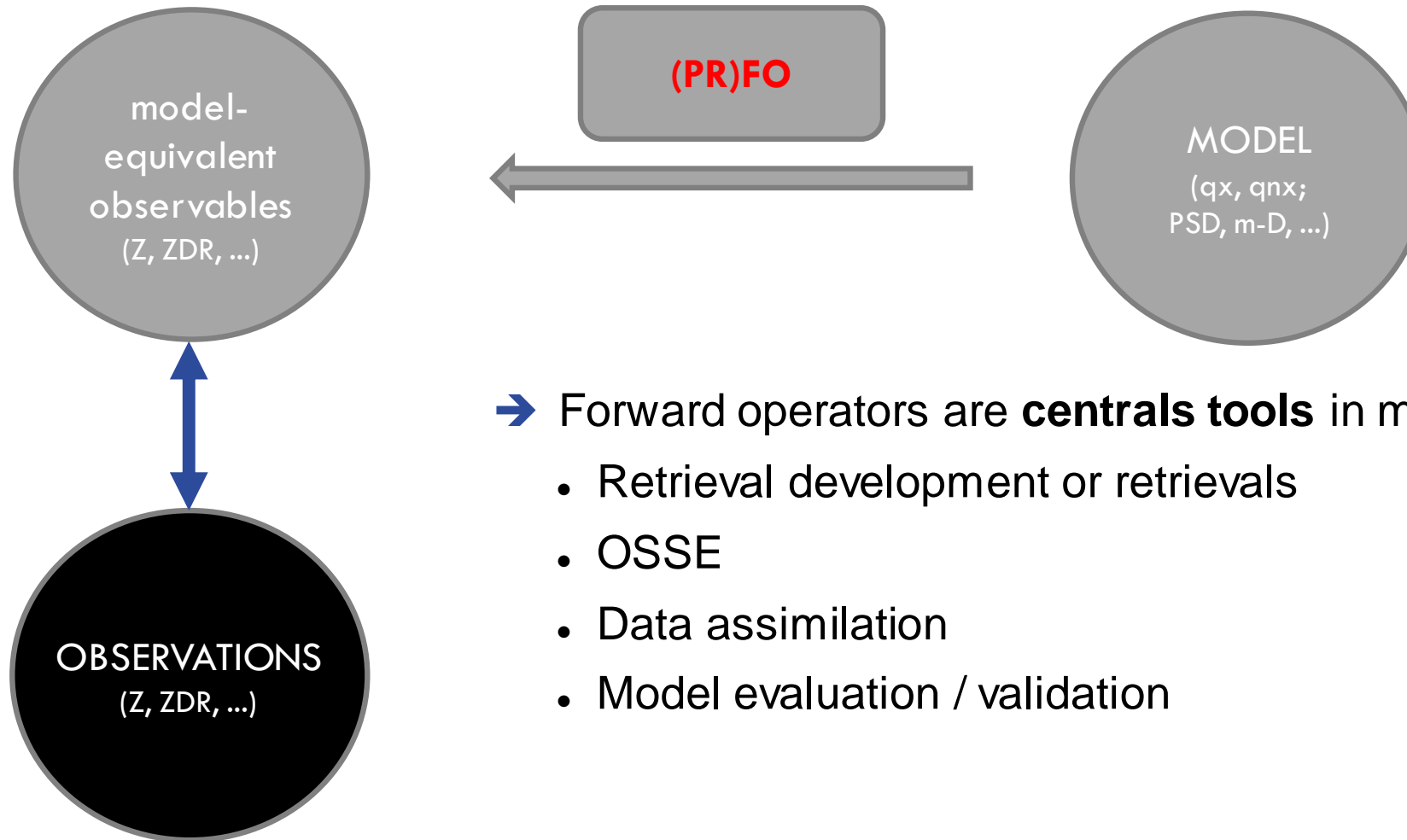


An efficient polarimetric radar forward operator for NWP model validation and data assimilation

Jana Mendrok,

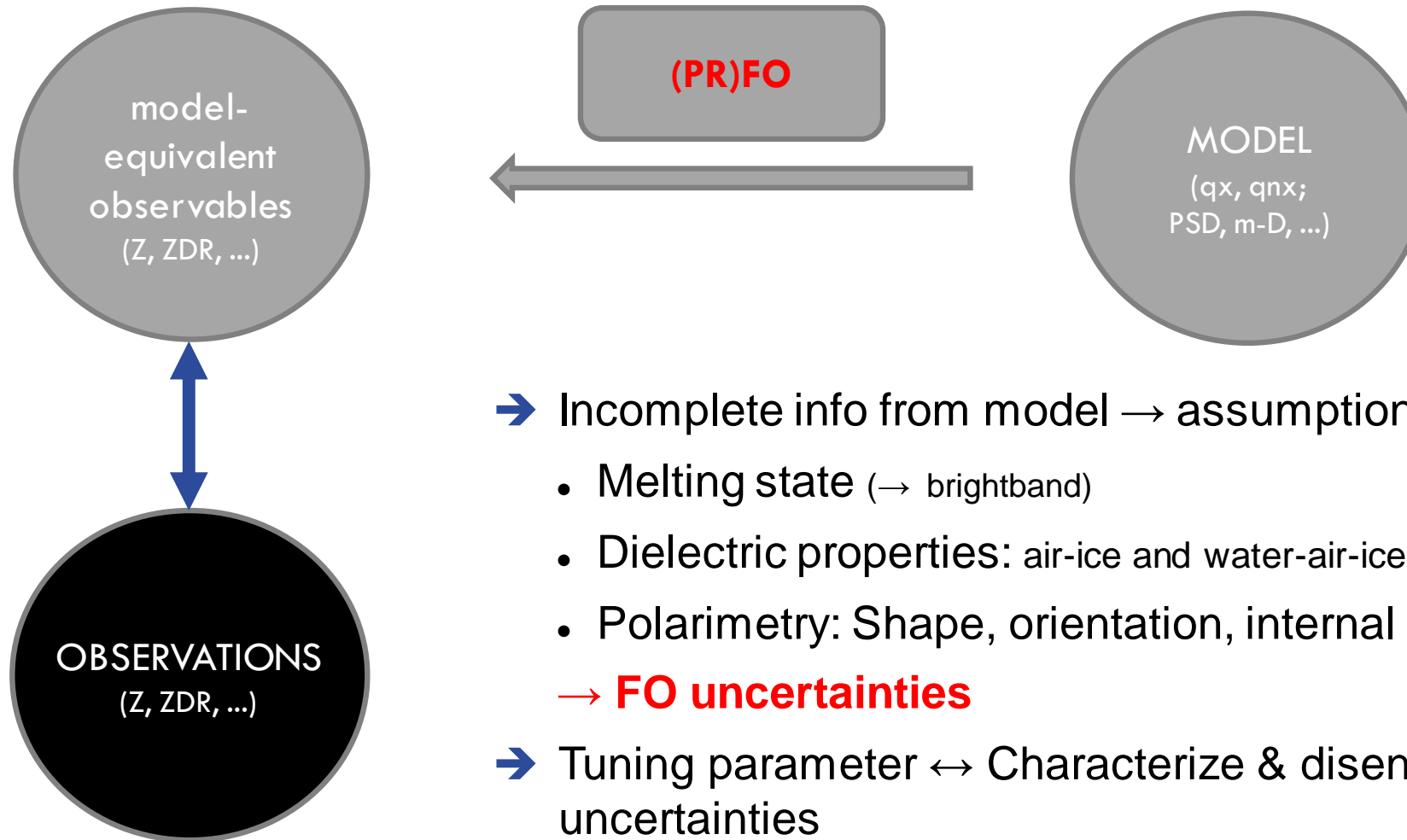
Jacob Carlin, Jeffrey Snyder,

Silke Trömel, Prabhakar Shrestha, and Ulrich Blahak



- Forward operators are **centrals tools** in many applications:
- Retrieval development or retrievals
 - OSSE
 - Data assimilation
 - Model evaluation / validation

