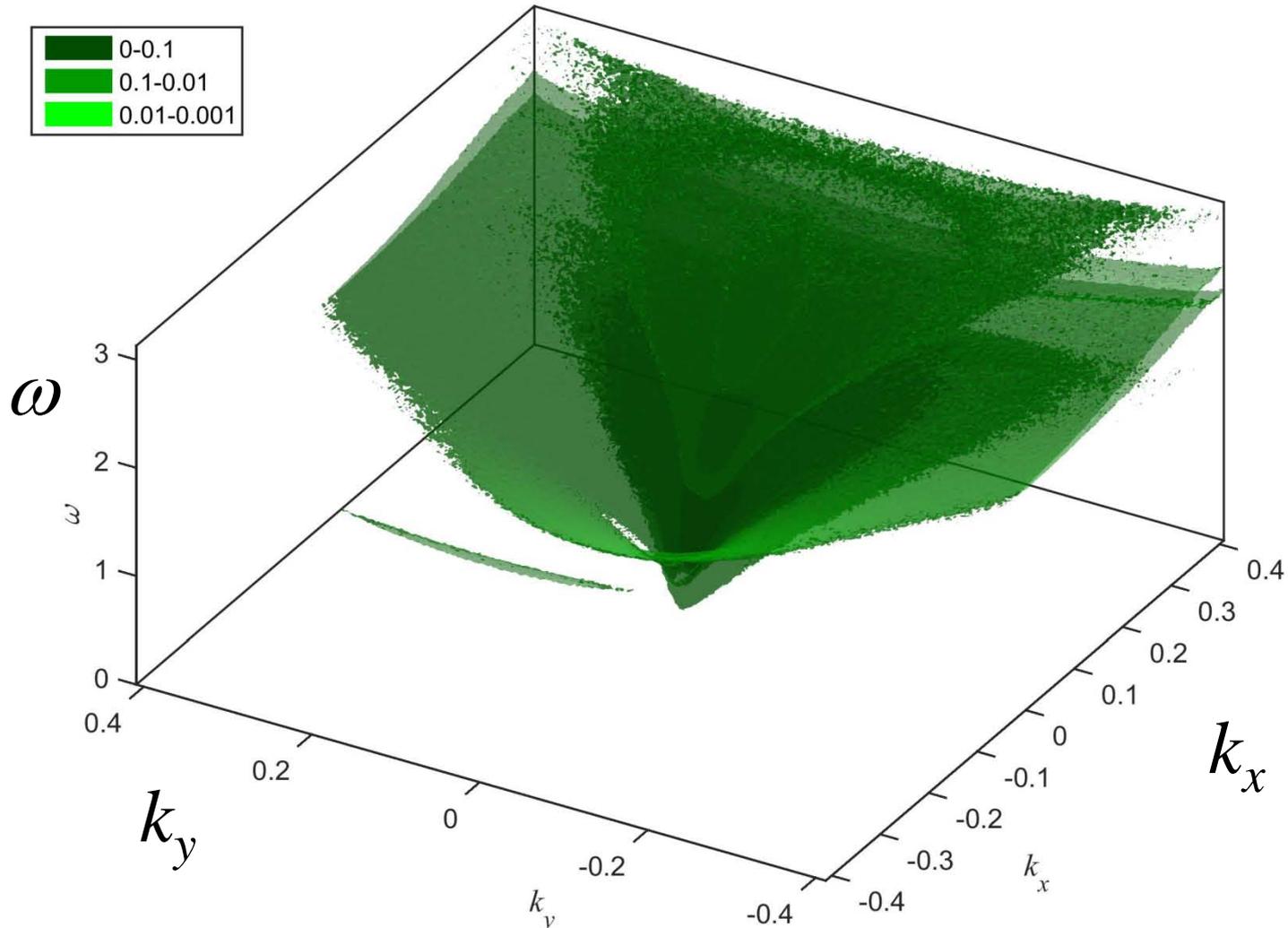
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JONSWAP,  $H_s = 7$  m,  $\gamma = 3$ ,  $\Theta = 62^\circ$

