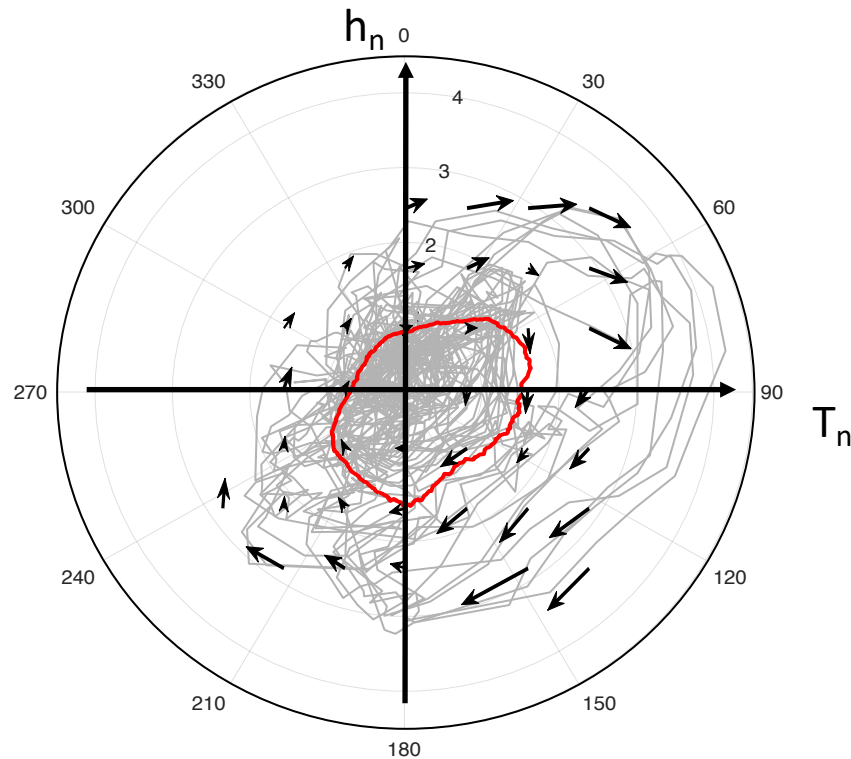


Asymmetries in the ENSO phase space



Dietmar Dommenges and Maryam Al-Ansari

submitted to Climate Dynamics



MONASH University



climate extremes

ARC centre of excellence

overview

❖ Motivation

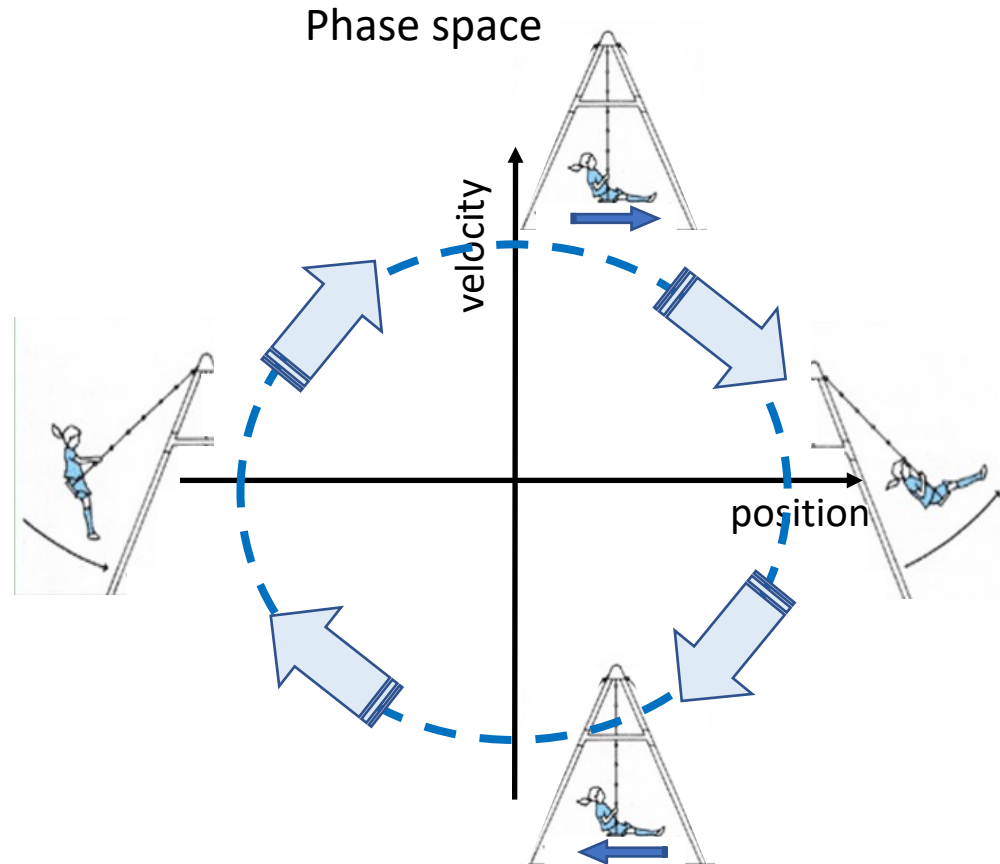
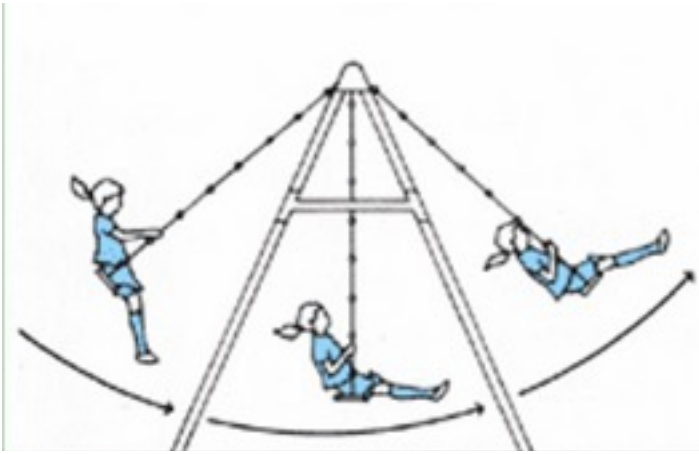
❖ The ENSO phase space

❖ Observed ENSO phase space

❖ Linear & Non-linear Recharge Oscillator

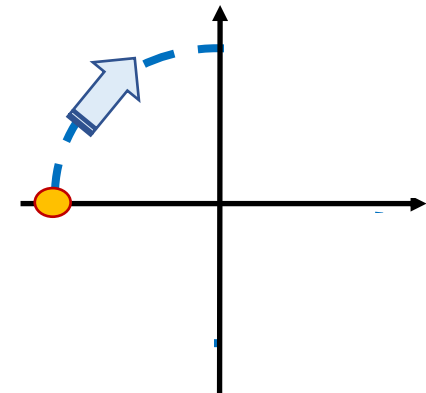
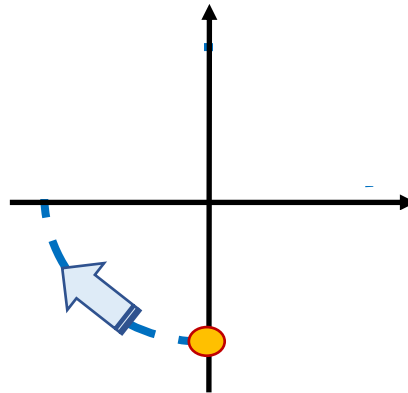
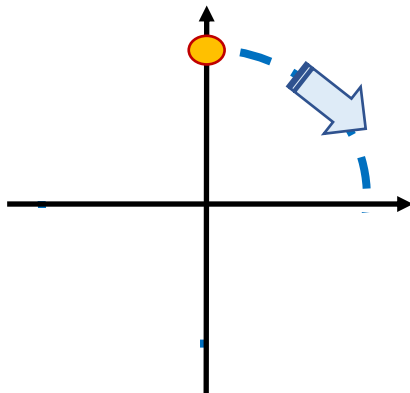
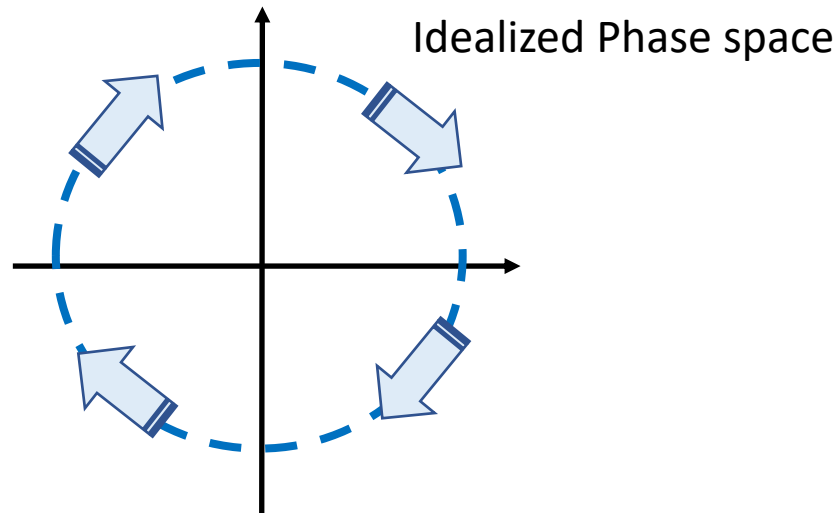
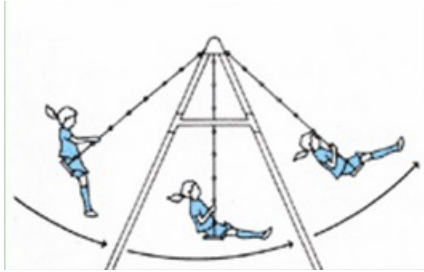
❖ Predictions