adapted from Pejic'20



- ➔ Incomplete info from model → assumptions by FO required, e.g. on:
 - Melting state (→ brightband)
 - Dielectric properties: air-ice and water-air-ice mixtures
 - Polarimetry: Shape, orientation, internal structure of hydrometeors→ **FO uncertainties**
- ➔ Tuning parameter ↔ Characterize & disentangle from model uncertainties

adapted from Pejčic'20

→ FO in DWD's **operational assimilation** of reflectivities and radial winds from C-Band **radar network**

→ **Central requirements:**

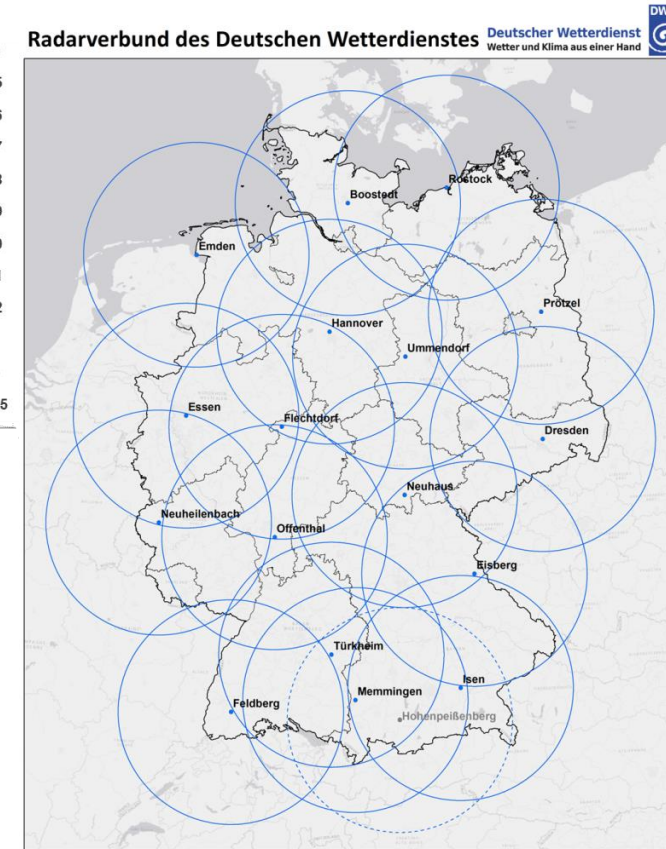
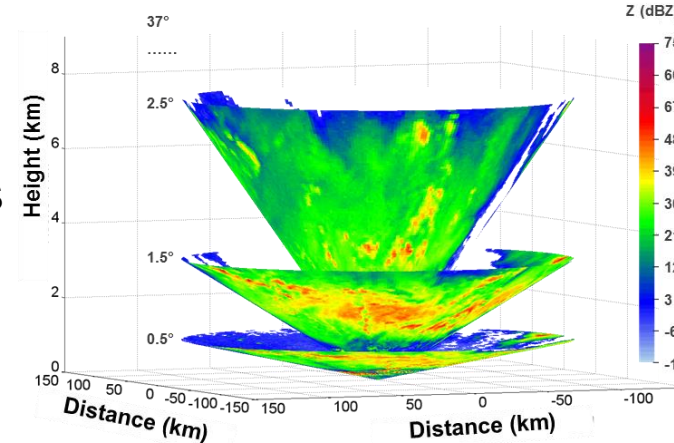
- Computational **speed**
- Synthetic observations from sensor networks
- Model consistency

→ **Central features:**

- Online coupled to ICON (and COSMO)
- Bulk (Mie) scattering lookup tables
- Observation modelling: incl. beam smoothing, scan pattern, ...

Assumptions on unconstraints (→ tuning parameters):

- Melting scheme: $f_m = f(T, D)$
- Choice of effective dielectrics index approaches



→ Approach: extend & adapt where necessary, but **keep existing features & characteristics**

→ Added scattering model option: **T-Matrix** + angular moments

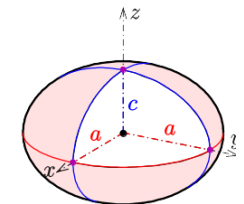
*state-of-the-art,
but **has its issues***

- shape (AR), orientation (σ_β), melt fraction dependence from **Ryzhkov et al. (2011)**

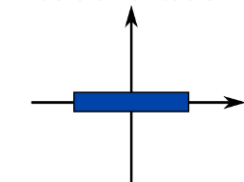
→ Lookup tables extended & adapted for polarimetry

→ Polarimetric output: ZDR, (LDR), KDP, RHV, ADP

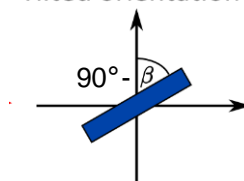
liquid	rain	ice	snow	graupel, hail	
Rayleigh	oblate spheroids	oblate spheroids	oblate spheroids	oblate spheroids	shape
-	Brandes (2002) f(deg4-in-D)	Matrosov (1996) thick plates aD^b	1.0-0.02*D 0.8 (D>10mm)	1.0-0.02*D 0.8 (D>10mm)	AR
-	10°	10°	40°	40°	σ_β
-	-	both: lin. in f_m to rain	both: lin in f_m to rain	AR: lin. in f_m between AR _{wet} =[AR _{dry} ,0.8,0.48,AR _{rain}] for f_m =[0,0.2,0.8,1] σ : lin. in f_m to rain	melting behaviour (f_m =mass melt fraction)



Basic orientation



Tilted orientation



→ Parallelization + bulk scattering lookup tables

- tabulation of additive components per hydrometeor class
- over total (1mom) or mean (2mom) bulk mass q_x + ambient temperature T + max. melting temperature T_m

→ Example: online in ICON-LAM on DWD's NEX-SX Aurora HPC (128 vector processors)

- D2-domain, 2-mom microphysics, 6 hydromet. classes
- 24h free forecast with 5' output of 10-elev. volume scans for 16 DWD C-band radars (= 289 radar output times)

