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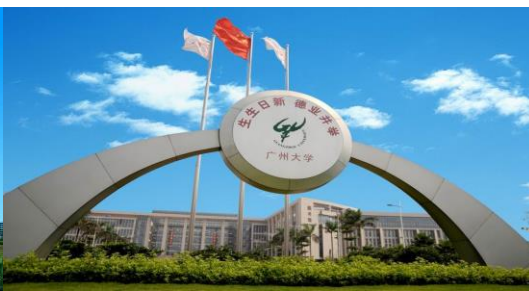
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A new approach to investigating the spatially heterogeneous driving forces of urban waterlogging

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Outline

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 - Research questions and objectives
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 - Spatial non-stationarity mechanism of urban waterlogging
 - Dominant driving forces spatial assessment
- **Discussion and conclusions**

Research organization



Research organization

Background and justification

Urban waterlogging is a stagnant water disaster occurring in the urban area, which mainly refers to the phenomenon that short-term heavy rainstorms or continuous precipitation exceed the drainage capacity of the city.





Research organization

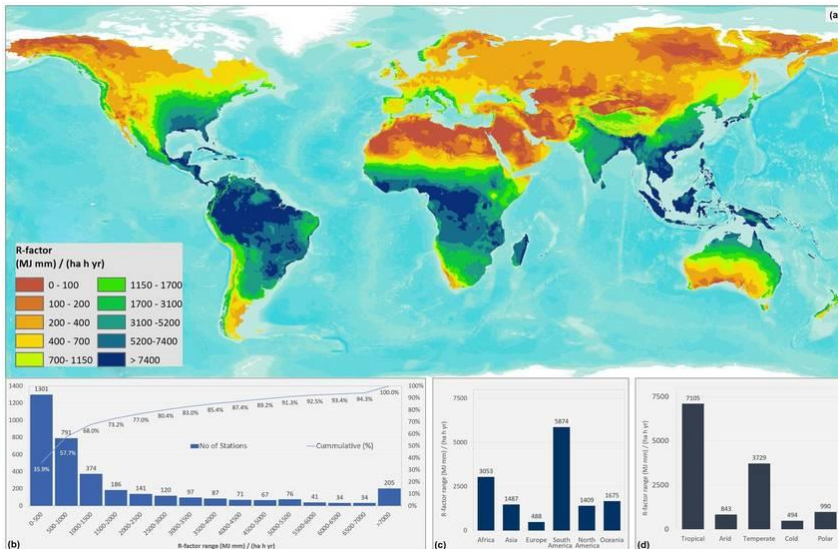
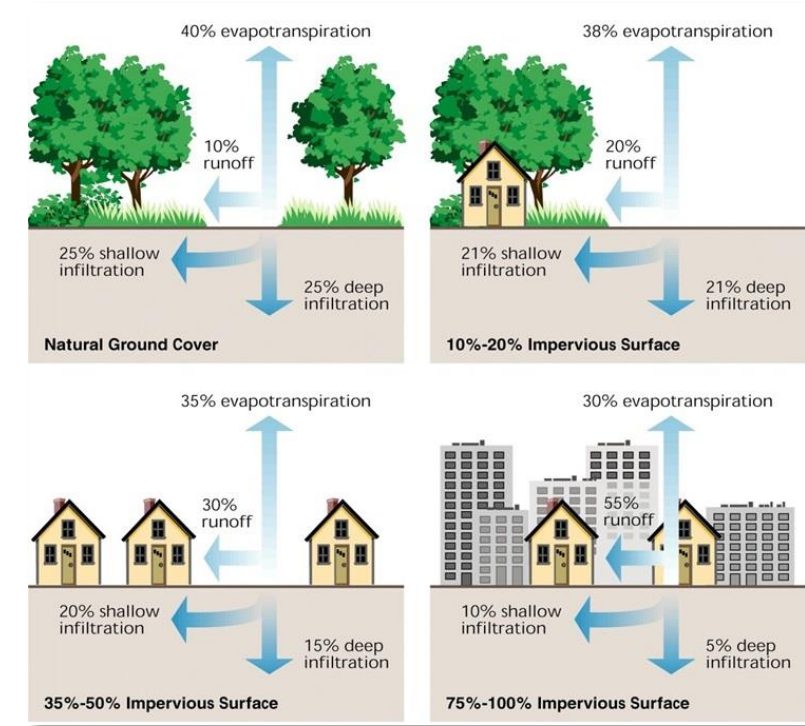
Background and justification