The physical phase space



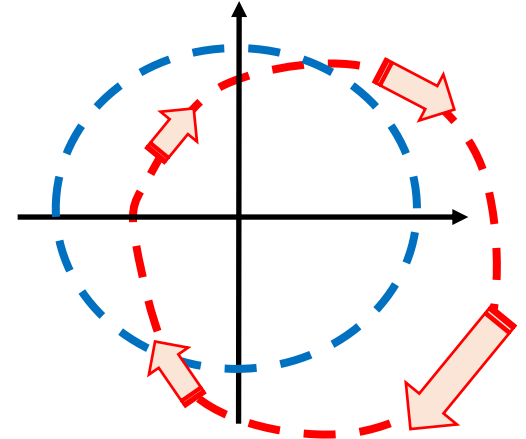
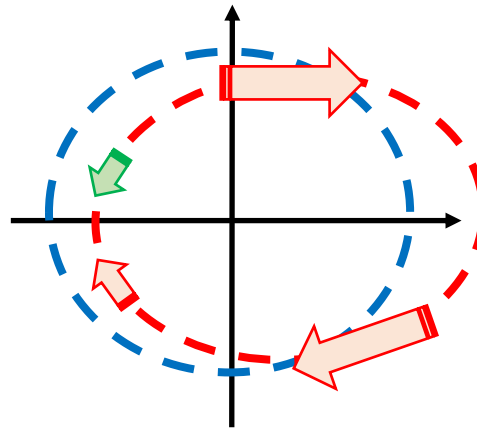
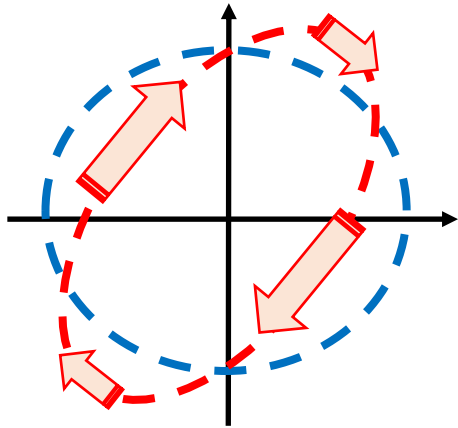
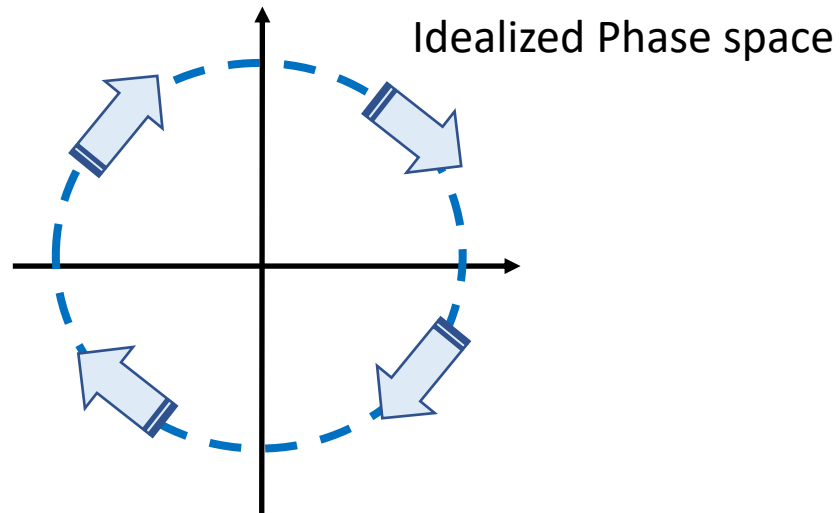
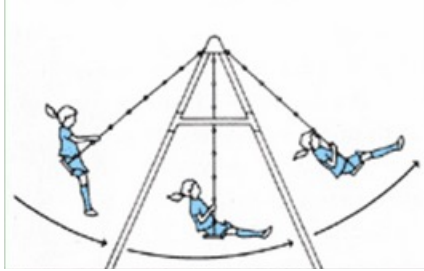
- ❖ The physical phase space illustrates an oscillation.
- ❖ It presents the system as a cycle.

The physical phase space



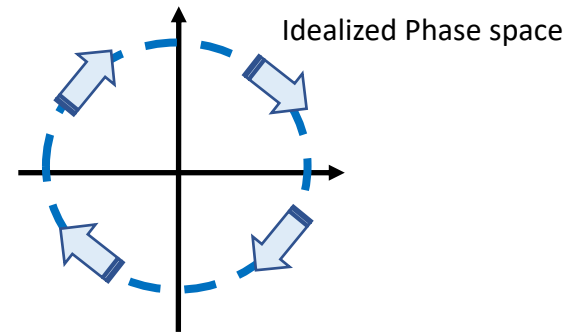
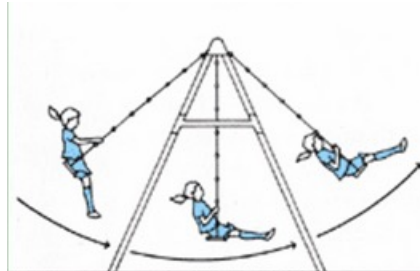
❖ A cycle with clear tendencies implies predictability

The physical phase space



❖ Deviations from a perfect cycle indicate interesting dynamics

The physical phase space



What is a Climate Mode?

- ❖ A Physical climate mode has a phase space with two variables x and y with an out-of-phase relationship
- ❖ The mode has clear propagation through all phase of the cycle in clockwise direction
- ❖ This is the essence of a predictable oscillator

