

Wave equilibration process of a non-equilibrium sea-state in shallow water after strong depth variation

Jie **ZHANG**, Yuxiang **Ma**

School of Harbor & Ocean Engineering

State Key Lab. of Coastal & Offshore Engineering (SKLCOE)

Dalian University of Technology (DUT)

shuidong0618@126.com

Michel **Benoit**

Saint-Venant Hydraulics Laboratory (Ecole des Ponts, EDF

R&D), Chatou, France

EDF R&D, Laboratoire National d'Hydraulique et

Environnement (LNHE), Chatou, France

michel.benoit@edf.fr



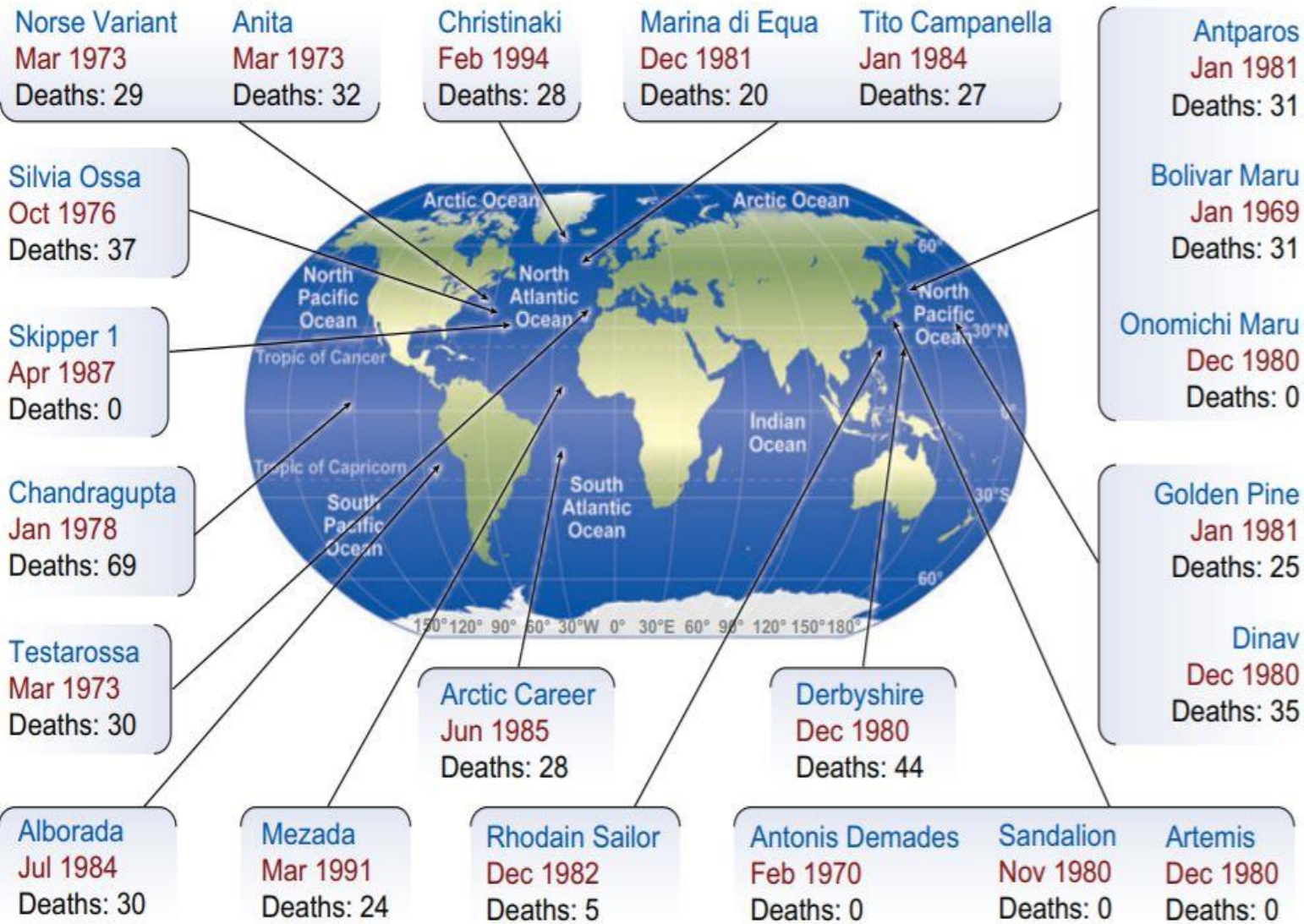
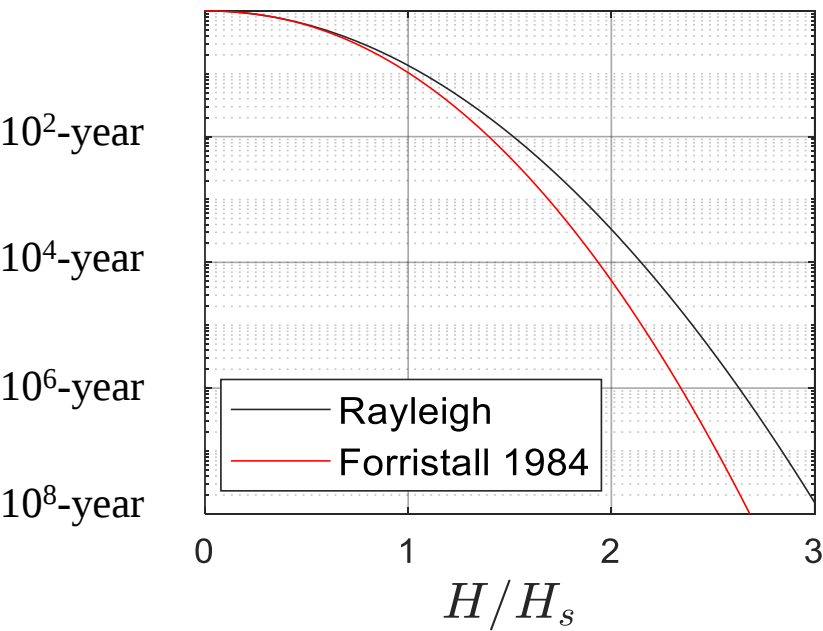
Definition / criterion

$$\frac{H}{H_s} > 2 \text{ or } \frac{\eta_{crest}}{H_s} > 1.25$$

(H_s : significant wave height)