Causes of urban waterlogging

- Meteorological conditions
- Topographic conditions
- Land cover features
- Drainage facilities

Environmental factor

Anthropogenic factor



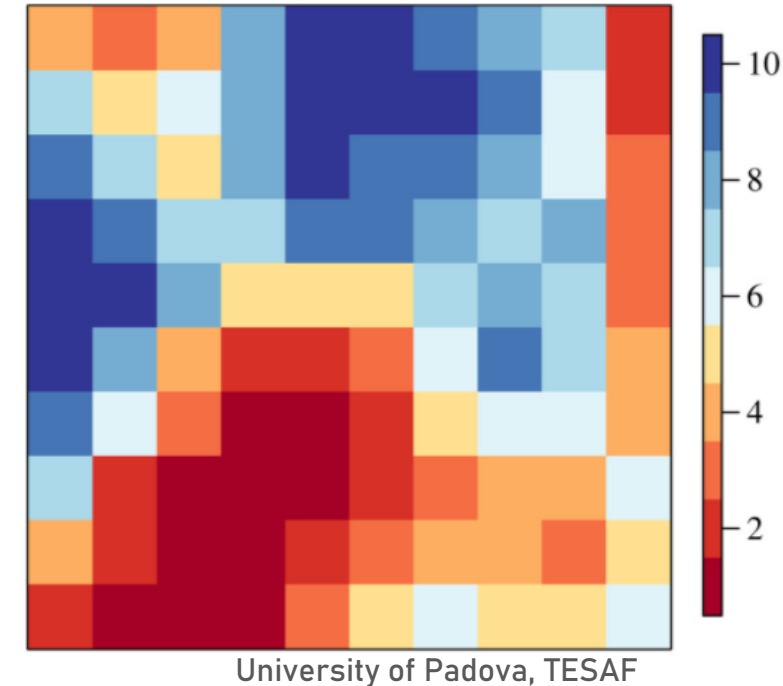
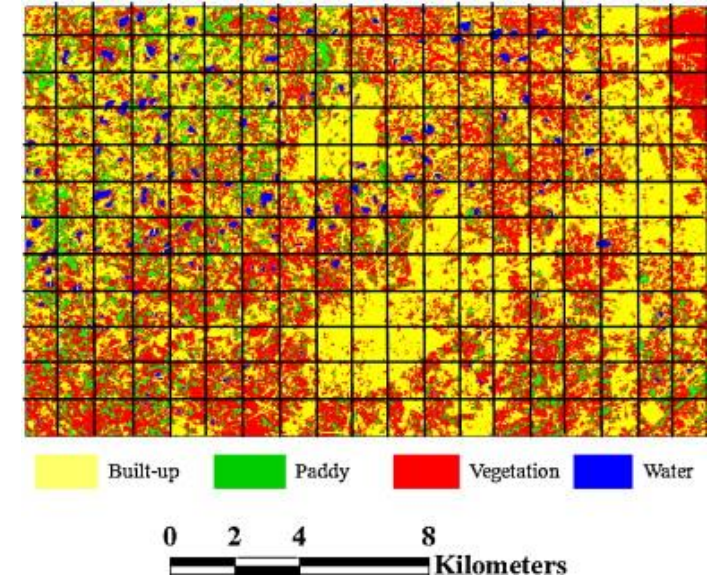
Research organization

Research questions and objectives

◆ Site-specific urban waterlogging mechanism

In highly urbanized areas, the distribution of urban landscape elements (*DEM, land cover features, drainage facilities*) and their functional attributes are characterized by **spatial heterogeneous** and **dynamic**.

- The **relationship** between landscape elements and urban waterlogging may vary with different spatial locations, which is known as **spatial non-stationarity**.
- It hints that the **driving factors** or the **mechanism** of urban waterlogging may **vary with the change of spatial location**.
- Limited local authorities from developing more **site-specific** urban waterlogging mitigation strategies for **different local conditions**.



