

Evaluation of the effectiveness of mitigation measures to reduce pesticide inputs into surface water bodies via surface runoff and erosion

Michael Klein¹, **Stefan Reichenberger**², Isabel O'Connor³, Simon Spycher³, Stephan Sittig⁴, Sebastian Multsch^{4*}, Kai Thomas⁵, Jens Flade⁶, Dietlinde Großmann⁶

¹ Fraunhofer IME, ² knoell France SAS, ³ EBP Schweiz AG, ⁴ knoell Germany GmbH, ⁵ RLP Agrosience, ⁶ German Federal Environment Agency (UBA), * present affiliation: BASF SE

Background and objectives

- Surface runoff from agricultural fields is a major input pathway of pesticides into surface waters (e.g. Wauchope, 1996)
- The aim of this project was to
 - 1) analyze the effectiveness of various mitigation measures to reduce pesticide runoff and erosion inputs into surface waters
 - 2) assess the suitability of the measures found effective for use in the quantitative environmental exposure assessment for authorization of plant protection products (PPP), and
 - 3) make recommendations how the potentially suitable measures could be applied in risk assessment for pesticides in Germany



source: <https://www.iconwater.com.au/Water-education/education-resources/ACT-Water-Cycle/Run-off.aspx>



source: Hans Flückiger, Fachstelle Boden des Kantons Bern, CH

Selection of mitigation measures for quantitative analysis

- Following a literature analysis, 16 risk mitigation measures were presented to five experts for evaluation of effectiveness, cost-effectiveness, controllability, current distribution and dissemination potential
- Measures finally selected for quantitative analysis belong to three groups:
 - 1) vegetative filter strips (VFS),
 - 2) soil conservation measures (zero tillage, mulch-till, strip tillage etc.)
 - 3) microdams in row crops such as potatoes



source: https://swat.tamu.edu/media/115036/k2_2_cibin.pdf



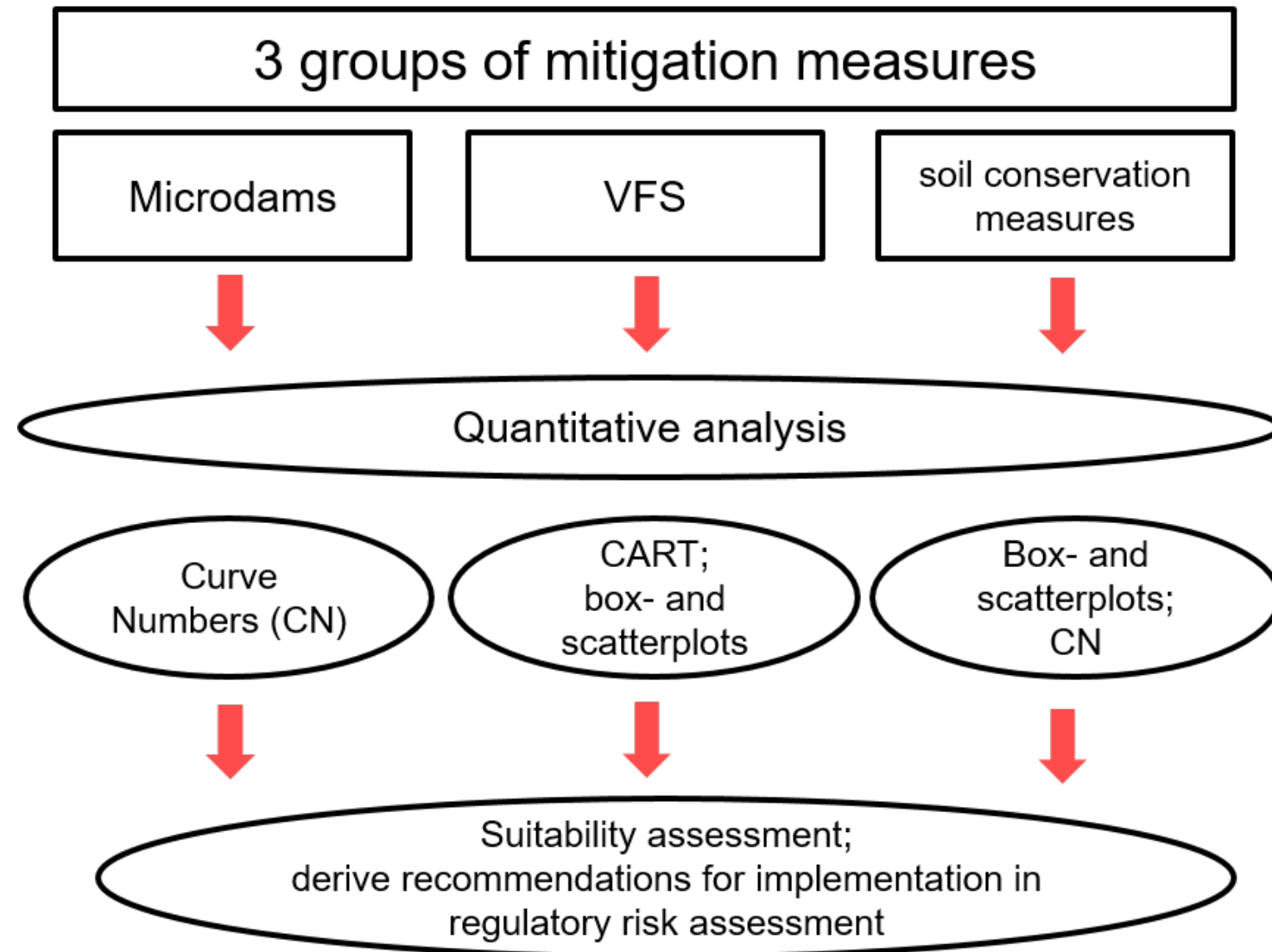
source: LfULG Sachsen



source: Sui et al. (2016)

Quantitative data analysis

- The effectiveness of the 3 groups of measures was evaluated based on experimental data.
- Due to different quality and temporal resolution of the available data different techniques were employed for the quantitative analysis



Conclusions

- Both VFS and micro-dams are effective and suitable and can therefore be recommended for application in quantitative environmental exposure assessment for pesticides.
- Soil conservation measures are in principle promising, but there is a need for more well-documented data in order to identify under which conditions they are effective.

Group of measures	availability of well-documented data	process understanding	effectiveness	recommendation for regulatory risk assessment
VFS	+++	+++	++	model with VFSMOD
microdams	++ (potatoes), + (maize)	++	++	model as CN reduction in PRZM
soil conservation measures	+/-	++	+/-	potentially include no-till as a mitigation measure; more high-quality data needed for mulch-till

Outlook



Upcoming publications:

- final project report (in German, with English summary) in press
- peer-reviewed paper (submission to Pest Management Science within the next weeks)

Many thanks for your attention!