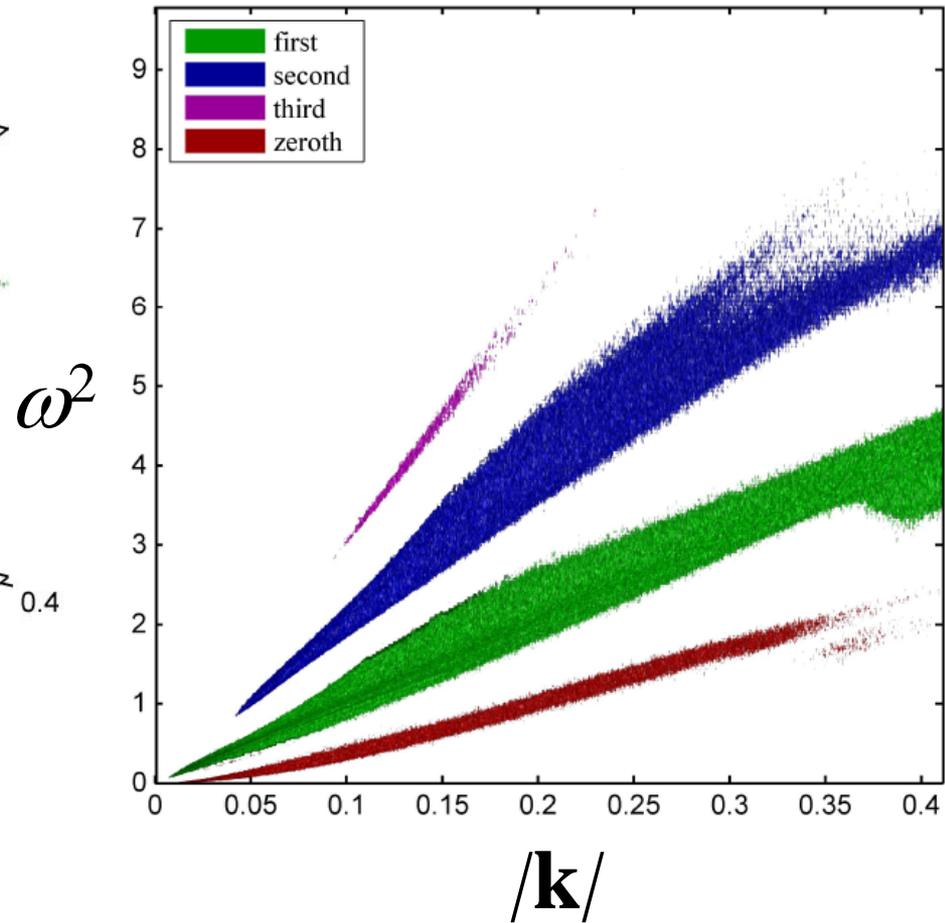
