First-year ion-acoustic wave observations in the solar wind by the RPW/TDS instrument onboard Solar Orbiter

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ABSTRACT

Context. Electric field measurements of the Time Domain Sampler (TDS) receiver, a part of the Radio and Plasma Waves (RPW) instrument on-board Solar Orbiter, often exhibit very intense broadband wave emissions at frequencies below 20 kHz in the spacecraft frame. During the first year of the mission, the RPW/TDS instrument has been operating from the first perihelion in mid-June 2020 and through the first flyby of Venus in late December 2020.

Aims. In this paper, a year-long study of electrostatic fluctuations observed in the solar wind at an interval of heliocentric distances from 0.5 to 1 AU is shown. RPW/TDS observations provide us with enough data for a statistical study of intense waves below the local plasma frequency that often accompany large-scale solar wind structures and play a role in particle diffusion due to a wave-particle interactions.

Methods. The on-board processed properties of waveform snapshots that are continuously collected allow mapping plasma waves at frequencies between 200 Hz and 20 kHz. For a detailed spectral and polarization analysis, the triggered waveform snapshots and a Doppler-shifted solution of the dispersion relation for wave mode identification were used.

Results. The occurrence rate of low-frequency waves peaks around perihelion at distances of 0.5 AU and decreases with increasing distances, with only a few waves detected per day at 0.9 AU. A more detailed analysis of more than ten thousand triggered waveform snapshots shows the median wave frequency at about 2.3 kHz and wave amplitude about 1.1 mV/m. The relative phase distribution between two components of E-field projected in the Y-Z Spacecraft Reference Frame (SRF) plane shows a mostly linear wave polarization. Electric field fluctuations are closely aligned with the directions of the ambient field lines.

Conclusions. The observed waves are interpreted as the strongly Doppler-shifted electrostatic ion-acoustic mode. Ion-acoustic waves are generated by the resonant interaction with ion beams or by the current-driven instability.

Key words. waves - instabilities - plasmas - solar wind

1. Introduction

The solar wind as a super-sonic flow of plasma originates at the Sun's corona and fills the entire solar system. Processes in this dynamic environment give rise to plasma waves that interact with particles and modify their velocity distributions. The importance of plasma waves in thermal stabilization of solar wind plasmas has been widely accepted (e.g. Marsch 1991). At frequencies below plasma frequency (f_{pe}), there are only two electrostatic modes with wave vector parallel to the ambient mag-

netic field line: ion-acoustic and electron-beam modes (Gurnett 1991).

Early observations of the Helios I & II spacecraft at heliocentric distances between 0.3 and 1 AU showed broadband electrostatic waves at frequencies below the local electron plasma frequency (Gurnett & Anderson 1977; Gurnett & Frank 1978). The typical wave amplitude was 1 mV/m at 0.3 AU and decreased as ~1/R with increasing heliocentric distances. The electric field strength positively correlated with the electron to ion temperature ratio, T_e/T_i , and the electron heat flux (Gurnett 1991). The high-resolution spectral measurements by the Voyager spacecraft showed that broadband electrostatic fluctuations measured by Helios were electrostatic fluctuations, with peak frequency changes on timescales of the order of a second (Kurth et al. 1979).

These fluctuations were identified as ion-acoustic oscillations which are strongly Doppler shifted into the frequency range $f_{pi} < f < f_{pe}$, where f_{pi} and f_{pe} are proton and electron plasma frequency, respectively. Possible generation mechanisms were suggested as the ion-beam and electrostatic electron-ion (electron heat flux) instabilities (Lemons et al. 1979; Gary & Omidi 1987; Gary 1991).

Previous wave observations from missions such as Helios 1 & 2 were limited to spectral measurements at heliocentric distances larger than 0.3 AU. The recent observations of the Parker Solar Probe mission (Fox et al. 2016) provide both spectra and electric field waveforms in the region with a heliocentric distance of ~0.26 AU from the Sun. Mozer et al. (2020) presented observations of very intense broadband fluctuations in the frequency range from 100 Hz to tens of kHz in the spacecraft frame. Their detailed analysis showed that these fluctuations were electrostatic and linearly polarized. Waves were identified as the electrostatic ion-acoustic mode and are observed during several second-long bursts with amplitudes of 15 mV/m. Wave vectors are oriented anti-parallel to the ambient magnetic field. Based on ion measurements, they suggested that ion-beam instabilities more likely than current-driven instabilities to produce observed ion-acoustic waves.