Supplementary slides

References (1)



- Breiman L, Friedman JH, Olshen RA, Stone CJ (1984). Classification and Regression Trees. Wadsworth & Brooks/Cole Advanced Books & Software. Monterey, CA. ISBN 978-0-412-04841-8.
- Dönges, S. (2012): On-site-Maßnahmen zur Minderung von Pflanzenschutz-mitteleinträgen in Gewässer durch Runoff. Bachelorarbeit, Univ. Gießen.
- Erlach F (2005). Strategien zur Minderung diffuser Einträge von herbiziden Wirkstoffen und Nährstoffen in Oberflächengewässer. Dissertation am Institut für Geographie, Universität Paderborn. 255 p.
- Fawcett RS, Christensen DR, Tierney DP, 1994. The impact of conservation tillage on pesticide runoff into surface water: A review and analysis. J. Soil Water Conserv. 1994, 126-135.
- Goffart JP, Poulet V, Olivier C (2013) Study of the effects of tied ridges on water runoff and its consequences for potato crop. Potato Agrophysiol 15:55–61
- Keshavarz MS, Ebrahimian H, Abbasi F, Playán E (2020). Effect of micro-dams on water flow characteristics in furrow irrigation. Irrigation Science (2020) 38:307–319
- Klein M, O'Connor I, Spycher S, Reichenberger S, Sittig S, Multsch S, Thomas K, Trapp M (in press): Wie können Risikominderungsmaßnahmen in die Umweltrisikobewertung im Rahmen der Zulassungsverfahren für Pflanzenschutzmittel eingerechnet werden? Teilvorhaben 1: Analyse der Wirksamkeit von Maßnahmen zur Verringerung der lokalen Umweltexposition von Gewässern gegenüber Pflanzenschutzmitteln. Umweltbundesamt, Dessau-Roßlau, Germany; 196 p.
- Klein M, Flade J, Großmann D, Tuerkowsky D, O'Connor I, Reichenberger S, Spycher S, Thomas K (submitted): Risk mitigation measures in the German environmental risk assessment for pesticides.

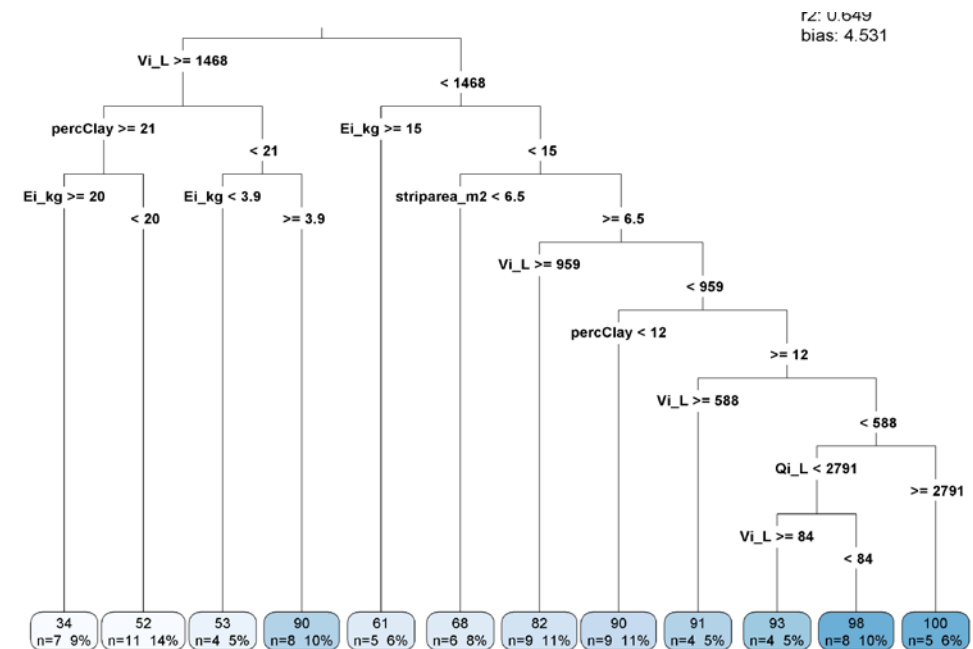
References (2)



- Maetens W, Poesen J, Vanmaercke M (2012). How effective are soil conservation techniques in reducing plot runoff and soil loss in Europe and the Mediterranean? *Earth-Science Reviews* 115, 21–36
- Muñoz-Carpena R, Parsons JE (2020). VFSMOD-W Vegetative Filter Strips Modelling System. Model documentation & User's Manual version 6.x. 194 p. version 6.x. http://abe.ufl.edu/carpena/files/pdf/software/vfsmod/VFSMOD_UsersManual_v6.pdf
- Olivier C, Goffart JP, Baets D, Xanthoulis D, Fonder N, Lognay G, Barthélemy JP, Lebrun P (2014) Use of micro-dams in potato furrows to reduce erosion and runoff and minimise surface water contamination through esticides. *Comm Appl Biol Sci* 79(2):1–10
- Reichenberger S, Sur R, Kley C, Sittig S, Multsch S (2019). Recalibration and cross-validation of pesticide trapping equations for vegetative filter strips (VFS) using additional experimental data. *Science of the Total Environment* 647, 534–550.
- Sittig S, Sur R, Baets D, Hammel K (2020). Consideration of risk management practices in regulatory risk assessments: evaluation of field trials with micro-dams to reduce pesticide transport via surface runoff and soil erosion. *Environ Sci Eur* 32:86.
- Wauchope RD (1996). Pesticides in runoff: Measurement, modeling, and mitigation. *J. Environ. Sci. Health Part B* 31, 337–344.

