

Legacy effects in radial tree growth are rarely significant when accounting for inherent **biological memory**

Stefan Klesse, F. Babst, M.E.K. Evans, A. Hurley, C. Pappas, R.L. Peters



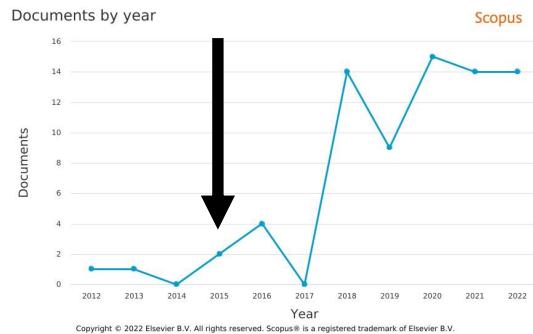
SWISS
FOREST
LAB

What are legacy effects? How are they calculated?

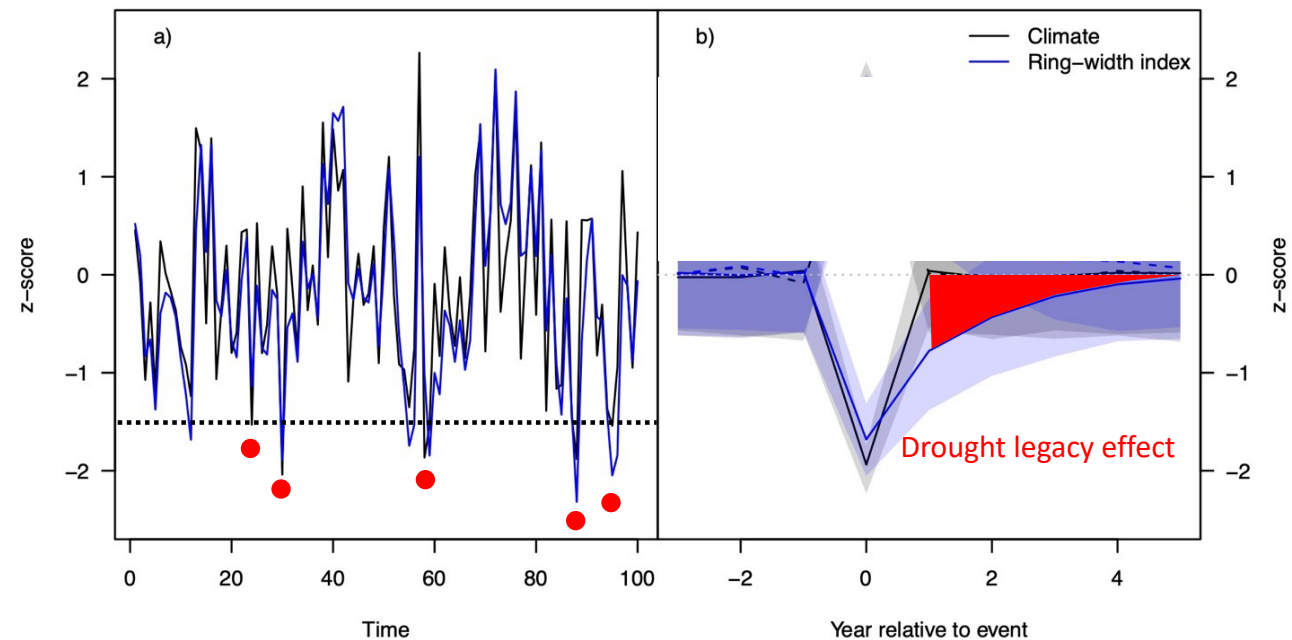
- Anderegg et al. (2015, Science): droughts negatively impact radial tree growth for up to four years

→ coined the term **“legacy effects”**

- Legacy effects = residuals of regression between tree-ring and climate time series, aggregated relative to a set of extreme events

