

# Emerging mitigation measures and strategies are needed for riverine ecology to ensure sustainable hydropeaking production in Norway

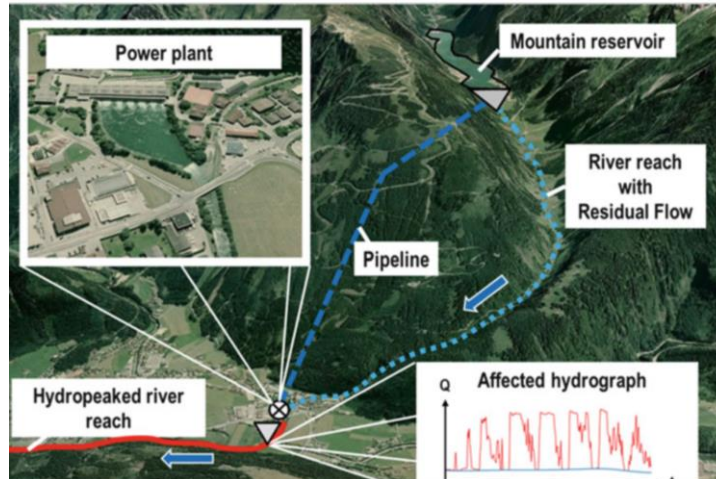
J. H. Halleraker<sup>1,2</sup>, M. S. R. Kenawi<sup>1</sup>, J. H. L'Abée - Lund<sup>3</sup>,  
Anders G. Finstad<sup>4</sup>, T. H. Bakken<sup>1</sup> & K. Alfredsen<sup>1</sup>

<sup>1</sup> Norwegian University of Science and Technology (NTNU), Department of Civil and Environmental Engineering

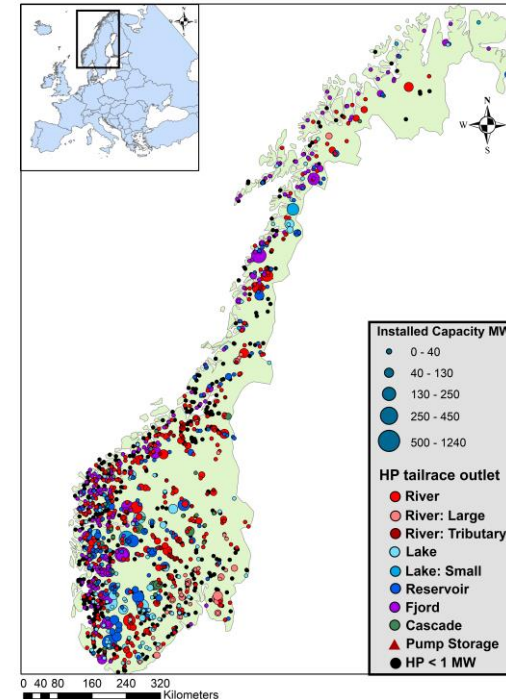
<sup>2</sup> Norwegian Environment Agency (NEA)

<sup>3</sup> Norwegian Water Resources and Energy Directorate (NVE)

<sup>4</sup> Centre for Biodiversity Dynamics (CBD), Department of Natural History (NTNU)



(Grimelet et al, 2018)



1. SusFlow

Flow alteration and ecology


EcoPeak - subdaily flow fluctuations

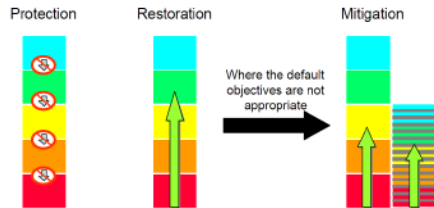
7 SUSTAINABLE ENERGY



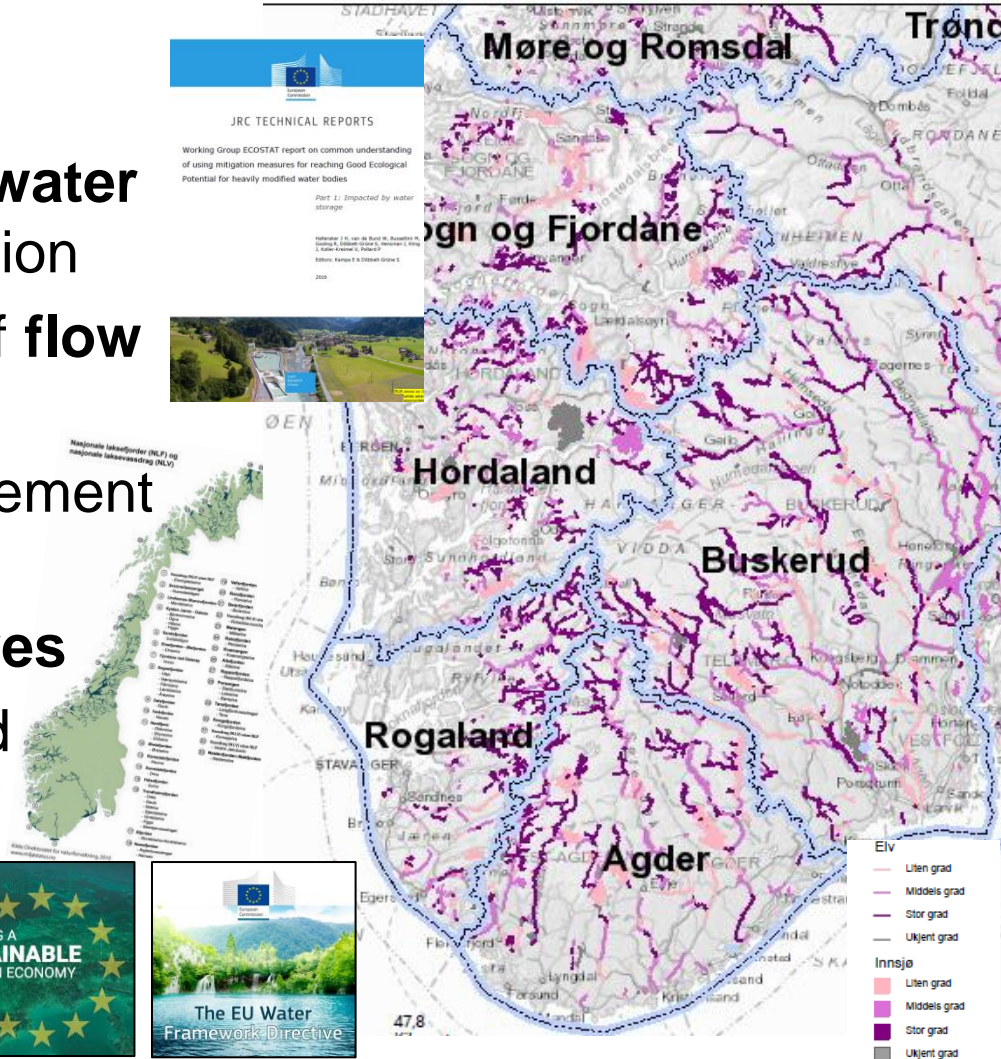
# Objectives

The emphasise on **downstream water** bodies from hydro turbines operation

- i) ecological risk assessment of **flow ramping**
  - ii) relate key findings to management objectives
  - ii) evaluate **mitigation measures**
  - iv) recommend evidence based management strategies
- 
- A map of the Netherlands, showing the extensive network of waterways (rivers, canals) and the surrounding land. The map is oriented vertically, with the top of the country at the top. The waterways are highlighted in a darker shade of green, while the land is a lighter green. The map is partially cut off on the right side.