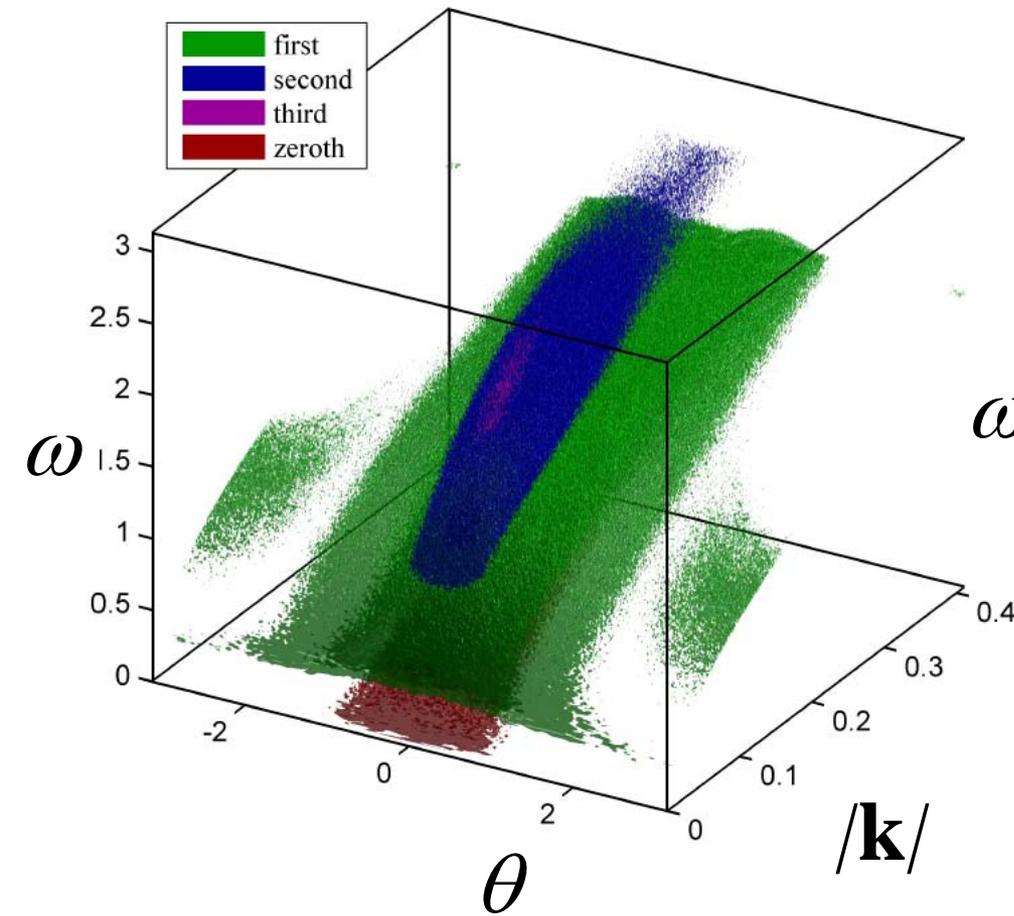
# Fourier domain