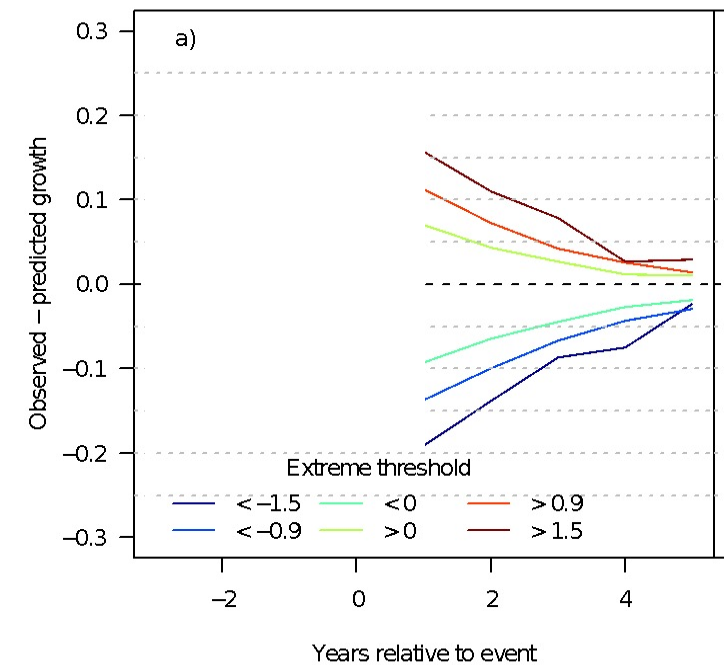


Search terms: „tree growth“ „drought legacies“



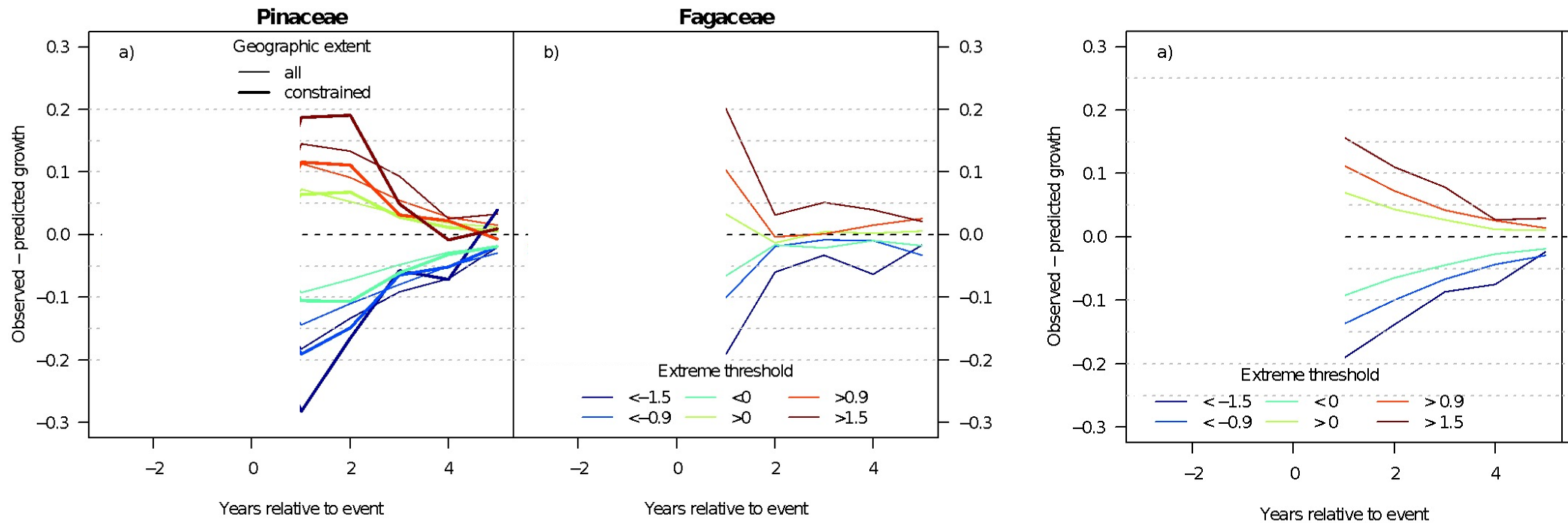
Drought legacy effects re-visited (~literature synthesis)

- Legacy effects scale with the chosen extreme threshold
- They are rather symmetric, i.e. similar in magnitude and shape after wet and dry extremes (Jiang et al., 2019 NatComm)
- Legacy effects scale with SD of chronologies (Gazol et al., 2020, JoE)
- Legacy effects are stronger at sites that have a higher correlation with climate (Anderegg et al., 2015, Gazol et al. 2020; Huang et al. 2018, GCB)



Drought legacy effects re-visited (~literature synthesis)

- Legacy effects are stronger in Pinaceae compared to Fagaceae (Anderegg et al. 2015)
→ through stronger cross-correlation with climate and higher auto-correlation compared to Fagaceae