overview

❖ Motivation

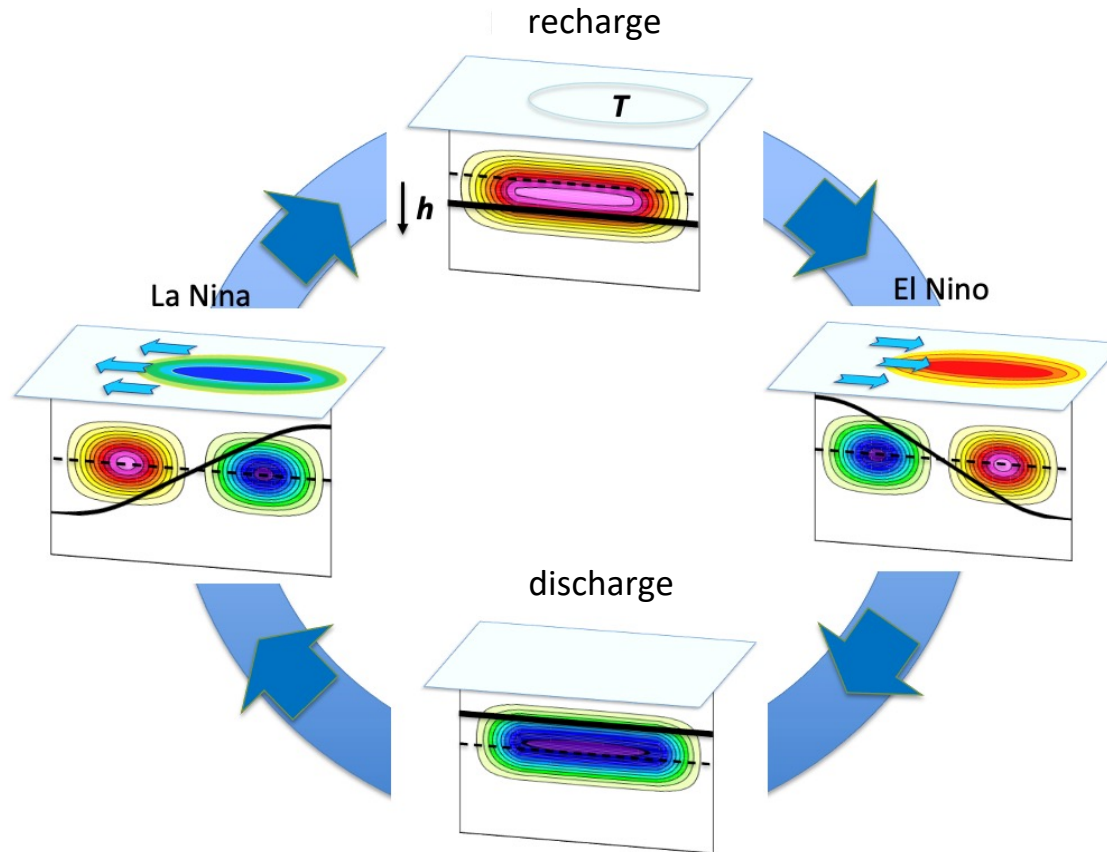
❖ The ENSO phase space

❖ Observed ENSO phase space

❖ Linear & Non-linear Recharge Oscillator

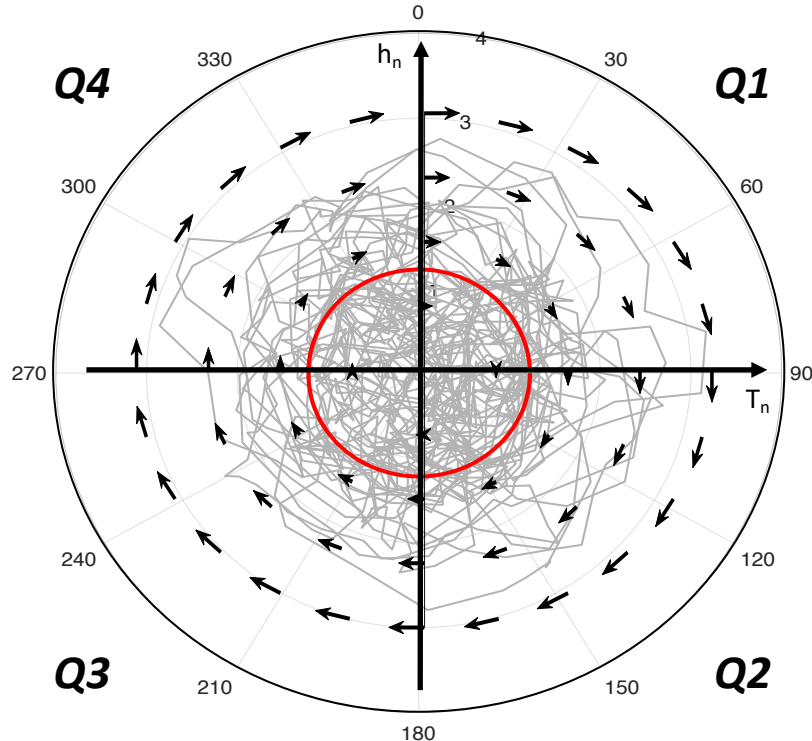
❖ Predictions

The ENSO phase space



- ❖ The ENSO phase space is based on the **Recharge Oscillator** model of ENSO
- ❖ A cycle between upper ocean heat content (thermocline depth; h) and SST (T)

The idealized Recharge Oscillator model



radius = strength (S)

angle = phase

— = 100yrs sample of T and h

— = mean S as function of phase

→ = mean tendencies

$$\frac{dT(t)}{dt} = a_{11}T(t) + a_{12}h(t) + Rt$$

$$\frac{dh(t)}{dt} = a_{21}T(t) + a_{22}h(t) + Rh$$

a_{11}, a_{22} = damping/growth rate

a_{12}, a_{21} = delayed negative feedback (coupling)

Rt, Rh = noise forcing

Idealized: $a_{11} = a_{22}$ $a_{12} = -a_{21}$ $Rt = Rh$

integrate stochastic model for
 10^4 yrs of T and h statistics

❖ The idealized **Recharge Oscillator** model
is symmetric / all phase are the same

overview

❖ Motivation

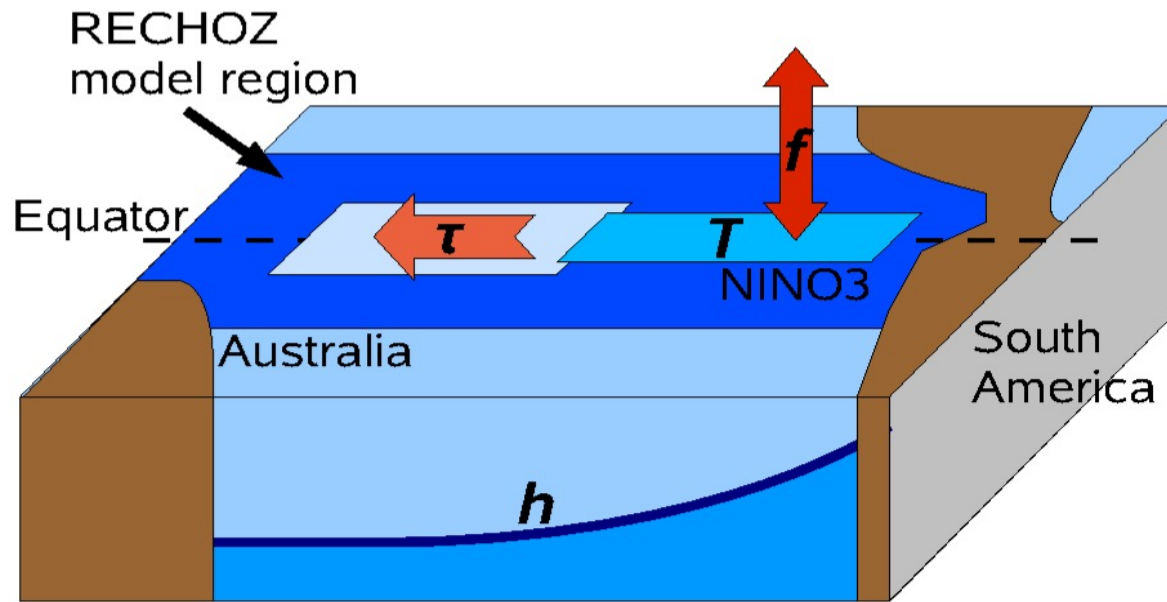
❖ The ENSO phase space

❖ Observed ENSO phase space

❖ Linear & Non-linear Recharge Oscillator

❖ Predictions

The observed ENSO phase space



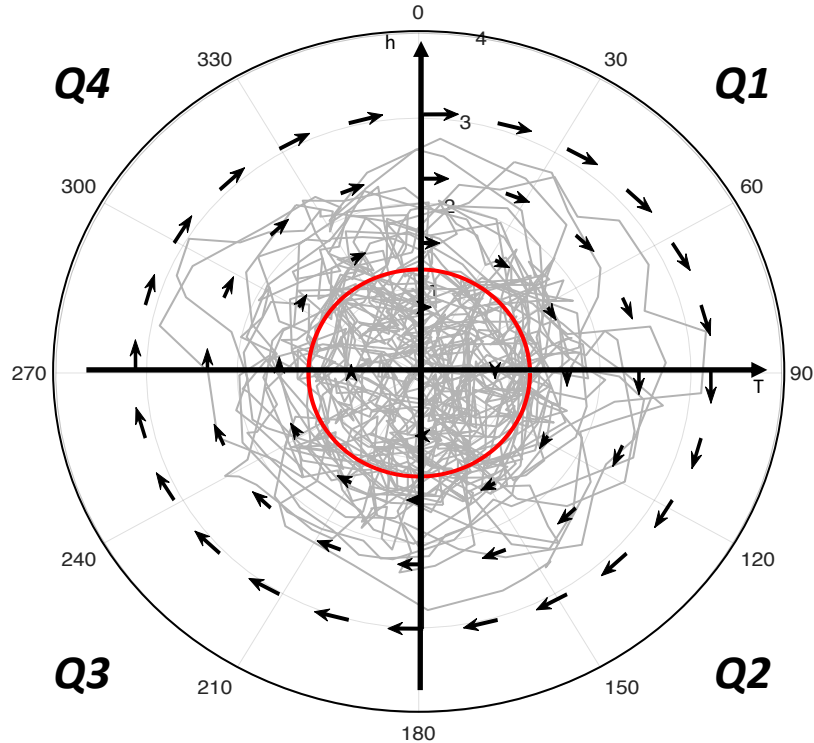
T = NINO3 SST anomalies

h = Z20 isotherm of whole eq. Pacific

Data: combined TAO-observations, ocean reanalysis (SODA, CHOR-RL/AS) **1980-2015**

The observed ENSO phase space

idealized *Recharge Oscillator*



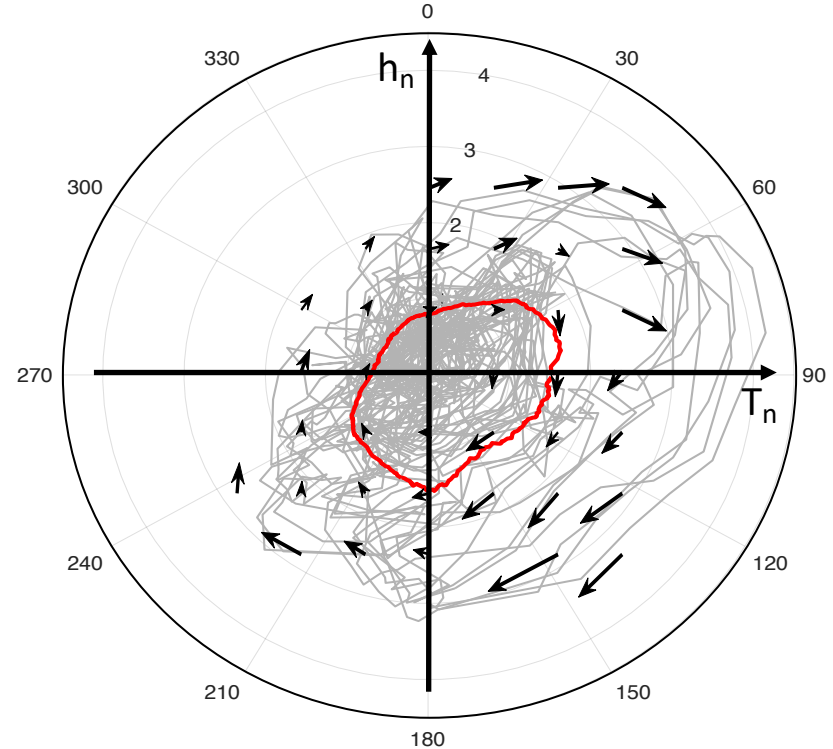
radius = strength (S)