We plot contours for the normalized Fourier amplitudes by different colors (0 ... -30 Db)



JONSWAP,  $H_s = 7$  m,  $\gamma = 3$ ,  $\Theta = 62^\circ$

# Fourier domain



JONSWAP,  $H_s = 7$  m,  $\gamma = 3$ ,  $\Theta = 62^\circ$

# Fourier domain

## Weakly nonlinear narrow-banded theory

Nonlinear harmonics:

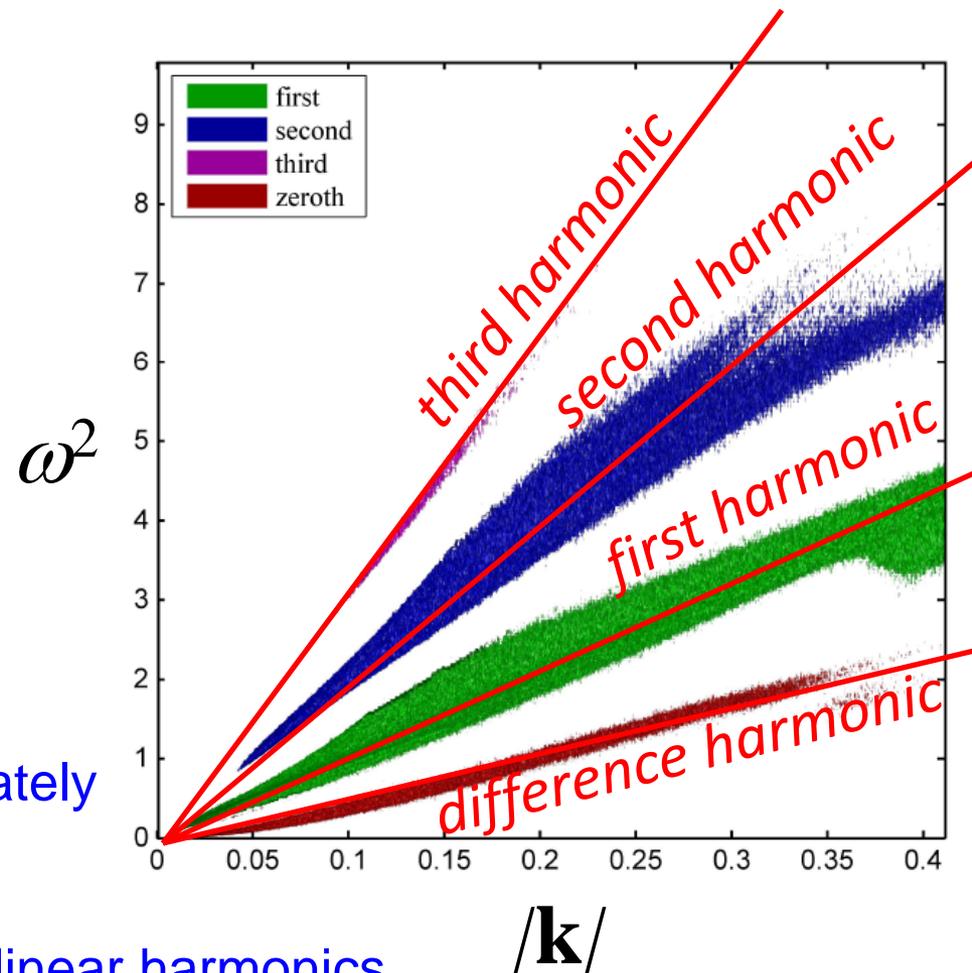
$$\omega_n = n\Omega(nk)$$

$$\Omega(k) \equiv \sqrt{gk} \quad k = \sqrt{k_x^2 + k_y^2}$$

$n = 2, 3, \dots$  – order of nonlinearity  
 $n = 1/2$  – the difference harmonic

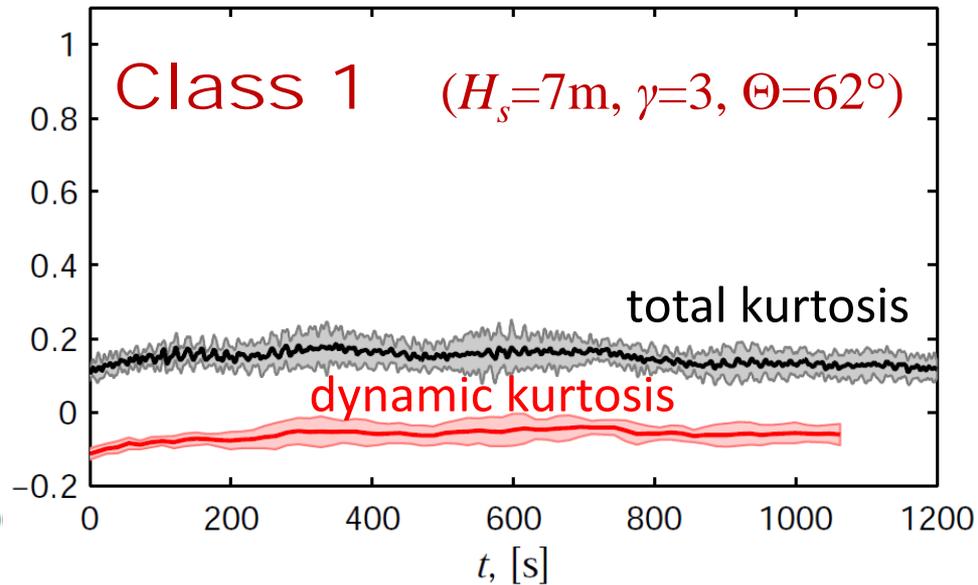
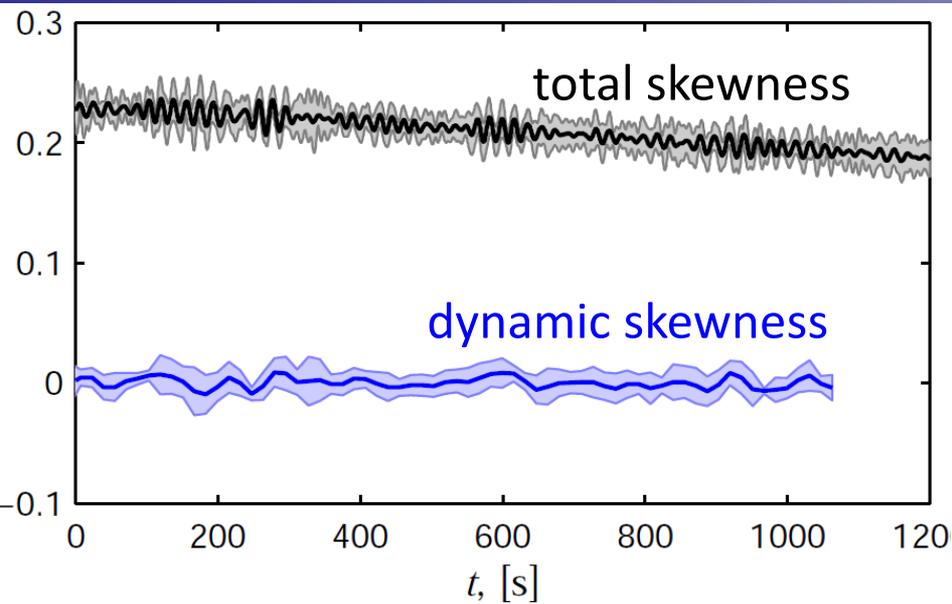
$$\omega_n^2 = n^2 gk$$