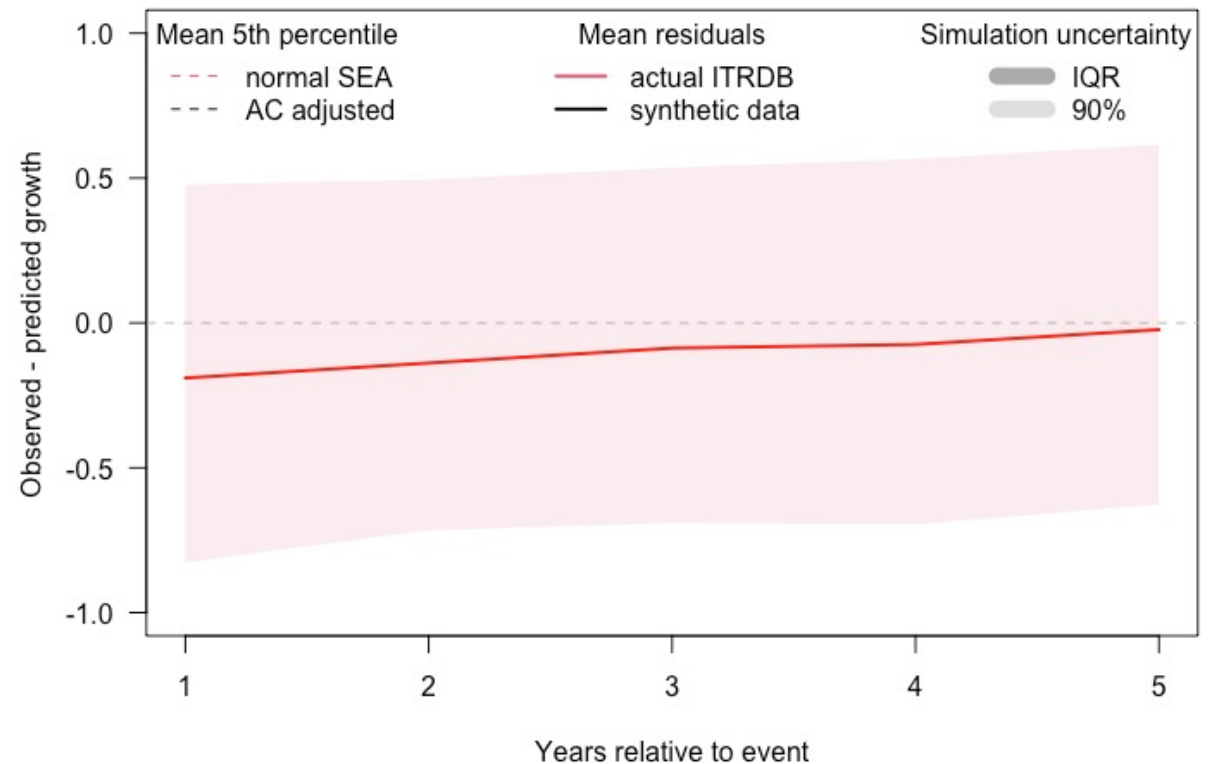


Individual legacy effects are very noisy!

- Statistical model explains only 8% of the variance of lag-1 effects (using climate-growth cross correlation and RWI auto-correlation)

Create white noise time series with $n=100$

→ add red noise to match observed cross-correlation and auto-correlation structure of tree-ring time series; repeat for all 2081 ITRDB sites