angle = phase

— = mean S as function of phase

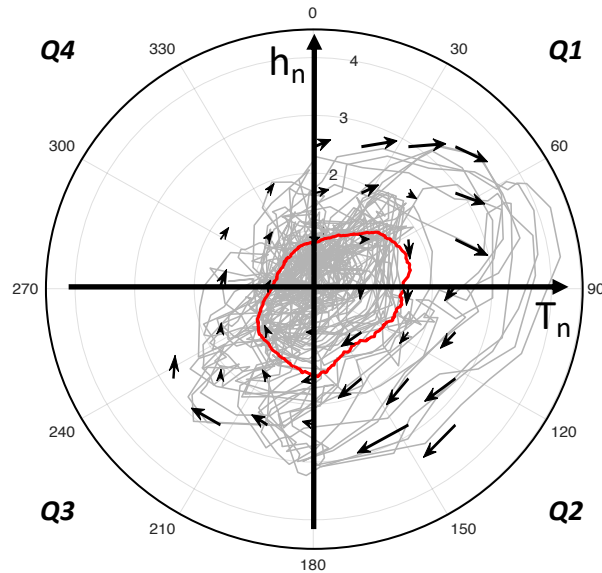
→ = mean tendencies

Observed T and h



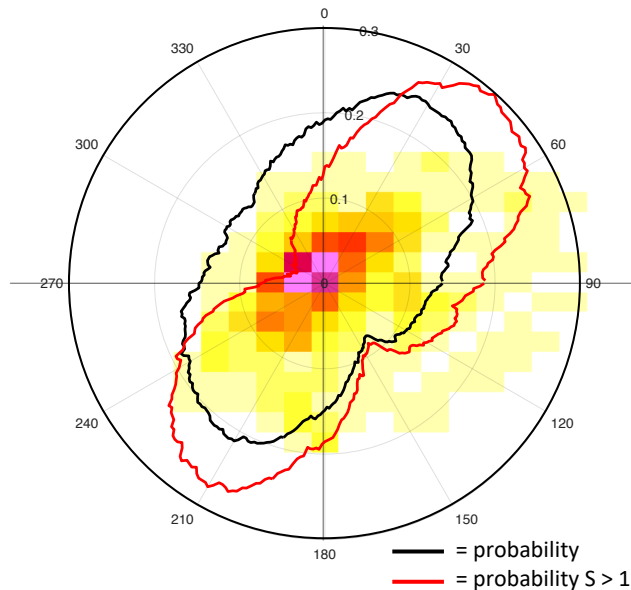
- ❖ The observed ENSO phase space has strong asymmetries.
- ❖ Both in *probabilities* and in *tendencies*

The observed ENSO phase space

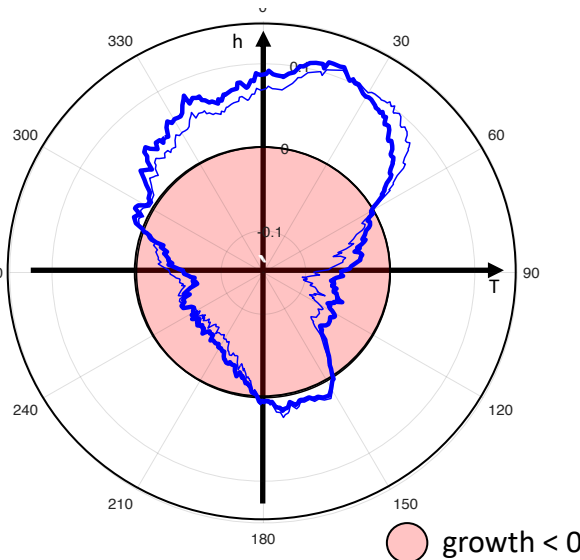


- ❖ probabilities are shifted away from Q4.
- ❖ growth rate is largest in the recharge state and negative during El Nino / La Nina
- ❖ Phase transition is fastest after El Nino and close to zero during La Nina states.

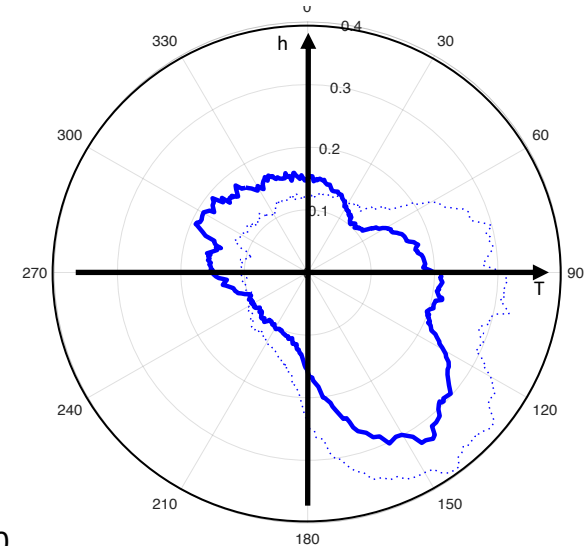
probability distribution



growth rate (1/mon)
radial part of tendencies per S



Phase speed (1/mon)
tangential part of tendencies per S



overview

❖ Motivation

❖ The ENSO phase space

❖ Observed ENSO phase space

❖ Linear & Non-linear Recharge Oscillator

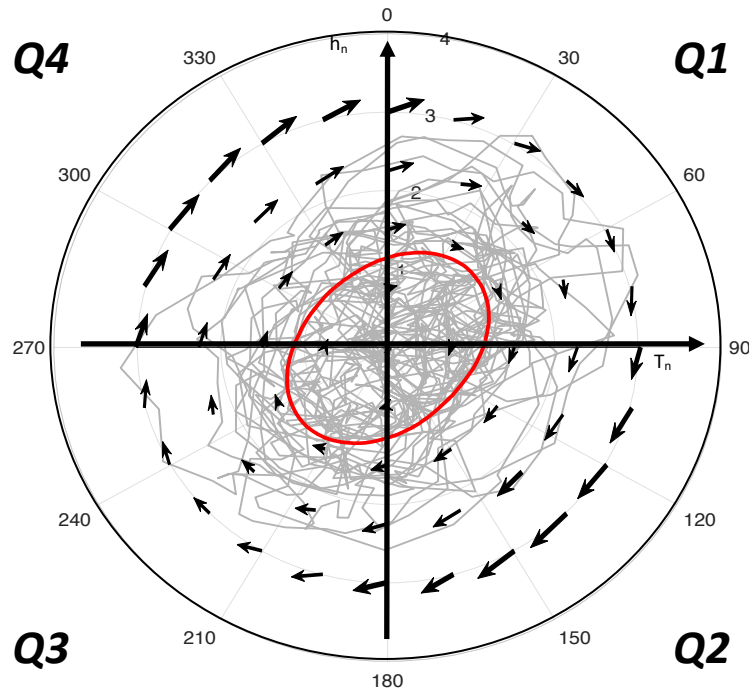
❖ Predictions

Recharge Oscillator model

*Use **linear** and **non-linear**
Recharge Oscillator model to
understand observed ENSO
phase space asymmetries*

Observed linear Recharge Oscillator model

Observed linear Recharge Oscillator



radius = strength (S)

angle = phase

— = 100yrs sample of T and h

— = mean S as function of phase

→ = mean tendencies

$$\frac{dT(t)}{dt} = a_{11}T(t) + a_{12}h(t) + Rt$$

$$\frac{dh(t)}{dt} = a_{21}T(t) + a_{22}h(t) + Rh$$

a_{11}, a_{22} = damping/growth rate

a_{12}, a_{21} = delayed negative feedback (coupling)

Rt, Rh = noise forcing

fit to observed T and h

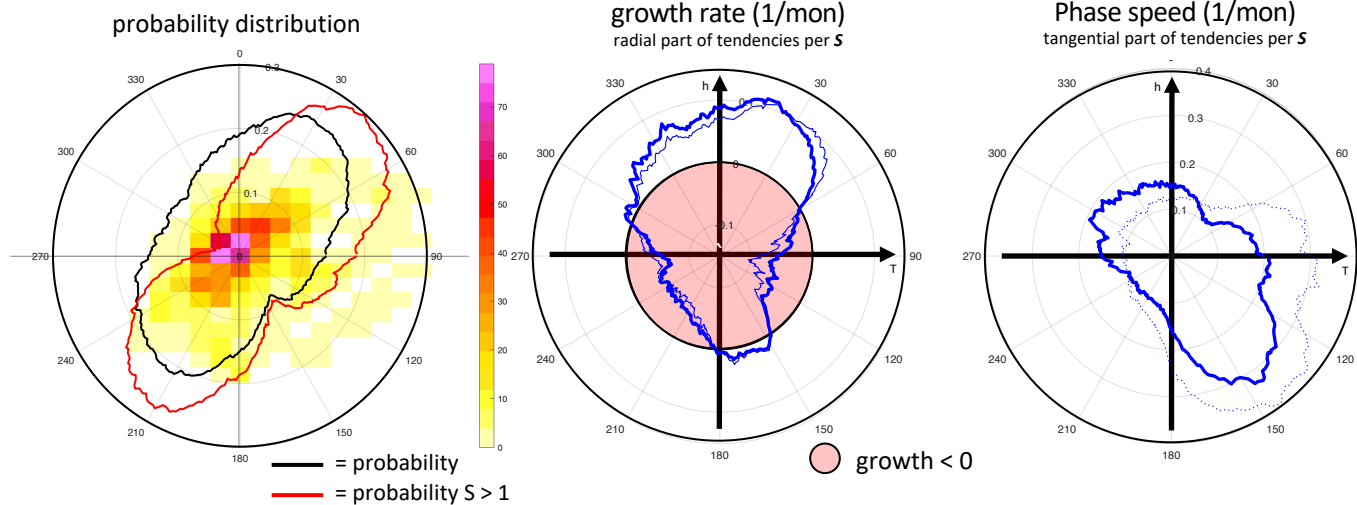
Normalized observed ReOsc model parameters		
$a_{11n} = -0.115 \text{ mon}^{-1}$	$a_{12n} = 0.17 \text{ mon}^{-1}$	$\text{stdv}(\zeta_T) = 0.29 \text{ mon}^{-1}$
$a_{22n} = +0.019 \text{ mon}^{-1}$	$a_{21n} = -0.16 \text{ mon}^{-1}$	$\text{stdv}(\zeta_h) = 0.25 \text{ mon}^{-1}$

integrate model with white noise forcing for 10^4 yrs.