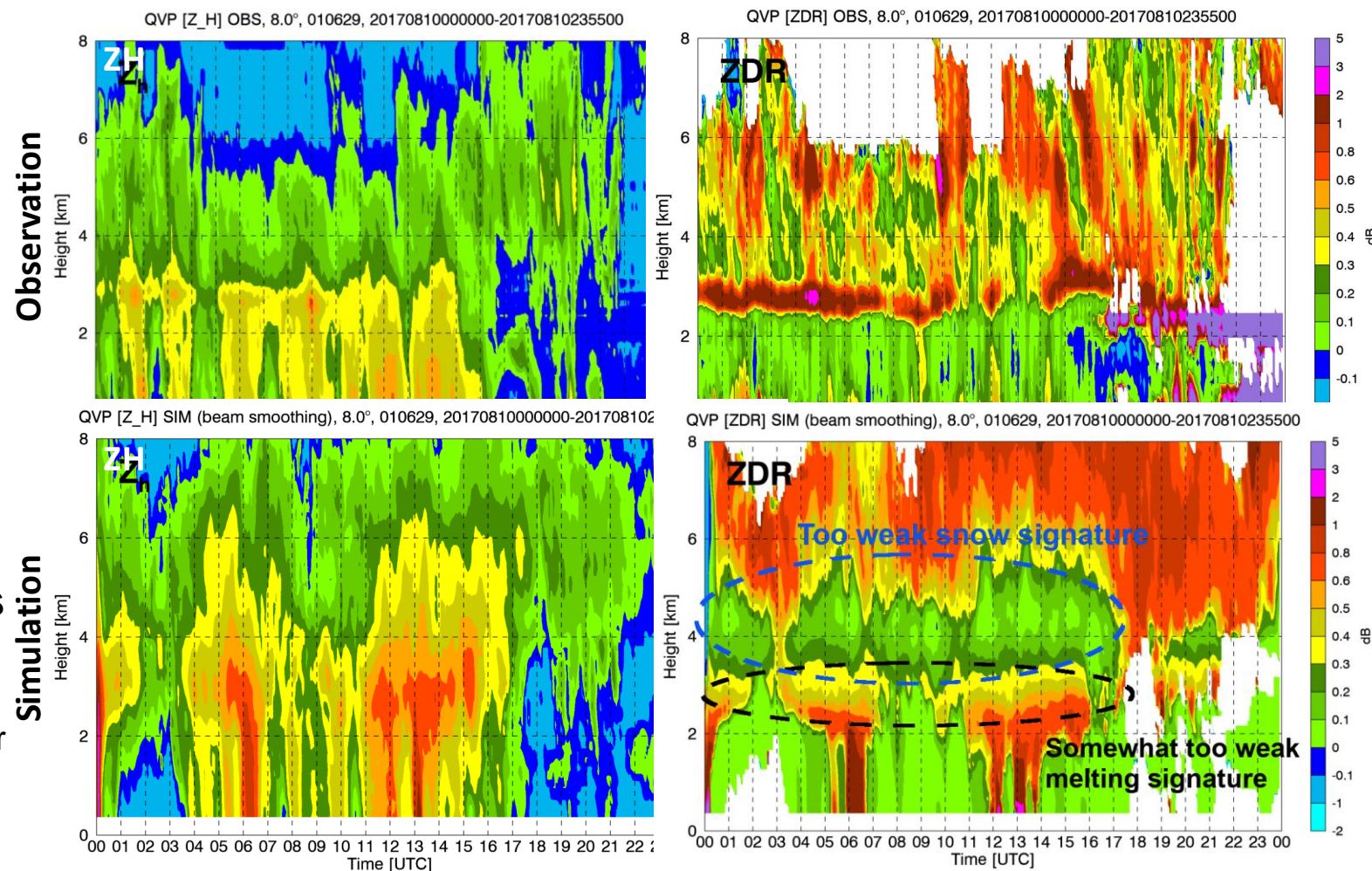
Configuration	EMVORADO time [s] (incl. MPI comm.)	Total model time [s]	Increase [%]
CTRL (no EMVORADO)	-	680	-
E1: Mie (look-up), pencil beam, dBZ + v_r	15*	695	2.2
E2: T-matrix (look-up), pencil beam dBZ + all dualpol moments + v_r	28*	708	4.1
E3: E2 + vertical beam function smoothing (5 auxiliary rays for quadrature)	51*	736	8.2

→ Computing time polarimetry (E2),
→ one 5'-step,
→ all 16 German C-band stations:
28 s / 289 = 0.1 s

*if the look-up tables already exist;
additional time to pre-compute look-up tables,
depends on platform, may vary from few minutes
to several days

- Time series of Quasi Vertical
 - 24h at 5min resolution
 - C-band (OFT), 8° elevation
 - Stratiform summer event

- **Lack of polarimetric signatures** in dendritic growth/aggregation layers
 - Persistent issue
 - Model or FO?
 - **T-Matrix** approach (reduced density) **very likely contributes**
 - Schrom & Kumjian, 2018
 - **not unique to EMVORADO or ICON**
 - e.g. Augros (2016), Matsui (2019), Köcher (2021), Shrestah (2022)



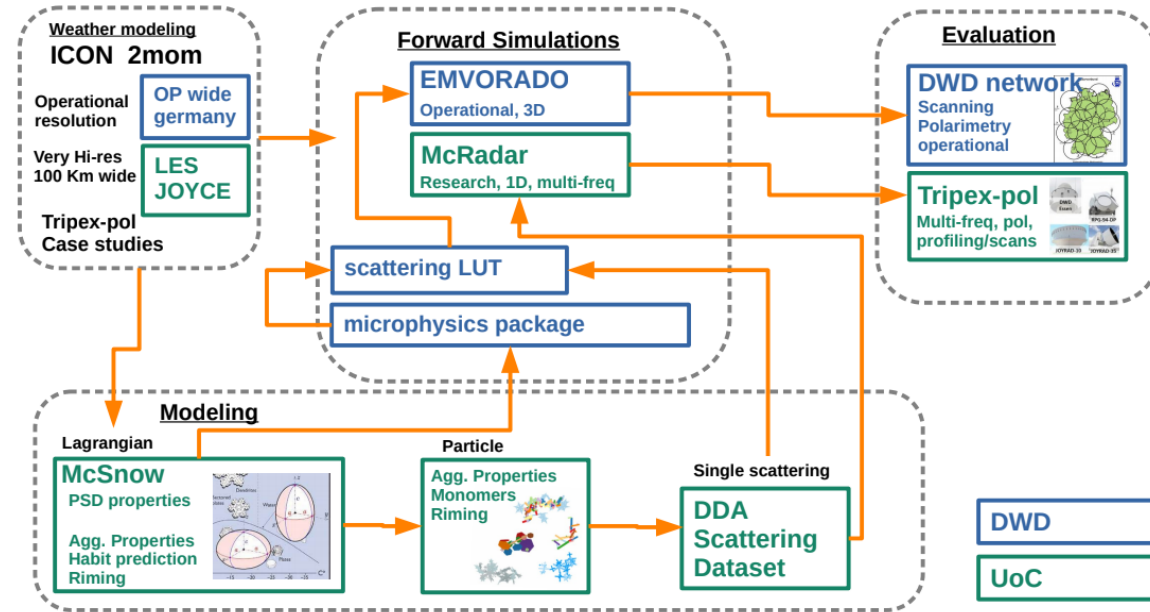
→ FO uncertainties: **Particle model, shape & orientation**