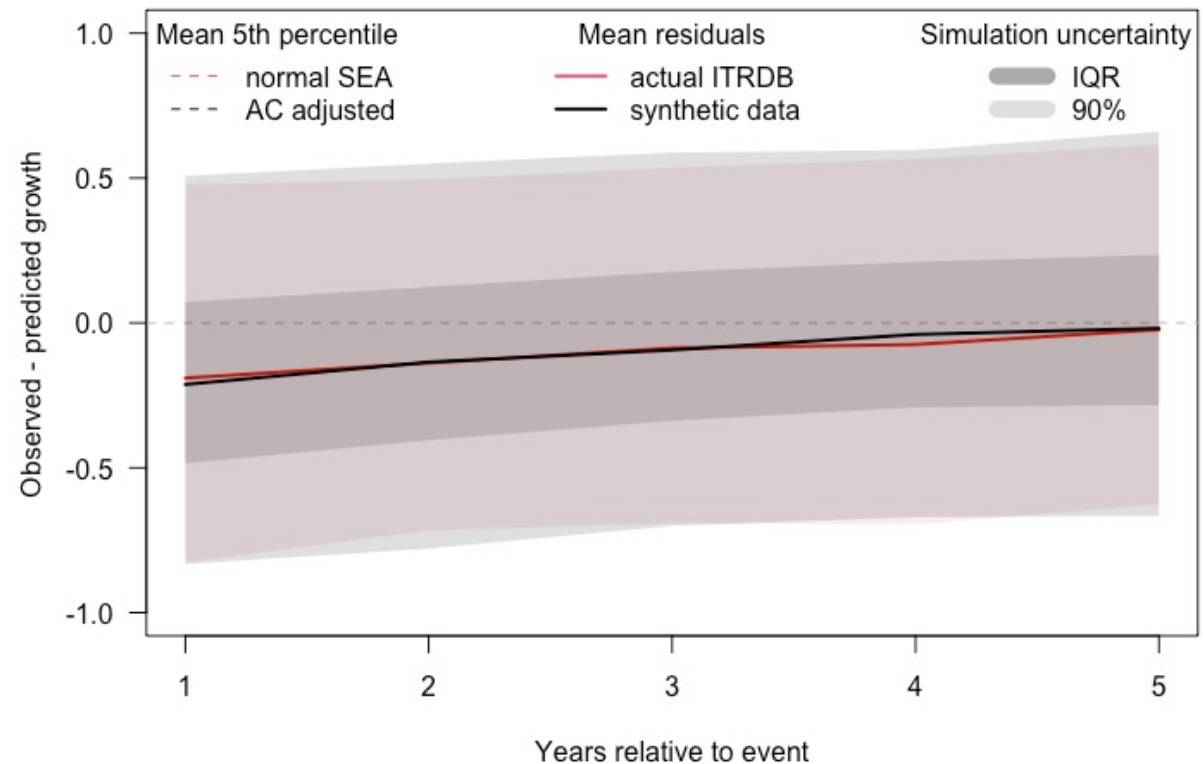


Legacy effects are predictable based on long time-series information !

- Statistical model explains only 8% of the variance of lag-1 effects (using climate-growth cross correlation and RWI auto-correlation)

Create white noise time series with $n=100$

→ add red noise to match observed cross-correlation and auto-correlation structure of tree-ring time series; repeat for all 2081 ITRDB sites



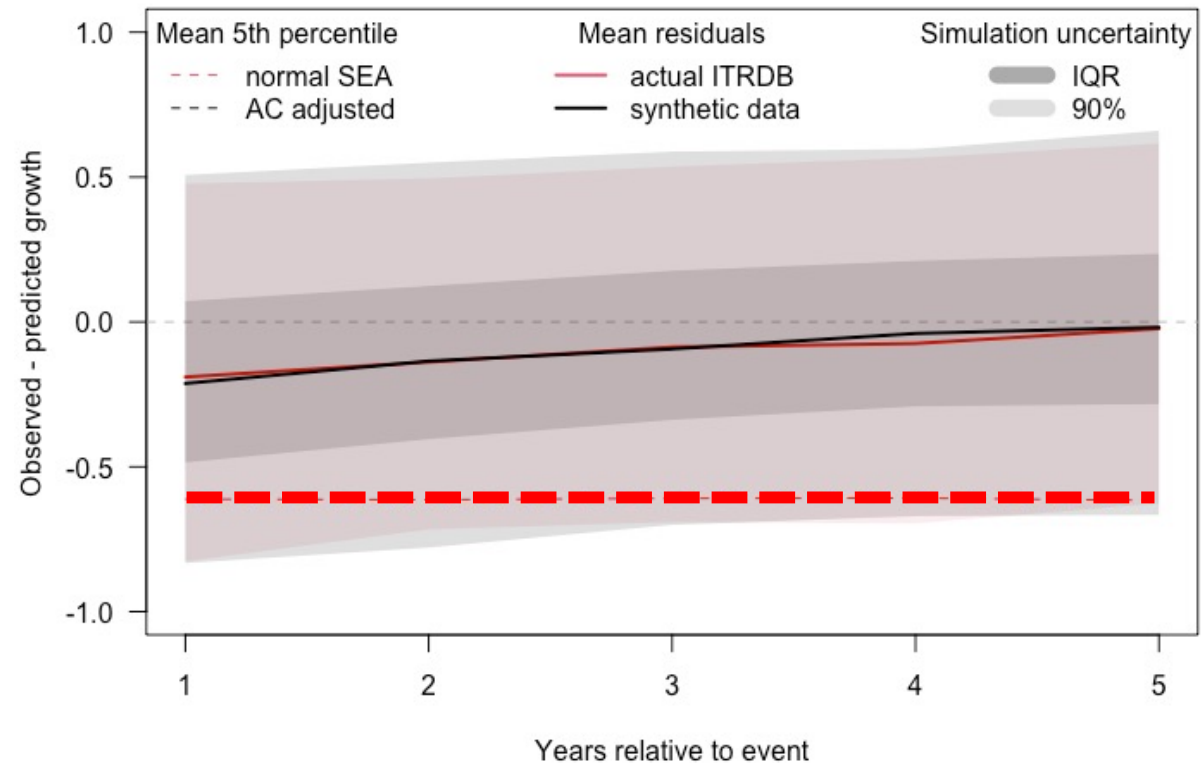
Legacy effects are predictable based on long time-series information !