Probability distribution

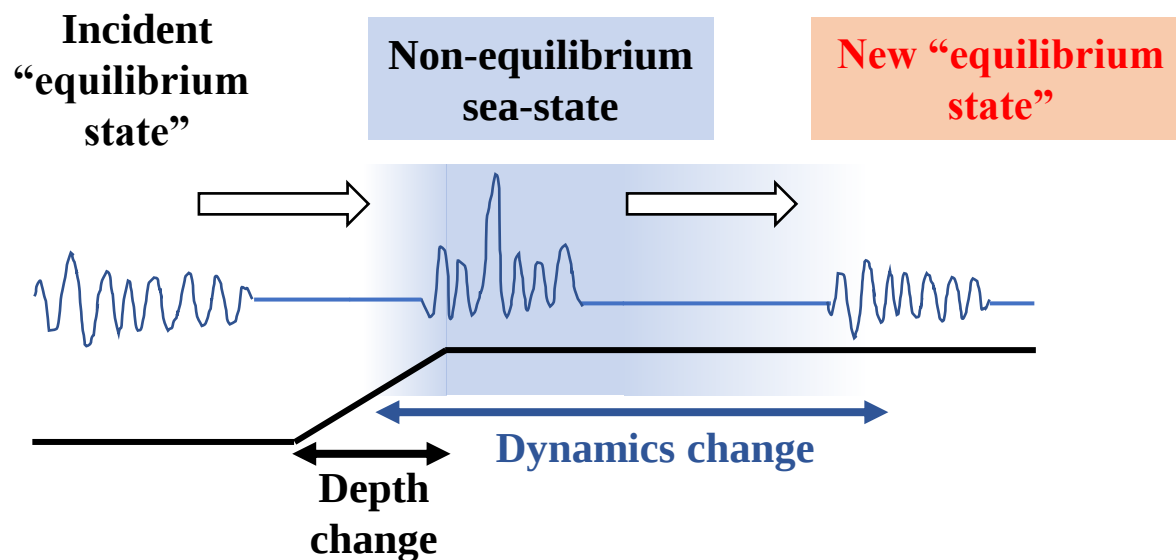
Return Period – Wave height



What causes freak wave formation ?

1. Geometrical focusing (current / bathymetry);
2. Space-time focusing (dispersion);
3. **Modulational instability** (unstable sidebands);
4. **Non-equilibrium dynamics** (uneven bottom).

What is Non-equilibrium dynamics (NED) ?



What do we know about NED ?

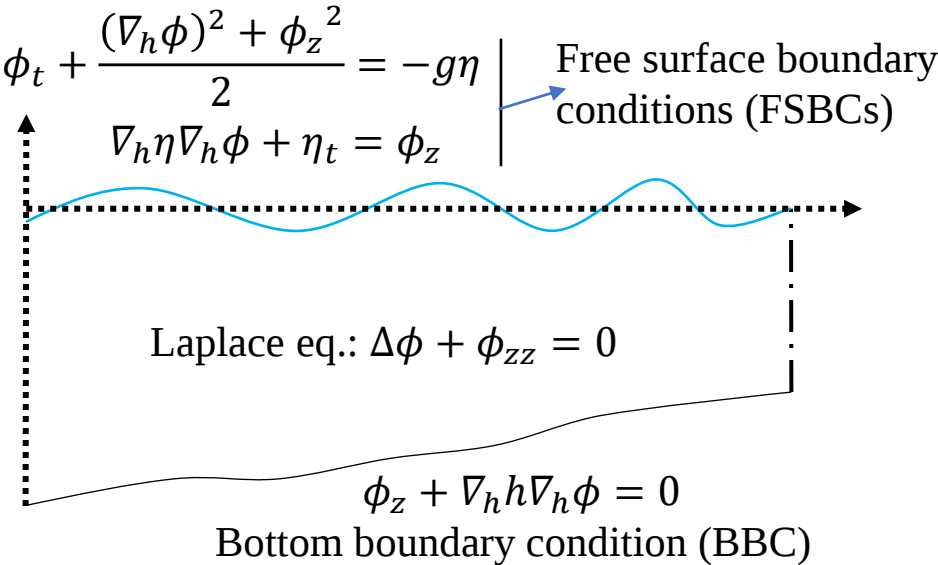
1. **Key features of non-equilibrium sea-state**
 - Non-Gaussian, Non-linear
 - Higher freak wave probability
 - Rapid spectral evolution
2. **Parametric investigation of NED**
 - **Relative water depth**
 - Steepness
 - Wave direction
 - Bottom profile
3. **Theoretical development**
 - Deterministic theory ([Li et al. 2021](#))
 - Stochastic theory ([Mendes et al. 2021](#))

The new equilibrium state and the equilibration process have not yet been studied.

Assumptions

- 1. Incompressible fluid;
- 2. Inviscid and irrotational flow;
- 3. Continuous water column;
- 4. Impermeable bottom.