Research organization

Research questions and objectives

Zhang, Q., Wu, Z., Guo, G., & Tarolli, P. (2022). A new approach to investigating the spatially heterogeneous driving forces of urban waterlogging. *Under review*. [Q1]



Target 11 Sustainable cities and communities



Target 13 Climate action

Hence, the specific objectives are as follows:

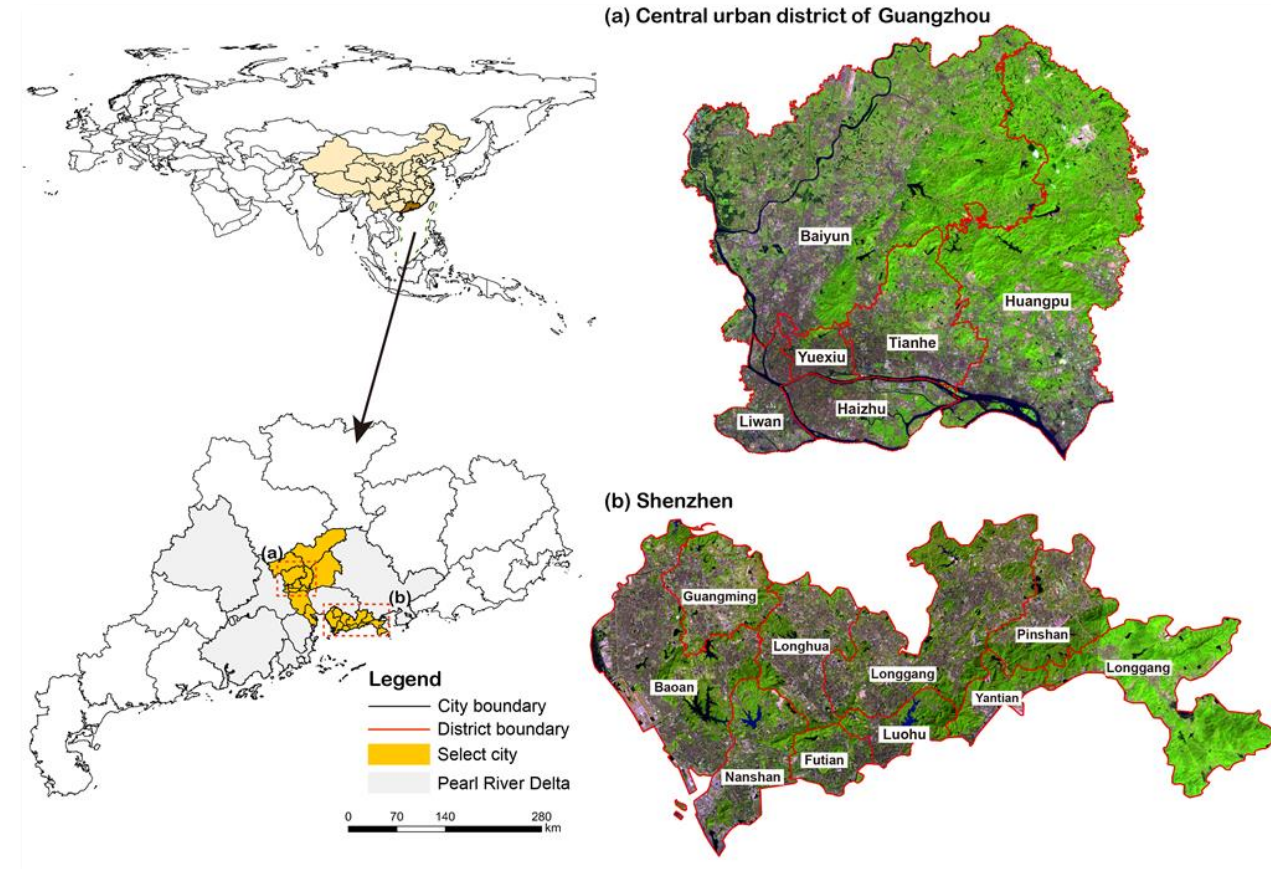
1. developed a **new method** to investigate the **spatial non-stationarity** effects of landscape elements on urban waterlogging;
2. **spatially quantify** the urban waterlogging **driving forces**;
3. identify the **waterlogging dominant factors**, consequently, facilitate the implementation of more **targeted and effective** mitigation strategies.

