

# A risk analysis framework: Key risks from permafrost thaw in Arctic coastal areas

*Susanna Gartler<sup>1</sup>; Alexandra Meyer<sup>1</sup>; Peter Schweitzer<sup>1</sup>; Joan Nyman  
Larsen<sup>2</sup>, Jon Haukur Ingimundarson<sup>2</sup>, Olga Povoroznyuk<sup>1</sup> et al.*

*<sup>1</sup> University of Vienna, APRI, AUT <sup>2</sup> University of Akureyri, Iceland*



# Hermeneutical Process of Risk work

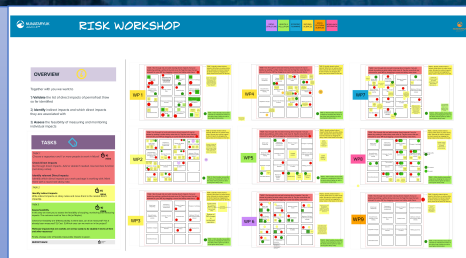
2019 RELATED RISK(S) : NICE



2019 COPENHAGEN: RISK ARTICLE  
WITH FRAMEWORK



2021 MURAL : DIRECT AND INDIRECT  
RISKS



2021 COPENHAGEN/LYNGBY : RISK &  
INDICATORS



Fieldwork in  
Arctic Coastal  
Communities



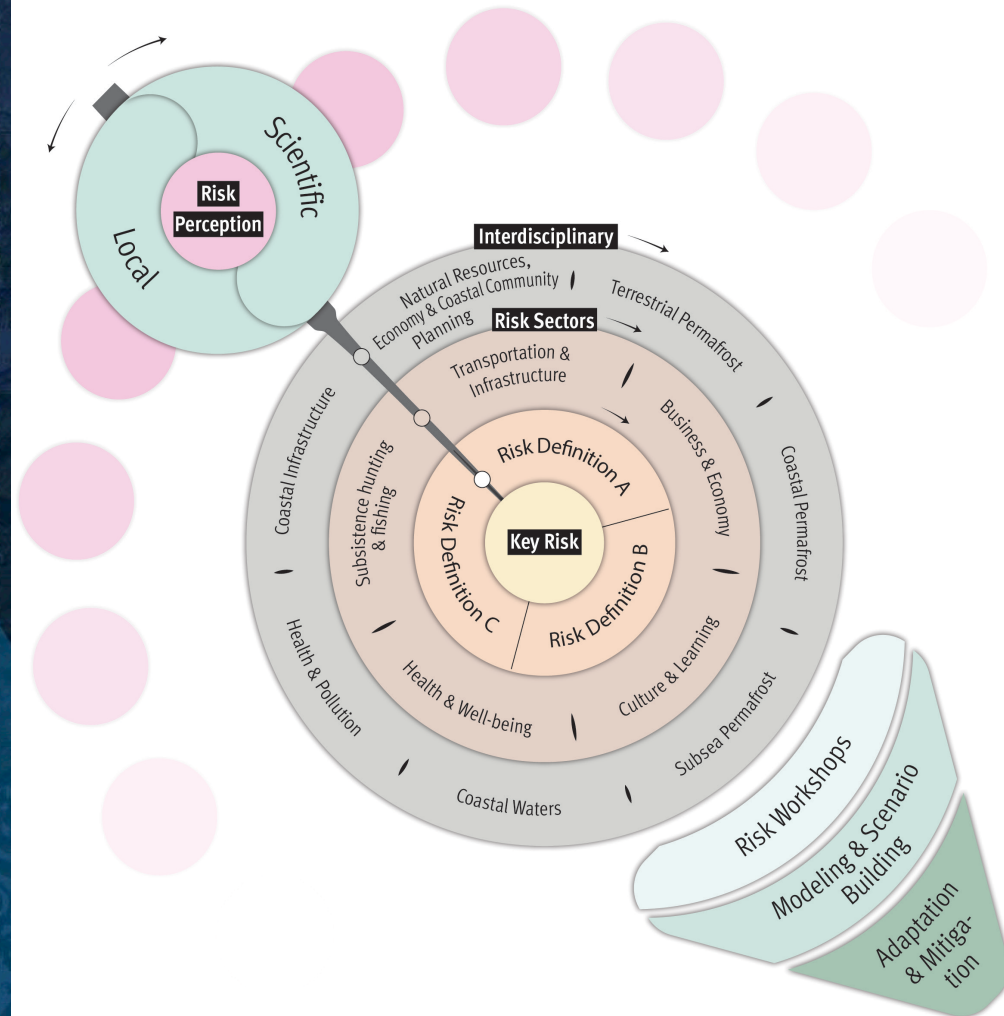
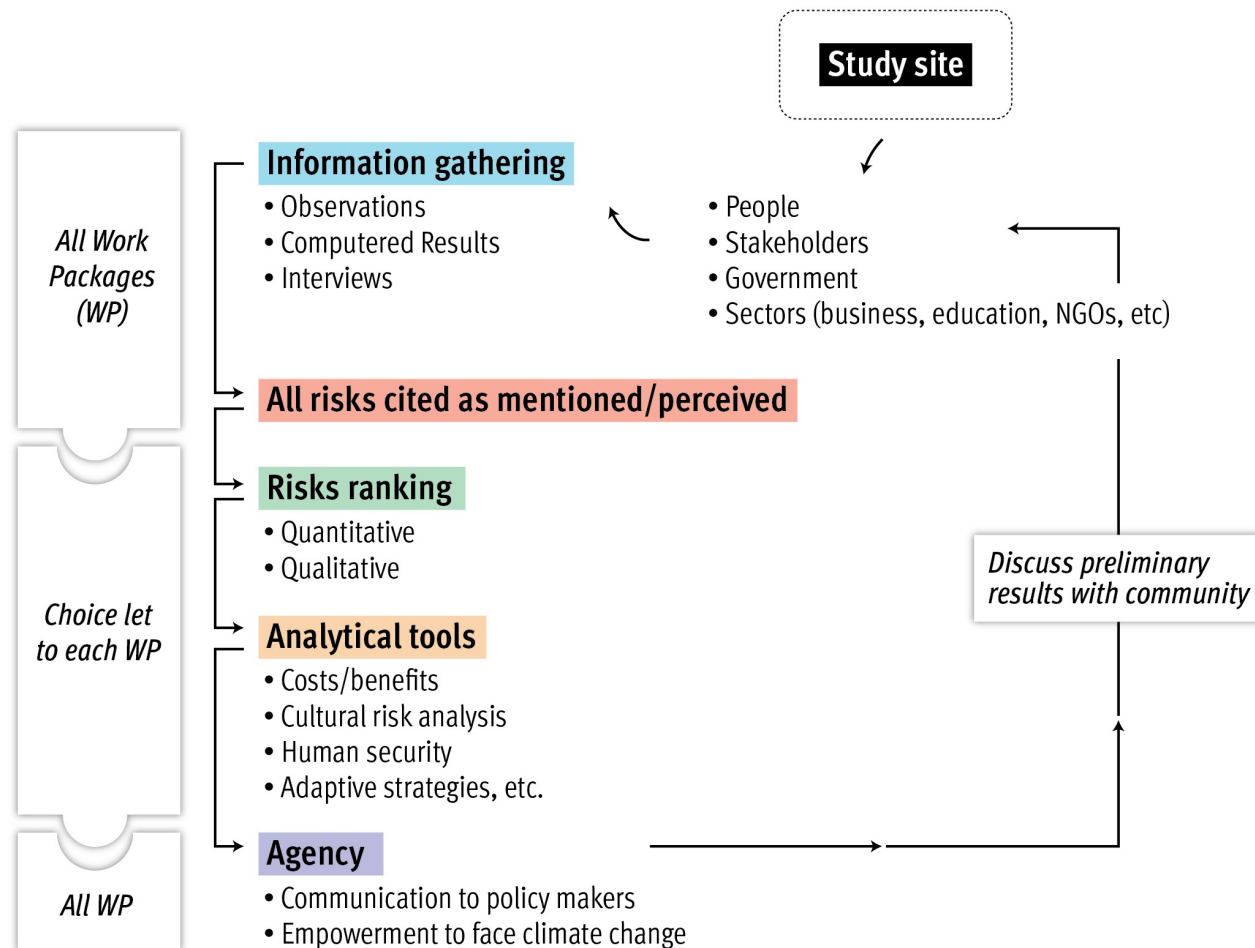
2022 SESIMBRA – ECR RISK  
WORKSHOP 5 EXAMPLES