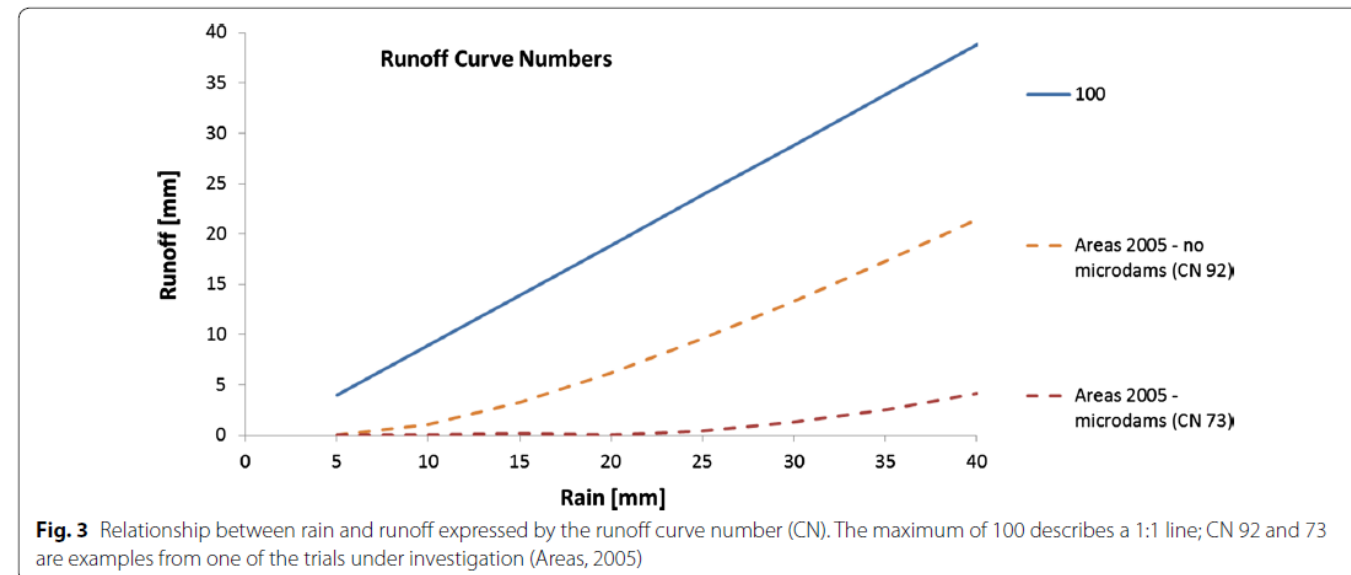
Results and Discussion: VFS

- Buffer width (VL) is not suitable for predicting any of the three target variables:
 - ΔQ (relative reduction of total inflow (run-on + precipitation) by the VFS)
 - ΔE (relative reduction of sediment load by the VFS)
 - ΔP (relative reduction of pesticide load by the VFS)
- The analysis with CART (Breiman et al., 1984) revealed:
 - ΔQ can be predicted fairly well ($R^2 = 0.61$) with the available independent variables: run-on (V_i), soil loss (E_i), rainfall (P) etc.
 - ΔE cannot be predicted well ($R^2 = 0.45$), and ΔQ is necessary for the prediction.
 - ΔP can be predicted well from ΔQ , ΔE and some other variables ($R^2 = 0.78$)
- Uncertainties in ΔQ und ΔE propagate to ΔP
→ Infiltration and sedimentation in VFS should be simulated with a mechanistic model such as VFSSMOD (Muñoz-Carpena and Parsons, 2020).



Results and Discussion: Microdams

- Microdams in potatoes substantially reduced the occurrence and magnitude of surface runoff from the field.
 - The effect of microdams can be modelled as a reduction of the runoff Curve Number (CN).
 - The runoff modelling should be carried out with a model such as PRZM which adjusts the CN daily based on soil water content.
- In irrigation agriculture microdams can substantially reduce the loss of irrigation water via surface runoff and thus increase irrigation efficiency (Keshavarz et al., 2020).
- Microdams also proved effective with regard to soil loss (seasonal reduction efficiency 66-99.8 %) and pesticide losses from the field: mean seasonal reduction efficiency 91 % (Olivier et al., 2014) and 84 % (Goffart et al., 2013).



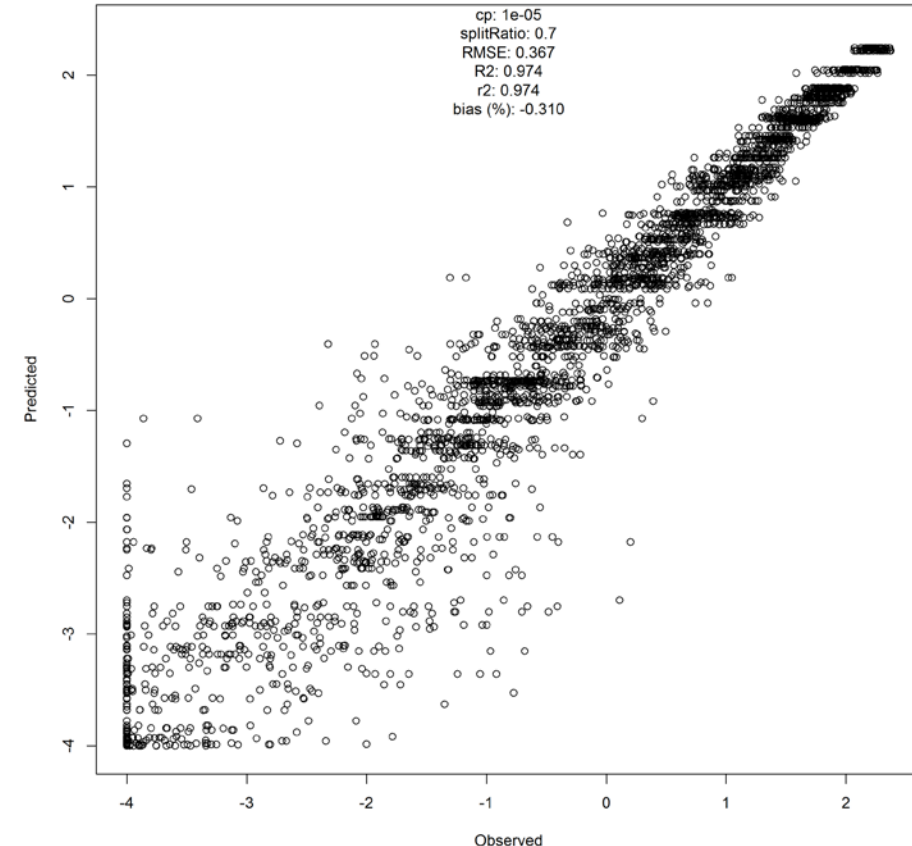
Results and Discussion:

Soil conservation measures

- Availability of well-documented studies less good than for VFS and microdams
- Large heterogeneity of the experimental data (temporal resolution and documentation)
- Highly variable results
- Mulch-till
 - While a clear reduction of surface runoff occurrence and magnitude was observed by Erlach (2005), the data compiled by Maetens et al. (2012) indicate a slight increase of annual surface runoff volumes compared with conventional tillage
 - Substantial reduction of soil loss in Erlach (2005), but no effect in Maetens et al. (2012)
 - Expert opinion that mulch-till is only effective if ground cover $\geq 30\%$ is corroborated by the results of Erlach (2005)
 - Mulch-till reduced pesticide runoff losses for most compounds in Erlach (2005), but increased losses of isoproturon in winter wheat, suggesting desorption from the mulch during runoff events.
- No-till:
 - The reported surface runoff reduction was also variable, but higher than for mulch-till.
 - Clear reduction effect with respect to erosion and high annual runoff volumes.
 - Clear reduction of seasonal pesticide runoff losses (data compiled by Fawcett et al.(1994) and Dönges (2012)): 54 % for artificial rainfall, 90 % for natural rainfall

