

Prediction of the North Atlantic oscillation index  
for the winter months December-January-February  
via **nonlinear** methods

Master Thesis

Clara Hauke, Goethe University Frankfurt, Germany

Supervisors:

Prof. Dr. Bodo Ahrens, Goethe University Frankfurt

Dr. Clementine Dalelane, German Weather Service

May 24th, 2022



# Introduction



## Motivation:

Seasonal climate forecast ensemble member subsampling based on (statistical) linear prediction of winter NAO index using autumn predictors

*Dobrynin et al., 2018: Improved teleconnection-based dynamical seasonal predictions of boreal winter*



## Thesis approach:

**Nonlinear** winter NAO index prediction based on (ERA5 data, 1981-2020):

- Sea surface temperature
- Sea ice concentration
- Snow depth
- Stratospheric temperature 100 hPa

# Creation of machine learning model (1)



- Dimension reduction: Grid point selection based on p-value threshold of correlation between predictor and NAO index (p-value: model parameter)
- Cross-validation
- Underlying method: k-nearest neighbors (k: model parameter)



Unknown: x

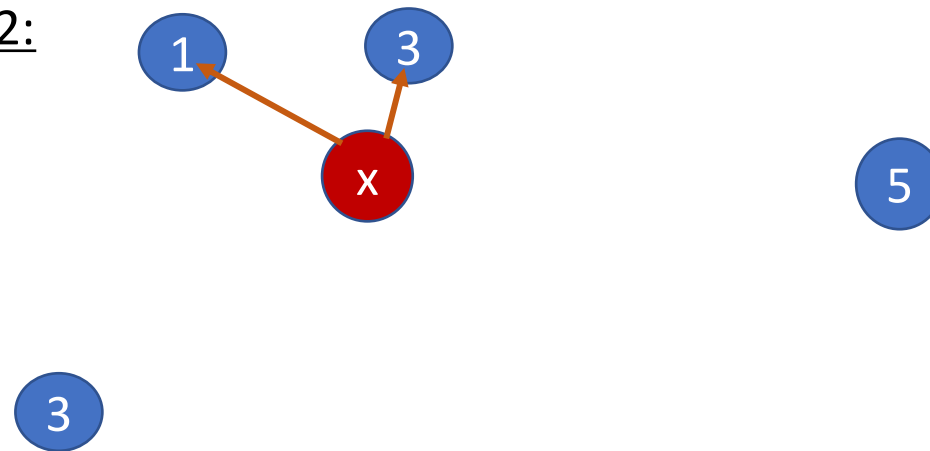
k: number of „neighbors“

k = 1      =>      x = 3

k = 2      =>      x = (3+1)/2 = 2

...

k = 2:



# Creation of machine learning model (2)



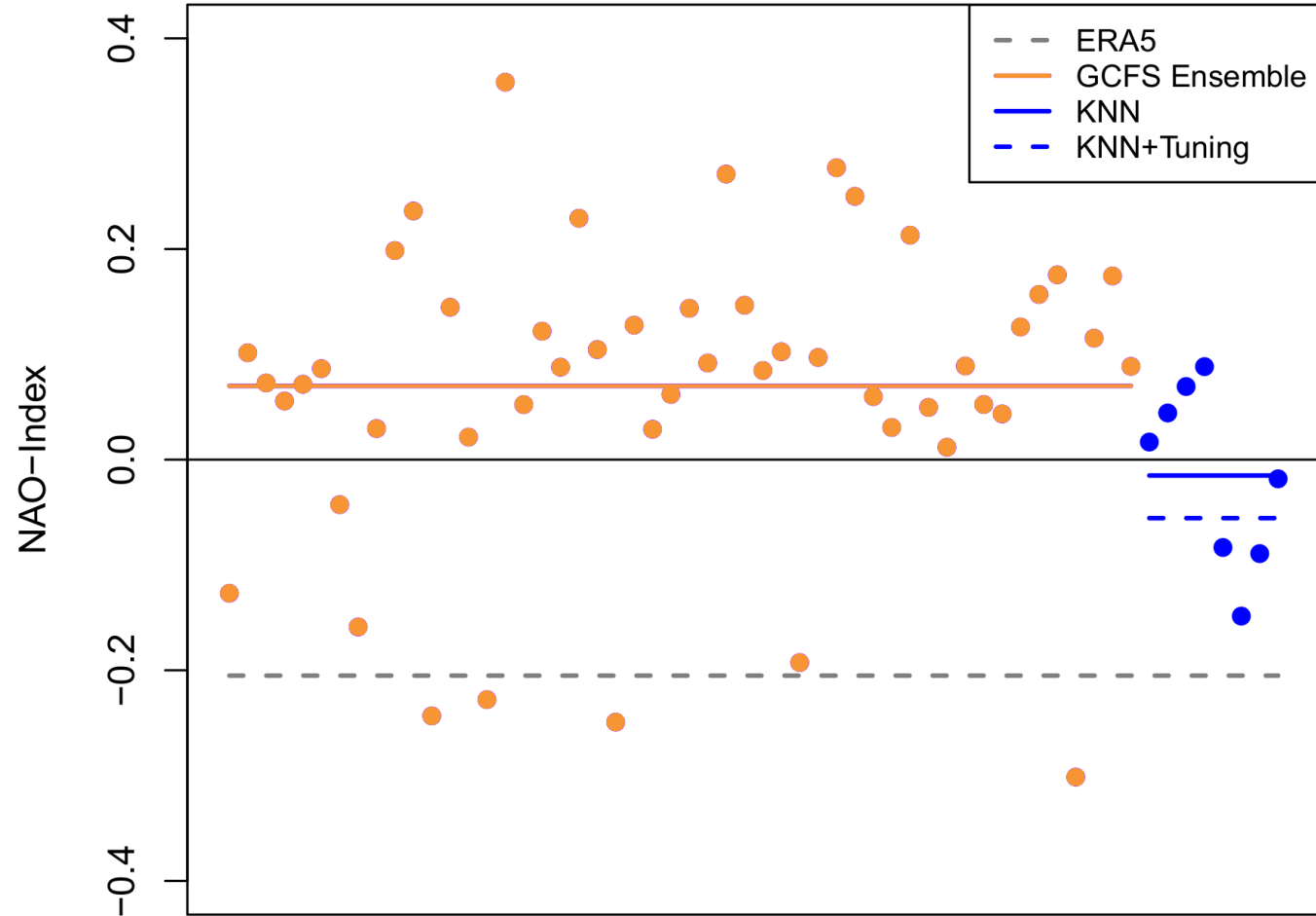
- Algorithm combining different regions by different predictor variables iteratively, finding the best combination
- Optimization based on Wang-Bovik index  $q$  (error measure combining correlation, bias and variance)

*Mo et al., 2014: Application Potential of Four Nontraditional Similarity Metrics in Hydrometeorology*

- Tuning: expand predicted NAO index to 100% of variance of NAO time series
- Sensitivity studies with different model set-ups and parameters