→ Issues:

- none-TMat approaches are costly
- scattering data with polarimetry & orientation is sparse
- availability of model-consistent habit & habit selection

→ **Solution approach: a model-guided database**

- model shape & occurrence of hydrometeors (snow primarily), derive scatt. props from DDA
 - **Lagrangian particle model + aggregation/riming model**
 - starting from ICON model state
- **DDA-based bulk scatt LUTs** for EMVORADO
 - selection from scatt. DB in **dependence of model state** („habit prediction“)
 - consistent with model

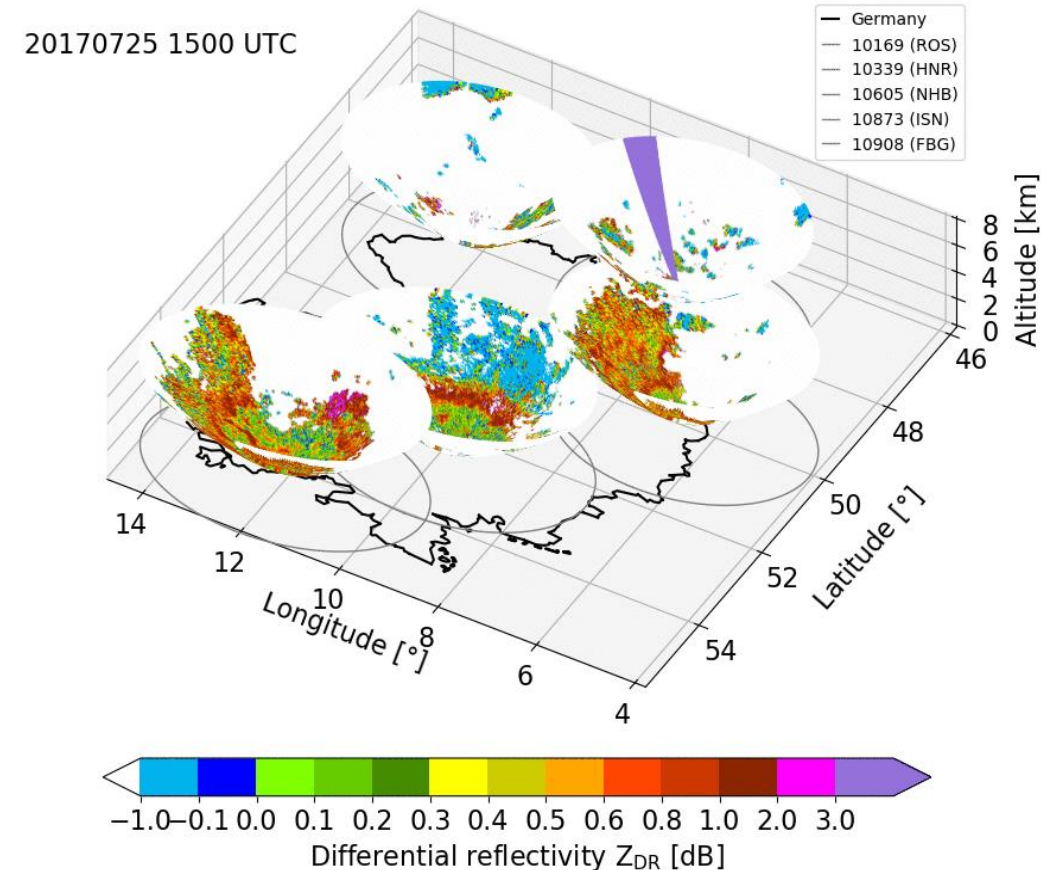
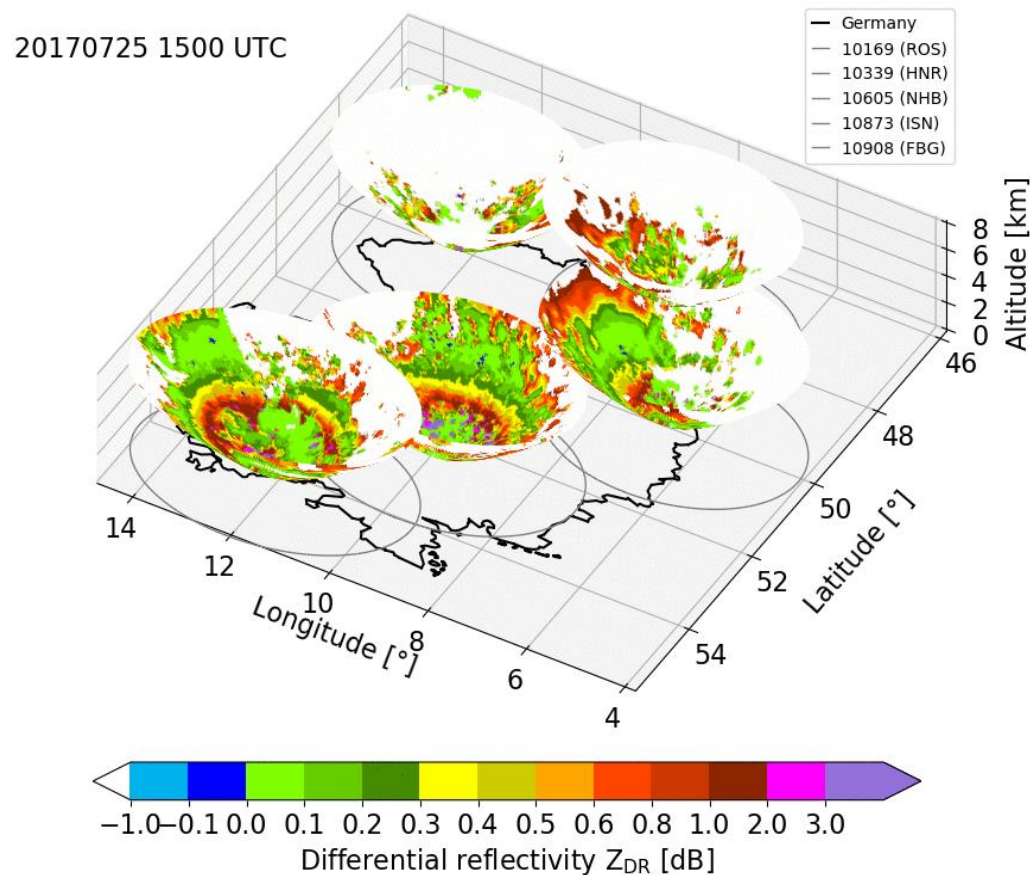


- Projekt Webpage of SPP-PROM 2115:
<https://www2.meteo.uni-bonn.de/spp2115/doku.php>
- EMVORADO User Manual:
http://www.cosmo-model.org/content/model/documentation/core/emvorado_userguide.pdf
- (non-pol.) EMVORADO reference paper: Zeng et al. (2016), QJRMS
- Polarimetric FO papers:
 - Trömel et al. (2021), ACP
 - Shrestha et al. (2022), GMD
 - Shrestha et al. (2022), ACPD
 - Mendrok et al. (in prep)