Governing equations of potential theory



Formulation of Zakharov

FSBCs reformulated using $\eta(x, t)$ and $\tilde{\phi}(x, t) \equiv \phi(x, z = \eta(x, t), t)$

$\eta_t + \nabla_h \eta \nabla_h \tilde{\phi} - \tilde{w}(1 + (\nabla_h \eta)^2) = 0,$ Dirichlet-to-Neumann

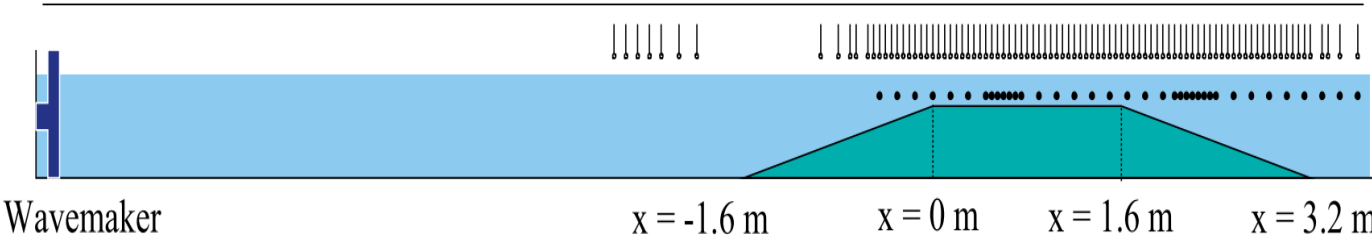