Classification and Regression Trees

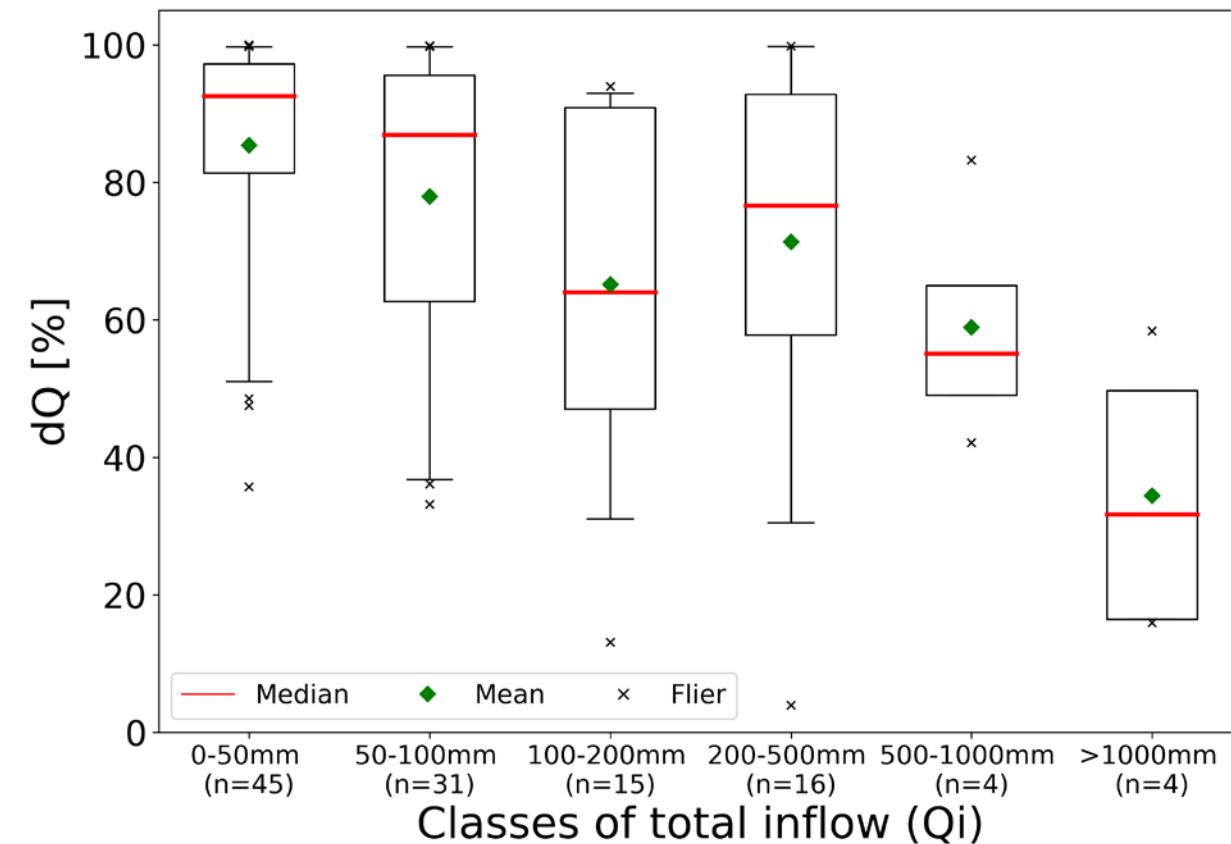
- CART (Breiman et al., 1984) is a group of decision tree learning methods.
 - oldest and most basic decision tree learning method; ancestor of Random Forest
 - Classification trees (CT): predicted variable is discrete.
 - Regression trees (RT): predicted variable is a real number
 - CART decision trees are constructed top-down, by choosing a variable at each step that best splits the data.
 - Finally, trees are “pruned” in an internal cross-validation step.
 - Test data are randomly split into a training and a validation dataset (best results often for 70/30). The training dataset is used to build the tree.
- Subgroup Regression trees (RT)
 - Tree building is strictly based on variance: Groups (nodes) are split such that the variance between the daughter nodes is maximized. → very transparent method
 - Complexity parameter (cp): If a split does not increase the overall R^2 of the model by at least cp, then that split is considered as not worth pursuing and not made.
 - minsplit: minimum size of a group for attempting a split
 - Predictions: The predicted value of the target variable is equal to the mean of the group in which a data point ends up after going through the decision tree.