Application: Evaluate hydrometeor type representation

→ Radar simulation output: Synthetic observations of polarimetric moments

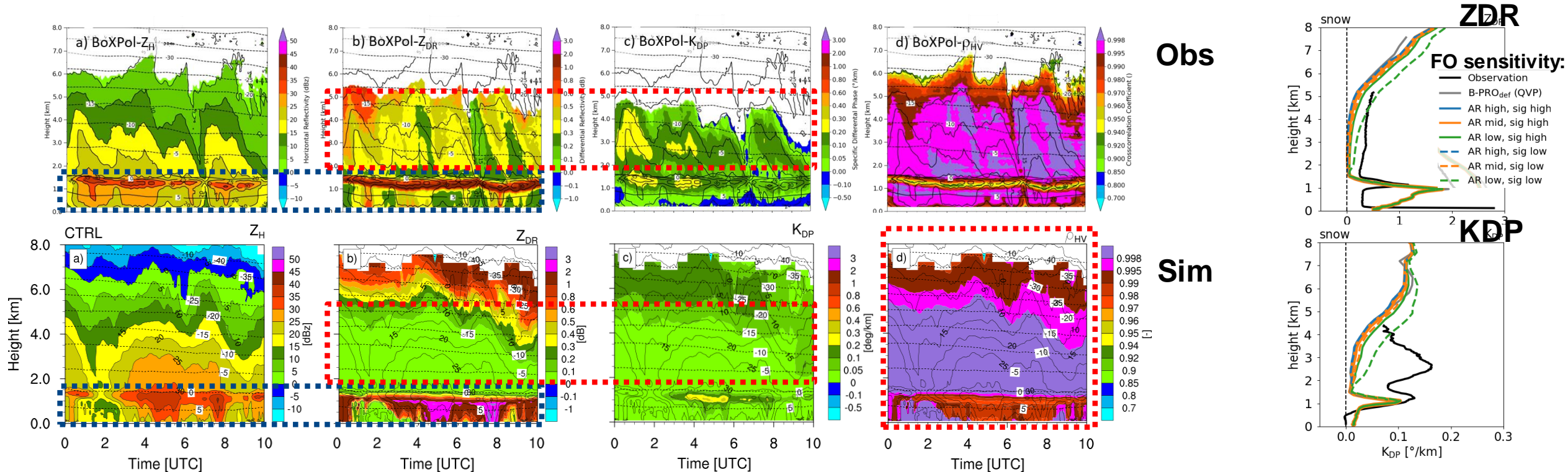
- **equivalent to observations:** 10+1 elev. volume scans of 16 stations every 5' (obs-governed, extendable)
- shown: synthetic (left) vs. real (right) observations of ZDR (elev=1.5°) of a 2h forecast for 15UTC DA



Polarimetric extension: Applications & Challenges

➔ Model evaluation (Shrestha et al., 2021):

- COSMO 2-mom of stratiform rain event, observed with X-band pol. radar at Bonn, Germany



➔ FO uncertainties & shortcomings:

- shape & orientation: choice of parametrizations, natural variability
- suitability of homogeneous models for fluffy, low effective density particles, eg snow aggregates

→ FO uncertainties (non-polarimetry specific)

- **Particle model, shape & orientation**
- Effective medium approximation of refractive index
- Melting scheme
- Understanding of the measurement process:
beam smoothing of pol. parameters (Z-weighted?)

→ Technical

- LUT calc time consuming
(but: calculated once & re-used; then as fast as Mie/Rayleigh!)
- Memory requirements (5-10 times Mie)
- Lacking implementation of superobbing & feedback files

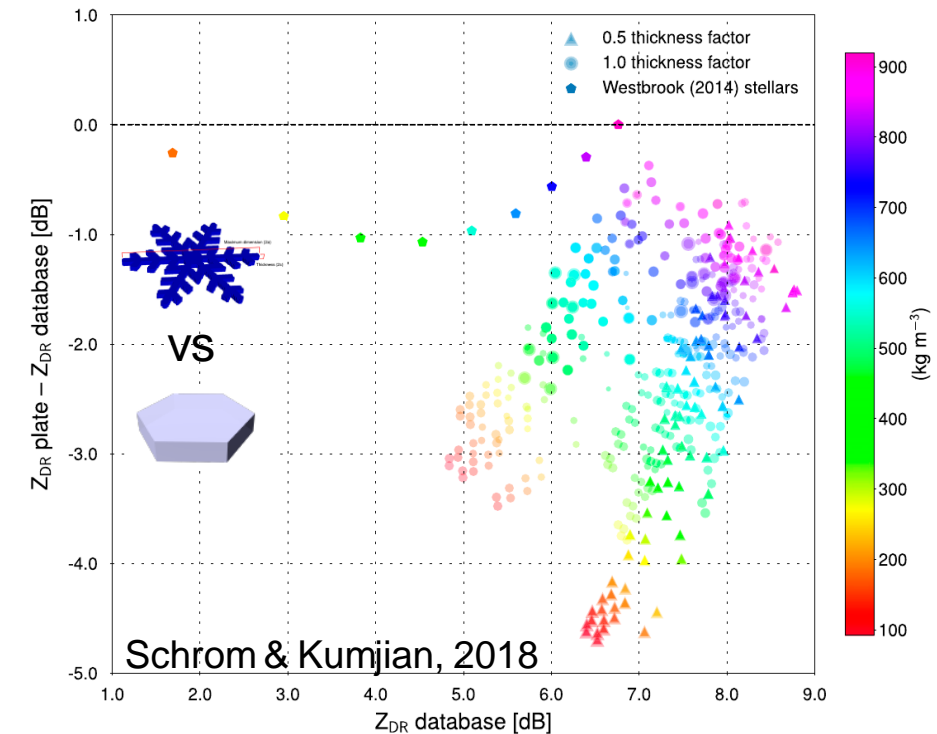


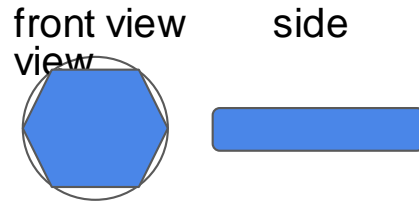
FIG. 3. As in Fig. 1, but for Z_{DR}. The size of the markers indicating the Westbrook (2014) particles are enlarged for the purposes of interpretation and therefore do not correspond in scale to the size of the markers depicting the Lu et al. (2016) branched planar crystals.

spheroidal or cylindric approximation of shape

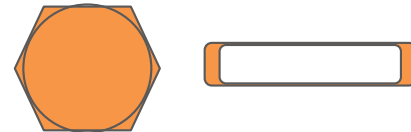
4 extreme approaches

(D, m, ar, density):

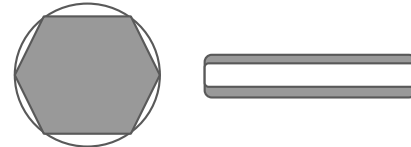
1) increase mass



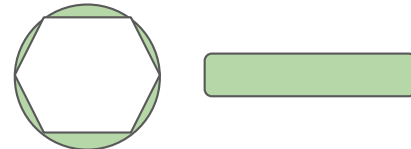
2) reduce max dimension



3) **change aspect**
(make it thinner)