Research methodology

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3.2 Study area

- Two major **metropolitan coastal cities** in the **Guangdong-Hong Kong-Macao Greater Bay Metropolitan Region**, **Guangzhou and Shenzhen cities**, were selected for this study.
- The two cities are among the four **national cities** in mainland China, with a *permanent resident population* of 15.31 million (Guangzhou) and 13.44 million (Shenzhen) respectively in 2019, which account for 47% of Guangdong's GDP in 2019 (\$756 billion).
- The local climate of Guangzhou and Shenzhen are classified as a **subtropical monsoon climate**. The average annual precipitation is 1720.6 mm and 1933.3 mm, respectively.



The location of the Guangzhou central urban districts and Shenzhen city

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3.3 Methodology (1/2)

● Environmental and anthropogenic factors

This study selects **environmental factors** (*urban topography, precipitation*) and **anthropogenic factors** (*land cover characteristics, urban drainage facilities*) as waterlogging potential explanatory factors.

Environmental Factors

- Elevation (**DEM**), the *standard deviation* of elevation (**DEM.std**), slope, the *standard deviation* of slope (**Slope.std**), and the proportion of urban lowland (**Dep**) were selected to represent **topographic features**.
- The average accumulated precipitation (**Pre**) was used to illustrate the spatial distribution pattern of **precipitation** during the period.

Anthropogenic Factors

- The *area proportion* of **impervious surface (ISA)** and **urban green infrastructure (UGI)** in each watershed unit.
- The **normalized differential vegetation index (NDVI)** to describe the biophysical parameters of green areas.
- The linear **density of the drainage network (DD)** of each watershed unit was extracted as the anthropogenic factors.

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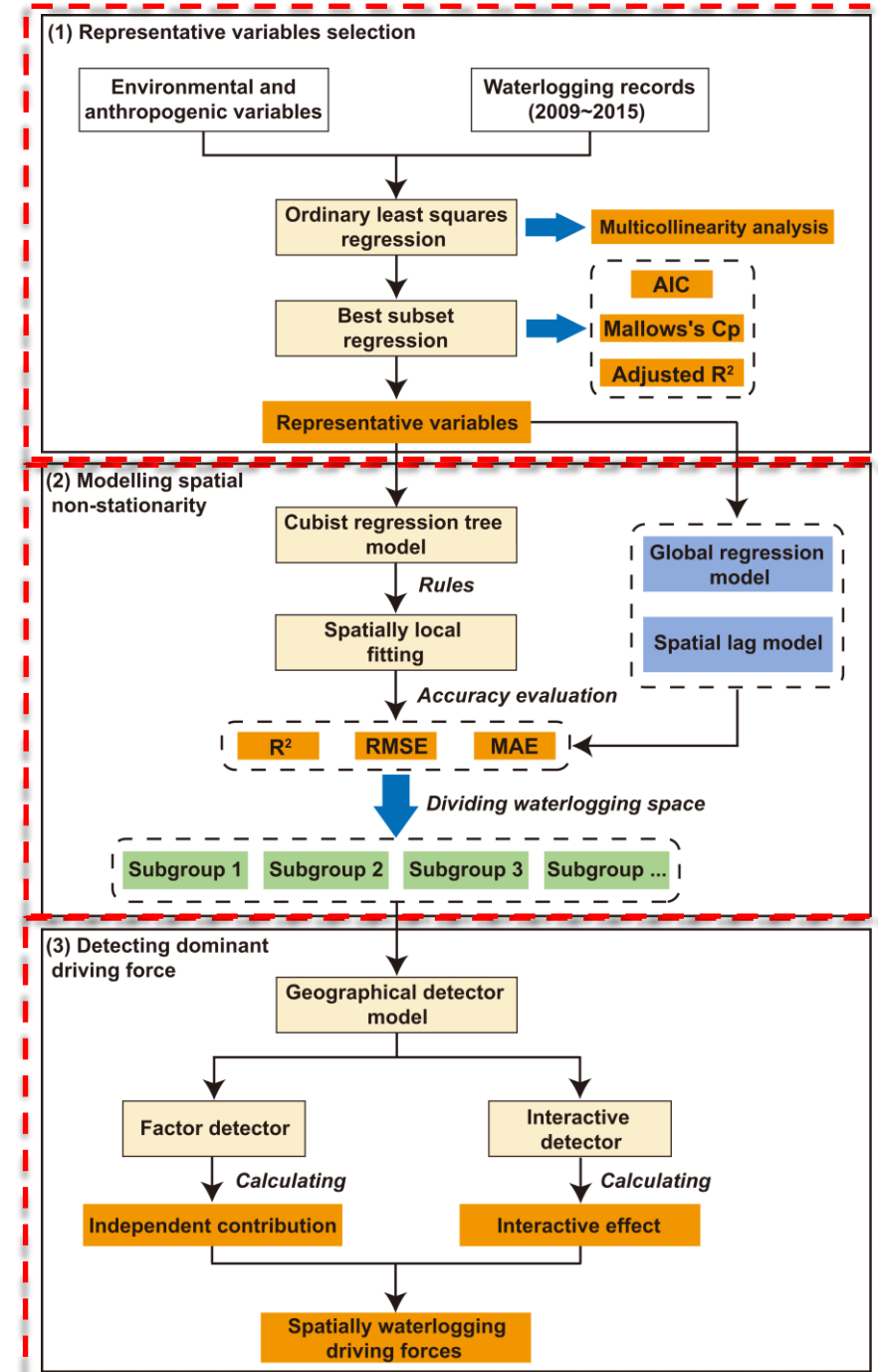
3.3 Methodology (2/2)