NTNU



# Key steps in the ecological risk assessment



SUSTAINABILITY



Base HP production Peak

## 1. Hydro Power (HP) CHARACTERISTICS

- Type and locations of outlets
- Storage scheme vs RoR HP
- Max turbine Q

## 2. VULNERABILITY: Ecological sensitivity/ risks

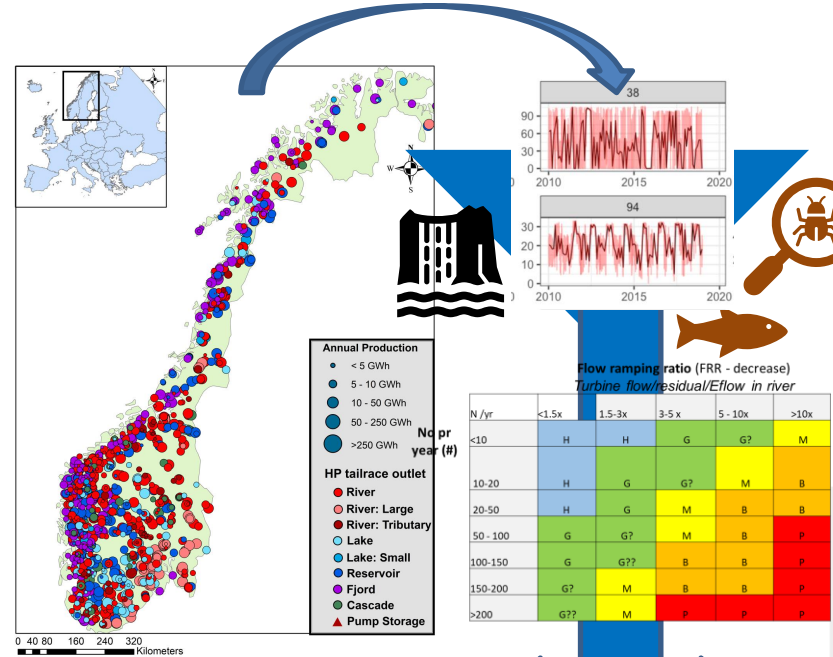
- Abiotic - water body characteristics
- Biological conditions

## 3. PRESSURE - IMPACTS: Mode of operation vs efficiency of mitigations

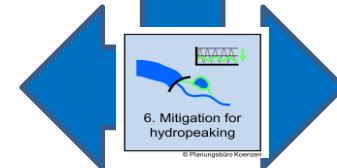
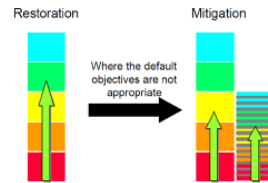
- Analysis of flow ramping intensity – Q-indicators
- Multiple pressure modelling
- Functionality and pending mitigation measures

## 4. MITIGATION: Monitoring and scoring of ecological restoration vs energy potential

- Identification of pending relevant measures as basis for sustainability evaluation



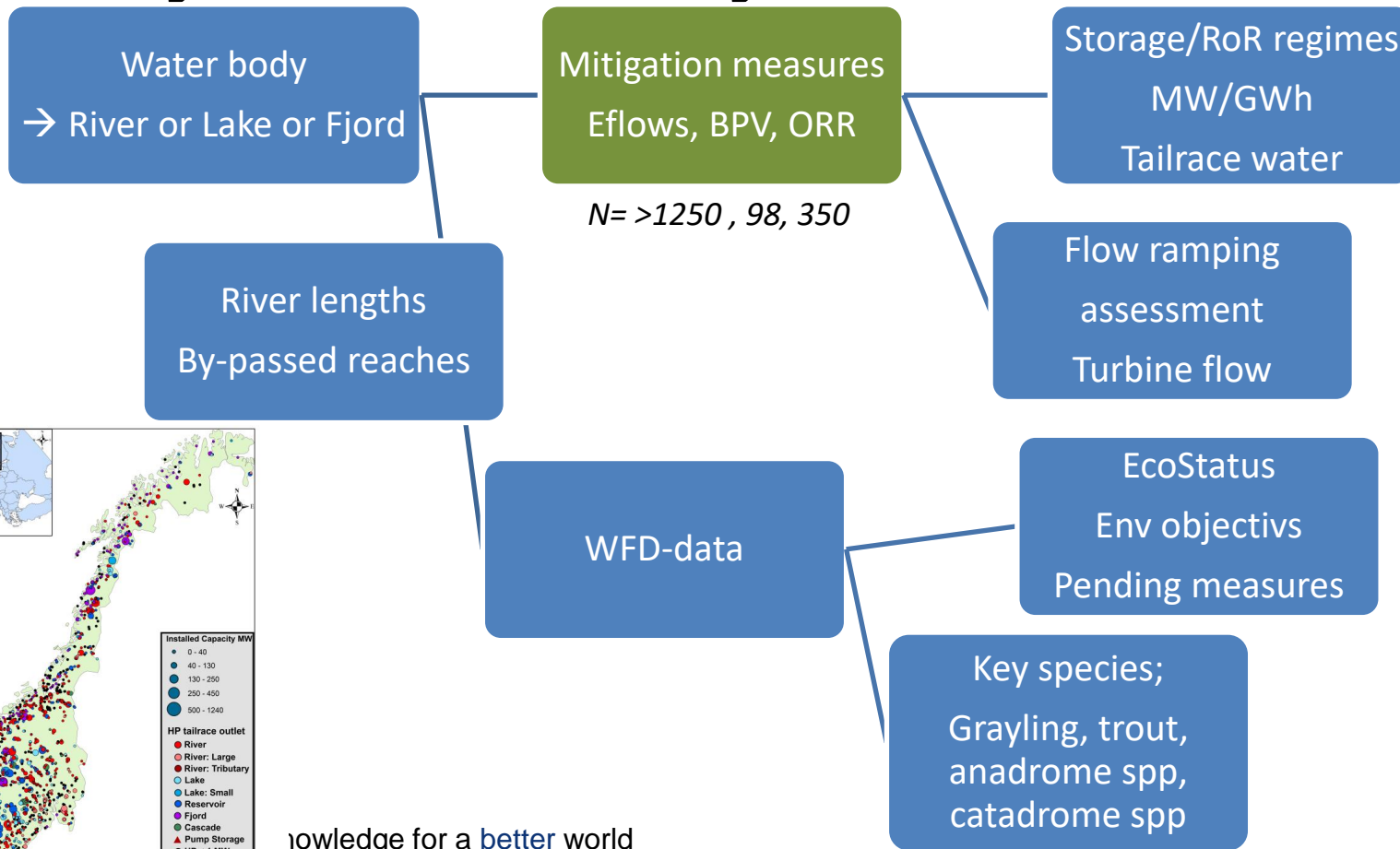
HP tailrace outlets	All sizes		>10 MW		1.1-10 MW		<1 MW	
	n	%	n	%	n	%	n	%
In rivers (> 1 km)	785	51 %	157	47 %	339	50 %	289	56 %
"small lakes"	17	1 %	6	2 %	9	1 %	2	0 %
In lakes/reservoirs	370	24 %	113	34 %	159	23 %	98	19 %
In fjords	359	23 %	56	17 %	174	26 %	129	25 %
Total no	1531		332		681		518	