This paper presents the first-year observations of intense ionacoustic waves at frequencies between 200 Hz and 20 kHz by the RPW/TDS receiver on-board Solar Orbiter. The observed waves are identified as the electrostatic ion-acoustic mode exhibiting linear polarization and wave vector parallel or antiparallel with the local magnetic field. They are strongly Dopplershifted from the frequencies below the local proton plasma frequency (<1 kHz) to frequencies above 1 kHz in the spacecraft frame. Their occurrence rate and wave amplitude peaks around the Solar Orbiter's perihelion at distances of 0.5 AU and decreases with increasing distances.

2. Data

The Solar Orbiter spacecraft (Müller et al. 2020) was successfully launched on Feb 10, 2020. The first mission of the ESA Cosmic Vision programme's will explore the Sun and heliosphere from close up and out of the ecliptic plane. The spacecraft carries six remote-sensing instruments to observe the Sun and the solar corona and four in-situ instruments to measure the solar wind, energetic particles, and electromagnetic fields. One of the four in-situ experiments is the Radio and Plasma Waves (RPW) (Maksimovic et al. 2020) instrument. It is designed to measure magnetic and electric fields, plasma wave spectra and polarization properties, the spacecraft floating potential and solar radio emissions in the interplanetary medium. Three identical RPW electric antennas with a length of about 6.5 meters are mounted on the tip of a 1-meter rigid deployable boom. Antenna V1 is parallel with the spacecraft Z-axis, and antennas V2 and V3 are placed in the Y-Z spacecraft frame (SRF) plane with angles about 125 degrees on both sides from the Z-axis (see Fig. 7 in Maksimovic et al. 2020).

The Time Domain Sampler (TDS) subsystem of the RPW measures the electromagnetic field in the frequency range from 200 Hz to 200 kHz. The instrument digitizes analog signals from the RPW antennas and the high-frequency winding of the SCM search coil. The RPW/TDS waveform snapshots of the electric component of the electromagnetic field are typically collected from three TDS channels at 262 or 524 kHz sampling rates. In each TDS channel, various configurations of monopole and/or dipole antenna measurements can be digitized. There are two types of waveform snapshots recorded by the RPW/TDS receiver. Regular survey waveform snapshots (TDS-SURV-RSWF) are taken periodically with a five minute cadence and a typical length of 16 or 32 milliseconds. These snapshots often capture only noise, and they are not used for the presented study. Second, triggered survey snapshots (TDS-SURV-TSWF) are on-board selected waveforms based on their intensities and spectral properties. An on-board algorithm analyzes one snapshot with a typical length of 62 ms every second and can efficiently identify coherent waves, such as ion-acoustic or Langmuir waves, which is periodically transmitted in the form of wave and dust counts and average values of relevant parameters. The on-board algorithm also calculates also calculate statistics (TDS-SURV-STAT) of the observed snapshots, and these are transmitted in the form of average values. Parameters included in statistics include peak and RMS amplitude of snapshots and identified waves, wave frequency, a number of identified waves and dust spikes, and the amplitude and width of identified dust spikes. In-flight performance and a more detailed description of the baseline algorithm is in Soucek et al. (in this special issue). For this study, three electric channels were available and used. Most of the observations, so-called XLD1 mode, with two dipoles (V1-V3 and V2-V1) and one monopole (V2) antenna, were set. Since the RPW antennas are oriented in the Y-Z SRF plane, only two components (E_{Y} and E_{Z}) of the real 3D E-field are used. Remaining subsystems of the RPW instrument cover the frequency range of the electric field from DC to 16 MHz and magnetic field from DC up to 200 kHz. Moreover, the BIAS unit samples the spacecraft floating potential and TNR-HFR analyzes thermal noise to provide electron density estimates. The ambient magnetic field in the solar wind is measured by the MAG instrument (Horbury et al. 2020). In the survey mode, MAG operates at the 8 Hz cadence and collects all three magnetic components transformed into the SRF frame. The 1-second averaged magnetic field projected into the Y-Z SRF plane was used to investigate the wave polarization. Solar wind bulk velocity vector, proton density and temperature are calculated from the Proton and Alpha Sensor (PAS), a part of the Solar Wind Analyzer (SWA) (Owen et al. 2020). These estimates are available with a typical cadence of 4 Hz from mid-July to mid-October. Both MAG and SWA/PAS data are available through the Solar Orbiter Science Archive (http://soar.esac.esa.int). Electron moments can be derived from the SWA Electron Analyzer System (EAS). Both EAS sensors register the number of electrons per energy and solid angle, from which we can derive the solar wind plasma electron velocity distribution function (VDF). In this study, we exclude measurements obtained in energies <10 eV to avoid the photo-electrons produced on the spacecraft body and accelerated into the instrument by the spacecraft potential. Additionally, we