- Statistical model explains only 8% of the variance of lag-1 effects (using climate-growth cross correlation and RWI auto-correlation)

Create white noise time series with $n=100$

→ add red noise to match observed cross-correlation and auto-correlation structure of tree-ring time series; repeat for all 2081 ITRDB sites

Classic SEA: 16% of lag-1 effects are significantly different from random ← INVALID ASSUMPTION



Legacy effects are predictable based on long time-series information !

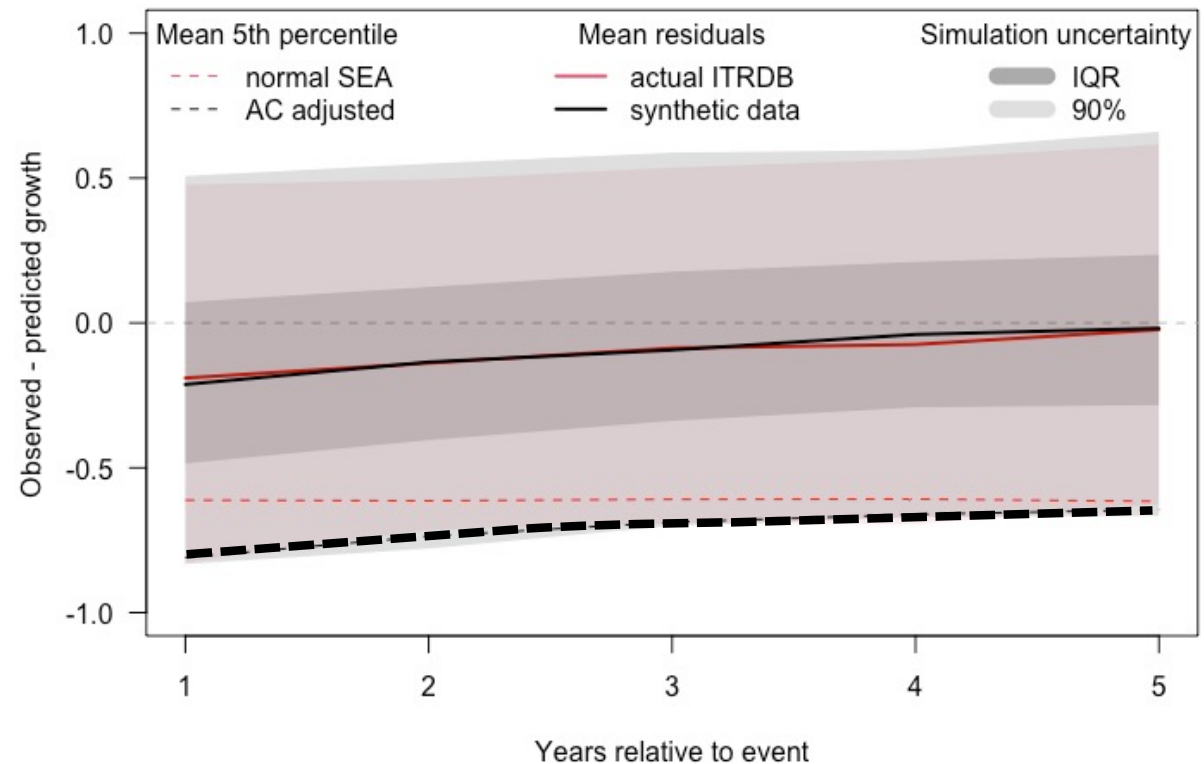
- Statistical model explains only 8% of the variance of lag-1 effects (using climate-growth cross correlation and RWI auto-correlation)

Create white noise time series with $n=100$

→ add red noise to match observed cross-correlation and auto-correlation structure of tree-ring time series; repeat for all 2081 ITRDB sites

RIGHT ASSUMPTION: residuals are not random!