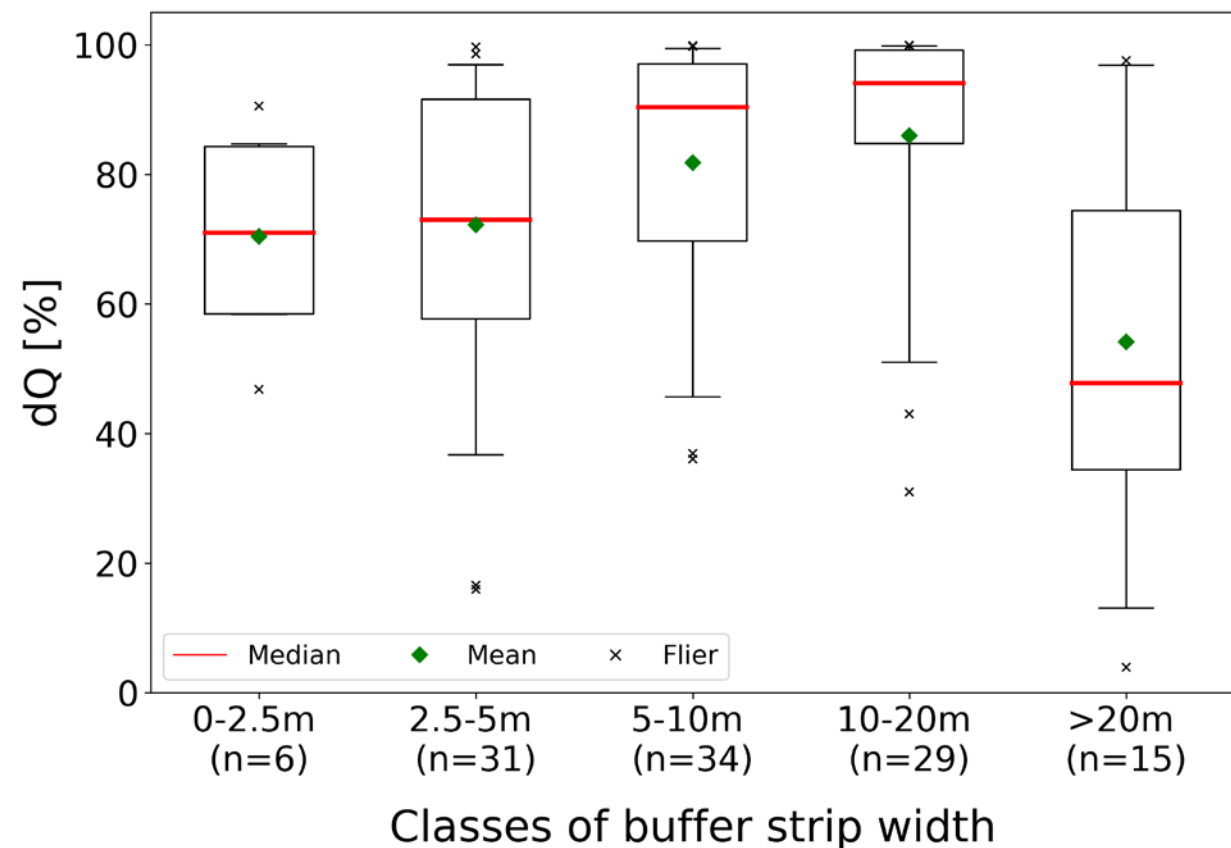
Boxplots VFS

- ΔQ is better correlated with total inflow Q_i (run-on + rainfall) (left) than with buffer width VL (right).
- The same holds true for ΔE and ΔP .

Q_i vs. ΔQ

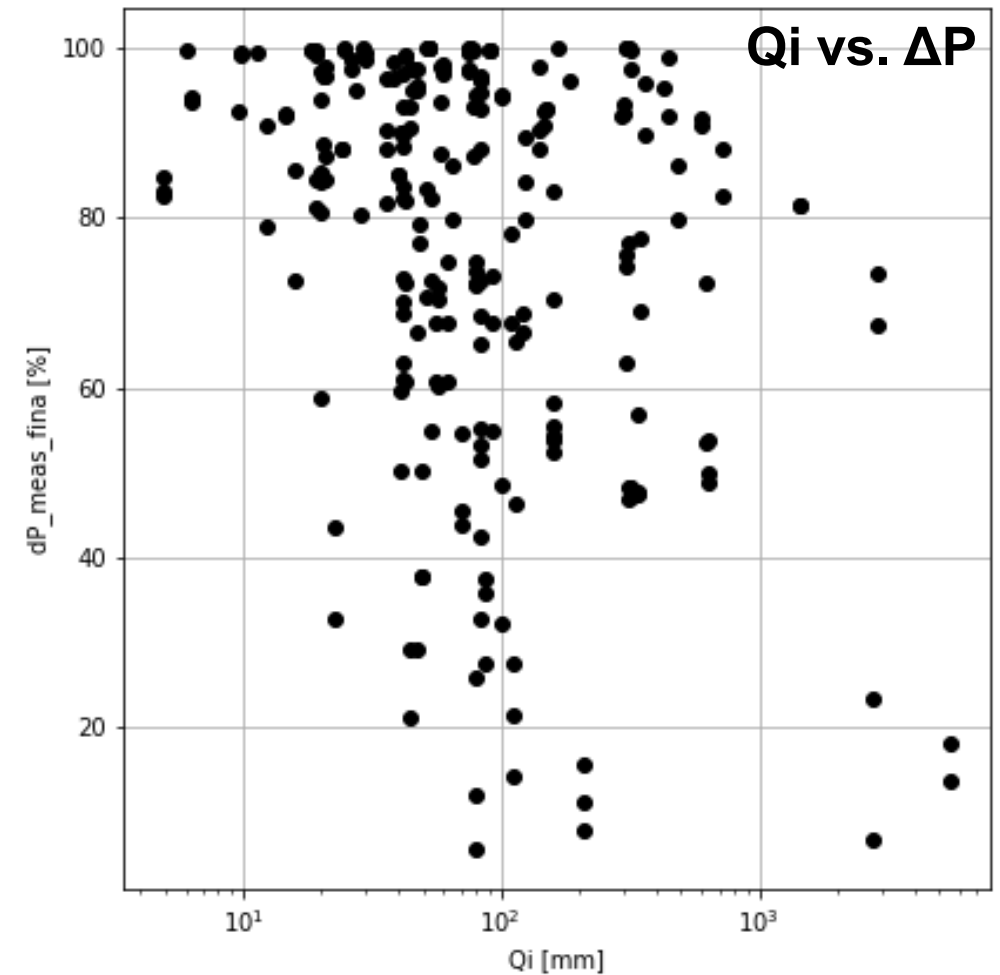
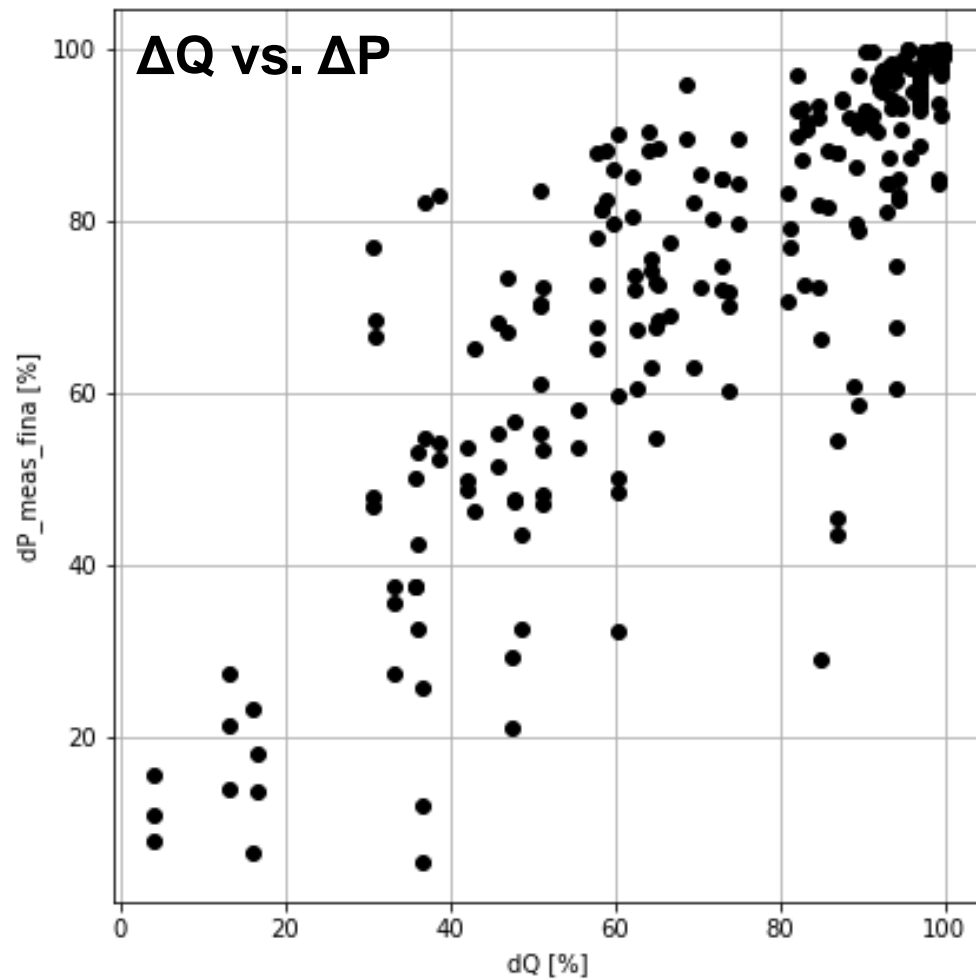