Fig. 1. Time-frequency spectrogram with two bursts of intense waves below 20 kHz captured by the RPW/TNR receiver on 14 Oct, 2020.

exclude supra-thermal electrons, registered in energies >68 eV. Using the VDF estimates from both sensors, the full 3D velocity distribution function of solar wind electrons is constructed in the spacecraft frame (see Nicolaou et al. in this special issue). For the purpose of a wave propagation study in Section 4, we estimate electron temperatures for observations on Oct 14, 2020 (see Fig. 6).

3. Wave observations

After the mission's start in February 2020 and early commissioning phase in March, the RPW/TDS instrument started its scientific operation in April. Electric field observations often exhibit very intense bursty emissions at frequencies below 20 kHz. Figure 1 presents an example of such emissions captured by the Thermal Noise Receiver (RPW/TNR) between 5 and 100 kHz on Oct 14, 2020. On the time-frequency spectrogram, one can see two bursts of intense waves at frequencies below 20 kHz at about 10:00 and 10:30-10:45 UT. These emissions are at frequencies well below the plasma frequency, which was estimated from the RPW/TNR observation to be around 44 kHz, but remain above the electron cyclotron frequency of 150 Hz. Examples of waveform snapshots with intense waves (>1mV/m) from the same time interval are shown in Figure 2. They show two electric field components parallel (blue) and perpendicular (orange) with respect to the magnetic field direction projected on the Y-Z SRF plane. On the right side, hodograms for the most intense part of the waveform (in red color) are plotted. All highlighted wave packets are linearly polarized with a polarization axis parallel to the magnetic field.

The RPW/TDS statistical data are continuously recorded with a cadence of 16 seconds and allows an overview of the wave activity at frequencies between 200 Hz and 200 kHz. This first-year statistics is shown in Figure 3. We excluded days when the instrument detected strong interference above 100 kHz or BIAS sweep was in operation. The Venus flyby on Dec 27, 2020 is analyzed in more details by Hadid et al. (in this special issue) and was also excluded from the data set. There were also a couple of days when the receiver was switched off. The occurrence rate has a maximum at distances of about 0.5 AU and reaching values of more than 10% of daily measured TDS snapshots. The top panel shows an occurrence rate of wave snapshots with detected waves below 20 kHz and normalized to the total valid snapshots recorded per day. With increasing distances, the occurrence decreases to only a few waves detected per day in October at 0.9 AU. The distribution of wave frequencies calculated as a daily mean (blue circles) with minimal and maximal frequency for a particular day covers the range between 1 and 20 kHz in the middle panel. The wave frequencies mostly occur between electron and proton plasma frequency estimated from the fit of $1/R^2$ model on the SWA/PAS data. The bottom panel presents a daily mean wave amplitude (orange circles) with minimal and maximal amplitudes observed for each day. Wave amplitudes are highly variable during the whole year and reach levels above 10 mV/m. The range of measured amplitudes follow the distances from the Sun with higher mean and spread at closer distances .