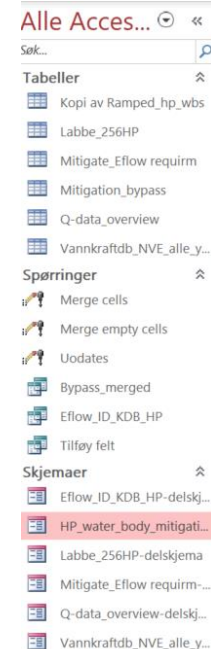
6. Mitigation for hydropeaking



# EcoHydro water body database N > 1690 HPs



SUSTAINABILITY

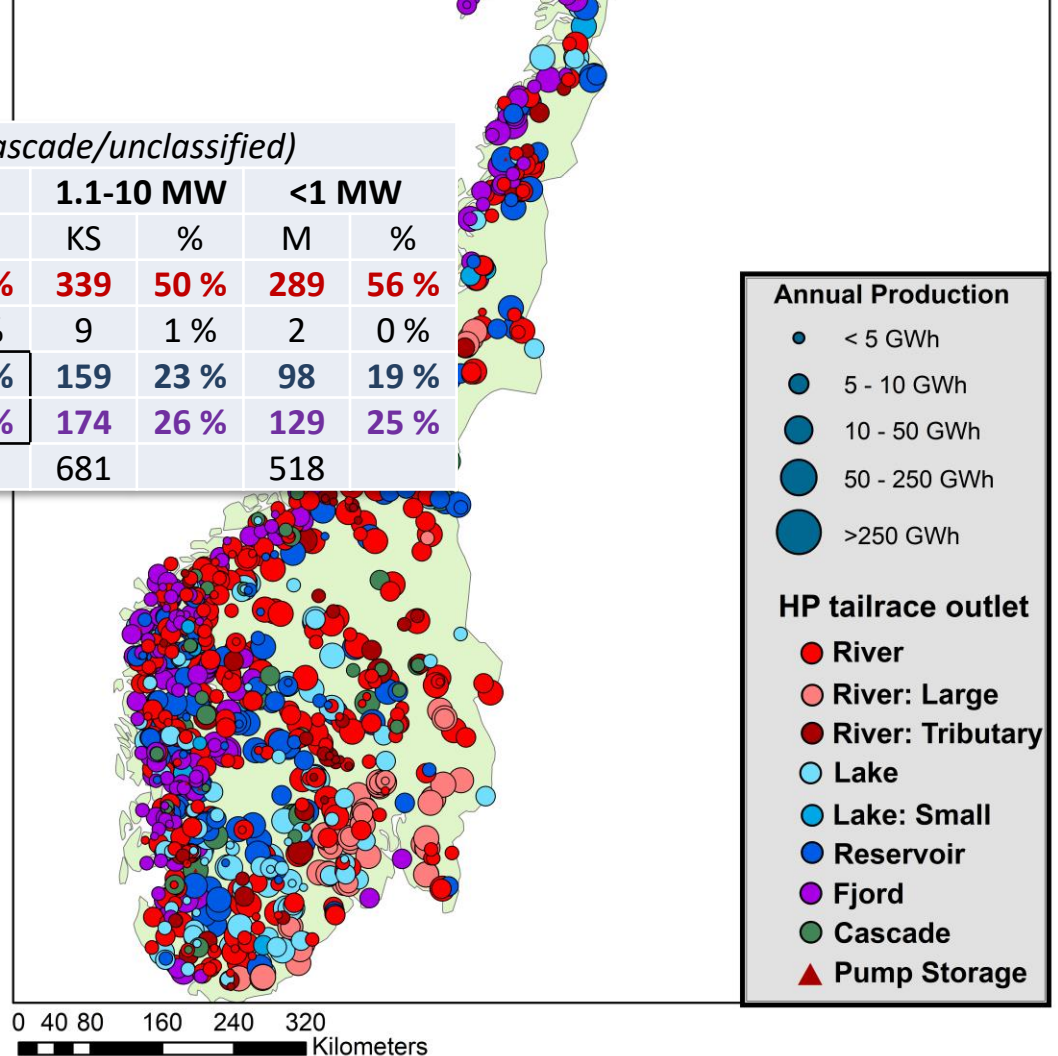


# Norwegian HP outlets

	All (excl P, PK/cascade/unclassified)							
	All sizes		>10 MW		1.1-10 MW		<1 MW	
HP tailrace outlet	N	%	K	%	KS	%	M	%
In rivers (> 1 km)	785	51 %	157	47 %	339	50 %	289	56 %
"small lakes"	17	1 %	6	2 %	9	1 %	2	0 %
In lakes/reservoirs	370	24 %	113	34 %	159	23 %	98	19 %
In fjords	359	23 %	56	17 %	174	26 %	129	25 %
Total no	1531		332		681		518	

## Take home messages:

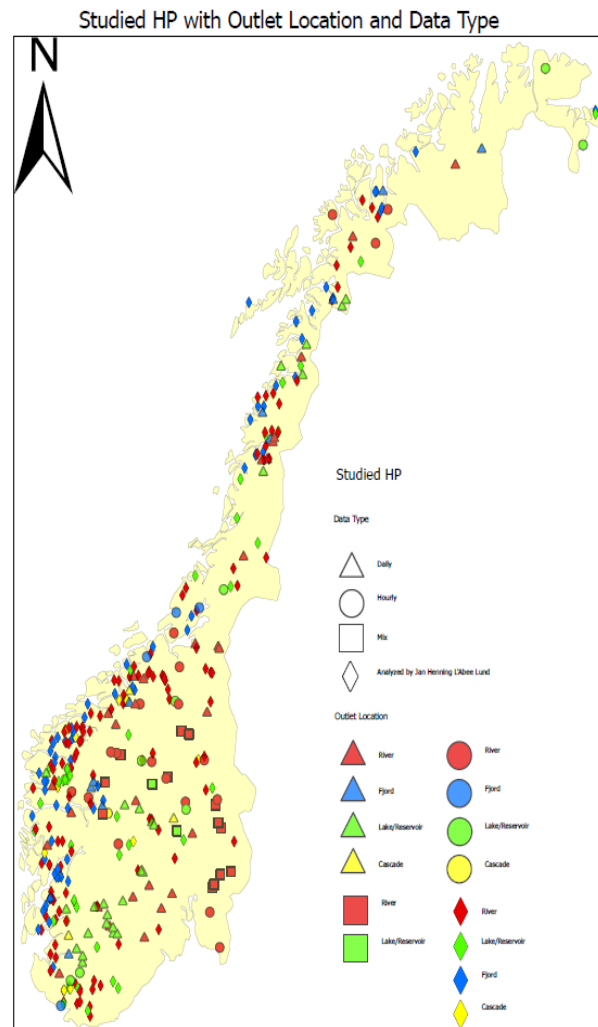
1. Ecological severe **flow ramping is frequent** in many Norwegian rivers
  - ✓ An issue for all? HP with outlet in **rivers**?
2. Many (**51 %**) of our largest HP facilities have outlet into **fjords** or **reservoirs**
  - ✓ peaking without ecological damage without mitigation is likely