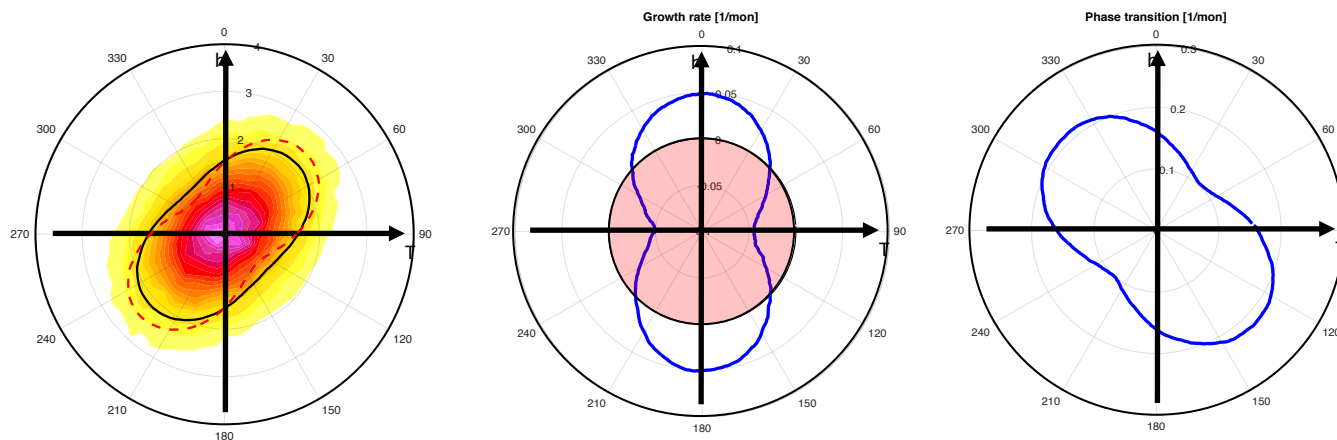
- ❖ It is asymmetric in the growth rate T and h
- ❖ It is symmetric in all other parts
- ❖ The ENSO phase space is phase-dependent

Observed linear Recharge Oscillator model

observed ENSO phase space



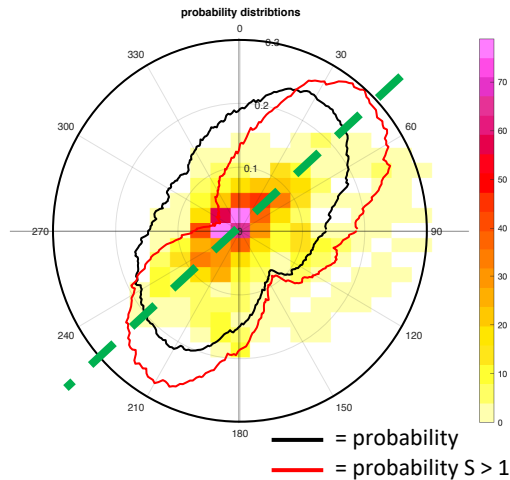
Observed linear Recharge Oscillator



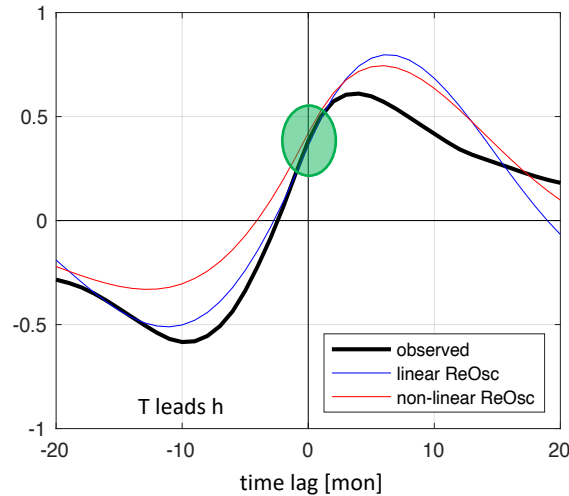
- ❖ The linear model can capture some of the phase space characteristics
- ❖ It is symmetric for opposite phases
- ❖ In-phase correlation between T and h explains most of the observed structures
- ❖ Some asymmetries are not captured.

Observed Thermocline Depth Estimates

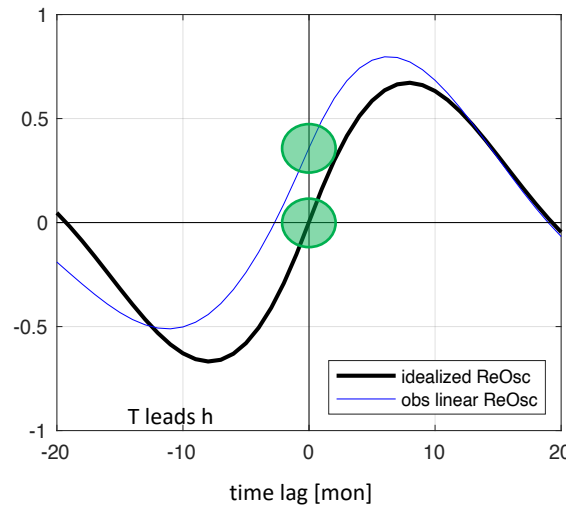
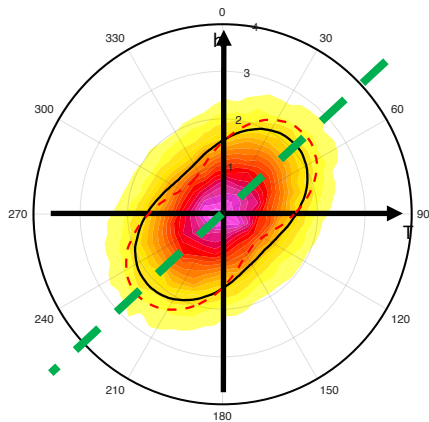
observed ENSO phase space



cross-correlation T vs. h



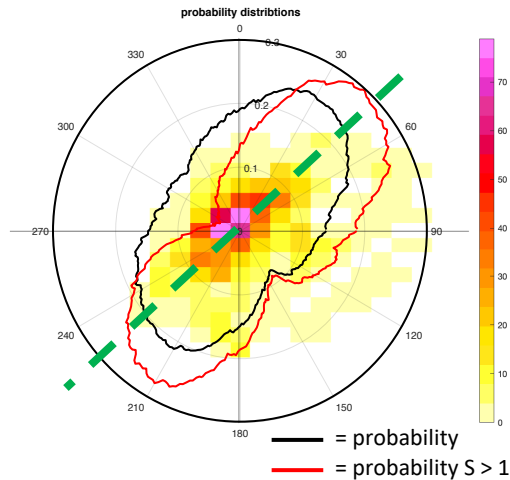
Observed linear Recharge Oscillator



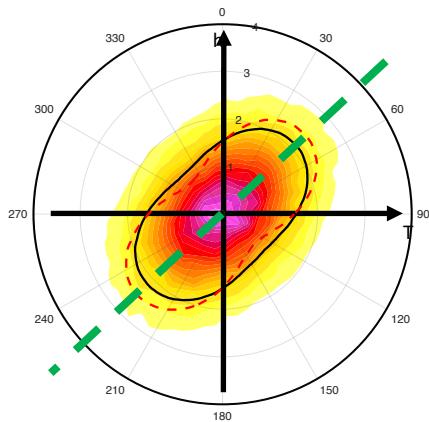
- ❖ In-phase correlation between T and h explains most of the observed structures
- ❖ In ReOsc model growth rates of T/h are strongly asymmetric
- ❖ This is not the idea of the ReOsc model
- ❖ There is likely a problem with the thermocline estimate (Z20-based)