VL vs. ΔQ



Scatter plots VFS

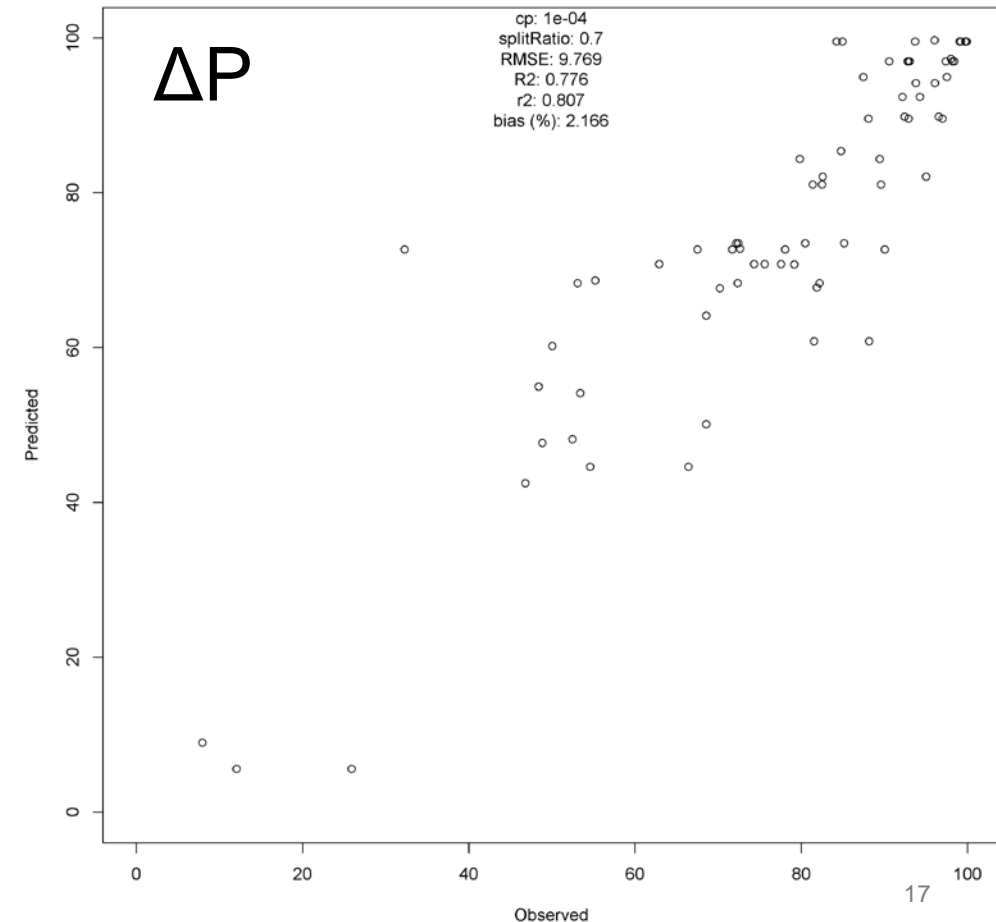
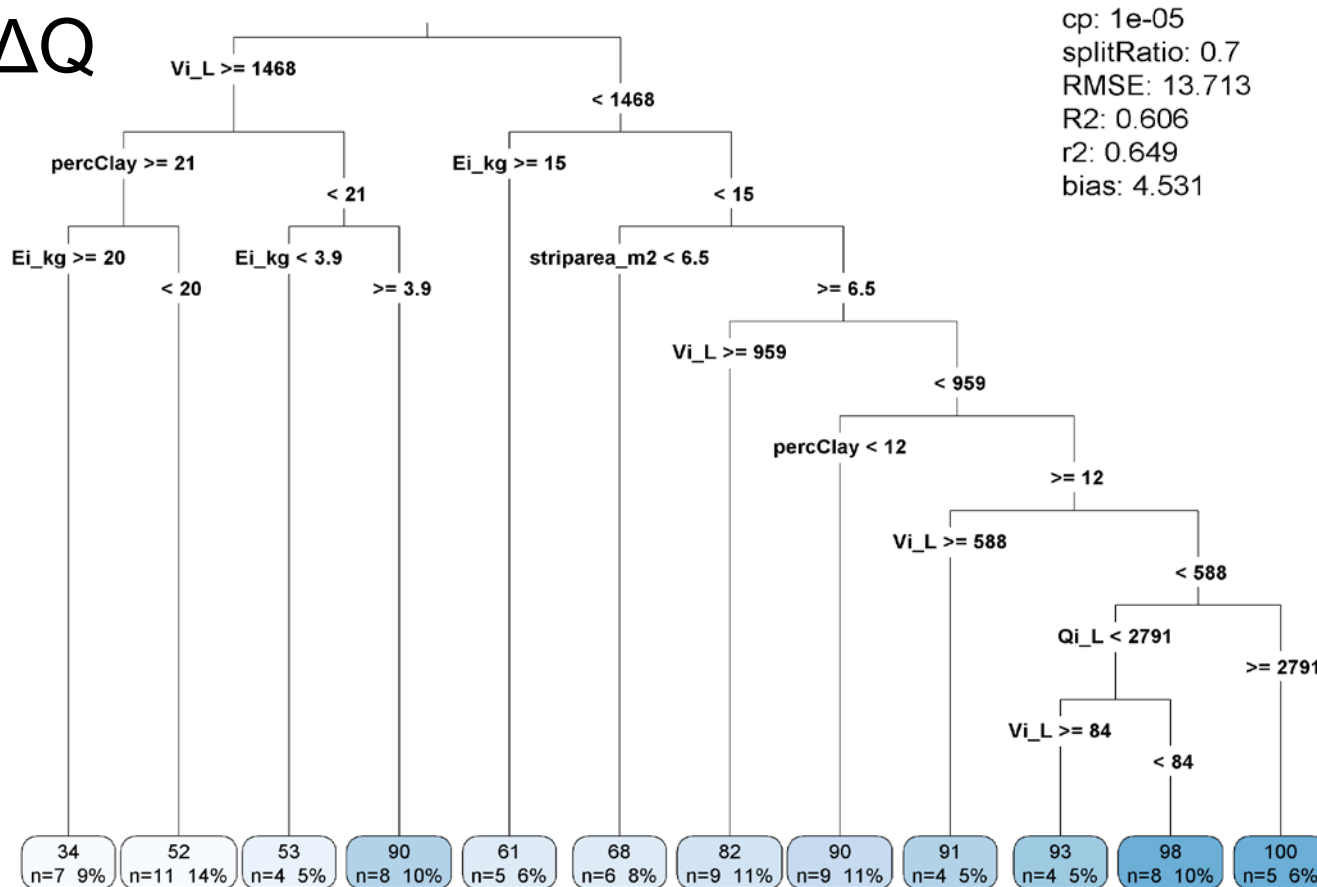
- ΔP and ΔE are better correlated with ΔQ (left) than with Q_i (right).