5% is on par with the adjusted null hypothesis



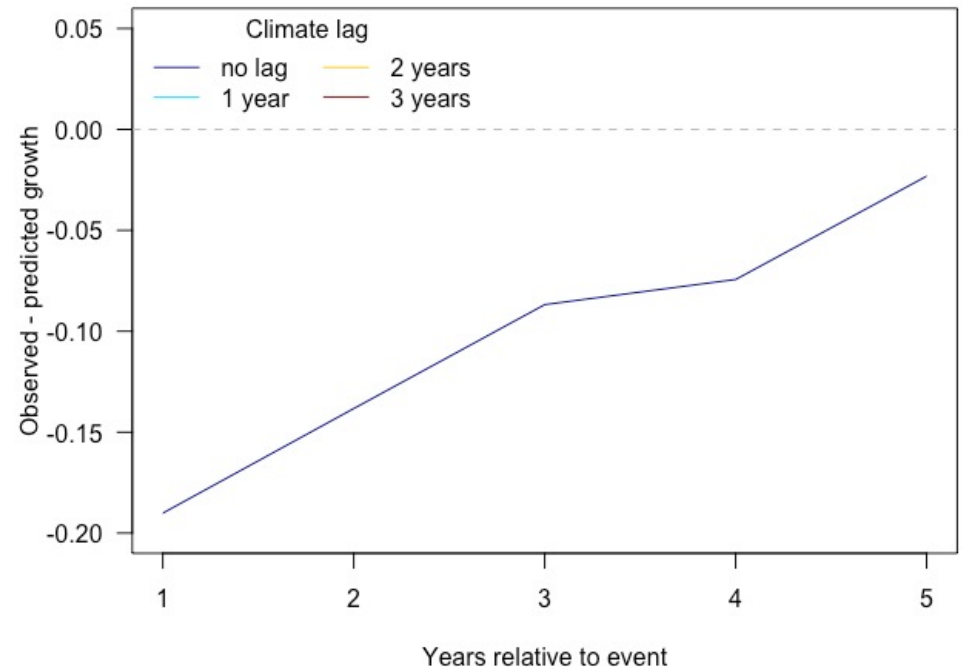
The way forward Pt1: Re-adjust expectations

- Use synthetic data to adjust the null hypothesis, adjust the expectations based on **long time-series information!**
- **Strong consequences** for interpretation of other extreme event analyses (Lloret)
- Lower recovery and resilience values to be expected with series that correlate stronger to drought index, have higher AC, and higher year-to-year variability (SD).
- Incomplete recovery (line of full resilience) has to be the rule if we account for auto-correlation

The way forward Pt2:

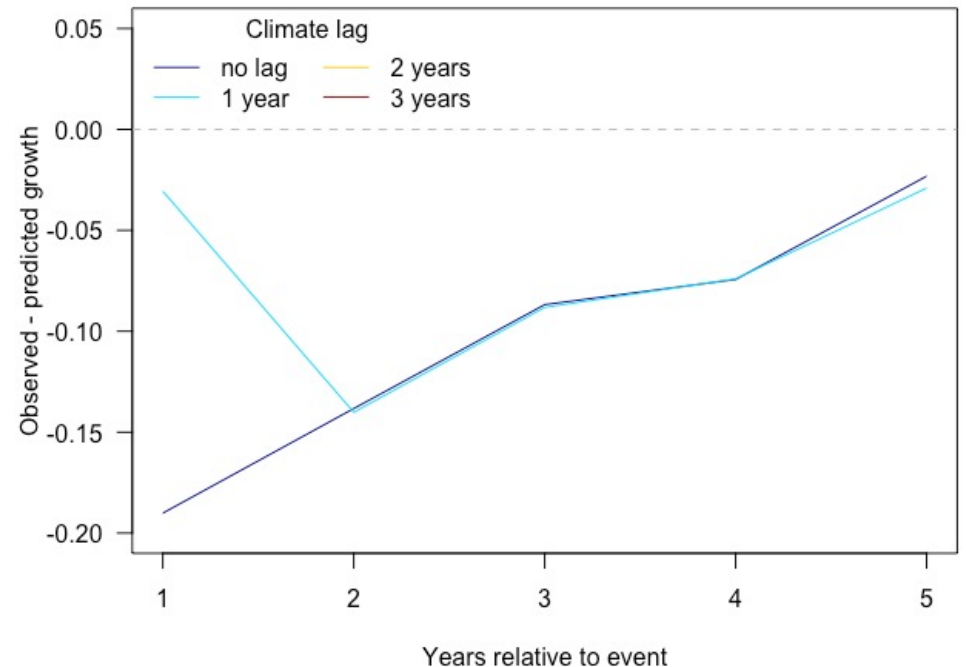
Change the growth model

- Use **distributed lag models** (e.g., antecedent stochastic modeling, Ogle et al., 2015) to *a priori* account for auto-correlation
- $RW \sim \text{climate } t$



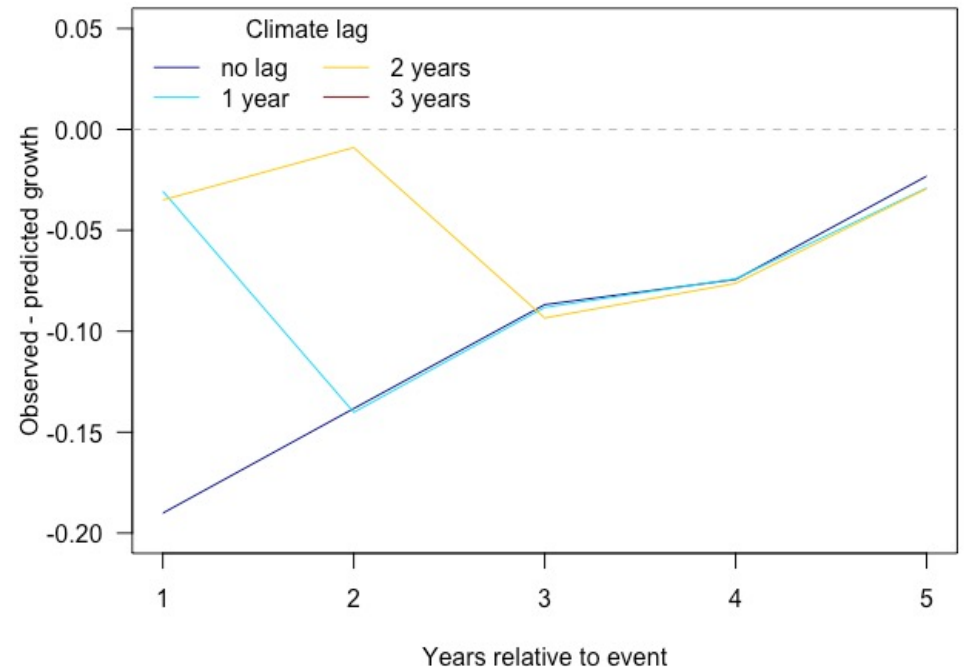
The way forward Pt2: change the growth model

- Use **distributed lag models** (e.g., antecedent stochastic modeling, Ogle et al., 2015) to *a priori* account for auto-correlation
- $RW \sim \text{climate } t + \text{climate } t-1$



The way forward Pt2: change the growth model

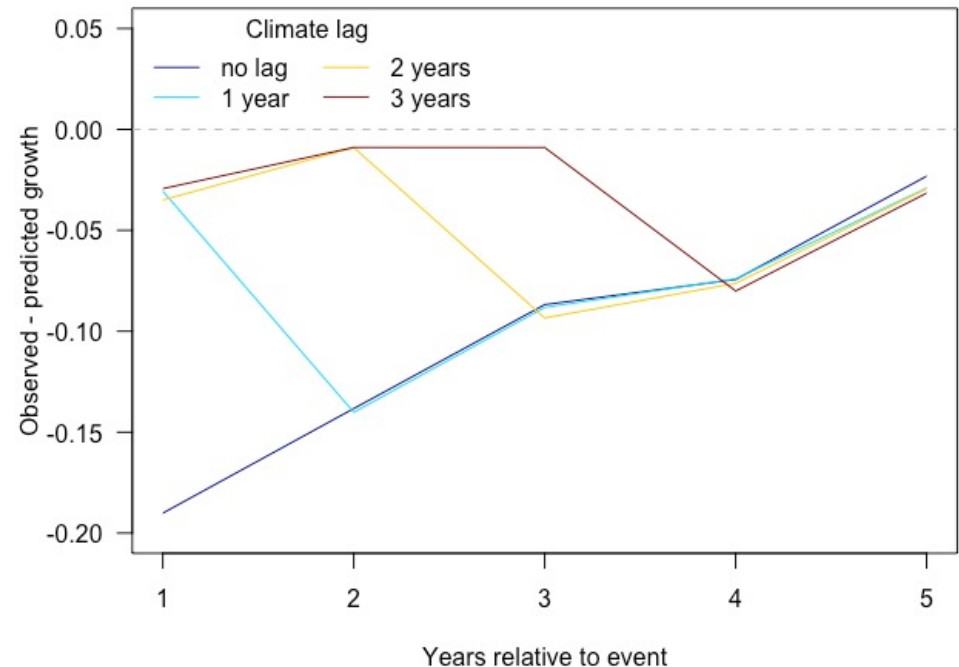
- Use **distributed lag models** (e.g., antecedent stochastic modeling, Ogle et al., 2015) to *a priori* account for auto-correlation
- $RW \sim \text{climate } t + \text{climate } t-1 + \text{climate } t-2$