Observed Thermocline Depth Estimates

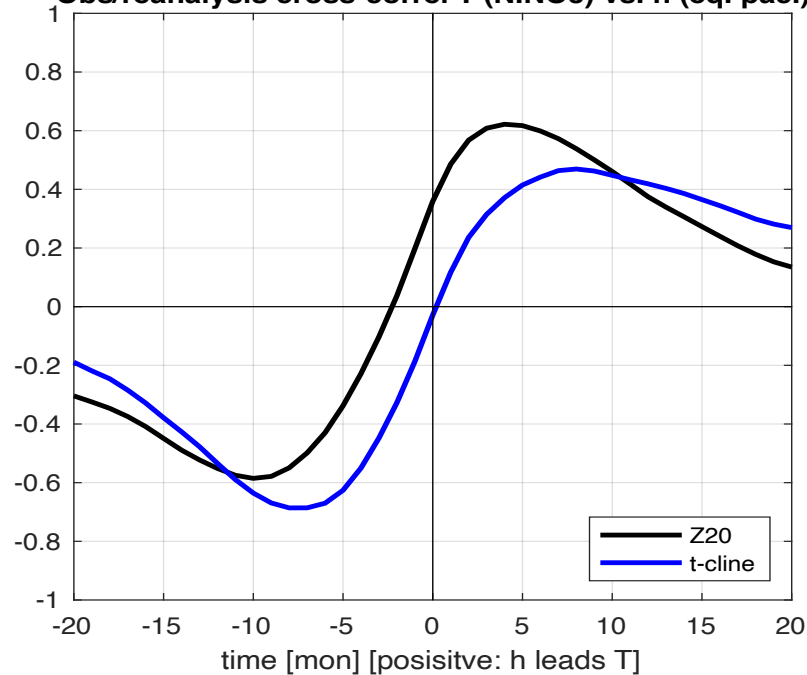
observed ENSO phase space



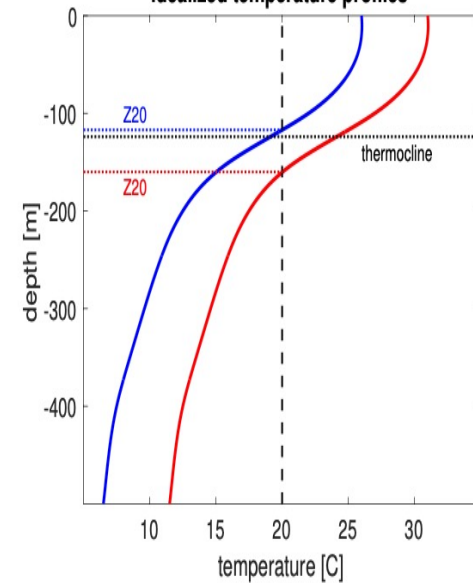
Observed linear Recharge Oscillator



Obs/reanalysis cross-correl T (NINO3) vs. h (eq. pac.)



idealized temperature profiles



❖ The thermocline depth behaves better in the ReOsc concept than Z20.

A Non-linear Recharge Oscillator model

linear model

$$\frac{dT(t)}{dt} = a_{11}T(t) + a_{12}h(t) + Rt$$

$$\frac{dh(t)}{dt} = a_{21}T(t) + a_{22}h(t) + Rh$$

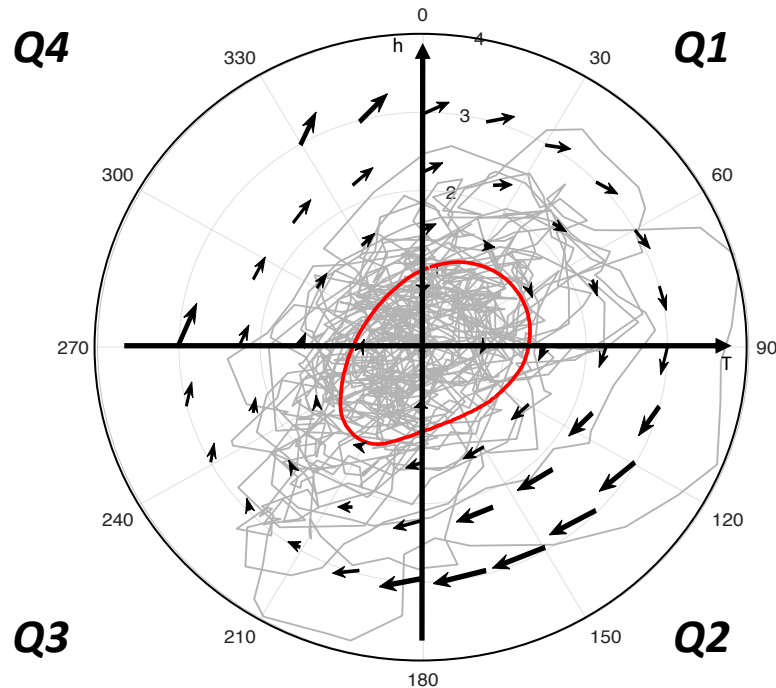
a_{11}, a_{22} = damping/growth rate

a_{12}, a_{21} = delayed negative feedback (coupling)

Rt, Rh = noise forcing

A Non-linear Recharge Oscillator model

Non-linear Recharge Oscillator



radius = strength (S)

angle = phase

— = 100yrs sample of T and h

— = mean S as function of phase

→ = mean tendencies

non-linear model

$$\frac{dT(t)}{dt} = a_{11-2}T^2(t) + a_{11-1}T(t) + a_{11-0} + a_{12}h(t) + Rt$$

$$\frac{dh(t)}{dt} = a_{21}T(t) + a_{22}h(t) + Rh$$

$a_{11-0}, a_{11-1}, a_{11-2}, a_{22}$ = damping/growth rate

a_{12}, a_{21} = delayed negative feedback (coupling)

Rt, Rh = noise forcing

$$a_{11-0} = -0.035 \text{ K mon}^{-1}$$

$$a_{11-1} = -0.188 \text{ mon}^{-1}$$

$$a_{11-2} = 0.058 \text{ K}^{-1}\text{mon}^{-1}$$

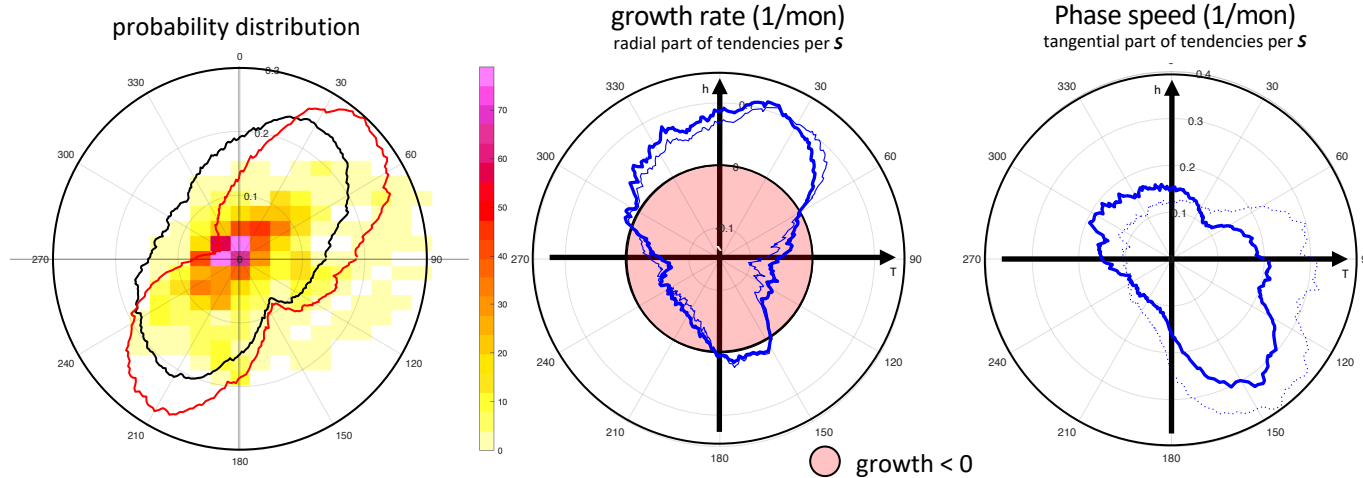
integrate model with white noise forcing for 10^4 yrs.

❖ assume quadratic function for growth of T

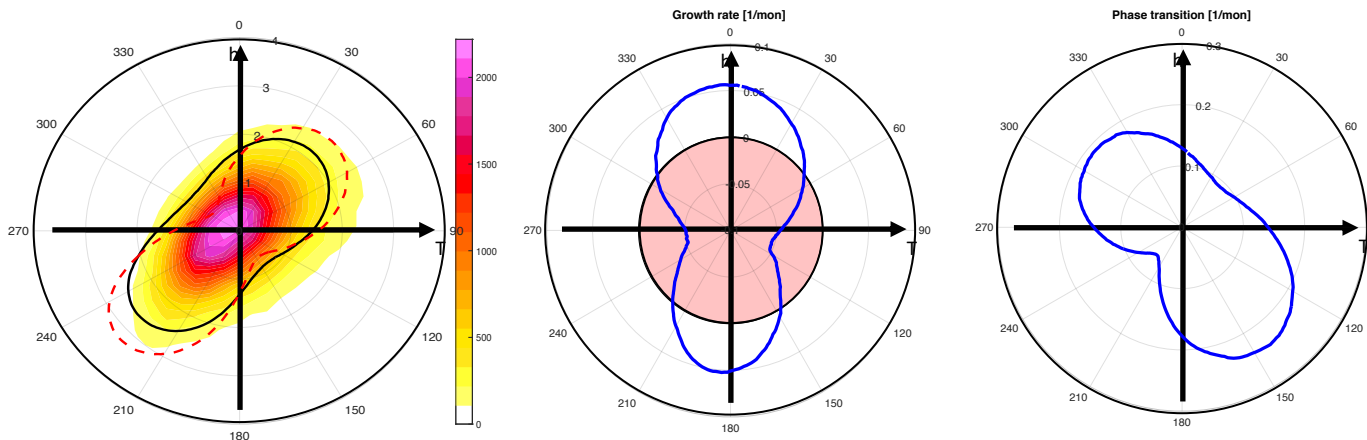
❖ Consistent with non-linear wind-SST (stronger feedback for negative T)