- Actual nonlinear harmonics approximately follow the narrow-band theory
- Spectral filters can select wanted nonlinear harmonics
- The free wave component is reconstructed via inverse triple Fourier transform



# Total and 'dynamic' statistical moments

## Two classes of sea states



$$\lambda_3^{tot} = \frac{\langle \eta^3 \rangle}{\langle \eta^2 \rangle^{3/2}}$$

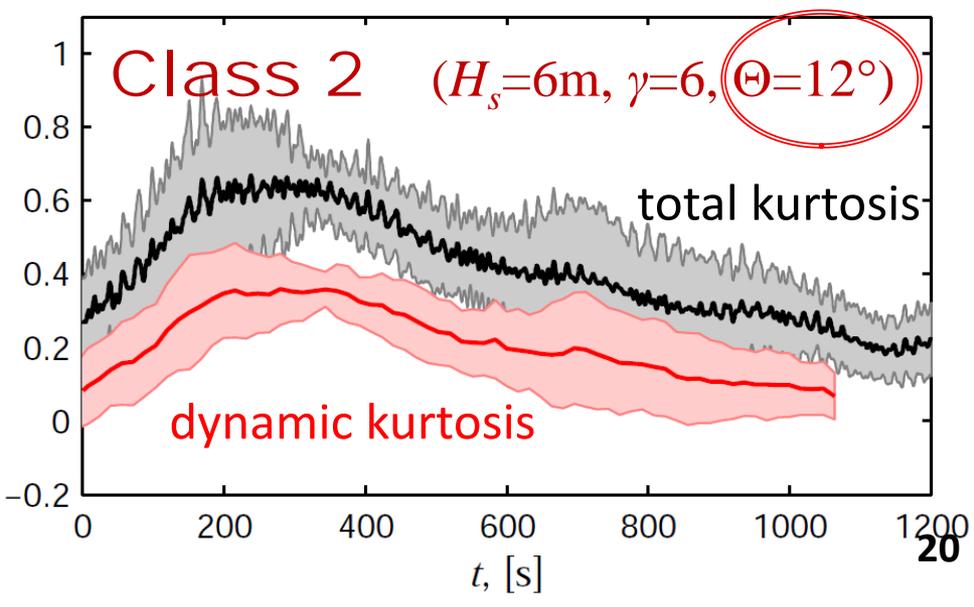
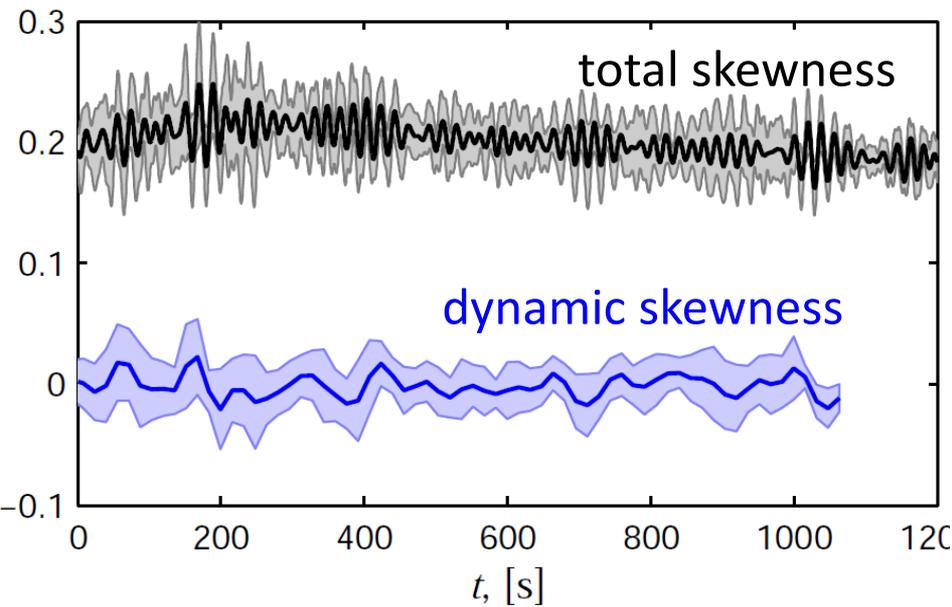
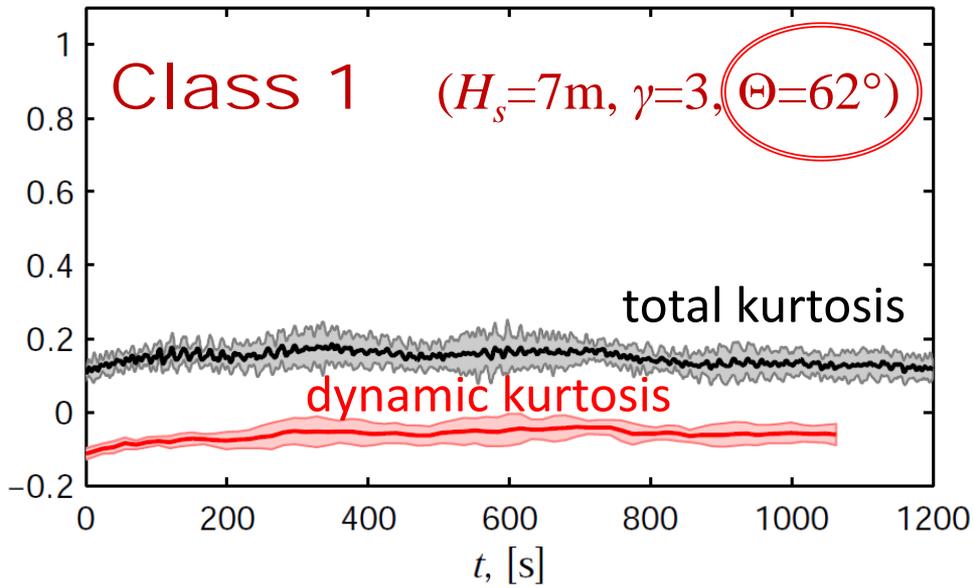
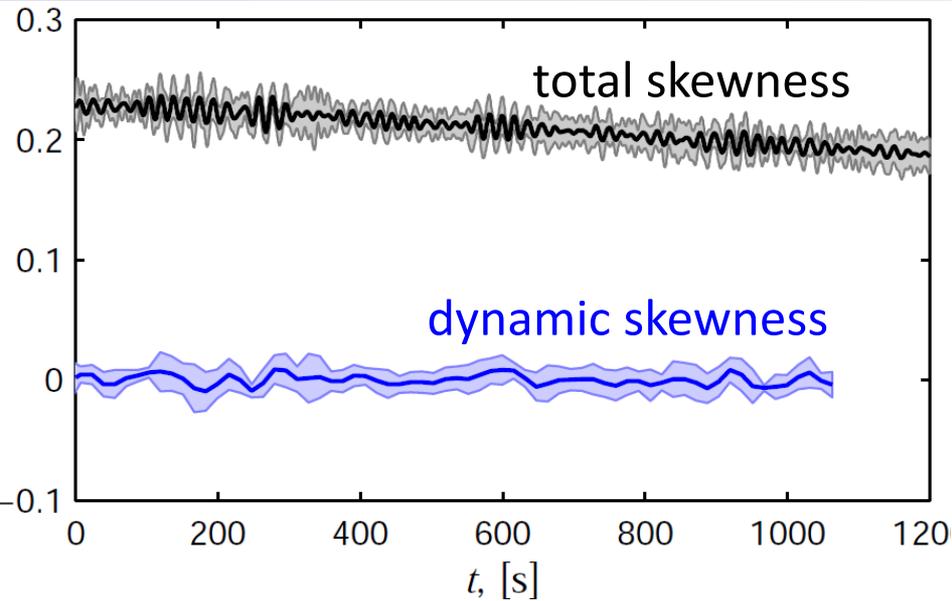
$$\lambda_3^{dyn} = \frac{\langle \eta_{free}^3 \rangle}{\langle \eta_{free}^2 \rangle^{3/2}}$$