The way forward Pt2: change the growth model

- Use **distributed lag models** (e.g., antecedent stochastic modeling, Ogle et al., 2015) to *a priori* account for auto-correlation
- $RW \sim \text{climate } t + \text{climate } t-1 + \text{climate } t-2 + \text{climate } t-3$

Legacy effects disappear!



Conclusion

to paraphrase Körner (2003):

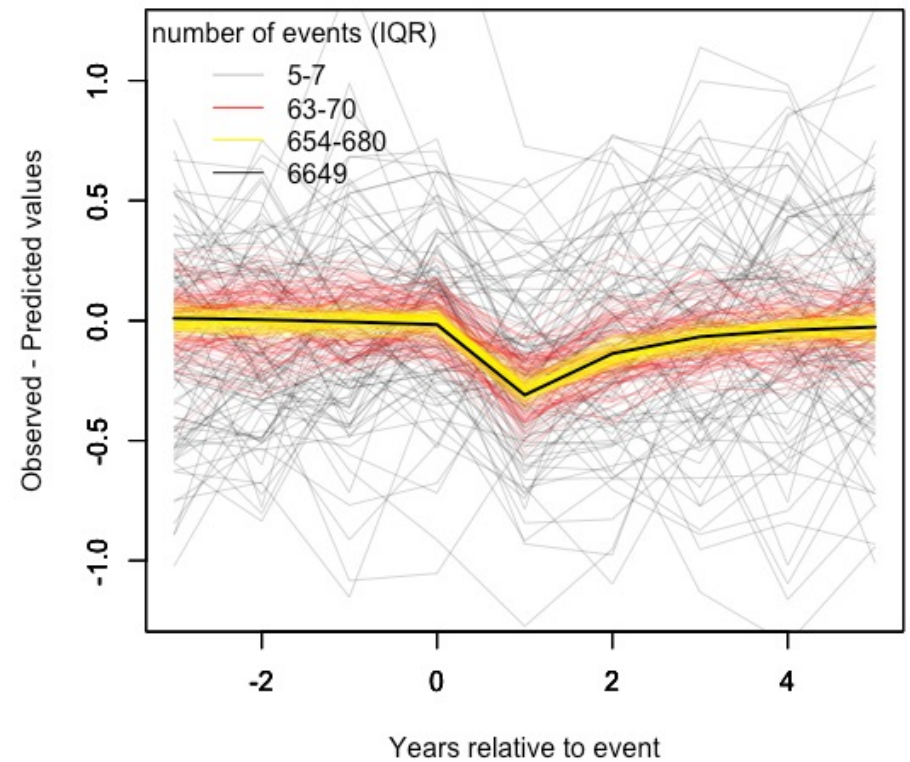
*The application of **invalid statistical assumptions** and **overly simplistic models** to estimate expected tree growth has given rise to a new word for “biological memory”.*

*The term “legacy effect” and its **interpretation as a physiological crisis** that is **only** induced by extreme droughts **is misguided**.*

Thank you for your attention!

Replication is key!

- Noise is common to extreme event analyses with low N (replications).
- We need about 500 observations (number of events per site * number of sites; yellow lines) to get a robust mean legacy effect estimation.



Replication is key!

If n is sufficiently high then:

- The contribution of ρ (cross-correlation) and ϕ (AC1) on lag-1 effects is equal!
- Double the amount of auto-correlation (or cross-correlation)
→ double the amount of lag-1 effects