$\tilde{\phi}_t + g\eta + \frac{1}{2}(\nabla_h \tilde{\phi})^2 - \frac{1}{2}\tilde{w}^2(1 + (\nabla_h \eta)^2) = 0,$ (DtN) problem

with $\tilde{w}$: vertical velocity at the free surface $\phi_z(x, z = \eta(x, t), t)$;

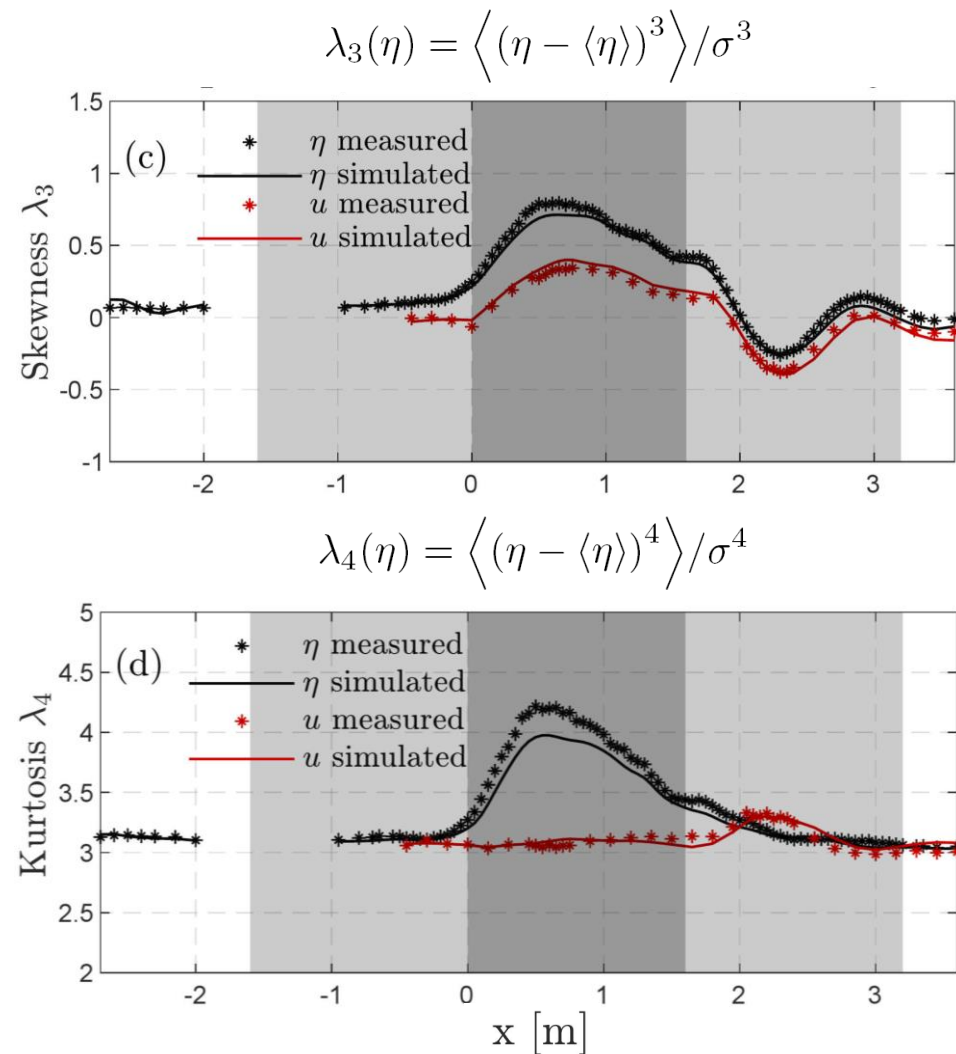
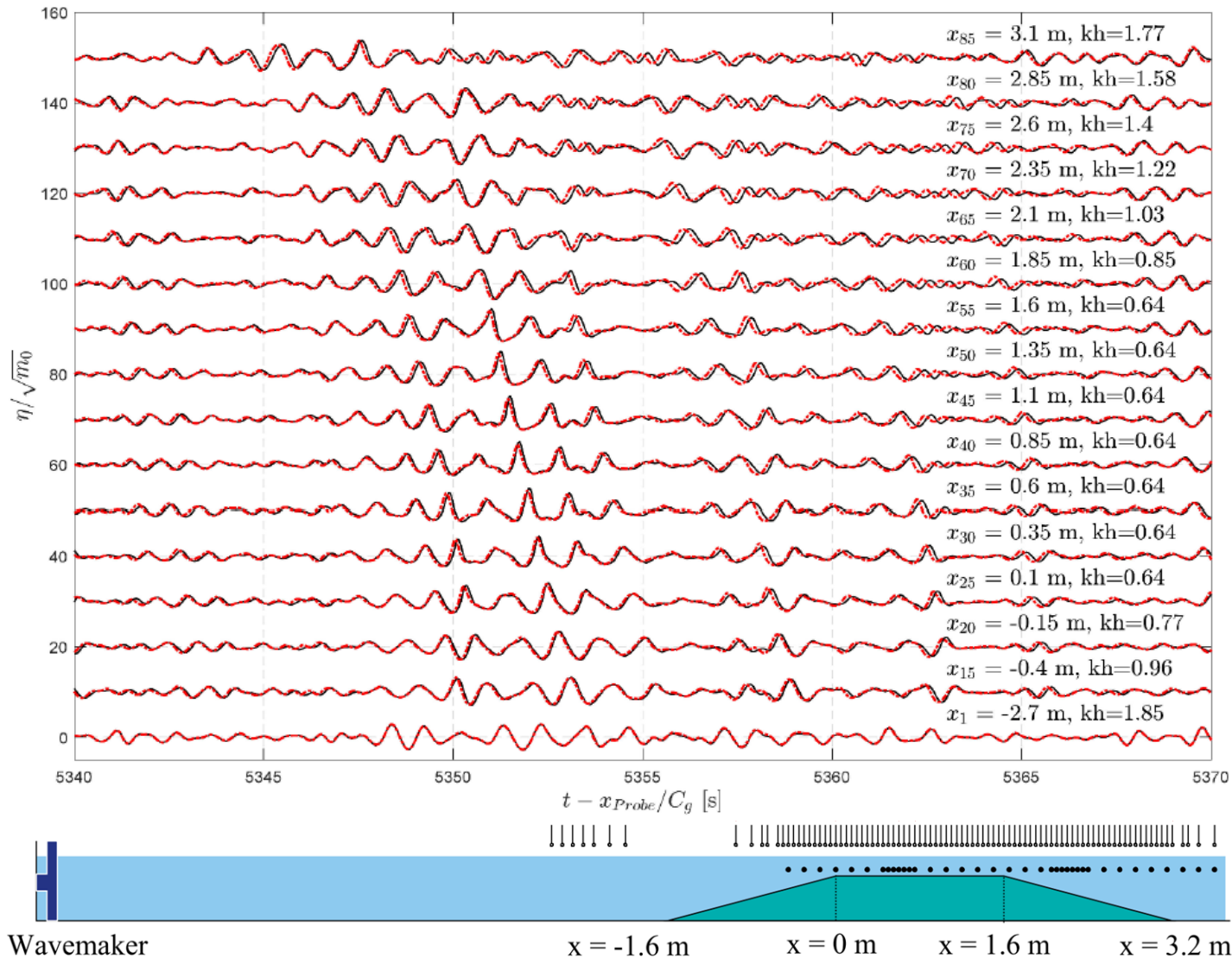
Validation with experiments in Trulsen *et al.* 2020, JFM