● Research framework

We proposed a novel approach (**cubits regression tree**) to effectively **detect the local driving forces** of urban waterlogging in **different spatial locations**.

There are **three main steps** to achieve these goals:

1. Select representative variables
2. Model spatial non-stationarity relationship to detect local driving forces
3. Identify waterlogging dominant factors with different local conditions.



Research results

CHAPTER 3

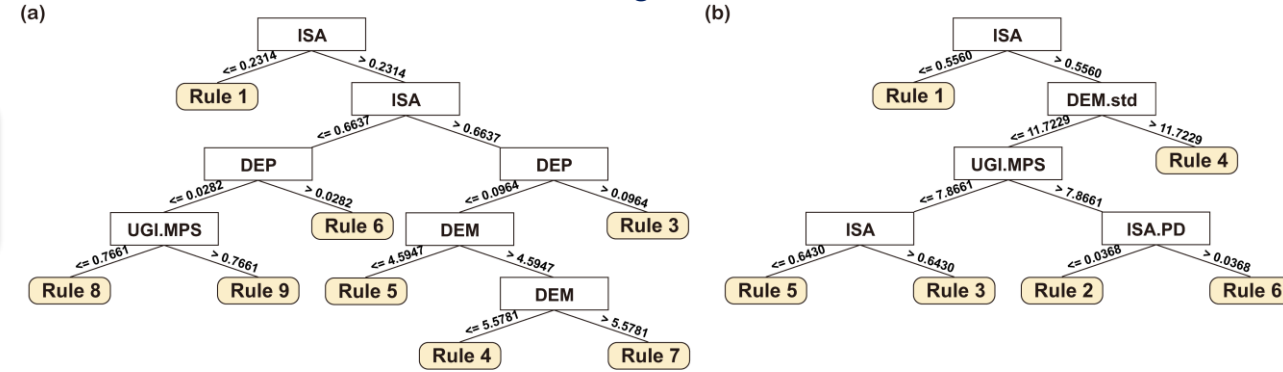
3.4 Results (1/2)

Local driving forces

The **proposed method** divides the urban waterlogging space into **different subgroups**.

- The waterlogging spaces of **Guangzhou** are divided into **9 subgroups**.
- The waterlogging spaces of **Shenzhen** are divided into **6 subgroups**.
- The cubist regression tree obtains the **local driving forces** in different subgroups.

The divided rules for Guangzhou (a) and Shenzhen (b)