Results CART

- ΔQ : best $R^2 = 0.61$ (cf. figure left); most important variables: V_i (run-on) und E_i (soil loss from field)
- ΔE : best $R^2 = 0.45$; most important variable: ΔQ (reduction of total inflow)
- ΔP : best $R^2 = 0.78$ (cf. figure right); most important variables: ΔQ and ΔE

ΔQ



Conclusions on VFS effectiveness

- Buffer width (VL) is not suitable for predicting any of the three target variables:
 - ΔQ (relative reduction of total inflow (run-on + precipitation) by the VFS)
 - ΔE (relative reduction of sediment load by the VFS)
 - ΔP (relative reduction of pesticide load by the VFS)
- ΔQ can be predicted fairly well with the available independent variables: run-on (V_i), soil loss (E_i), rainfall (P) etc.
- ΔE cannot be predicted well, and ΔQ is necessary for the prediction.
- ΔP can be predicted well from ΔQ , ΔE and some other variables (e.g. K_d)
- However, uncertainties in ΔQ und ΔE propagate to ΔP .

→ mechanistic modelling of infiltration and sedimentation (ΔQ and ΔE) is necessary, e.g. with VFSSMOD

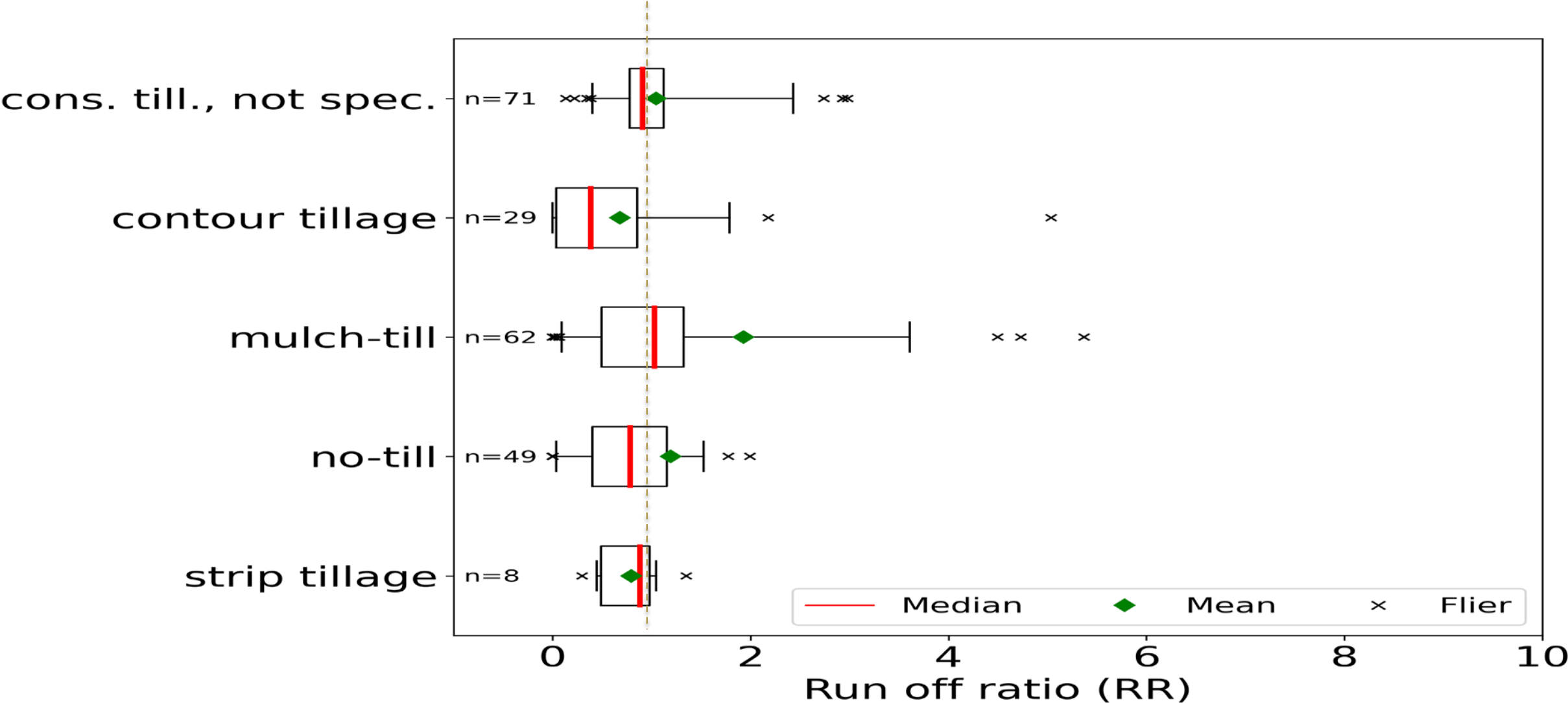
→ calculation of ΔP from ΔQ and ΔE is less critical