4) **reduce density**

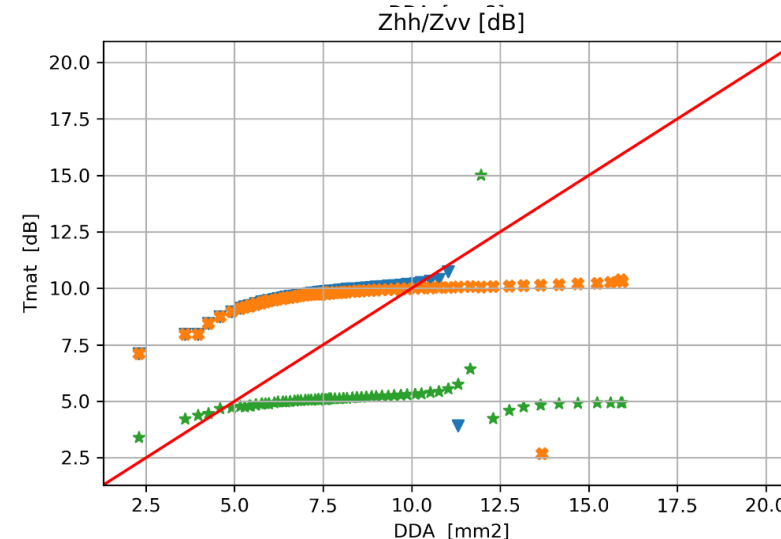
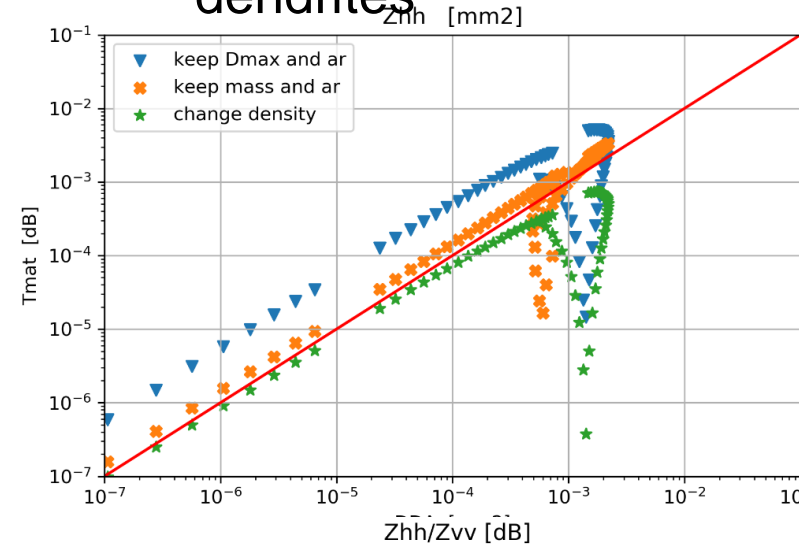


There is no unique method.

It is possible to “tune” individual spheroids to match (some) scattering properties of complex shaped particles, but not consistently over size and wavelength ranges.

PRISTINE

Example with dendrites

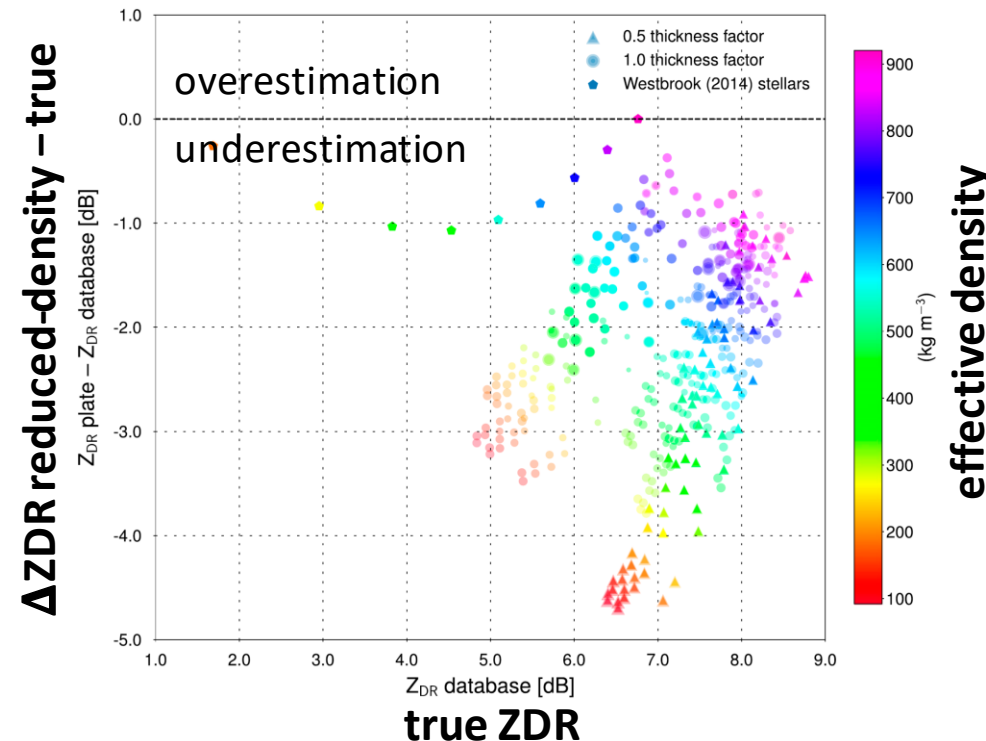


Probably the most popular approach to setup particles consistent to model constraints (keeping m , D , and aspect ratio unchanged) with T-Matrix suitable shapes.

T-Matrix based simulations show a **consistent deficit** in terms of **polarimetric response** in the dendritic growth layer where large, “fluffy” particles prevail.

Schrom & Kumjian (2018)

- assessed errors in polarimetric scattering properties of homogeneous reduced-density particles as proxies of branched planar crystals (both from DDA)
- found persistent underestimation of ZDR, the worse the less dense
- provided detailed explanation for the **role of internal structure** from dipole interactions