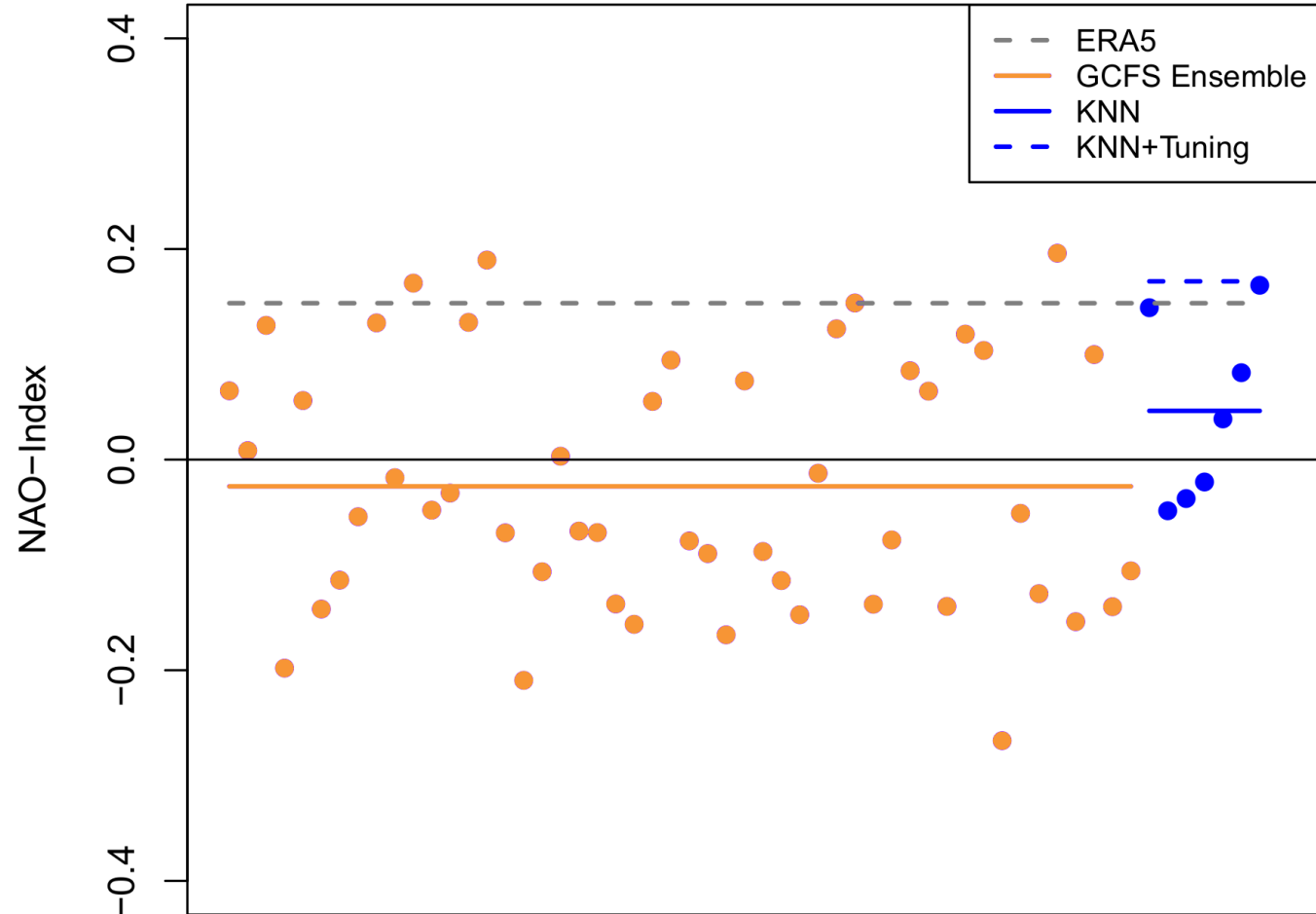
# Results for test prediction winter 2020/21

- Smaller spread than seasonal climate forecast GCFS ensemble predictions
- closer to reference (ERA5) than mean of GCFS ensemble
- shows *negative* phase of NAO index