$$\lambda_4^{tot} = \frac{\langle \eta^4 \rangle}{\langle \eta^2 \rangle^2} - 3$$

$$\lambda_4^{dyn} = \frac{\langle \eta_{free}^4 \rangle}{\langle \eta_{free}^2 \rangle^2} - 3$$

# Total and 'dynamic' statistical moments

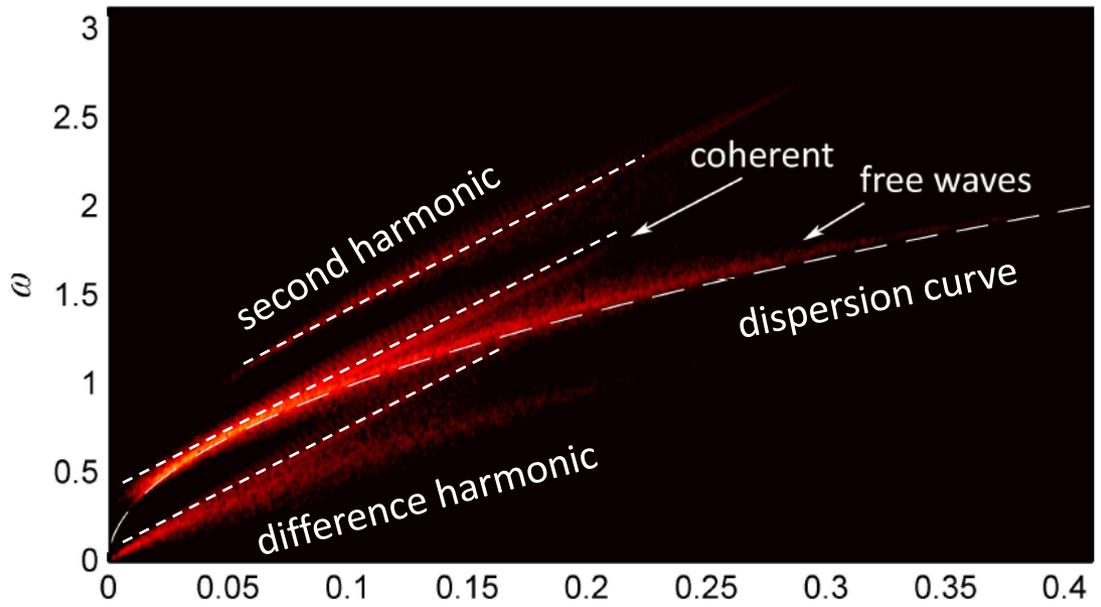
## Two classes of sea states



# Evidence of coherent wave patterns

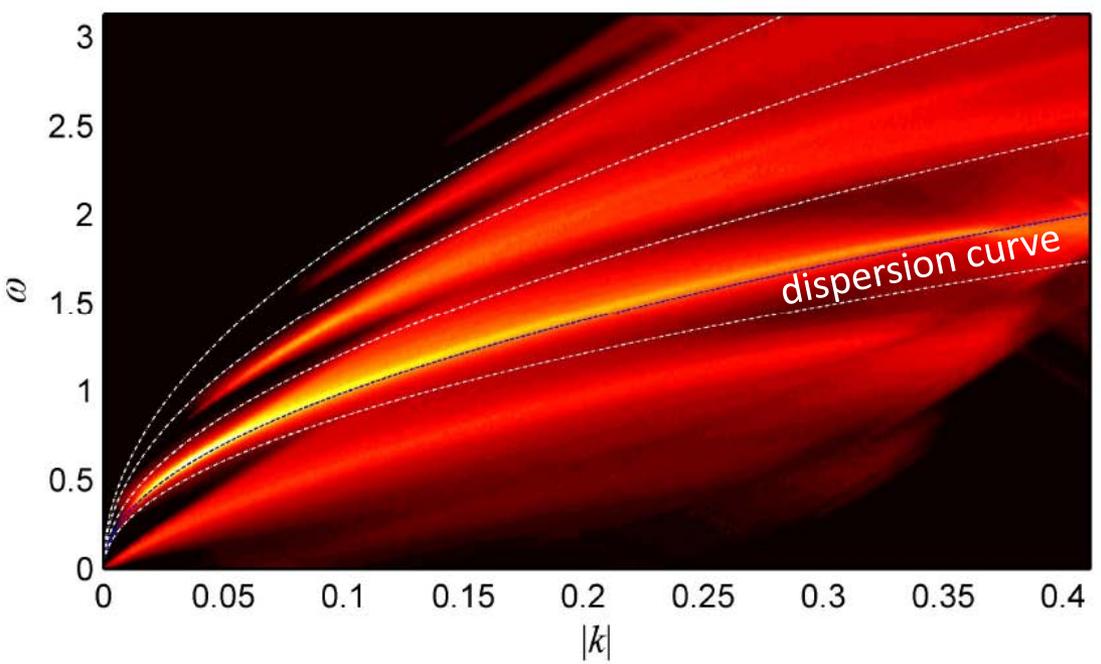
Wavenumber-frequency  
Fourier amplitudes along  
the wave direction  $\theta \approx 14^\circ$

Manifestation of coherent  
wave patterns, which  
violate the dispersion law



Wavenumber-frequency  
Fourier amplitudes  
integrated along all wave  
directions

The coherent patterns  
lead to the spread of  
energy in the Fourier  
domain



# Conclusions

The **method to calculate** the **free wave component** from the wave data is suggested

The **strongly non-Gaussian** dynamics of the free wave component is shown to occur under realistic sea conditions

It occurs under the conditions favorable for the **Benjamin – Feir instability** (**intense waves with narrow spectrum**)

It **cannot be simulated by phase-averaging models**

The evidence of generation of **nonlinear coherent patterns** in directional irregular sea surface waves is presented

The new effect leading to the **spread** of the irregular **wave dispersion** is shown