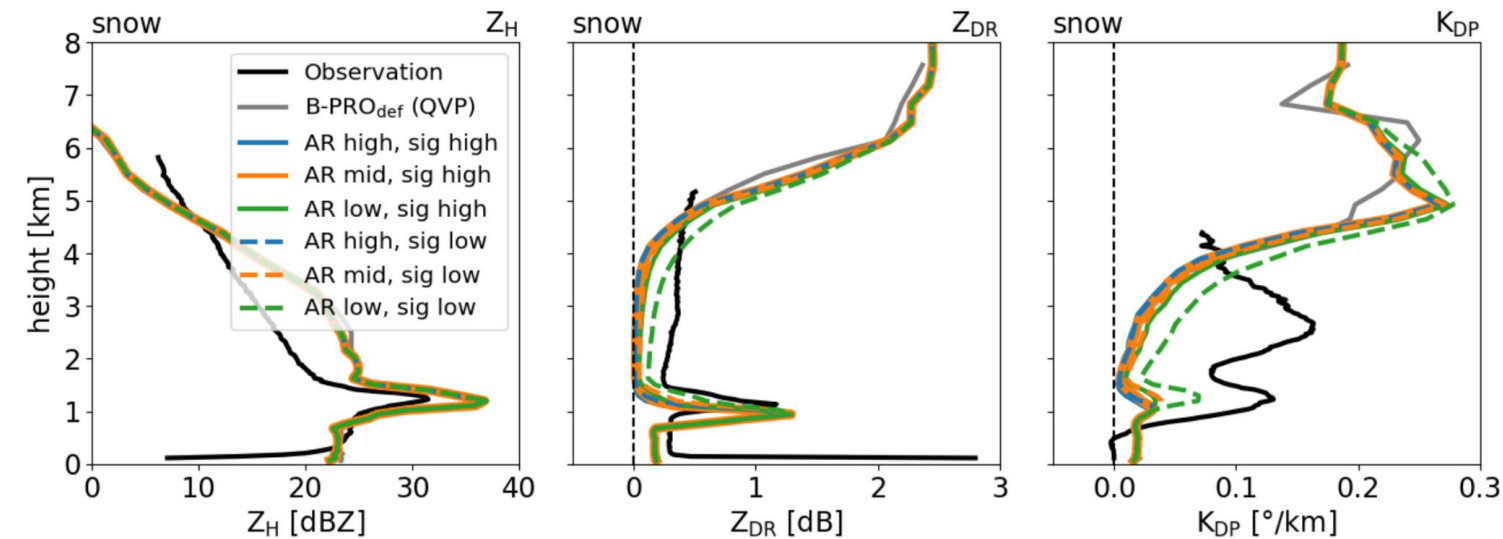


... consistent deficit in terms of polarimetric response ...

There are further explanations for lack of polarimetric signals!

FO uncertainties that can contribute include, e.g.,

- melting models
- dielectric properties (primarily of air-ice(-water) mixtures)
- *shape and orientation assumptions*



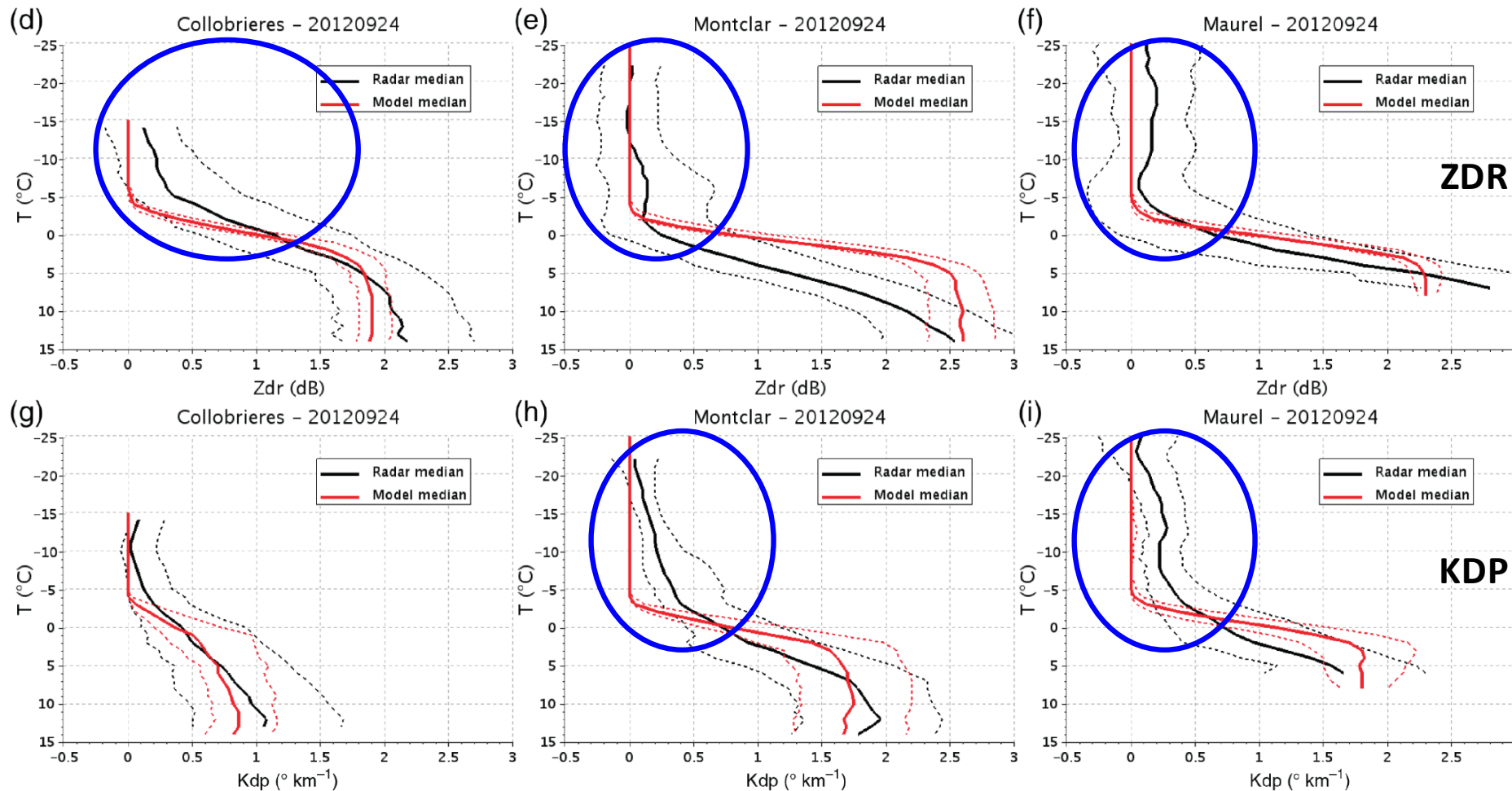
snow	
AR _{high}	Ryzhkov et al. (2011) $\max(1.0 - 20D, 0.8)$
AR _{mid}	Xie et al. (2016) $\max(0.7 - 10D, 0.5)$
AR _{low}	Dunnavan et al. (2019) 0.4
σ_{high}	Ryzhkov et al. (2011) 40°
σ_{low}	Matsui et al. (2019) 20°

... consistent deficit in terms of **polarimetric response** ...