Run	T_p (s)	γ	Deeper region					Shallower region				
			h_1 (m)	μ_1	H_{m0} (m)	ϵ_1	Ur_1	h_2 (m)	μ_2	H_{m0} (m)	ϵ_2	Ur_2
3	1.1	3.3	0.53	1.85	0.025	0.031	0.0049	0.11	0.64	0.025	0.052	0.1918

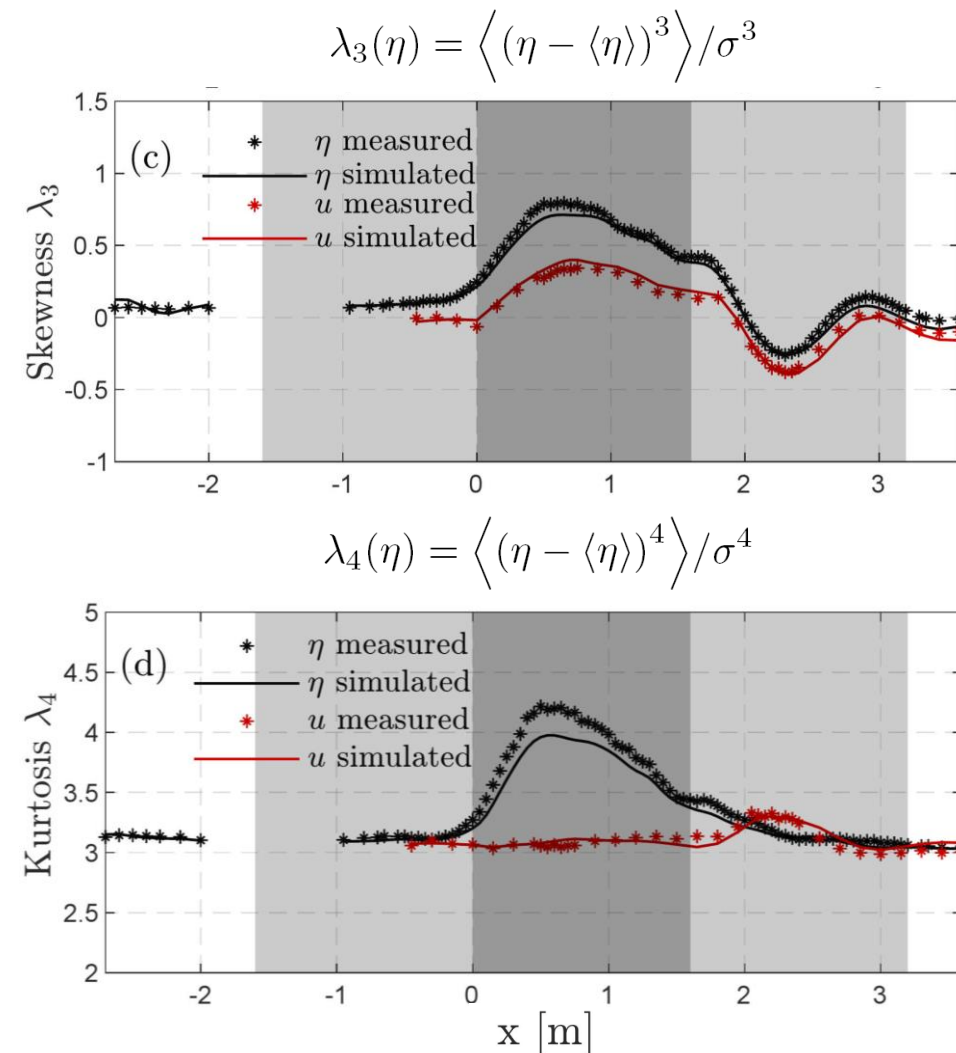
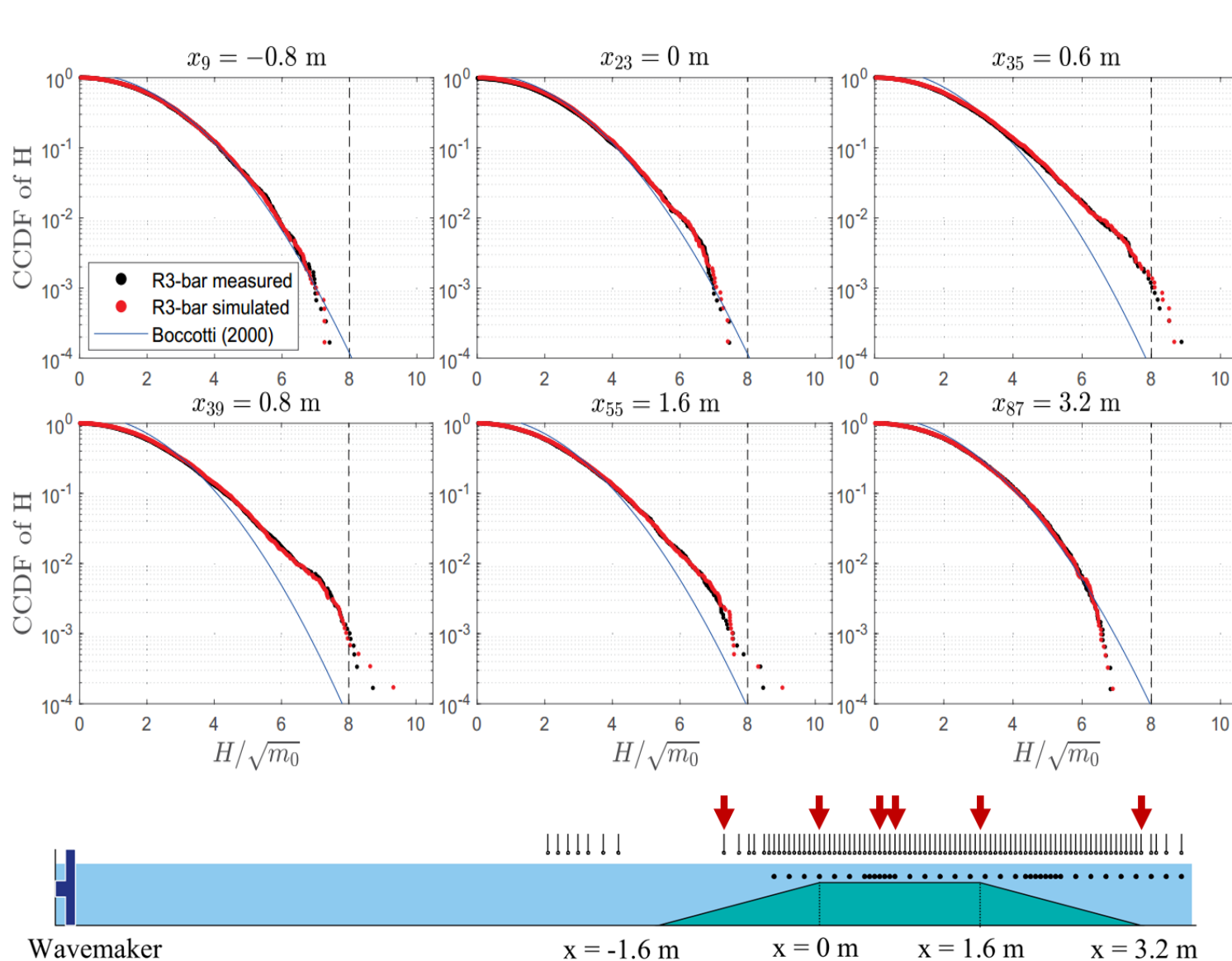
TABLE 1. Key parameters of the experimental case reported as Run 3 in Trulsen *et al.* (2020).