- four options of trapping equations available in VFSSMOD
- e.g. analytical mass balance trapping equation (Reichenberger et al., 2019)

$$\frac{\Delta P}{100\%} = \frac{\left(\frac{\Delta Q}{100\%}V_i + \frac{\Delta E}{100\%}K_dE_i\right)}{(V_i + K_dE_i)}$$

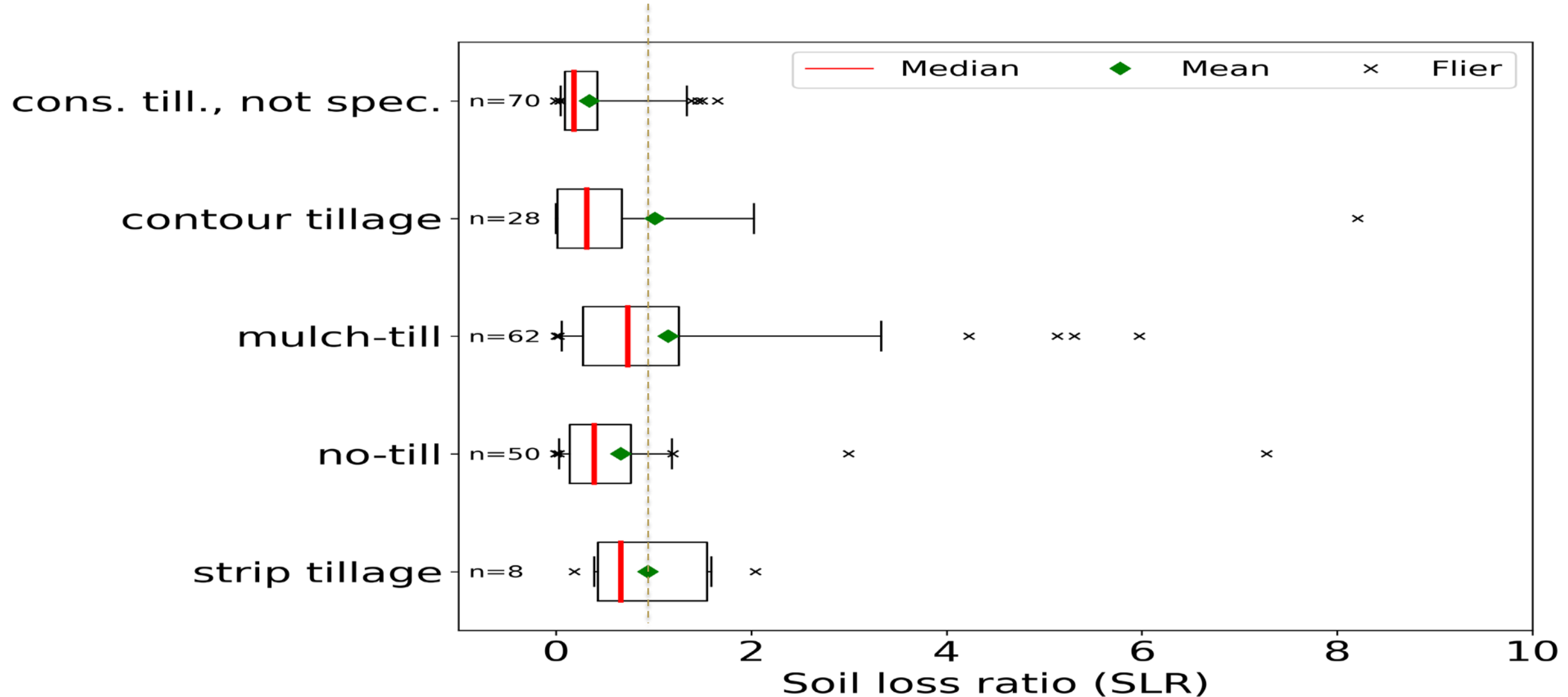
Ratio of annual surface runoff volume with soil conservation measures to the runoff volume without measure (run-off ratio, RR) in arable crops

Data: Maetens et al. (2012)



Ratio of annual soil loss with soil conservation measures to the soil loss without measure (soil loss ratio, SLR) in arable crops

Data: Maetens et al. (2012)





Contents lists available at ScienceDirect

Science of the Total Environment

journal homepage: www.elsevier.com/locate/scitotenv

Recalibration and cross-validation of pesticide trapping equations for vegetative filter strips (VFS) using additional experimental data

Stefan Reichenberger^{a,*}, Robin Sur^b, Carolin Kley^b, Stephan Sittig^a, Sebastian Multsch^a

^a *knoell Germany GmbH, Konrad-Zuse-Ring 25, 68163 Mannheim, Germany*

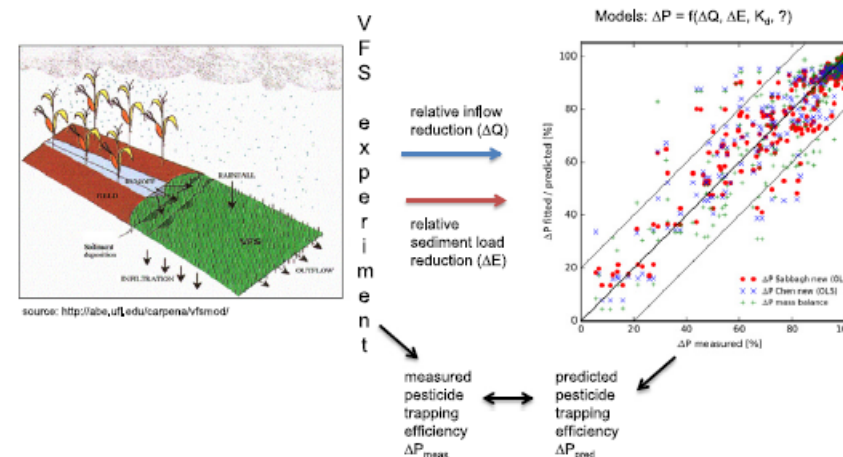
^b *Bayer AG, 40789 Monheim, Germany*



HIGHLIGHTS

- Lack of parsimonious mechanistic approaches for modelling pesticide trapping in filter strips
- Sabbagh regression equation needed broader data basis and rigorous evaluation
- Experimental data basis has been considerably widened
- Suitability of Sabbagh equation for modelling pesticide trapping in vegetative filter strips has been confirmed
- Regression-free mass balance approach is viable alternative

GRAPHICAL ABSTRACT




RESEARCH

Open Access

Consideration of risk management practices in regulatory risk assessments: evaluation of field trials with micro-dams to reduce pesticide transport via surface runoff and soil erosion



Stephan Sittig^{1*} , Robin Sur², Dirk Baets³ and Klaus Hammel²