We used the triggered waveform snapshots from June 2020 to January 2021 for a more detailed wave polarization analysis. We included only snapshots labeled by the on-board algorithm as waves or unclassified. Snapshots labeled as a dust spike were excluded. Each waveform is transformed from the antenna reference frame into the Y-Z SRF frame. Then auto- and cross-correlations in the form of spectral matrices are calculated (Santolík et al. 2003). Snapshots with an intense spectral peak (>10dB) and coherence greater than 0.8 are taken into the account. For orientation of the polarization axis, the averaged magnetic field projected into the Y-Z SRF plane was used. Using the criteria above and all TDS measurement in the triggered mode, more than fourteen thousands waveform snapshots with intense wave activity at the frequency range of 200 Hz and 20 kHz were detected. Figure 4 shows the results of a statistic derived from more than 14000 triggered waveform snapshots. The distribution of peak frequency (Fig.4a) has a maximum of around 2 kHz with 7 events below 500 Hz and 95 detected events above 15 kHz. The mean and median frequencies are 3.1 and 2.3 kHz, respectively. Peak amplitudes (in 4b) range from 0.2 mV/m to more than 10 mV/m. The algorithm threshold (>10dB above the background) sets the peak amplitude lower limit to 0.2 mV/m. There are 161 events with an amplitude higher than 10 mV/m. These high amplitudes can also be misidentified dust impacts or solitary structures with similar spectra signature. The degree of linear polarization calculated from spectral matrices (Eq. 15 in Taubenschuss & Santolík 2019) shows that more than 80% of snapshots have values higher than 0.8. Using the projected magnetic field into the SRF and orientation of the semi-major axis of polarization ellipse (Eq. 11 in Taubenschuss & Santolík 2019), a relative angle is calculated. The distribution of this angle (in panel d) shows that more than 80% events have an angle less than 20 degrees from the ambient magnetic field line.

4. Ion acoustic waves

RPW/TDS covers frequencies (200 Hz–100 kHz) in the range from the electron cyclotron (f_{ce}) to above plasma frequency (f_{ce}) in heliospheric distances of 0.5–1.0 AU. In this frequency range, only two electrostatic wave modes with wave vector along the magnetic field line exist. Close to the local electron plasma frequency, plasma oscillations or Langmuir waves can occur. For long wavelengths, the electron plasma oscillations are almost purely electrostatic at the electron plasma frequency. As their wavelength decrease, approaching the Debye length, the frequency of the waves rise above f_{pe} . However, in this region, the oscillations begin to be strongly damped by Landau damping. Electron plasma oscillations are driven via beam instability and often accompanied by the solar wind with Type III solar radio bursts (Gurnett & Anderson 1976). These electron plasma oscillations are rarely observed below 20 kHz.

The ion-acoustic mode can occur at frequencies below electron plasma frequency and they are electrostatic waves generated by the resonant interaction with ion beams or by the currentdriven instability. The waves are dispersive, with their phase velocity depending on both electron and proton temperatures.



Fig. 2. Waveform snapshot with intense waves recorded by the RPW/TDS receiver. The electric field is transformed into the parallel (E_{para} in blue color) and perpendicular (E_{perp} in orange) directions with respect to the projected ambient magnetic field. In the right column, hodograms of the electric field for the most intense parts of snapshots (in red color) are shown.



Fig. 3. The first year of wave detection by the RPW/TDS statistics. The panel (a) shows an occurrence rate of intense waves below 20 kHz (blue bars) with overplotted distances from the Sun (orange line). A distribution of observed wave frequencies with their variation is shown in the panel (b). Orange and yellow lines present modelled electron and proton plasma frequency, respectively. Averaged maxima of wave amplitudes with their variations are in the bottom panel (c).

The ion-acoustic mode is strongly damped by Landau damping unless the temperature ratio $T_e/T_i > 1$, where T_e and T_i are the electron and proton proton temparature, and for wavelengths shorter than the Debye length. A dispersion relation for non-zero electron and proton temperatures can be expressed as follows (Eq. 4.141 in Swanson (2003)),

$$\omega_{pl}^2 = \frac{k^2 C_s^2}{1 + k^2 \lambda_{De}^2} \left[1 + \frac{3T_i}{T_e} (1 + k^2 \lambda_{De}^2) \right]$$
(1)

where ω_{pl} is a wave frequency in the rest frame, $C_s = \sqrt{k_b T_e/m_i}$ is the ion sound speed, λ_{De} is the electron Debye length, and



Fig. 4. Spectral and polarization analysis of ion-acoustic waves obtained from the RPW/TDS triggered snapshots. (a) Distribution of the peak frequency. (b) Distribution of peak amplitudes. (c) Degree of linear polarization. (d) Angle between wave polarization axis and the projected magnetic field line.

k is the wave vector. Doppler-shifted frequency observed in the spacecraft frame can be calculated as follows,

$$\omega_{sc} = \omega_{pl} + \mathbf{k} \cdot \mathbf{V}_{SW} \tag{2}$$

where, ω_{pl} is the wave frequency in the plasma frame, k is the wave vector and V_{sw} is the solar wind velocity vector.