Black lines: measurements; Red lines: simulations



Results are published in [Zhang and Benoit 2021, JFM](#)



Results are published in [Zhang and Benoit 2021, JFM](#)

Incident irregular waves (JONSWAP spectrum)

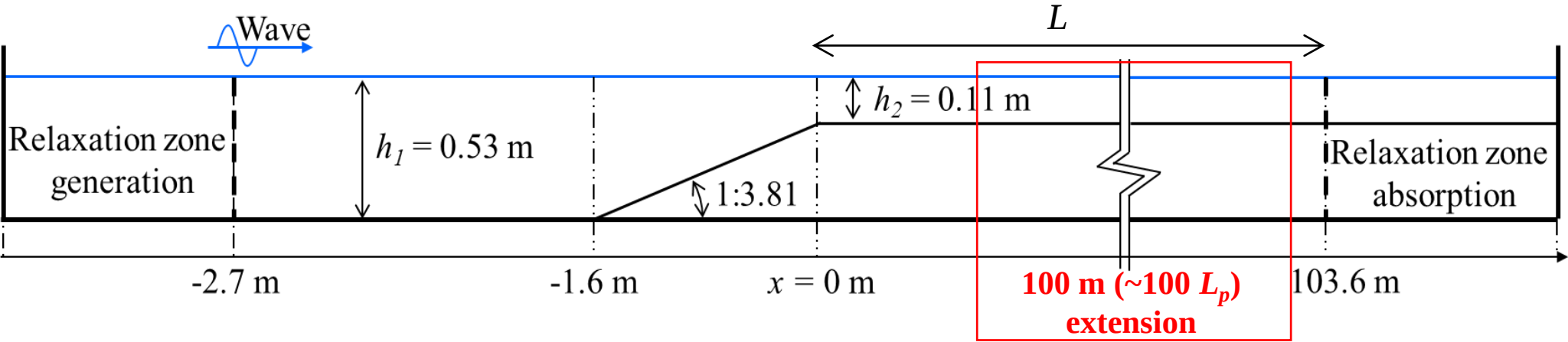
Summary of wave field parameters, in SI units.

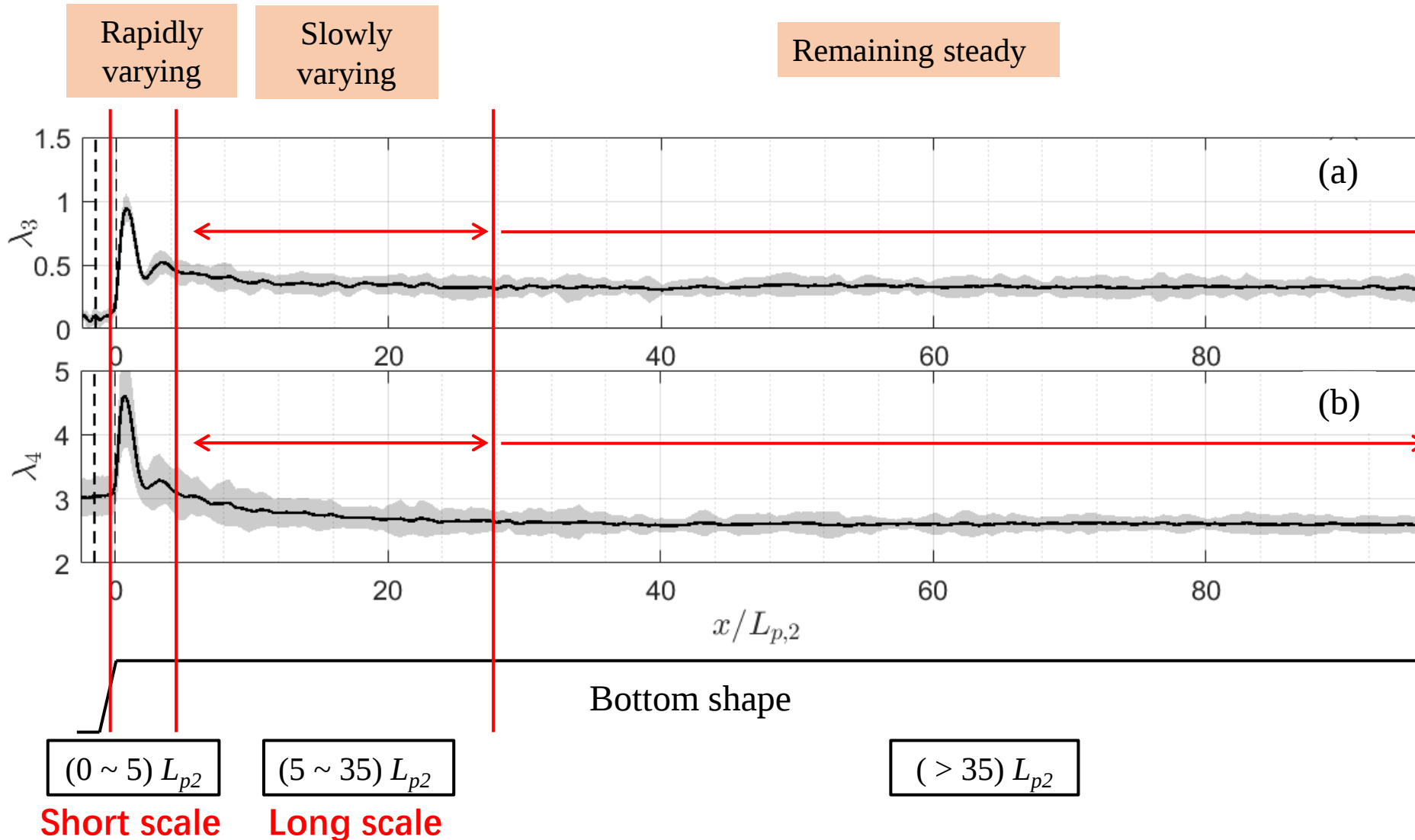
Case	H_s	L	Deeper/shallower area		
			μ	ϵ	U_r
1	0.030	103.6	1.85/0.64	0.037/0.062	0.0058/0.232

relative water depth $\mu = k_p h$
steepness $\epsilon = k_p a_c$
Ursell number $U_r = \epsilon / \mu^3$
 $a_c = \sqrt{2} \sigma$
 $\sigma^2 = \langle (\eta - \langle \eta \rangle)^2 \rangle = m_0$

^a For all cases, $T_p = 1.1$ s, $\gamma = 3.3$, deeper region depth $h_1 = 0.53$ m, shallower region depth $h_2 = 0.11$ m.