REFINE AND CREATE VISUALIZATION

2022 (VALIDATION THROUGH)  
RISK WORKSHOPS IN FIELD  
SITES



# Risk Analysis : Compass Model & Flow chart





# Categorization

1. Key physical **processes/drivers** lead to
2. physical, chemical and biological **impacts**
3. that sometimes create **hazards** (5 examples of key hazards) and have
4. direct and indirect **consequences for various spheres of human lives:**
  - a. impacts on technical and health science domain
  - b. impacts on socio-cultural & political domain, finances, planning etc.
5. which in turn change /feedback on human **perceptions**
6. And might create the need to implement **adaptation and mitigation actions** (on impacts, hazards, consequences, perceptions)



# 9 Domains

1. (HW) Risk to **Health and Wellbeing** (Mental & Physical Health, including risks to overall safety)
2. (MW) Risk to **Material Wellbeing** (economics, financial security)
3. (I) Risk to **Infrastructure**
4. (FCP) Risk to **Fate control**, including **Planning** (all scales: Community, Regional, National, Global)
5. (BIN) Risk to **Being in nature** (including recreational and subsistence)
6. (C) Risk to **Culture & Knowledge** (cultural tangible and intangible heritage, cultural vitality, language retention, knowledge transfer and learning, spirituality)
7. (FWS) Risk to **Food and Water security**
8. (ES) **Environmental security** (risks to flora and fauna), Non-human Health, Wellbeing and Safety (Aquatic, Coastal and Terrestrial Ecosystems): Habitat, Bio-Diversity, Vegetation
9. (TT) Risk to **Travel and Transportation**



Key physical processes	Impacts	Hazards	Consequences	Perceptions	Actions	Broad Domains
GLOBAL/GOVERNING PROCESSES (Changes in Climate and Weather Conditions & Anthropogenic disturbances)	Sea Level Rise, increased frequency of storms, less Sea Ice	<b>INFRASTRUCTURE FAILURE</b>  Destruction or destabilization of Infrastructures, f.e. collapsing, subsiding, etc.  Damages to transport and industrial infrastructures and water/power supply facilities, f.e. roads, harbours/ports, pipelines, mine sites or platforms, offshore infrastructure, ice cellars, caches and water facilities  Damages to residential buildings, f.e. doors, windows not closing, etc.  Damage to and loss of cultural heritage, f.e. cemeteries, cultural heritage sites, etc.	Reduced quality of Living including reduced quality of Indoor environment, f.e. development of mould	concern about loss of cultural heritage	In case of bad engineering: Invest more funds for better constructions	I, C, MW, FWS, HW, TT, FCP
PERMAFROST DEGRADATION PROCESSES	Increase in Ground Temperature Melting of Ground Ice Active Layer Deepening		Safety concerns, f.e. injuries, loss of lives, etc.	uncertainty regarding future investment, potential loss of home, or need for relocation  safety concerns	Invest more in adaptation and protective measures such as: Relocation Removal, Repair	
GROUND EROSION	Coastal, fluvial and terrestrial erosion		Disruption or loss of serviceability Economical losses,f.e. repair, decommission costs, etc.	concern for impacts on culture, identity, language  more work for carpenters	Build new infrastructure and Flood control	
INSTABILITIES & MASS WASTING	Differential settlements Mass wasting		Employment creation		Adapted land use, community and urban planning	
CHANGES IN FLORA	Removal or changes in vegetation		Housing shortage		Monitoring	
HYDROLOGICAL CHANGES	Flooding Drainage of Tundra Lakes Changes in ground water and surface water regime (increased freshwater discharge, etc.)		Impact on Mental Health		Invest in strengthening culture, language Strengthen / Use local (Indigenous) knowledge	
			Impact on Health			