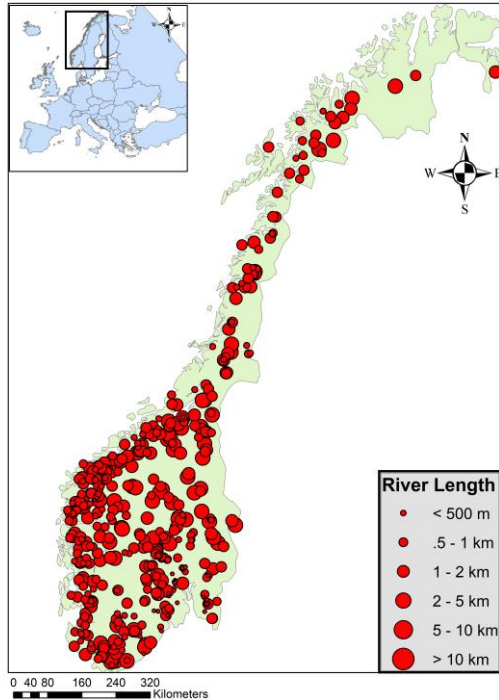
# Ramping restrictions in Norwegian hydropower licenses

	<u>All sizes</u>		<u>&gt;10 MW</u>		<u>1.1-10 MW</u>	
<u>Operational Ramping Restrictions (ORR)</u>	n	%	n	%	n	%
<b>In license</b>	<b>&gt;348</b>	<b>21 %</b>	<b>32</b>	<b>9 %</b>	<b>277</b>	<b>39 %</b>
Without any restrictions	499	30 %	185	54 %	244	34 %
<i>Total HP (excluding P and PK)</i>	1652		345		716	

- Most ORR have vague descriptions  
*e.g. as slow flow-change as possible, and not related to e.g. downramping speed*
- Few large HP have ORR in license requirement  
An issue in revision of terms presently
- Several large scale HP license have more detailed ORR description in National **salmon rivers** than others  
*e.g. HP 3 – Alta – no more than 2 m<sup>3</sup>/s daily change (16-33 m<sup>3</sup>/s)*



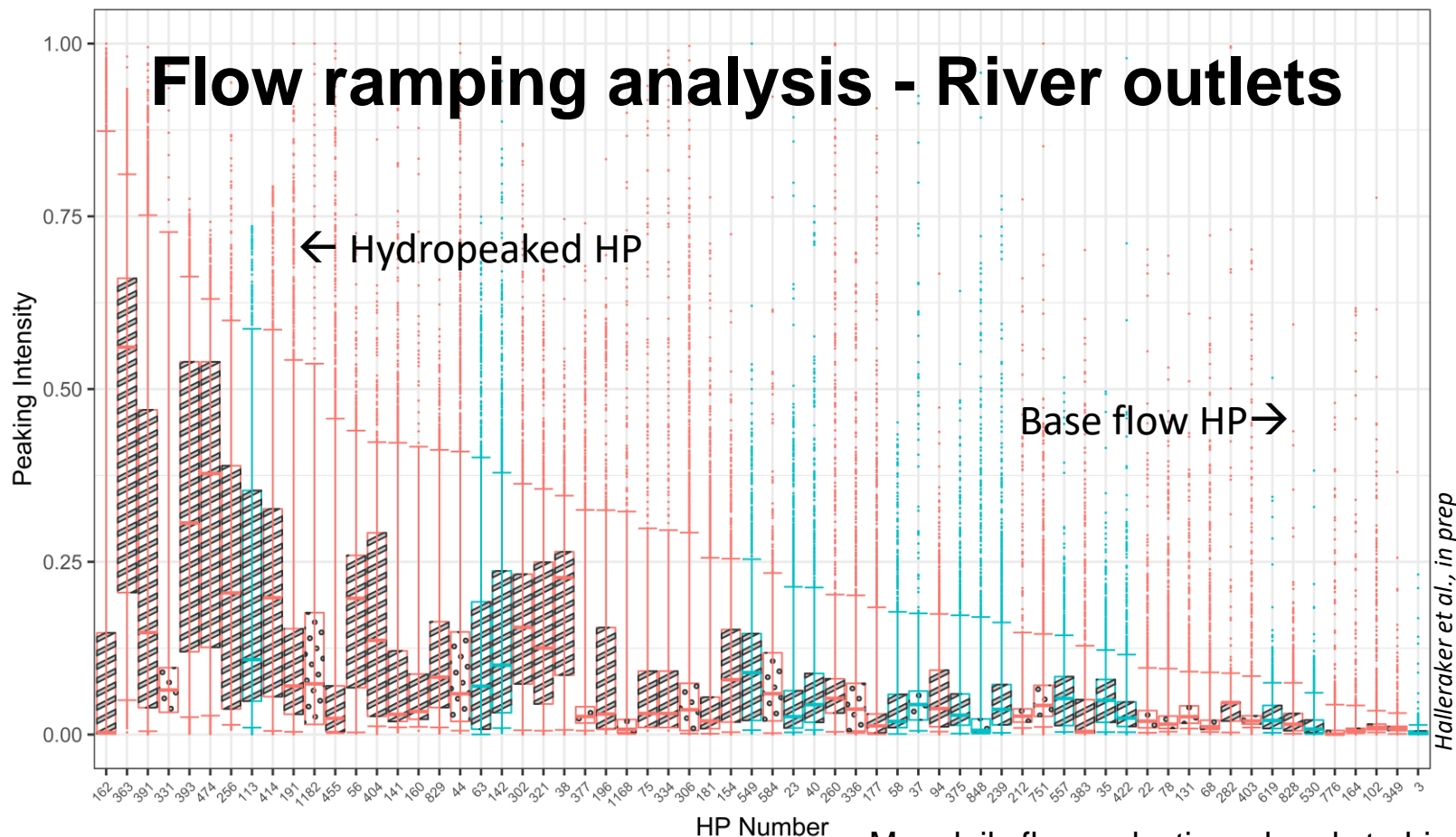
## Key results from our assessment



- About 51 % of the HPs (ca **80TWh**) have tailrace into shorter rivers (<1 km) or directly into fjords or lake/reservoirs.
  - Many of the largest HPs are in this category (e.g 50 HP > 500 MW).
- Close to 800 HP might have downstream impacts on rivers
  - > 0.5 km; about 49 % of all HP, in total of ca **56 TWh**)
- Probably **> 3 000 km of regulated rivers** in Norway therefor might need more ecosystem-based mode of HP operation (*flow modification restoration or additional mitigation measures*).