New bathymetry with extended shallower region



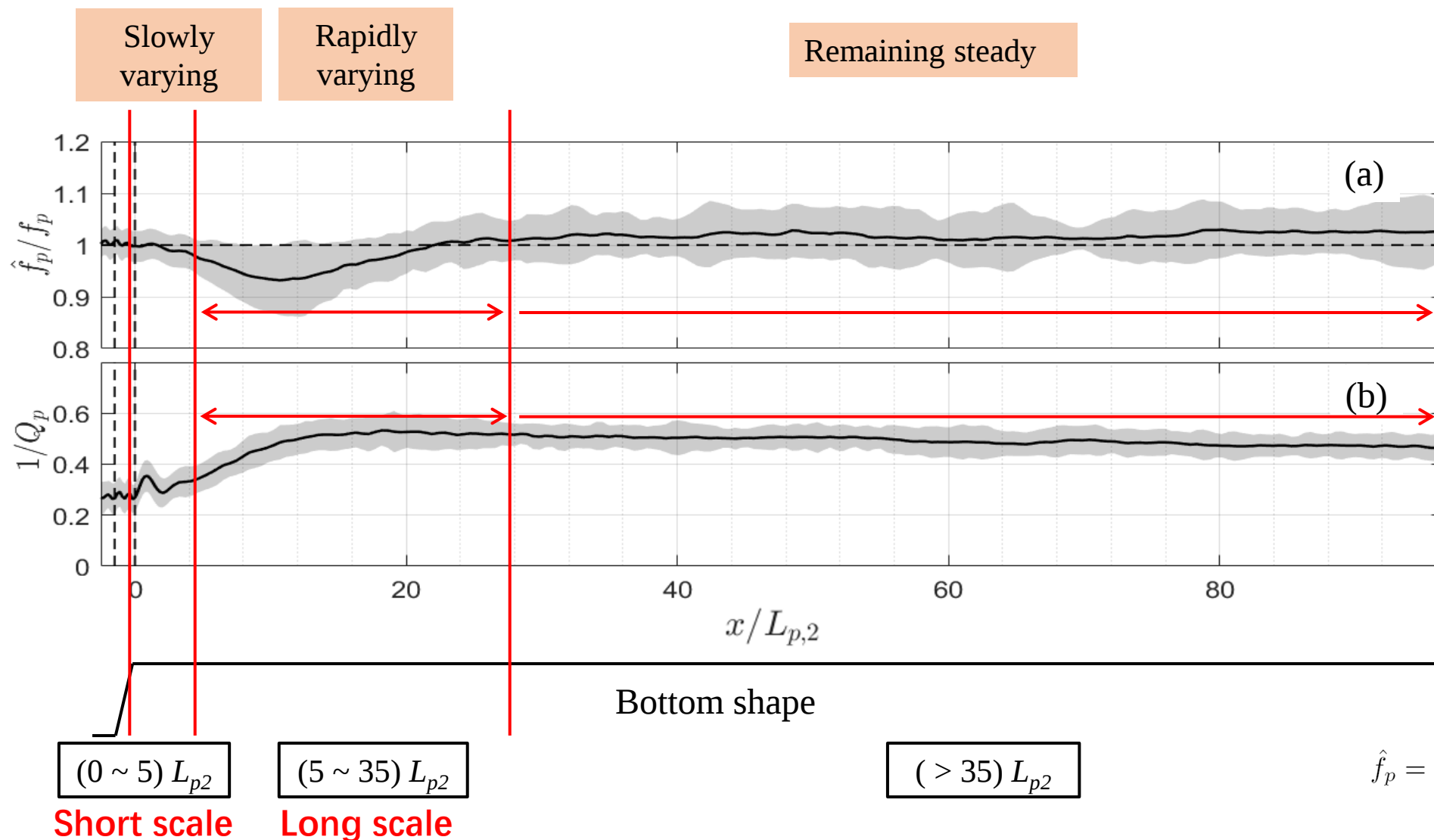


1. Strong modulation of **statistical moments** happens in the **short scale**;
2. Steady **Kurtosis** < 3 in the new equilibrium state.

$$\lambda_3(\eta) = \langle (\eta - \langle \eta \rangle)^3 \rangle / \sigma^3$$

$$\lambda_4(\eta) = \langle (\eta - \langle \eta \rangle)^4 \rangle / \sigma^4$$

Results are published in [Zhang et al. 2022, Coastal. Eng.](#)



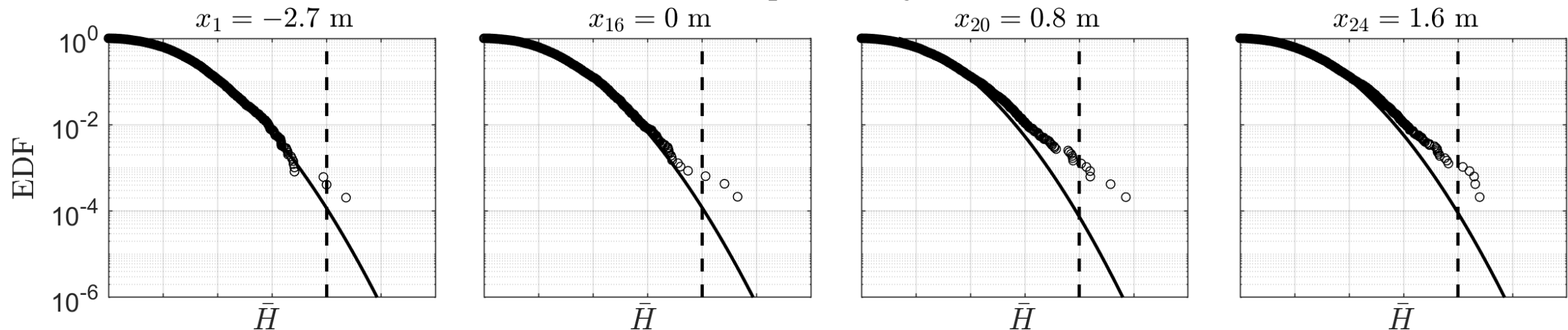
1. Strong modulation of **spectral parameters** happens in the **long scale**;
2. **Significant spectral broadening** happens in the new equilibrium state.