Key physical processes	Impacts	Hazards	Consequences	Perceptions/Observations	Actions	Broad Domains
GLOBAL/GOVERNING PROCESSES (Changes in Climate and Weather Conditions)	Increase in frequency and magnitude of extreme meteorological events (f.e. increased precipitation, storms, etc.), changes in winds and in freeze/thaw cycles	<b>RISKS FOR TRANSPORTATION AND TRAVEL</b>  Problems with sea ice, airstrips, roads, ice roads, navigable river and marine channels/transport  Destruction/Disruption of navigation routes , f.e changes in navigational river channels due to sedimentation/silting, etc.  Destruction/Disruption of roads and tracks, f.e. road damages, potholes, landslides, etc.	Increased Costs	Calling for help can be a problem	Adapt technology & communication , f.e. using GPS and Phones (ensure communication and letting people know where you are going)	I, BIN, C, MW, FCP, HW,
GROUND EROSION			Physical Safety Concerns	Access to camps is more complicated		
PERMAFROST DEGRADATION PROCESSES	River Bank and Coastal Erosion		Impact on livelihood and subsistence like hunting/fishing, reindeer husbandry, forestry	People get stuck on sand bars during river travel Tundra gets wet making it harder to walk outdoors or travel across	Repair and/or build new roads etc.	
INSTABILITY & MASS WASTING	Changes in sedimentation/erosion patterns, f.e. sediment deposition in river channels, etc.		Disrupted Mobility, f.e. due to shorter winter road seasons	Concern about impact on culture, language, identity	Adapt reindeer herding and hunting techniques	
HYDROLOGICAL CHANGES	Active Layer Deepening		Loss of connectivity between settlements and camps, f.e. roads may get closed because of landslides		Adapt monitoring and planning	
	Landslides, rock falls, RTS, active layer detachments, etc.		Possible disruption of chains of supply and irregularity of supply		Invest in strengthening culture, language and identity, which can be assets to meet challenges	
	Flooding					
	Changes in Snow cover					
	Changes in moisture conditions (f.e. wetter lowlands)					



Key physical processes	Impacts	Hazards	Consequences	Perceptions/Observations	Actions	Broad Domains
<p><b>GLOBAL/GOVERNING PROCESSES</b> (Changes in Climate and Weather Conditions)</p> <p><b>GHG release (?)</b></p> <p><b>PERMAFROST DEGRADATION PROCESSES</b></p> <p><b>GROUND EROSION</b></p> <p><b>CHANGES IN FLUXES</b> (lateral fluxes into aquatic systems, carbon stock)</p> <p><b>HYDROLOGICAL CHANGES</b></p> <p><b>CHANGES IN FAUNA ?</b></p>	<p>Increase in frequency and magnitude of extreme meteorological events (f.e. storms, changes in winds)</p> <p><b>Active Layer Deepening (?)</b></p> <p>Terrestrial, coastal and fluvial erosion</p> <p>Release of Organic matter</p> <p>Nutrient fluxes</p> <p>Change in composition of coastal ocean waters (f.e. higher water turbidity)</p> <p>Drainage of Tundra Lakes</p> <p>Changes in ground water and surface water regime (increased freshwater discharge, etc.)</p> <p>Impacts on riverine and oceanic fish stocks (?)</p>	<p><b>DECREASED WATER QUALITY</b></p> <p>Increase in ocean acidity</p> <p>Eutrophication and consequent water anoxia</p> <p>Changes in pollutant concentration</p> <p>Higher concentration of mercury in rivers and ocean</p> <p>Adverse effects on marine ecosystem</p> <p>Increased pressure on ecosystem food webs</p> <p>Loss of biodiversity, loss of fish</p> <p>Increase in water stratification hampering vertical mixing or change habitat for anadromous fish</p>	<p>Physical Health impacts</p> <p>Mental Health impacts</p> <p>Impact on recreational outdoor activities and subsistence: fishing, whaling etc.</p> <p>Food security and ecosystem biodiversity may be at risk</p> <p>May impact on fresh water supply</p>	<p>Uncertainty in regards to what effects PFT will have on changing nutrient fluxes</p> <p>Concern about impact on fresh water supply</p> <p>Planning challenges arise (f.e. recruit, retain, and educate qualified staff)</p> <p>Concern about culture, language and identity</p>	<p>Testing, purifying water</p> <p>Finding new sources for water provision</p> <p>Monitoring of fish and sea mammals</p> <p>Regulating water discharge</p> <p>Changes in food consumption (f.e. eating other species)</p> <p>Taking planning actions, f.e. planning/building fresh water facilities</p> <p>Invest in strengthening culture, language and identity, which can be assets to meet challenges</p>	<p>HW, BIN, FWS, MW, ES, FCP, C</p>