S-band

C-band

X-band



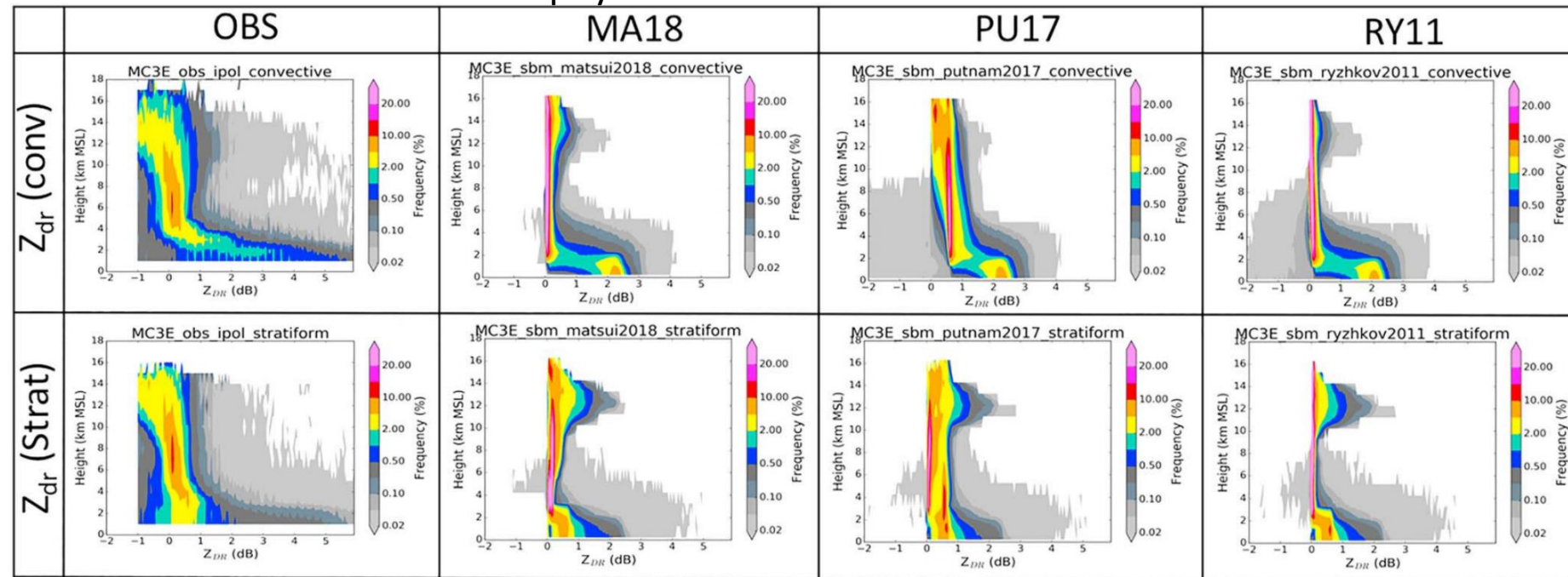
FO: own unnamed, Caumont06-based
Model: Meso-NH

PRISTINE

Augros et al. (2016), QJRM5

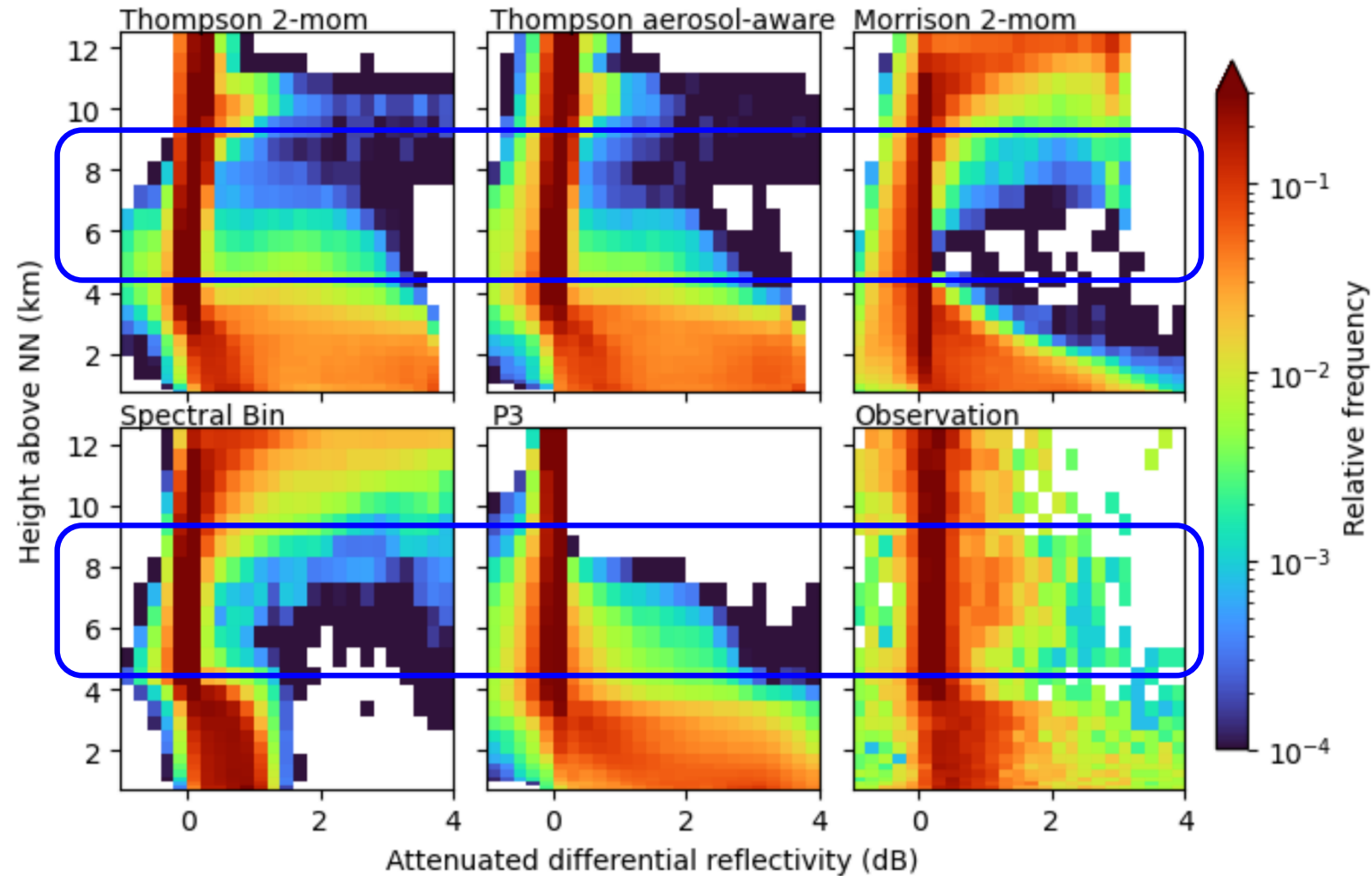
... consistent deficit in terms of polarimetric response ...

MA18, PU17, and RY11 refer to *different shape and orientation assumptions* in the PFO for the precipitating frozen hydrometeors. Atmospheric state from WRF simulations using HUCM spectral bin microphysics is identical between the cases.



strongly oriented
graupel & hail

... consistent deficit in terms of polarimetric response ...



... **consistent deficit** in terms of **polarimetric response** ...

There are **further explanations & reasons** for lack of polarimetric signals!

FO uncertainties that can contribute include, e.g.,

- melting models
- dielectric properties (primarily of air-ice(-water) mixtures)
- shape and orientation assumptions

Regarding **model microphysics** these include, e.g.,

- hydrometeor size distribution
- hydrometeor class partitioning
 - lack of secondary ice
 - wet growth processes
- mass-size relation
- mixed-phase hydrometeors

→ **Can we draw robust conclusions about model microphysics from synthetic signals based on homogeneous particle approaches?**