$$Q_p = \frac{2}{m_0^2} \int_0^\infty f S^2(f) df$$

$$\hat{f}_p = \left(\int_0^\infty f S^4(f) df \right) / \left(\int_0^\infty S^4(f) df \right)$$

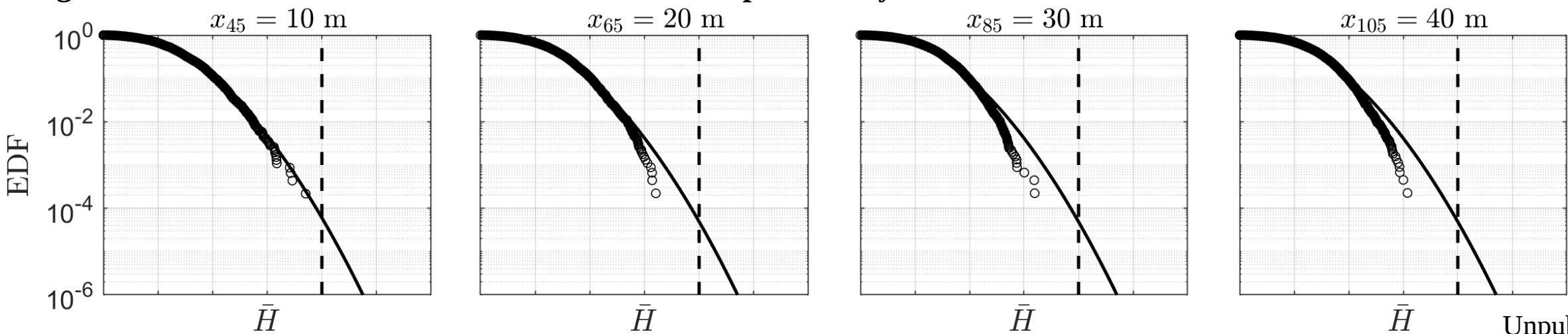
Results are published in [Zhang et al. 2022, Coastal. Eng.](#)

Short scale results: NED results in enhanced freak wave probability



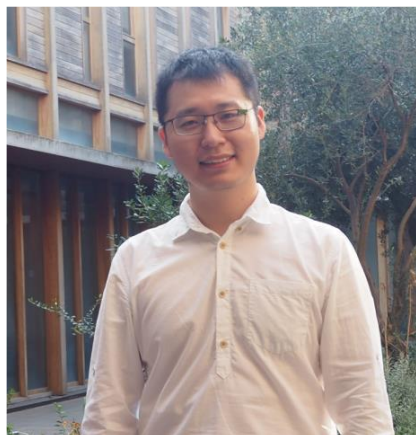
○ Empirical distri. — Boccotti (2000)

Long scale results: NED results in reduced freak wave probability



Unpublished

- The NED takes effects in **two spatial scales**, a short scale $O(L_p)$ and a relatively long scale $O(10 \sim 10^2 L_p)$.
- In the short scale, strong modulation of statistical parameters, freak wave probability is **intensified**;
- In the long scale, the spectral shape undergoes significant broadening, freak wave probability is **suppressed**;
- The knowledge of the “scales” of the NED would help for the design of coastal structures.



Zhang Jie

2021 - now	Post-doc	Dalian Univ. Tech.	SLCOE
2016 - 2020	Doctor	Centrale Marseille	IRPHE
2014 - 2016	Master	Hohai Univ.	
2010 - 2014	Bachelor	Haerbin Univ.	

Research interests

Extreme waves; Freak waves;
Bragg and Fabry-Perot resonances;
Modulation instability; breathers;
Non-equilibrium dynamics;

References:

- [1] Haver, S. 2001 Evidences of the existence of freak waves. *In Rogue waves*, pp. 129–140.
- [2] Gemmrich, J., Cicon, L. 2022 Generation mechanism and prediction of an observed extreme rogue wave. *Sci. Rep.* **12**, 1718.
- [3] Li, Y., Draycott, S., Zheng, Y., Lin, Z., Adcock, T. A. A., van den Bremer, T. S. 2021 Why rogue waves occur atop abrupt depth transitions. *J. Fluid Mech.* **919**, R5.
- [4] Mendes, S., Scotti, A., Brunetti, M., Kasparian, J. 2022 Non-homogeneous analysis of rogue wave probability evolution over a shoal. *J. Fluid Mech.* **939**, A25.
- [5] Trulsen, K., Raustøl, A., Jorde, S., Rye, L. B. 2020 Extreme wave statistics of long-crested irregular waves over a shoal. *J. Fluid Mech.* **882**, R2.
- [6] Zhang, J., Benoit, M., Kimmoun, O., Chabchoub, A., Hsu, H.-C., 2019. Statistics of extreme waves in coastal waters: large scale experiments and advanced numerical simulations. *Fluids* **4**, 99.
- [7] Zhang, J., Benoit, M., 2021. Wave–bottom interaction and extreme wave statistics due to shoaling and de-shoaling of irregular long-crested wave trains over steep seabed changes. *J. Fluid Mech.* **912**, A28.
- [8] Zhang, J., Benoit, M., Ma, Y. 2022 Equilibration process of out-of-equilibrium sea-states induced by strong depth variation: Evolution of coastal wave spectrum and representative parameters. *Coastal Eng.* **174**, 104099.