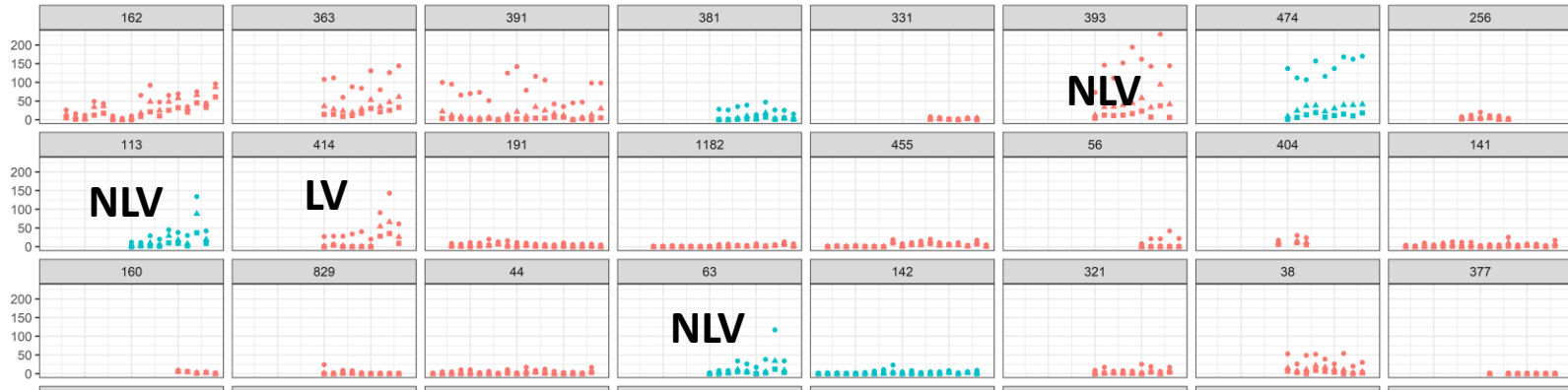
# Flow ramping analysis - River outlets



Restrictions  No  Yes HP Type  Reservoir  RoR

Max daily flow reductions, hourly turbine discharge 2010-2019 (several shorter time series), normalised by max turbine capacity (whiskers 5%ile and 95 %ile)





Restrictions



No



Yes

Annual No. Peaks >



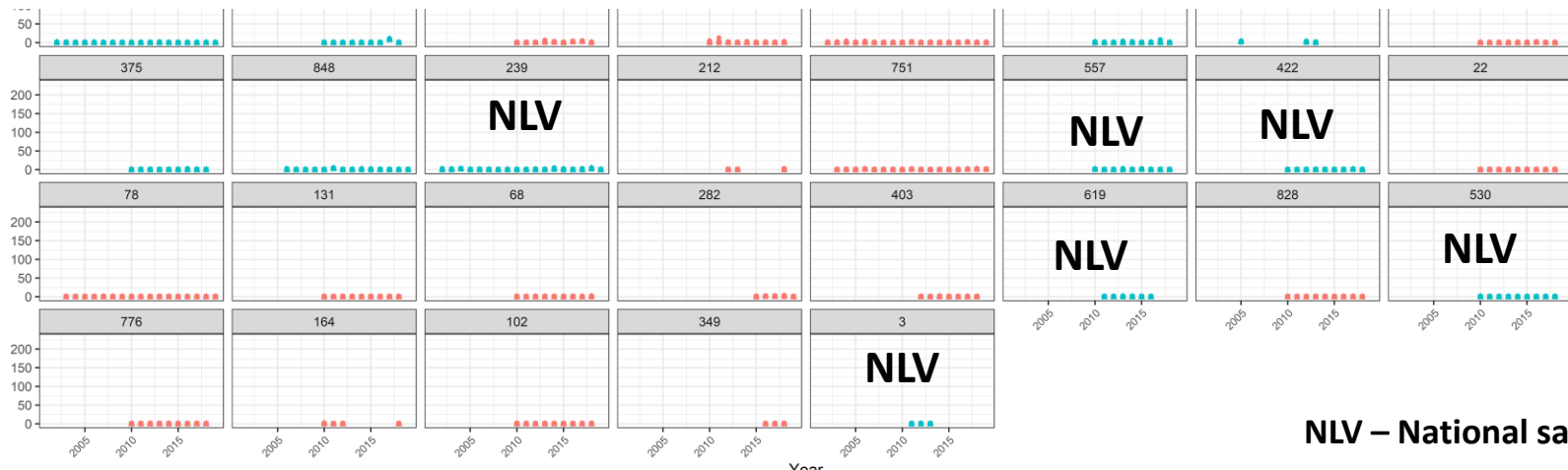
75%



90%



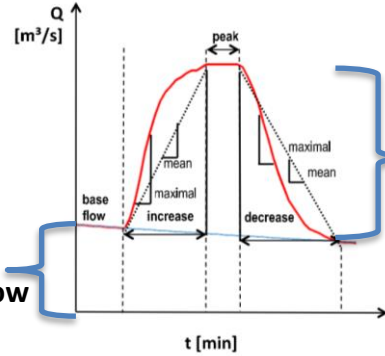
95%



NLV – National salmon rivers

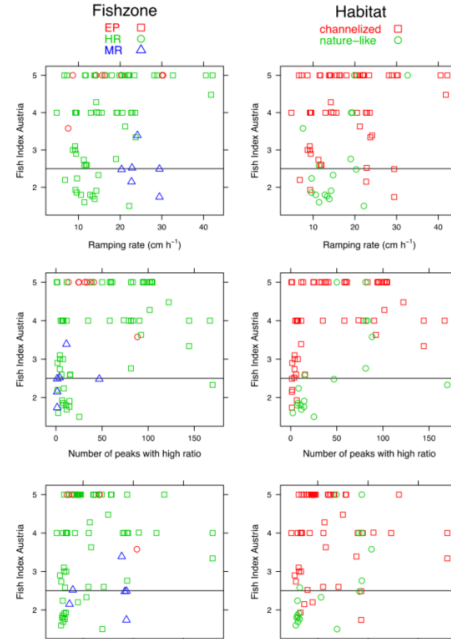
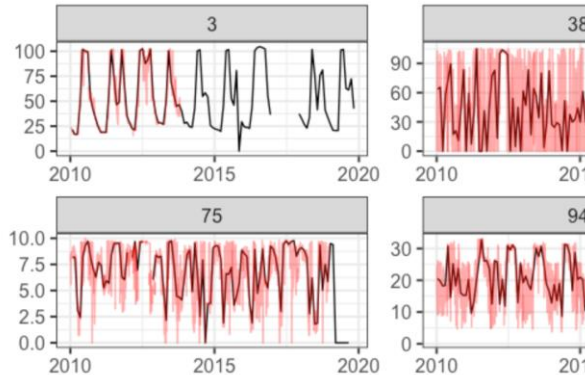
# Flow ramping rules and ecological impacts are well documented