A Non-linear Recharge Oscillator model

observed ENSO phase space



Non-linear Recharge Oscillator



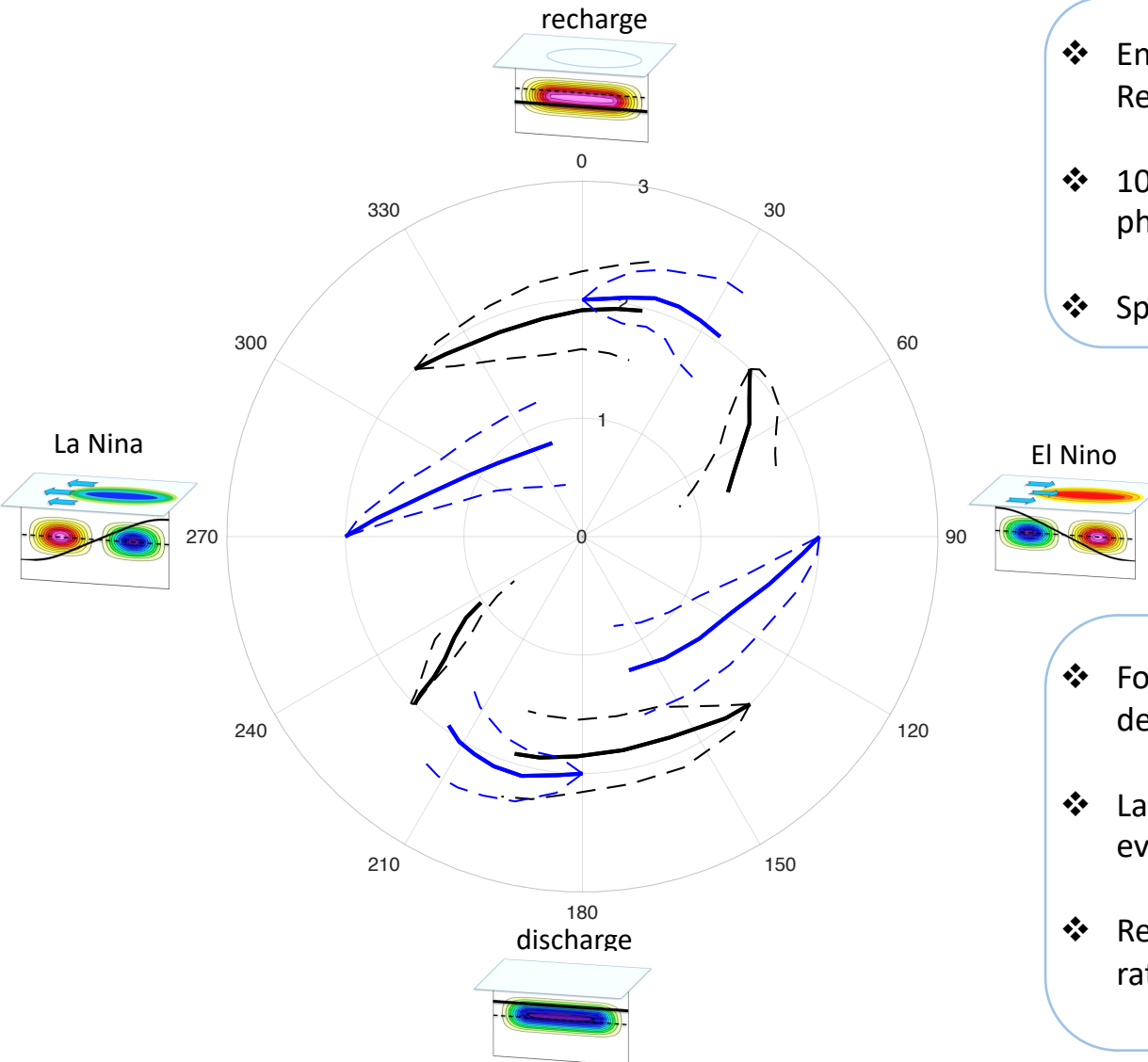
- ❖ The non-linear model can capture some of the non-linear characteristics
- ❖ Some asymmetries are not captured.

overview

- ❖ Motivation
- ❖ The ENSO phase space
- ❖ Observed ENSO phase space
- ❖ Linear & Non-linear Recharge Oscillator
- ❖ Predictions

Predictability

Non-linear Recharge Oscillator



- ❖ Ensemble restarts with the non-linear Recharge Oscillator model
- ❖ 100 members start at $S = 2$ for 8 different phases and run for 6 month
- ❖ Spread in growth and phase evolution

- ❖ Forecast evolutions are strongly phase dependent
- ❖ La Nina state has very little phase evolution
- ❖ Results are consistent with the growth rate and phase speed characteristics.

overview

- ❖ Motivation
- ❖ The ENSO phase space
- ❖ Observed ENSO phase space
- ❖ Linear & Non-linear Recharge Oscillator
- ❖ Predictions

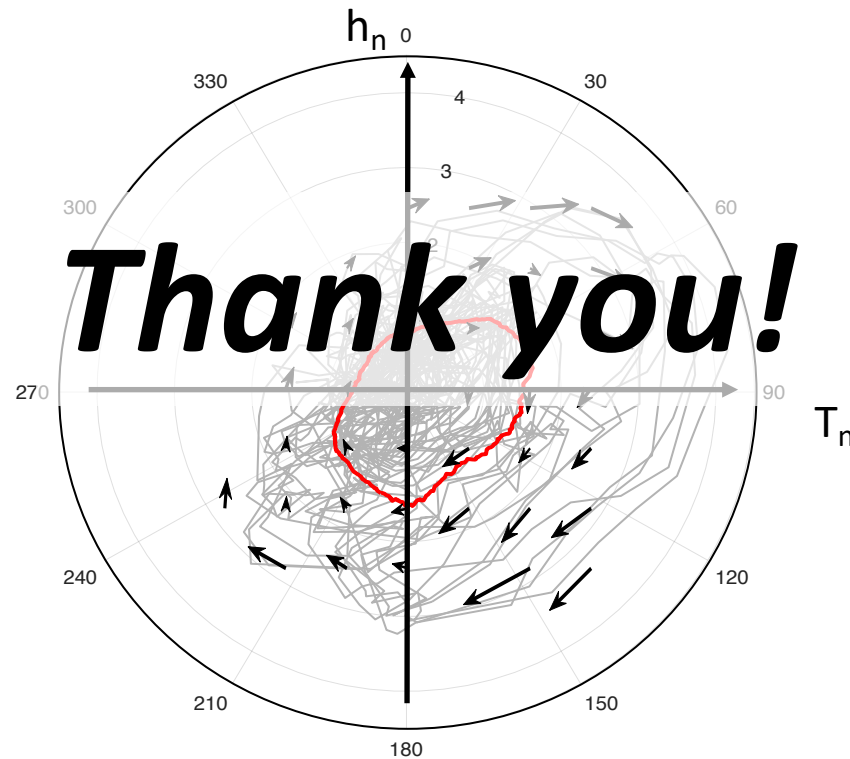
Summary

- ❖ The ENSO phase space is a good concept for understanding ENSO dynamics as a cycle
- ❖ It allows to define ***growth rate*** and ***phase speed*** as function of ENSO phase
- ❖ The observed ENSO phase space has strong asymmetries in probabilities, growth rates and phase speed
- ❖ Most of it is due to in-phase correlation between ***T*** and ***h*** -> artifact of estimating ***h***?
- ❖ Non-linear growth rate of ***T*** can explain much of the non-linearity, but not all of it

Outlook

- ❖ The physical phase space is a good concept for any climate mode (.e.g, MJO)
- ❖ Analysis of **growth rate** and **phase speed** as function of phase helps to understand the dynamics of a climate mode
- ❖ A positive phase speed in all phases indicate a **true oscillator**

Asymmetries in the ENSO phase space



Dietmar Dommenges and Maryam Al-Ansari

soon to be published in Climate dynamics, keep fingers crossed!