The local driving forces for Guangzhou and Shenzhen

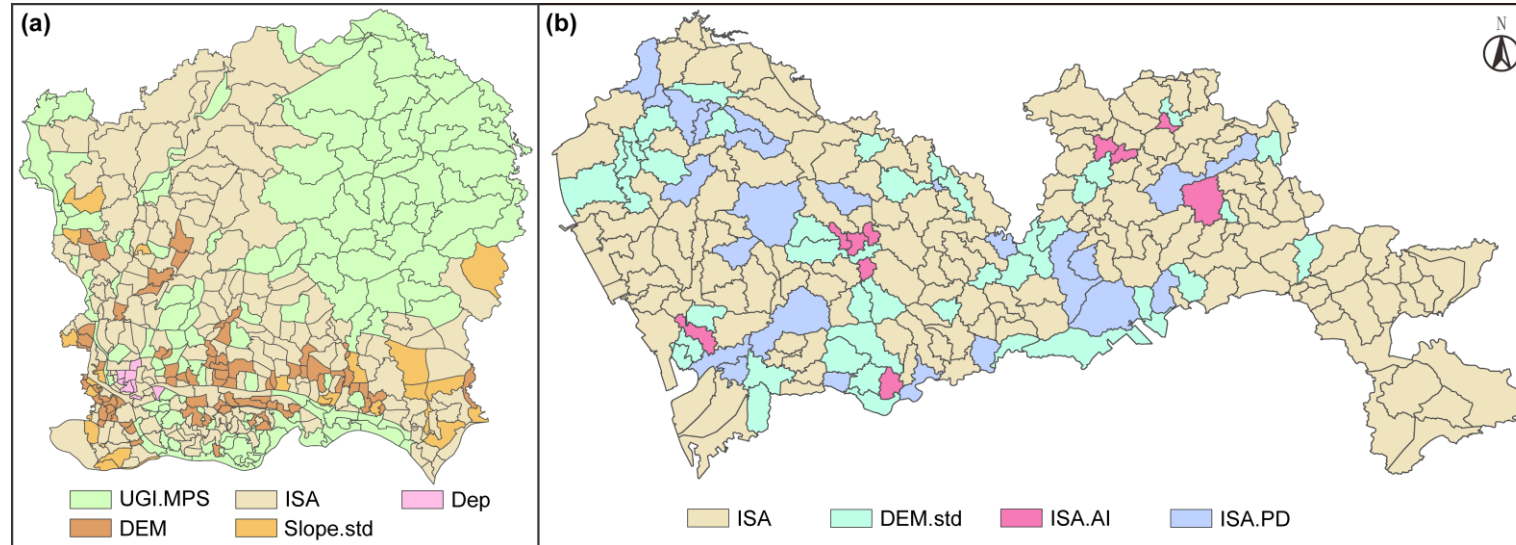
Guangzhou	Shenzhen
Rule 1: [99 cases] If ISA ≤ 0.2314 Expression: $WD = -0.0974 + 0.63 \text{ ISA} - 0.03 \text{ UGI.MPS} + 0.0005 \text{ DEM}$	Rule 1: [110 cases] If ISA ≤ 0.5560 Expression: $WD = 0.2628 + 0.53 \text{ ISA} + 0.0025 \text{ UGI.MPS} - 0.0035 \text{ ISA.AI} - 0.23 \text{ ISA.PD} + 0.0021 \text{ DEM.std}$
Rule 2: [125 cases] If $\text{Dep} \leq 0.0282$ and $\text{ISA} > 0.2314$ and $\text{ISA} \leq 0.6637$ Expression: $WD = -0.7701 + 16.84 \text{ Dep} + 2.32 \text{ ISA} + 0.0053 \text{ DEM} - 0.06 \text{ UGI.MPS}$	Rule 2: [24 cases] If $\text{DEM.std} \leq 11.7229$ and $\text{ISA} > 0.5560$ and $\text{ISA.PD} \leq 0.0368$ and $\text{UGI.MPS} > 7.8661$ Expression: $WD = -2.1749 + 0.1346 \text{ DEM.std} - 27.09 \text{ ISA.PD} + 4.3 \text{ ISA} - 0.0169 \text{ UGI.MPS} - 0.0034 \text{ ISA.AI}$
Rule 3: [8 cases] If $\text{Dep} > 0.0964$ and $\text{ISA} > 0.6637$ Expression: $WD = 7.8973 + 0.46 \text{ UGI.MPS} - 1.07 \text{ ISA} - 0.35 \text{ DEM} + 0.91 \text{ Dep}$	Rule 3: [10 cases] If $\text{DEM.std} \leq 11.7229$ and $\text{ISA} > 0.6430$ and $\text{UGI.MPS} \leq 7.8661$ Expression: $WD = 0.7646 + 1.37 \text{ ISA} + 0.06767 \text{ DEM.std} - 0.0092 \text{ ISA.AI} - 0.22 \text{ ISA.PD}$
Rule 4: [21 cases] If $\text{DEM} > 4.5947$ and $\text{DEM} \leq 5.5781$ and $\text{Dep} \leq 0.0964$ and $\text{ISA} > 0.6637$ Expression: $WD = 28.9743 - 34.37 \text{ ISA} + 1.17 \text{ Slope.std} + 0.76 \text{ UGI.MPS} - 2.42 \text{ Dep} + 0.0086 \text{ Per}$	Rule 4: [48 cases] If $\text{DEM.std} > 11.7229$ and $\text{ISA} > 0.5560$ Expression: $WD = -6.9184 + 2.63 \text{ ISA} - 0.0825 \text{ ISA.AI} - 2.12 \text{ ISA.PD} - 0.0086 \text{ UGI.MPS} + 0.0019 \text{ DEM.std}$
Rule 5: [34 cases] If $\text{DEM} \leq 4.5947$ and $\text{ISA} > 0.6637$ Expression: $WD = 17.0543 - 3.9251 \text{ DEM} - 0.44 \text{ UGI.MPS} - 1.375 \text{ Dep} + 0.0171 \text{ Per}$	Rule 5: [24 cases] If $\text{DEM.std} \leq 11.7229$ and $\text{ISA} > 0.5560$ and $\text{ISA} \leq 0.6431$ and $\text{UGI.MPS} \leq 7.8661$ Expression: $WD = 10.0279 - 10.42 \text{ ISA} - 0.0342 \text{ ISA.AI} - 0.82 \text{ ISA.PD} - 0.0031 \text{ UGI.MPS} + 0.1346 \text{ DEM.std}$