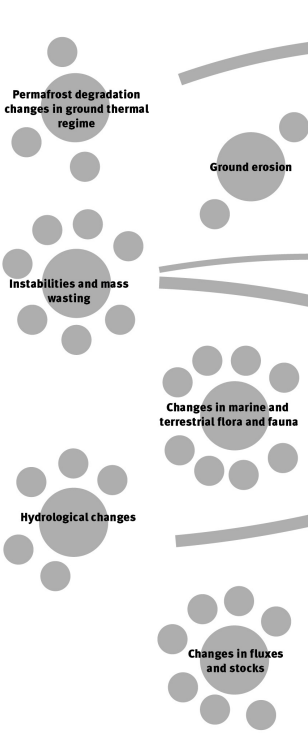
Key physical processes	Impacts	Hazards	Consequences	Perceptions/Observations	Actions	Broad Domains
GLOBAL/GOVERNING PROCESSES (Changes in Climate and Weather Conditions)	Ground ice melting Active Layer Deepening Coastal, fluvial and terrestrial erosion	<b>CHANGE OF LAND AND SEA FOOD SOURCES</b>	Impact on Ecosystem services such as Subsistence Hunting, Fishing, Herding, Whaling, Gathering etc. F.e. impact on access to hunting grounds, herding pastures, fishing and berry/medicine picking spots etc.	Char's color and texture is changing Salmon is an important food source, they follow Char, which will go back to the ocean and feed finding more mercury in bigger and older fish Warmer waters mean more nutrients means more Salmon in the ocean and rivers, can get too warm as well	Replacement of food sources (moose hunting instead of caribou f.e.) Rationing of food sources (f.e. eating less caribou) Increased imports Adaptation of Hunting/herding techniques: such as f.e. changing time to hunt, or planning for and taking more time to hunt Adapt monitoring and planning	FWS, C, BIN, ES, MW, HW
PERMAFROST DEGRADATION PROCESSES	Changes in Nutrient Fluxes Acidification of Coastal Waters Water Anoxia Water Turbidity (leads to f.e. changes in vertical temperature profiles of waters, in turn causing decrease of success in gill net for predator fish, might also affect micro fauna)	Loss of Biodiversity and Population Size  Changes in species migration routes (f.e. reindeer, muskox..)	F.e. impact on Fisheries, Commercial Hunting etc. F.e. Impact on Recreational Fishing, Hunting, Gathering etc. Greater dependence on external food sources	Insecurity what impacts changing nutrient fluxes will have Impacts flexibility and certainty in regards to subsistence: "can't choose the right places for successful hunting, reindeer herding ", "takes longer to hunt moose because willows grow higher (moose can hide better)", may lead to loss of reindeer pastures losing reindeer pastures		
GROUND EROSION	Release of diseases, contaminants & pollutants Release of Organic Matter Phytoplankton blooms	Changes in species distribution (f.e. caribou)				
CHANGES IN FLUXES	Impact on food chain and ecosystem		Decreasing reindeer pastures Destruction of food stored in ice cellars and caches			
CHANGES IN FLORA AND FAUNA	Enhanced micro-organism and bacterial activity (leads to changes in air-sea CO2 fluxes (?)) Drainage of Tundra Lakes Changes in moisture conditions (moister or dryer landscapes)	Changes in Vegetation Composition (f. e. Shrubification, Higher Trees)	Economic challenges and financial loss (f.e. higher costs for store bought food) PFT can have positive effect on species too 😊		Invest in strengthening culture, language and identity, which can be assets to meet challenges	
HYDROLOGICAL CHANGES	Thaw settlements and ground deformations	Habitat Loss		Concern about impact on culture, language, identity		
INSTABILITIES & MASS WASTING	Mass Wasting	Damage to ice cellars and caches				