Residual-/  
seasonal E-flow



Turbine HP  
flow

Figure 1. Schema of hydrological variables derived from flow curves. This figure is available in colour online at [wileyonlinelibrary.com/journal/ra](http://wileyonlinelibrary.com/journal/ra)



Review

## Life Stage-Specific Hydropeaking Flow Rules

Daniel S. Hayes <sup>1,2,\*</sup>, Miguel Moreira <sup>3</sup>, Isabel Boavida <sup>3</sup>, Melanie Haslauer <sup>1</sup>, Günther Unfer <sup>1</sup>, Bernhard Zeiringer <sup>1</sup>, Franz Greimel <sup>1</sup>, Stefan Auer <sup>1</sup>, Teresa Ferreira <sup>2</sup> and Stefan Schmutz <sup>1</sup>

Article

## The Impact of Hydropeaking on Juvenile Brown Trout (*Salmo trutta*) in a Norwegian Regulated River

Svein Jakob Saltveit <sup>1,\*</sup>, Åge Brabrand <sup>1</sup>, Ana Juárez <sup>2</sup>, Morten Stickler <sup>3,4</sup> and Bjørn Otto Donnum <sup>5</sup>

<sup>1</sup> Freshwater Ecology and Inland Fisheries Laboratory, Natural History Museum, University of Oslo,

RIVER RESEARCH AND APPLICATIONS

*River Res. Applic.* **31**: 919–930 (2015)

Published online 7 August 2014 in Wiley Online Library  
([wileyonlinelibrary.com](http://wileyonlinelibrary.com)) DOI: 10.1002/ra.2795

## RESPONSE OF FISH COMMUNITIES TO HYDROLOGICAL AND MORPHOLOGICAL ALTERATIONS IN HYDROPEAKING RIVERS OF AUSTRIA

S. SCHMUTZ<sup>a,\*</sup>, T. H. BAKKEN<sup>b</sup>, T. FRIEDRICH<sup>c</sup>, F. GREIMEL<sup>a</sup>, A. HARBY<sup>b</sup>, M. JUNGWIRTH<sup>a</sup>, A. MELCHER<sup>a</sup>, G. UNFER<sup>a</sup> AND B. ZEIRINGER<sup>a</sup>

HYDROPEAKING IN ALPINE RIVERS

923

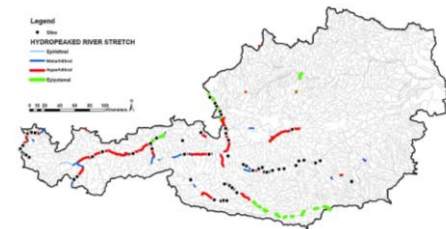
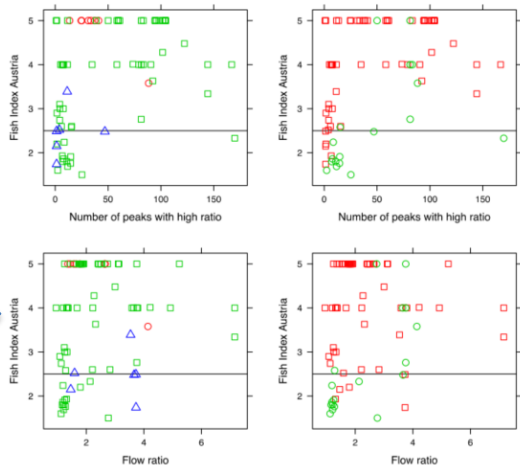
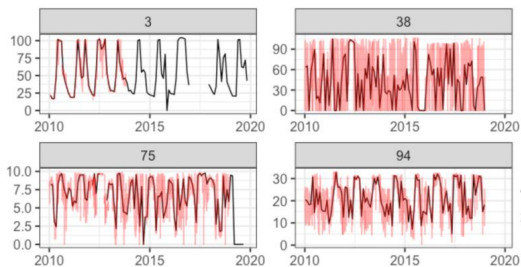
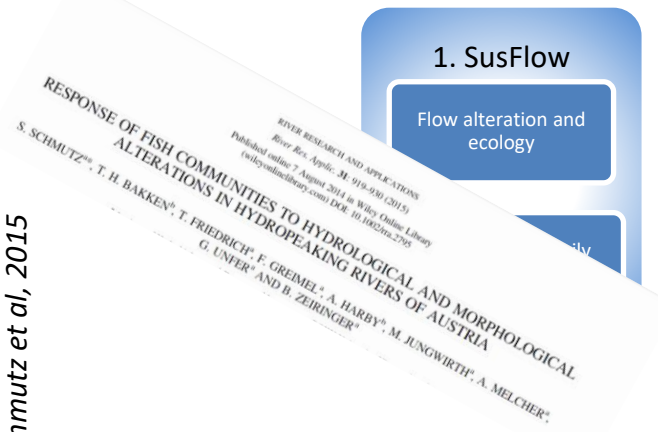


Figure 2. River stretches affected by hydropeaking, fish zones and fish sampling sites in Austrian rivers. This figure is available in colour

# Ecological impact modelling for river WBs



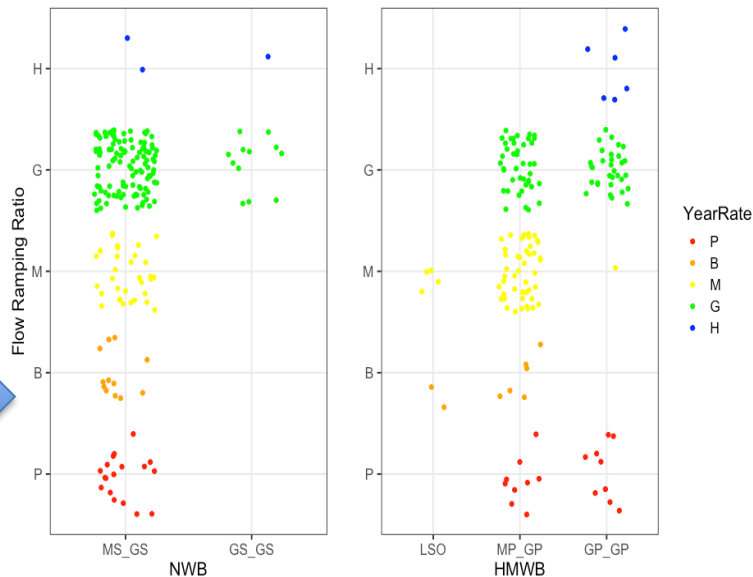
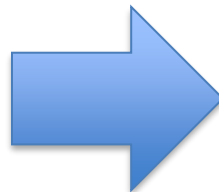
Schmutz et al, 2015