MONASH University



climate extremes

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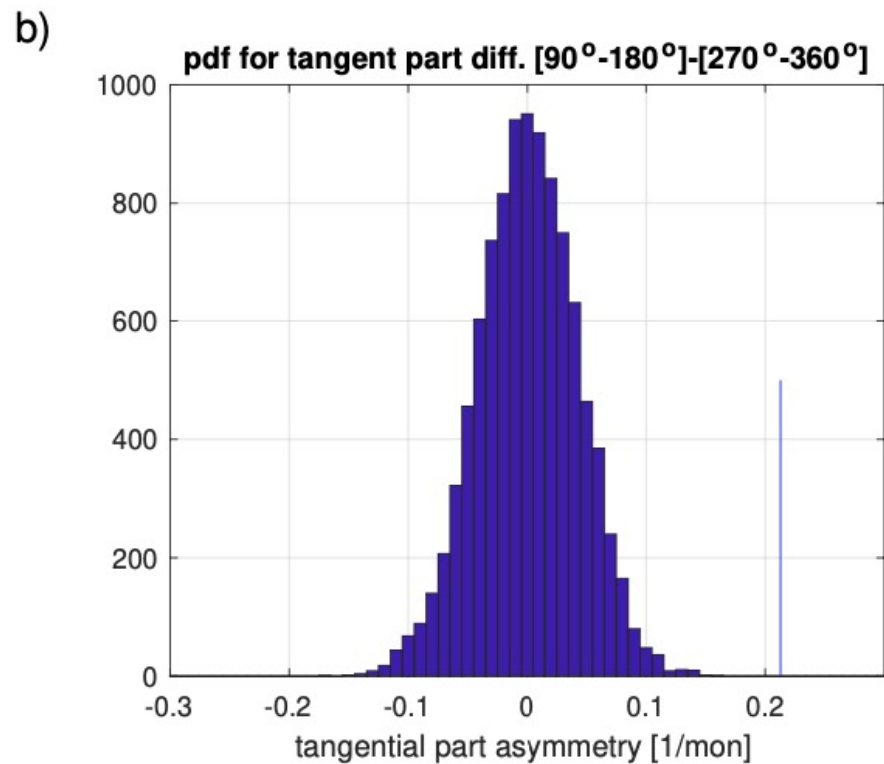
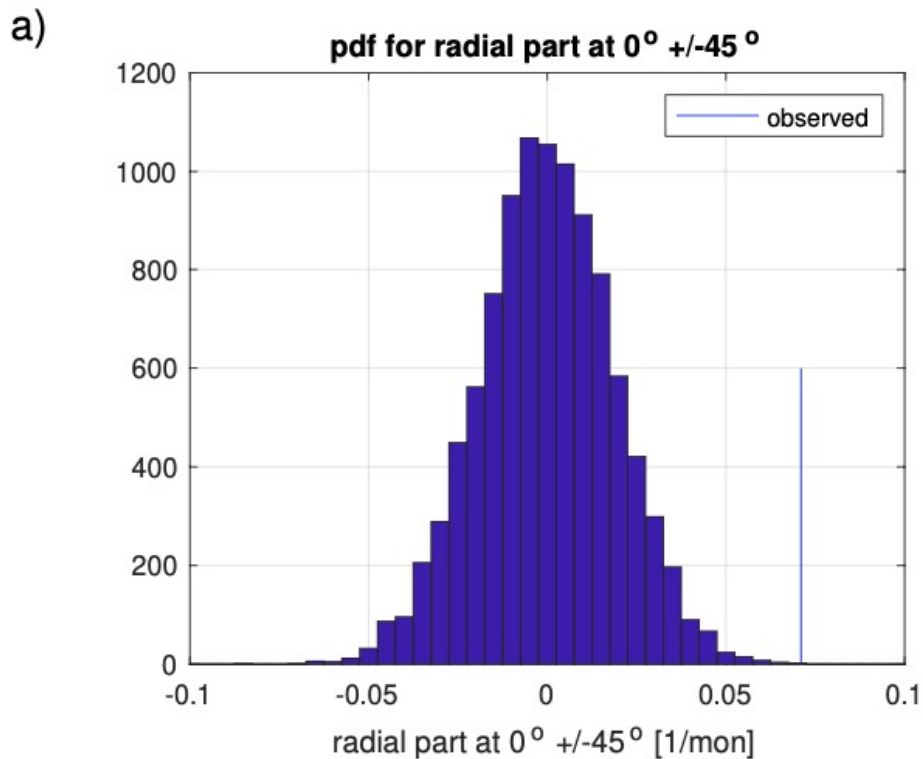


Figure 7: (a) Probability distribution for the radial tendency part at $0^\circ \pm 45^\circ$ for the idealized ReOsc model and the observed value (blue vertical line). (b) Probability distribution for the difference of the tangential tendency part between $[90^\circ-180^\circ]$ minus $[270^\circ-360^\circ]$ for the idealized ReOsc model and the observed value (blue vertical line).

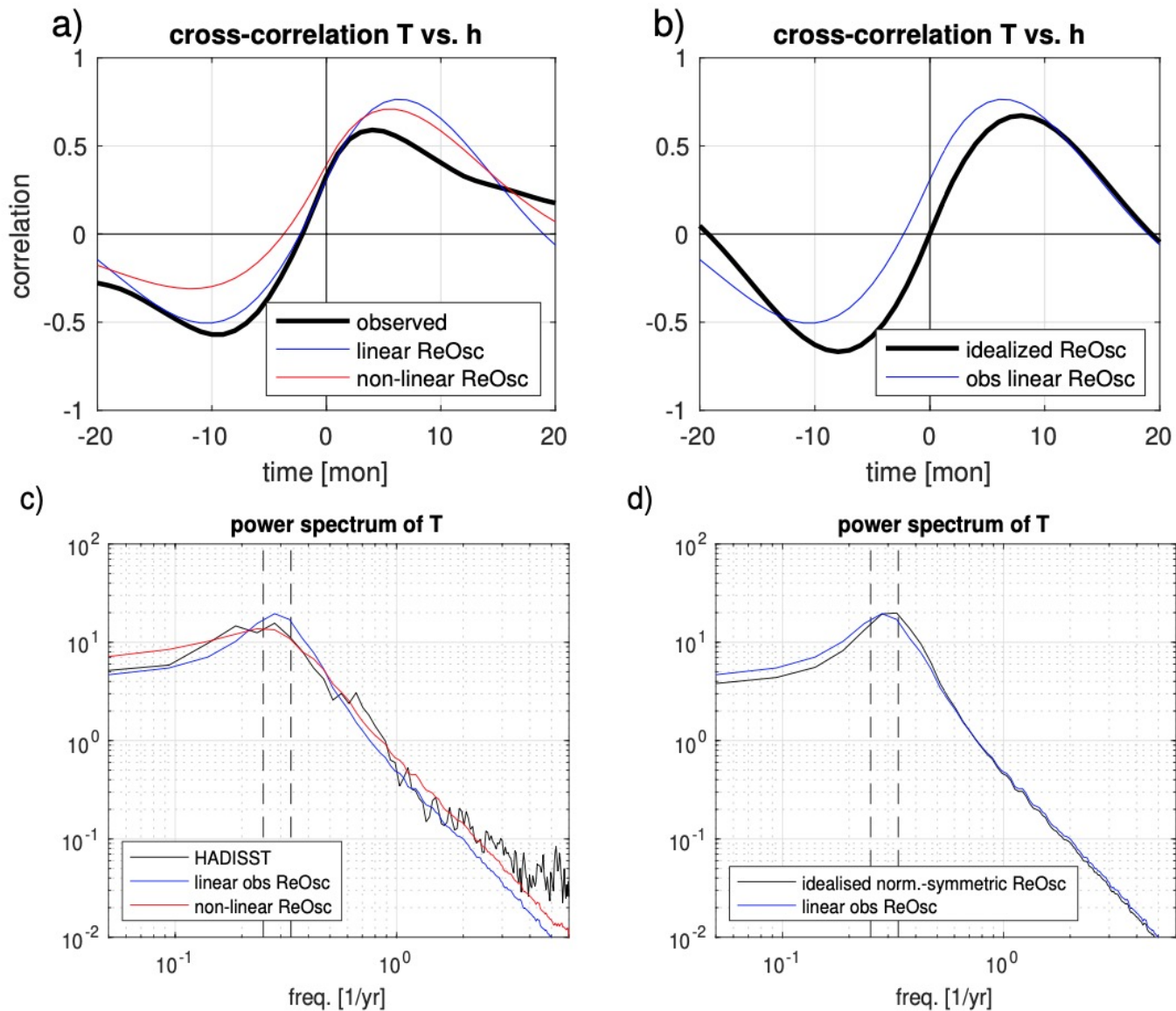
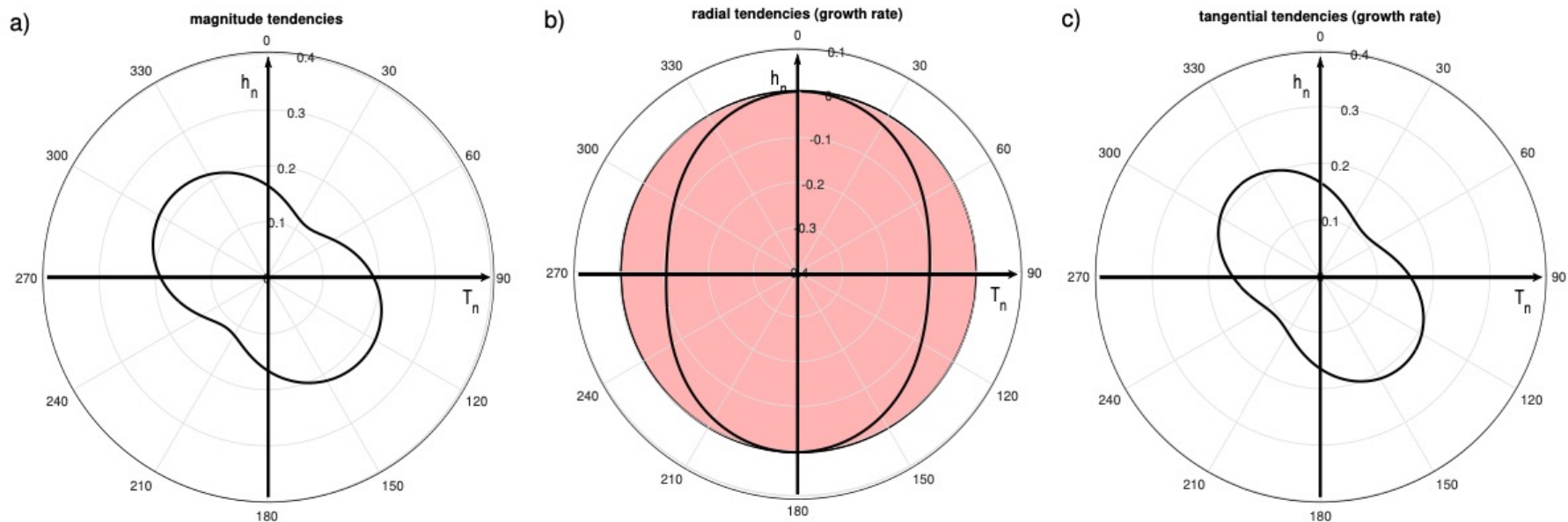


Figure 4: upper: cross-correlation between T and h for the observations and ReOsc models fitted to observations (a) and for an idealized ReOsc model (b). Negative lag time indicate T is leading the time evolution of h. Lower: power spectrum of T for observations (c) and for an idealized ReOsc model (d). The vertical dashed lines mark the periods of 3yrs and 4yrs.

observed linear ReOsc



non-linear ReOsc