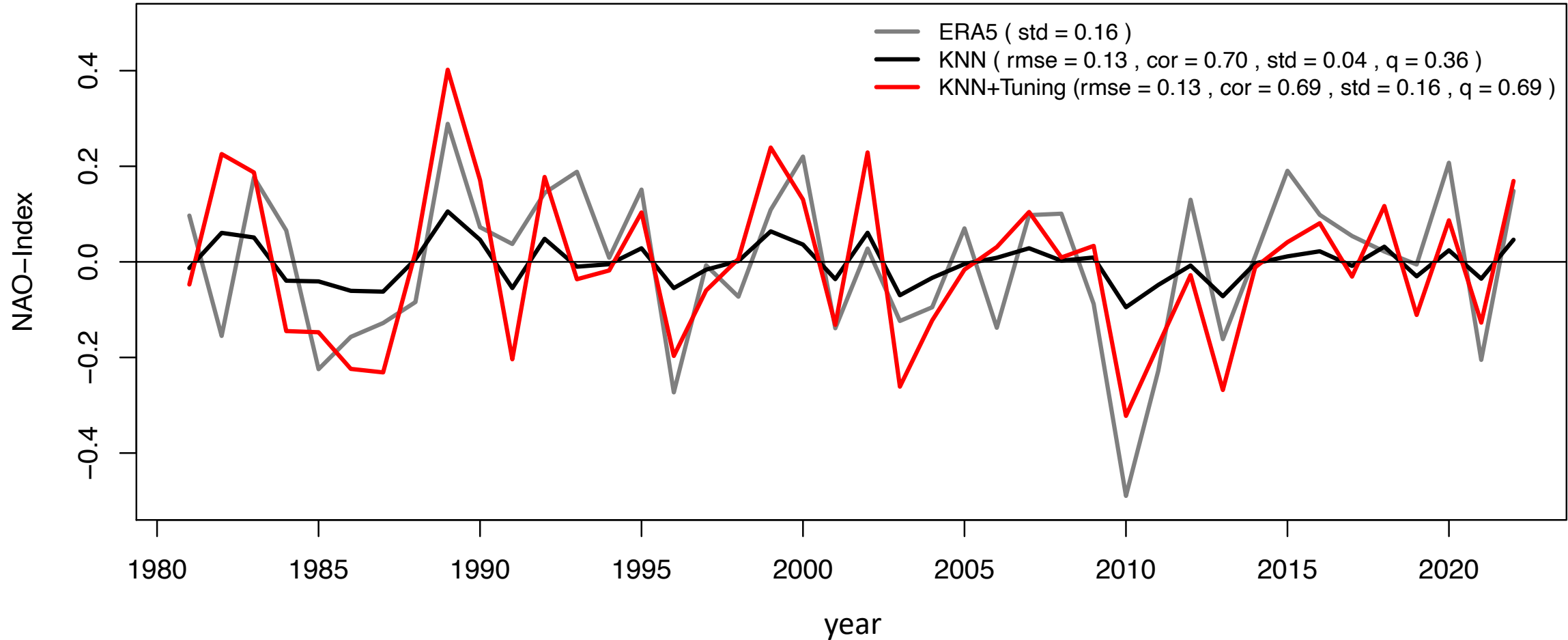


# Results for test prediction winter 2021/22

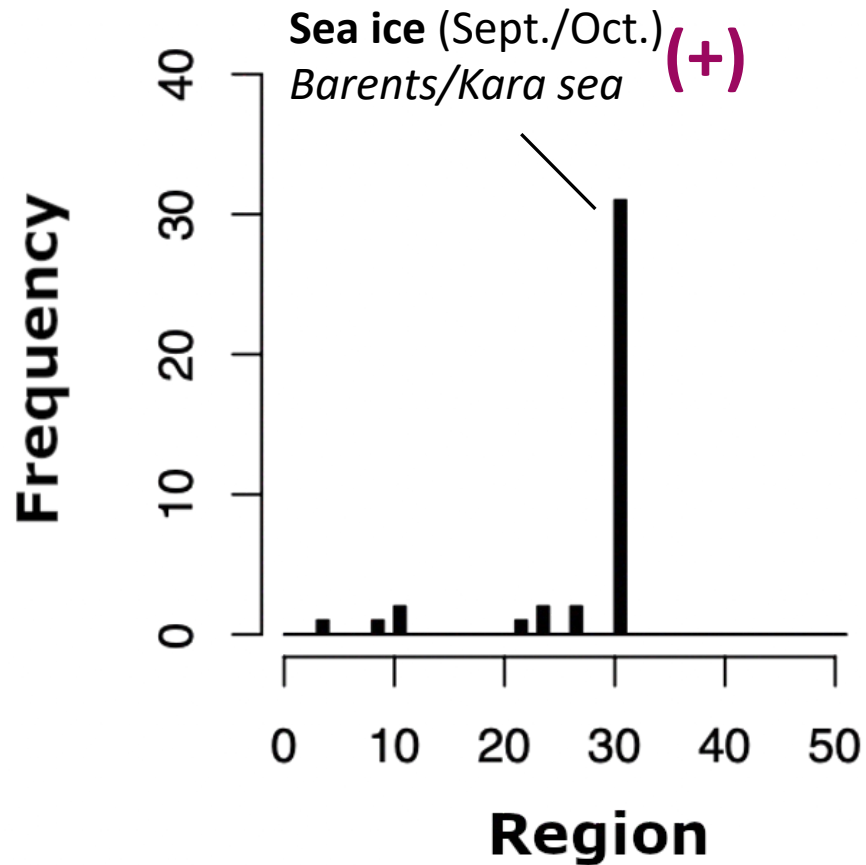
- Smaller spread than seasonal climate forecast GCFS ensemble predictions
- closer to reference (ERA5) than mean of GCFS ensemble
- **shows *positive* phase of NAO index**