A dispersion relation for the ion-acoustic mode following Eq. 1 with $T_e=20 \text{ eV}$, $T_i=5 \text{ eV}$, and $n=15 \text{ cm}^{-3}$ is plotted in Figure 5 as a yellow line. The upper limit of the Doppler-shifted frequencies (Eq. 2) is calculated for a wave vector parallel to the extremely fast solar wind flow with a speed of 1000 km/s (Li et al. 2016). The grey region delimits all possible frequencies for ion-acoustic mode. The region of strong damping ($k\lambda_{De} > 1$) is indicated by darker grey. The figure shows that a wide range of wave-vectors can be shifted to higher frequencies (1–10 kHz) and above the proton plasma frequency due to the Doppler shift. The mean proton plasma frequency estimated from all SWA/PAS proton density observations is 960 Hz.

A one day statistics of electric and magnetic fields measured on Oct 14 2020, along with the particle data, is in Figure 6. The statistics of peak and root mean square amplitudes from TDS snapshots (blue and red lines) and identified waves (yellow and green crosses) show higher wave activity between 08:00 and 14:00 in panel (a). While snapshot statistics is continuous

with a cadence of 16 seconds, waves need to be identified by the on-board algorithm to be stored. There are also two dust spikes between 20:00 and 22:00 with amplitudes >10 mV/m. Wave frequencies cover the range of 1-10 kHz (blue circles) and fit between the plasma frequencies derived from the RPW/BIAS observations (yellow line) and proton plasma frequencies estimated from the SWA/PAS data (violet line) in the panel (b). Using the one-minute average of solar wind plasma parameters, magnetic field, and the dispersion relation from Eq. 1, the wave frequency in the plasma rest frame was estimated (open orange circles). The dispersion relation gives us up to three possible wave vectors depending on their orientation (parallel or anti-parallel) to the solar wind flow and wave frequency in the spacecraft frame. The solution of the dispersion relation with the lowest wave vector was preferred due to the expected lower attenuation. The rest frame wave frequency almost fits between electron cyclotron frequency (green line) and proton plasma frequency (violet line). The magnitude (blue line) and projection on the solar wind direction of the magnetic field observations are shown in the panel (c). The bottom panel (d) shows a one-minute average of proton density (blue line) and temperature (orange line) calculated from the SWA/PAS observations. The orange dashed line represents the electron temperature calculated from the SWA/EAS measurements. Wave activity is associated with significant changes in



Fig. 5. Dispersion relation (yellow line) of ion-acoustic mode for $T_e=20 \text{ eV}$, $T_e/T_i=4$ and $n = 15 \text{ cm}^{-3}$ in the plasma rest frame. The red line shows the upper limit of Doppler-shifted frequencies of ion-acoustic waves in the spacecraft frame for the waves propagating parallel or anti-parallel with the solar wind direction with $|V_{sw}| = 1000 \text{ km/s}$. The grey area presents typical frequencies of ion-acoustic waves observed in the spacecraft frame. Darker grey delimits a region where ion-acoustic waves start to be strongly damped ($k\lambda_D > 1$). The local proton plasma frequency is shown by the green dashed line.

the magnetic field line configuration. These abrupt changes are also evident in proton observations.

5. Discussion

Electric field measurements of the RPW/TDS receiver often captures very intense broadband fluctuations at frequencies below 20 kHz in the spacecraft frame. Using the RPW/TDS statistical data that continuously captures on-board processed properties of the waveform snapshots, plasma waves at frequencies below 20 kHz and covering an interval of heliocentric distances between 0.5 AU and 1 AU were studied. The wave occurrence rate peaks close to the first perihelion at distances of about 0.5 AU and reaching more than 10% of all downlinked triggered snapshots. With increasing distances, the occurrence rate decreases to only a few waves detected per day. The distribution of peak frequencies covers the range from 1 to 10 kHz and with typical amplitudes of 1–10 mV/m, with higher values close to the perihelion.