**Flow ramping ratio (FRR - decrease)**  
Turbine flow/residual/Eflow in river

No pr  
year (#)

N /yr	<1.5x	1.5-3x	3-5 x	5 - 10x	>10x
<10	H	H	G	G?	M
10-20	H	G	G?	M	B
20-50	H	G	M	B	B
50 - 100	G	G?	M	B	P
100-150	G	G??	B	B	P
150-200	G?	M	B	B	P
>200	G??	M	P	P	P



# Ecological mitigation (DIPSIR)



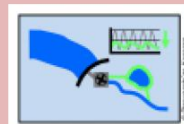
SUSTAINABILITY

The Green Deal  
Electrification

Drivere

Tiltak

Construction/technical measures to mitigate negative effects of hydropеaking\*



Altered flow  
Habitat degradation

Påvirkninger

Effekt

Loss of biota/  
biodiversity

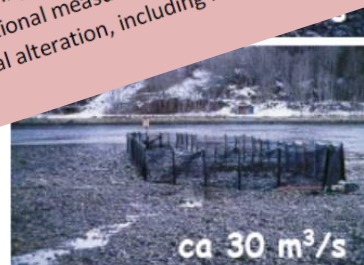
Water temp  
Ecological  
status/potential

Tilstand

Construction/technical measures to mitigate negative effects of hydropеaking\*



- Mitigate short term/rapid flow level changes due to hydropеaking, e.g via balancing reservoirs
- Mitigate short term/rapid flow level changes due to hydropеaking (internal/external), relocating tailrace
- Install by-pass valves (for damping sudden drop in discharge)
- Improving in-channel structures to reduce velocities and provide shelter
- See row "Environmental flow" for reducing ramping rate and row "Modification or management of structures (e.g. sluices)" for operational measures
- See also row "Rehabilitation of physicochemical alteration, including mitigation of downstream case of thermo-peaking or saturation peaking"



Emerging ecosystem-based measures to reduce riverine ecological impact downstream hydropеaking facilities in Europe

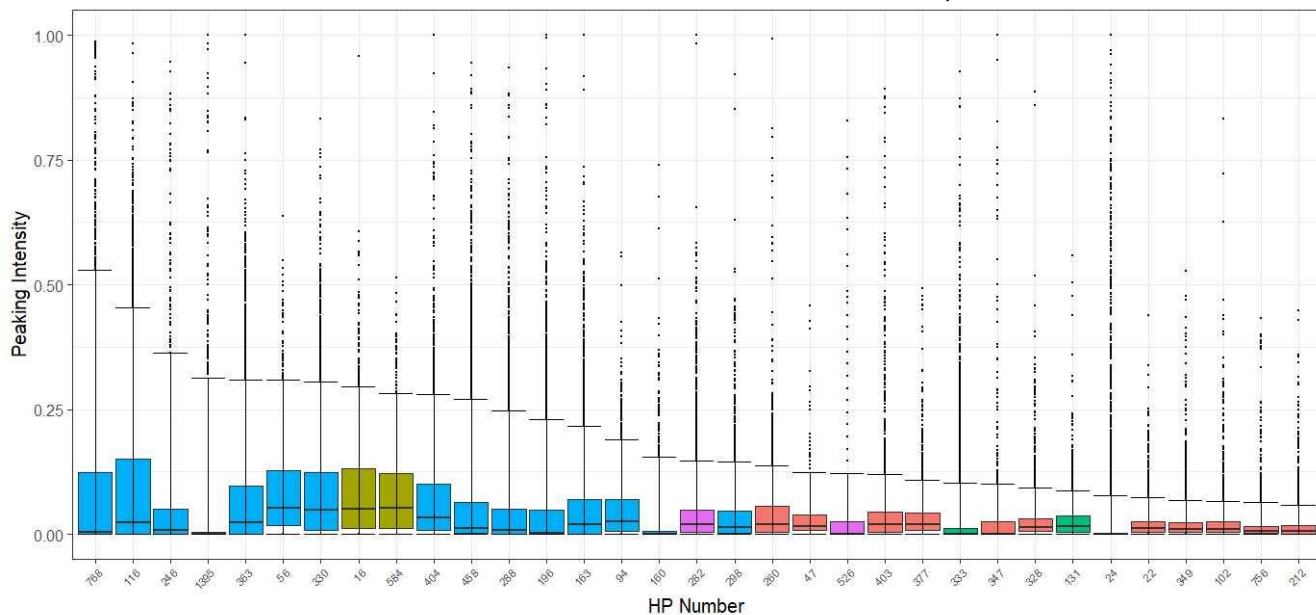
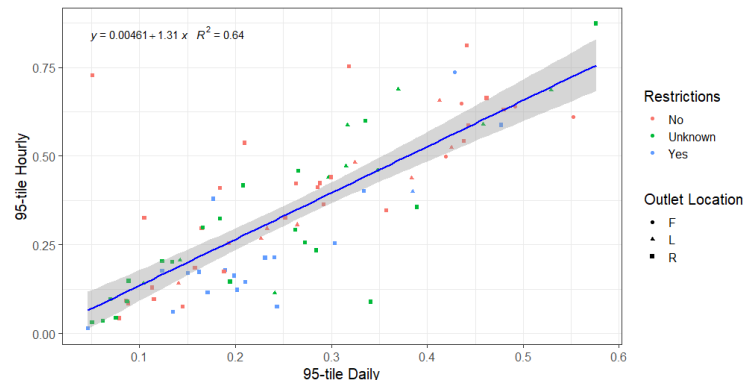