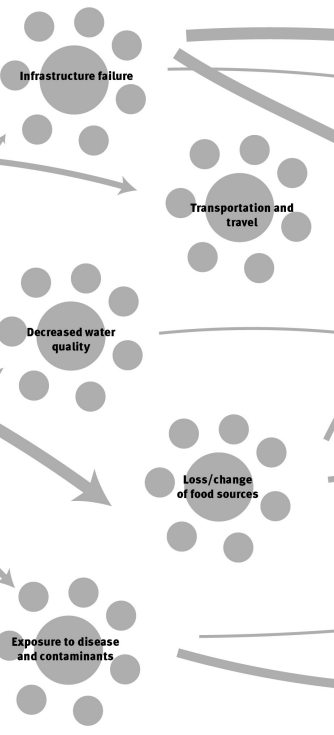
Key physical processes	Impacts	Hazards	Consequences	Perceptions/ Observations	Actions	Broad Domains
GLOBAL/GOVERNING PROCESSES (Changes in Climate and Weather Conditions)	GHG release (is methane considered a contaminant?) Increased precipitation and increased air temperature	<b>EXPOSURE TO DISEASES AND CONTAMINANTS</b>	Diseases and contaminants may affect animal and human health	Insecurity in terms of effects on humans/human life	Training of medical personnel	HW, ES, FWS, BIN, C, FCP, MW
PERMAFROST DEGRADATION PROCESSES	Increase in ground temperature and ground ice melting	EXPOSURE TO INFECTIOUS EXISTING AND ANCIENT DISEASES	Anthrax disease may affect animals, f.e. herds of domestic herbivores, which are among both the most vulnerable hosts to this disease and the important means of sustenance	Planning challenges arise: More and new planning strategies necessary	Establish (Psychotherapeutic) councillors, support groups	
CHANGES IN FLUXES	Release and spread of diseases (f.e., ancient/inactive viruses and bacteria, etc.) Increased risk of anthrax diffusion (related to the presence of contaminated cattle burial sites, from which spores may re-emerge)	EXPOSURE TO AND TRANSFORMATION OF ENVIRONMENTAL CONTAMINANTS	Humans may thus be exposed indirectly	Difficulties to educate, recruit, retain qualified staff	Closing off areas  Disease control (emergency slaughter and burning of infected animals)	
CHANGES IN FAUNA	Release of contaminants	Potential increase in tick-borne diseases, tularemia, anthrax, and vibriosis	Exposure to environmental contaminants, which is linked TO neurobehavioral, reproductive, cardiovascular, endocrine and carcinogenic	Concern about impact on culture, language, identity	Testing, purifying water	
HYDROLOGICAL CHANGES	Lateral fluxes into aquatic systems and changes in water properties and compositions Release of Organic Matter (bio)availability (?)	Eroding cemeteries may lead to reappearance of and exposure to plague and other viral infections	May affect mental health		Find new sources for water provision	
GROUND EROSION (?)	Negative impacts on ecosystems Increase in microbial and bacterial activity Erosion (?)	Thaw of landfills leads to re-emergence of industrial waste which in turn may lead to exposure to environmental contaminants			Adapt monitoring and planning	
		Contamination (?)				



Physical processes



Hazards



Impacts (broad domains)

- Health and Wellbeing
- Material wellbeing
- Infrastructure
- Fate control
- Being in nature
- Culture
- Food and Water security
- Environmental security
- Travel and transportation

ACTIONS



# Way forward >>>

- Create visualisation
- Present and work with at risk workshops in communities
- Dissemination: Deliverable, Permafrost Atlas  
<https://nunataryuk.org/news/atlas> and publication



# Thank you