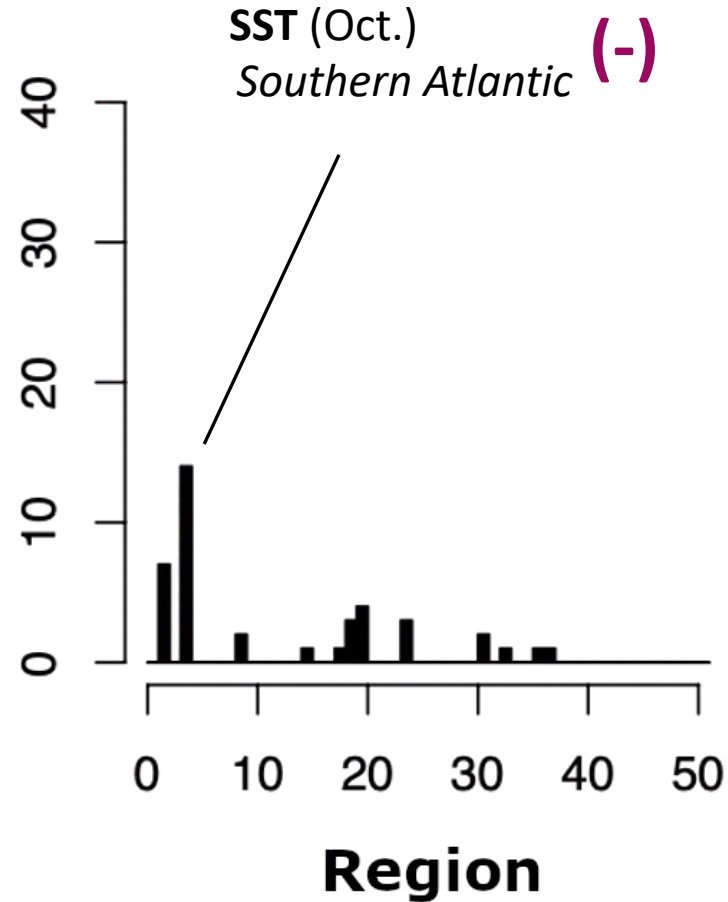
# NAO prediction time series



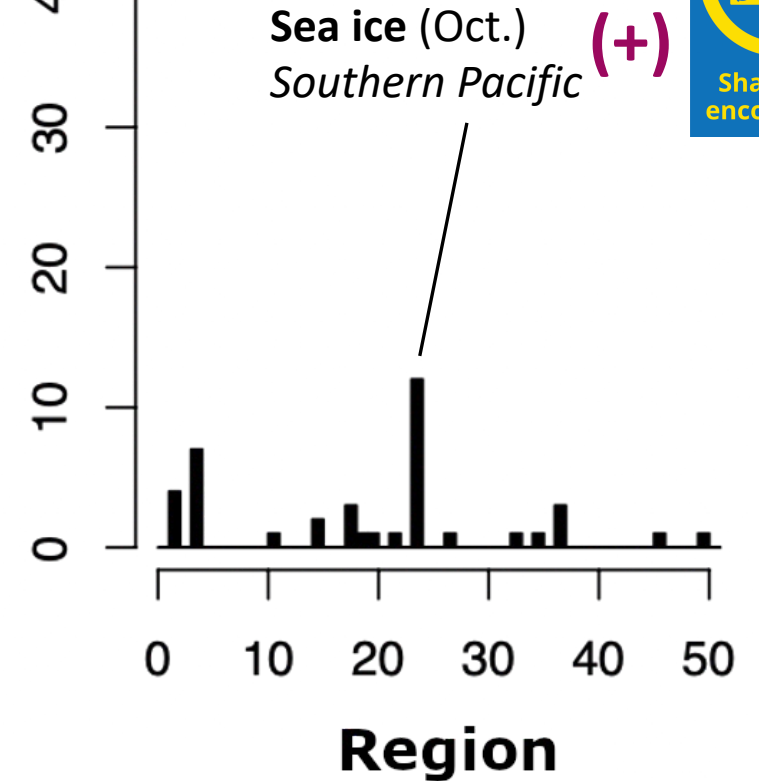
# Best predictors/regions



(a) Iteration 1



(b) Iteration 2



(c) Iteration 3



# Summary



- *KNN method* with combined regions *performs better* ( $q=0.7...0.8$ ) than linear regression ( $q=0.33$ ) and seasonal forecast GCFS ensemble ( $q=0.32$ )
- Best predictors for winter NAO: **Sea ice** and **SST**