A more detailed analysis of the triggered waveform snapshots shows the mean wave frequency about 2 kHz and wave power about $5 \cdot 10^{-2} \text{ mV}^2/\text{m}^2$. The distribution of wave amplitudes varies from 0.2 to 10 mV/m with a mean value of 1.8 mV/m. As shown in Figure 7, wave amplitudes decrease with increasing distance from the Sun. The relative phase distribution between two components of E-field shows a mostly linear wave polarization, and electric field fluctuations are closely aligned with the directions of the ambient magnetic field with the mean value of 12 degrees. Analyzed waves are interpreted as a strongly Doppler-shifted electrostatic ion-acoustic mode.

Previous spectral measurements on the Parker Solar Probe (Mozer et al. 2020) showed large-amplitude wave bursts identified as Doppler-shifted ion-acoustic waves at heliocentric distances of 55 R_S (0.26 AU). More than one-third of captured wave bursts were measured inside the magnetic field switch-

backs (Dudok de Wit et al. 2020; Laker et al. 2020). Mozer et al. (2020) suggested that ion acoustic waves are generated by the ion-beam instability and are a general feature of switchbacks that occur frequently near the Sun. Our observations in Figure 6 shows wave observation during the time period when the magnetic field direction is highly disturbed. However, a statistical study of magnetic field directions during these wave events is needed.

The ion-acoustic mode may also grow from a current-driven or heat flux instability (e.g. Forslund 1970; Lemons et al. 1979). The threshold for this instability is rather high for the ratio $T_e/T_i \sim 1-2$ that is typical of the solar wind at 1 AU (Wilson et al. 2018, and references therein). Electrons' drift velocity with respect to ion velocity should be about the electron thermal velocity for the wave growth to occur. Our first estimates of electron and proton temperature observed on Oct 14, 2020, show a higher ratio $T_e/T_i \sim 4$, corresponding to a minimum drift velocity of $\sim 0.3C_s$, where C_s is the electron sound velocity (Fig. 9.32 in Gurnett & Bhattacharjee 2017). The higher temperature ratio $(T_e/T_i \sim 2-6)$ was also presented in observations from ACE (Skoug et al. 2000) or Helios (Marsch et al. 1982) spacecraft. This higher temperature ratio is more favorable and decreases the threshold for current-driven instability. The analysis of the associated currents observed by Solar Orbiter during the current sheet crossings by Graham et al. (in this issue) shows that the current-driven instability is unlikely to provide wave growth. The calculated threshold currents would still be well above any observed currents. They conclude that although the waves are more likely to be found in enhanced current regions, the currentdriven instability cannot generate the waves.

6. Conclusions

Electrostatic ion-acoustic waves are often captured by the RPW/TDS receiver as intense bursts with amplitudes up to tens of mV/m. The number of observed waves and wave amplitude is increasing with decreasing distances from the Sun. Waves are strongly Doppler-shifted from the frequencies below proton plasma frequency (<1 kHz) to frequencies up to 10 kHz in the spacecraft frame. Our observations of ion-acoustic waves suggest that they are common phenomenon at heliocentric distances between 0.5 and 1 AU. Ion-acoustic waves often accompany large-scale solar wind structures and may play a role in ion diffusion due to wave-particle interactions. They are generated by the resonant interaction with ion beams or by the current-driven instability. Even if the ion-ion instability might be more plausible (Mozer et al. 2020), we leave the question of the source mechanism for the ion-acoustic mode in the solar wind opened for further study.

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Fig. 6. One day of fields and particle observations on Oct 14, 2020. (a) A statistic of RPW/TDS snapshots and wave amplitudes are plotted on the top panel. (b) Distribution of frequencies for detected waves in spacecraft (blue circles) and plasma rest (orange circles) frames. Electron (orange line) and proton (yellow line) plasma frequency estimated from the measurements of RPW/BIAS and SWA/PAS instruments, respectively. (c) Magnitude (blue) and parallel component with respect to the solar wind direction (orange) of the ambient magnetic field from the MAG instrument. (d) Proton density (blue line), proton temperature (orange solid line), and electron temperature (orange dashed line) estimated from the SWA/PAS and SWA/EAS data.



Fig. 7. The wave amplitudes of ion-acoustic waves captured by the triggered snapshots within heliocentric distances between 0.5 and 1.0 AU. The red line shows the mean of logarithm of wave amplitudes.

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