# Daily data (only) River outlets\_no restrictions

→ indicative flow ramping signals and identify need for ecological mitigation

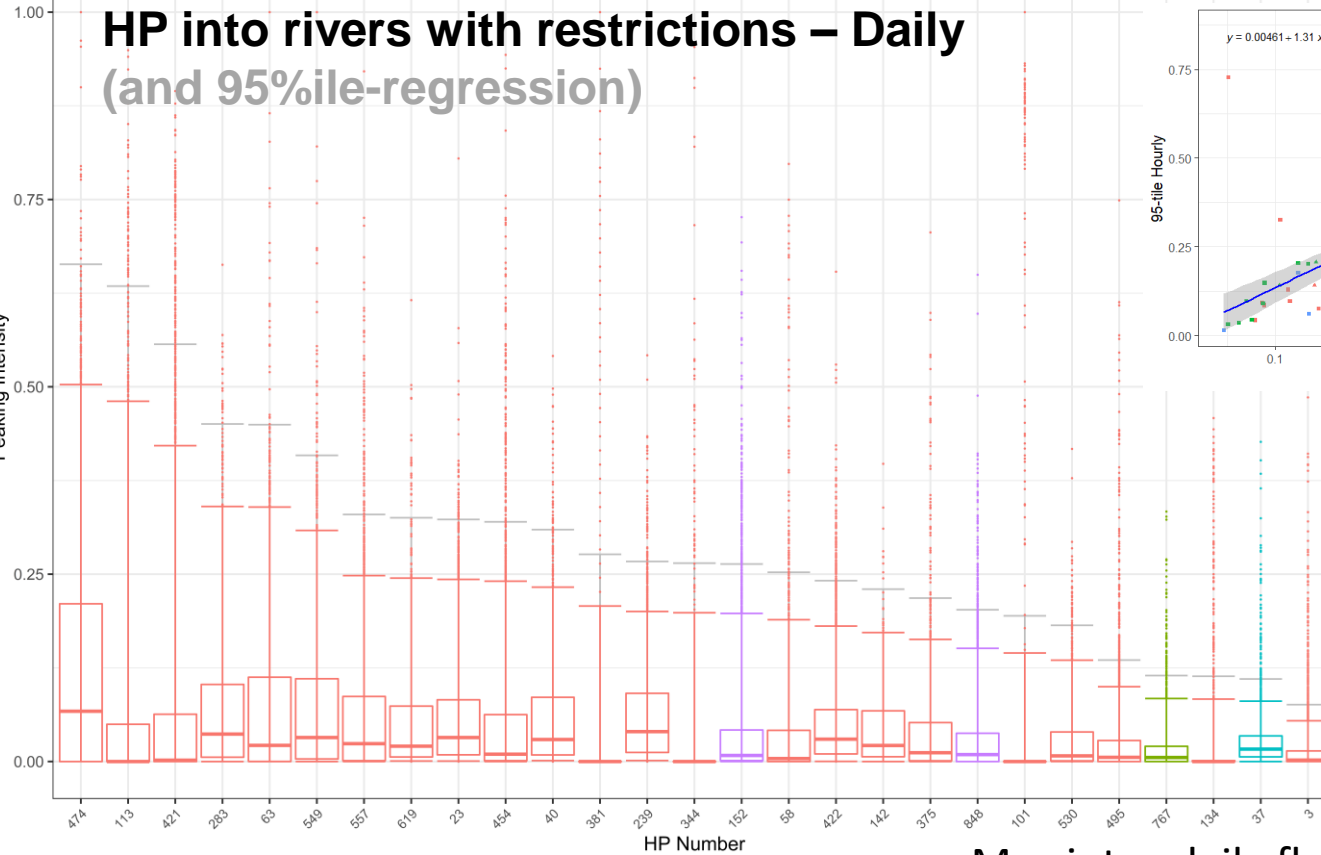
- 768 Lakshola – R3 (A)
- 116 Grytten – R5 (A)
- 246 Lio – R3 (Storaure)\*
- 1395 Mælfoss -
- 363 Savalen – R4 (Grayling)
- 56 Dale II (A) \*
- 330 Rana – R3 (A)
- 16 Bardufoss – R3
- 584 Hellandsfoss – R3
- 404 Straumsmo – R5 \*
- 458 Tunnsjødal – R5
- 196 Kalvedalen – R4
- 94 Skagen – R4
- 282 Mykstufoss – R5
- 298 Nedre Røssåga – R4 (A)

(\*) Stranding reported

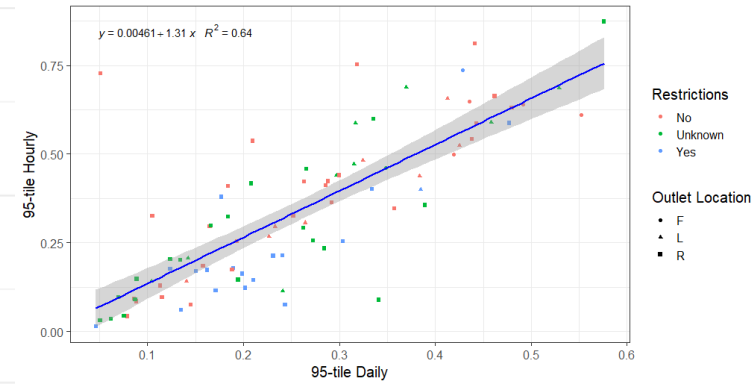


# HP into rivers with restrictions – Daily (and 95%ile-regression)

Peaking Intensity



HP Typology Reservoir RoR\_HH RoR\_LH RoR\_UPSTOR



Max inter-daily flow reductions, DAILY turbine discharge 2010-2019, normalised by max turbine capacity (whiskers 95 %ile), (and 95%ile-regression)

# Key findings for sustainable management



- ✓ Ecological severe **flow ramping is frequent** in many Norwegian rivers
- ✓ Many HP operating in National **salmon rivers** seems to **practise gentle flow ramping**
- ✓ Ecological severe flow ramping, seems to be partly overlooked, and not sufficiently mitigated in many Norwegian rivers
- ✓ The screening criteria for **EUs taxonomy of sustainable hydropower** highlights mitigation of hydropeaking

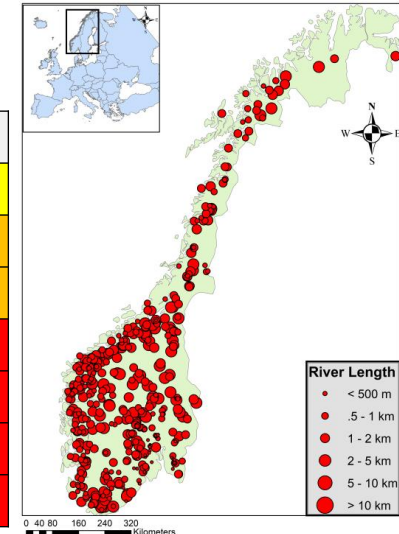
measures to ensure minimum ecological flow (including mitigation of rapid, short-term variations in flow or hydro-peaking operations) and sediment flow;

measures to protect or enhance habitats.

- ✓ Norway as a “green battery” need then to step up ecological mitigation and monitoring to ensure sustainability
  - ✓ Pri 1: Peaking mainly **from HP without river impacts**
  - ✓ Pri 2: avoid rapid/frequent flow ramping in the most sensitive ecological emergence windows

No yr  
year  
(#)

N /yr	Flow ramping ratio (FRR - decrease)				
	<1.5x	1.5-3x	3-5 x	5 - 10x	>10x
<10	H	H	G	G	M
10-20	H	G	G	M	B
20-50	H	G	M	B	B
50 - 100	G	G	M	B	P
100-150	G	G	B	B	P
150-200	G	M	B	B	P
>200	G	M	P	P	P





# Questions?

Thanks for your interest  
in this project 😊



© Markus Zeh  
«Rolls Royce Mitigation» of Hydroeaking in the alps