Rule 6: [74 cases] If $\text{Dep} > 0.0282$ and $\text{ISA} > 0.2314$ and $\text{ISA} \leq 0.6637$ Expression: $WD = 70.6914 - 0.0334 \text{ Per} - 3.3 \text{ Dep} + 0.15 \text{ ISA} - 1.25 \text{ Slope.std} - 1.27 \text{ DEM}$	Rule 6: [20 cases] If $\text{DEM.std} \leq 11.7229$ and $\text{ISA.PD} > 0.0368$ and $\text{UGI.MPS} > 7.8661$ Expression: $WD = -0.7601 + 0.0676 \text{ DEM.std} + 0.85 \text{ ISA} + 0.0169 \text{ UGI.MPS} - 0.0825 \text{ ISA.AI}$
Rule 7: [20 cases] If $\text{DEM} > 5.5781$ and $\text{Dep} \leq 0.0964$ and $\text{ISA} > 0.6637$ Expression: $WD = 0.5679 + 9.68 \text{ Dep} + 0.94 \text{ ISA} + 0.675 \text{ DEM}$	
Rule 8: [24 cases] If $\text{ISA} \leq 0.6637$ and $\text{Dep} \leq 0.0282$ and $\text{UGI.MPS} \leq 0.7661$ Expression: $WD = 6.0651 + 2.14 \text{ ISA} - 0.26 \text{ UGI.MPS} - 3.32 \text{ Slope.std} - 0.0028 \text{ Per}$	
Rule 9: [25 cases] If $\text{ISA} \leq 0.6637$ and $\text{Dep} \leq 0.0282$ and $\text{UGI.MPS} > 0.7661$ Expression: $WD = 3.9305 - 5.01 \text{ DEM} + 8.47 \text{ ISA} + 0.28 \text{ UGI.MPS} - 0.41 \text{ Slope.std} + 1.22 \text{ Dep}$	

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3.4 Results (2/2)

■ Dominant factors

The spatial distribution of dominant factors



Guangzhou

- Subgroups **1 and 5**: urban green infrastructure (Mean patch size)
- Subgroups **2, 4, 7, and 9**: impervious surface
- Subgroups **3, 6, and 8**: are greatly affected by topographical factors, whose dominant factors are the urban lowland, DEM, and Slope.std.

Shenzhen

- Subgroups **1 and 4**: impervious surface
- Subgroups **3 and 5**: impervious surface (Aggregation Index) and impervious surface (Patch Density)
- Subgroups **2 and 6**: are mainly influenced by topographic factors (Slope.std).

Discussion and conclusions



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3.5 Summary

1. The proposed **cubits regression tree** can fully quantify the **spatial non-stationarity effect** of landscape elements on urban waterlogging and **spatially explicit** the driving forces **with different local conditions**.
2. The **driving force** of urban waterlogging **varies with the local site conditions**. Understanding the complex **site-specific mechanism** of urban waterlogging will help us implement more **targeted and effective mitigation strategies**, rather than a **“one-size-fits-all” policy**.



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Earth Surface Processes
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THANK YOU!

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