

Universität Hamburg DER FORSCHUNG | DER LEHRE | DER BILDUNG



ALEXANDRE PEREIRA SANTOS, MIGUEL RODRIGUEZ LOPEZ, AND JÜRGEN SCHEFFRAN. UNIVERSITÄT HAMBURG, CENTER FOR EARTH SYSTEM RESEARCH AND SUSTAINABILITY (CEN), CLIMATE CHANGE AND SECURITY RESEARCH GROUP (CLISEC).

1. MOTIVATION

RESEARCH GAP:

Research and policy overlook the influence of urban development in vulnerability to climate and health crises, increasing the unintended, unjust, and negative outcomes of adaptation (i.e. maladaptation).

RESEARCH QUESTION AND HYPOTHESIS:

Research question

 What is the system of connections between urbanisation and risk exposure in the Global South cities in the Anthropocene?

• Are there urban populations in SP (BR) vulnerable to both the COVID-19 pandemic and climate change? Which factors influence this vulnerability?

A NEXUS BETWEEN URBAN DEVELOPMENT AND RISK EXPOSURE

Urban development dynamics

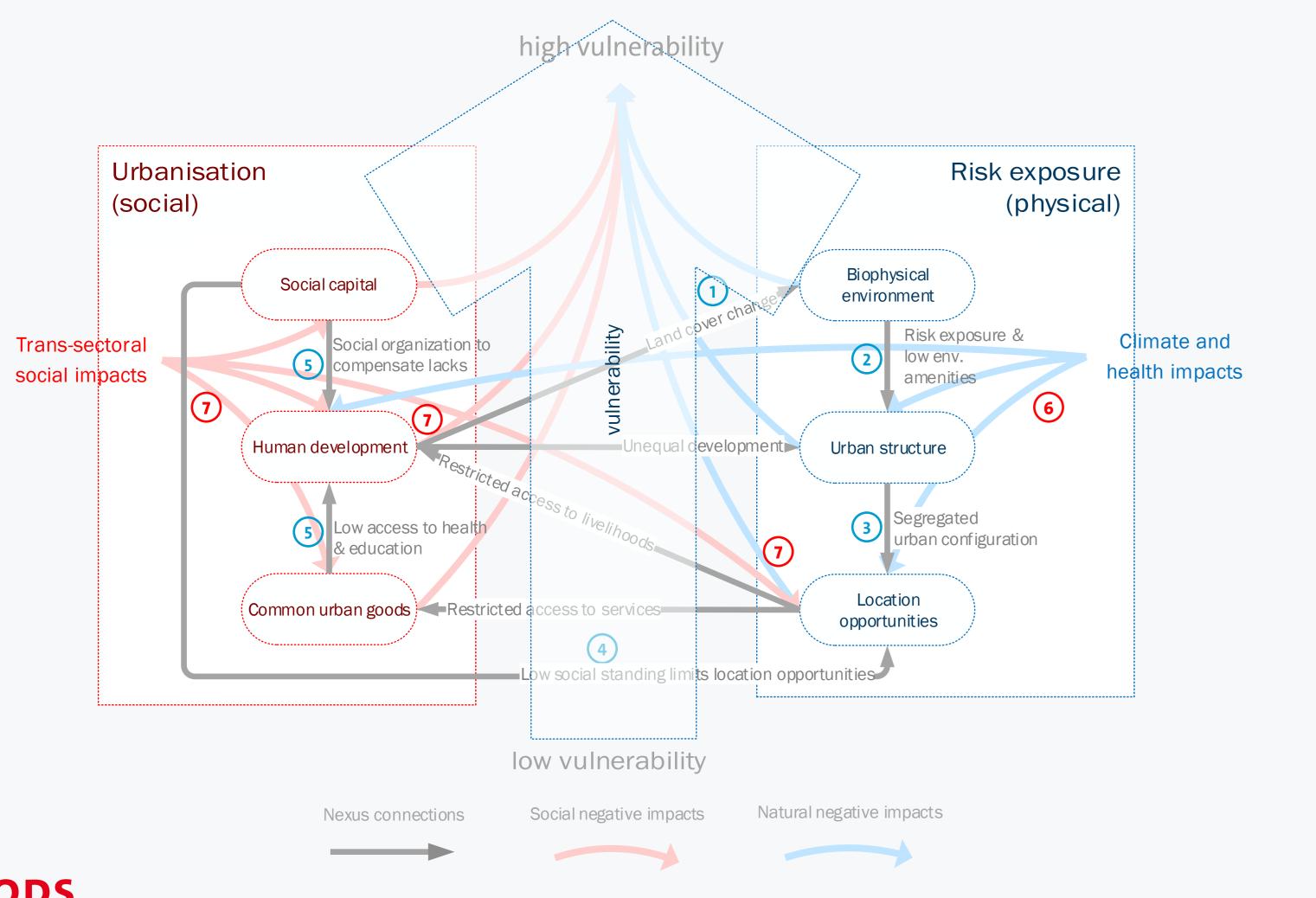
- Social demand drives urbanisation via the land market.
- 2. Unequal urban development.
- 3. Spatial opportunities with more/less exposure.
- 4. Segregated access to infrastructure, institutions, and services.
- 5. The cycle repeats, with feedback mechanisms (non-linearity).

Hypothesis

• H1: Areas lacking human development include vulnerability factors that are common to climate change and COVID-19. • H2: Urban hot spots of these factors coincide with greater COVID-19 fatality rates in a megalopolis of the Global South: São Paulo.

Urbanisation interact with hazards:

- 6. Climate and health impacts interact with the unequal development: Vulnerability often matches exposure.
- 7. Location and human development are key to exposure.
- 8. Trans-sectoral impacts amplify and prolong effects.



2. METHODS

Mixed-methods approach:

 Thematic analysis (qualitative): seeks patterns comparing groups, adequate for mixing methods.

 Hot spot analysis (Getis-Ord Gi*, quantitative): spatial concentration of high/low values, iterative model returns confidence intervals of multiple specifications (ArcGIS Pro).

 Survival analysis (KME & Cox, quantitative): calculates probability of association over time with no other assumptions. Results validated with Cox proportional hazard model.

www.covidgi.uni-hamburg.de

github.com/alexandrepereiraarq/urb_exposure_nexus

 Methods are mixed (quali > quanti > quanti) and analyses are transscalar (local > intra-urban > national to intra-urban). Mixing seeks robustness in detecting association between factors when the causation is ellusive. Data:

- Fieldwork in São Paulo (03.2022): 2 regions, 18 participants.
- Authoritative COVID-19 micro data (n = 1,948,601).
- Social vulnerability & Human Development Indices (IPEA, 2015).

alexandre.pereira.santos@uni-hamburg.de twitter.com/macuonline

3. RESULTS

SÃO PAULO: CITY OF RINGS

Figure 1. Social vulnerability hot (red) and cold (blue) spots in São Paulo (data from social vulnerability index based on the 2010 census). This map updates the famous "BelIndia" (Belgium+India) model by Edmar Bacha to "NorMen", showing the stark contrast of opportunities offered depending on where one lives. Place, in this case, associates social and environmental factors that couple higher exposure to lower resistive and recovery capacity to multiple hazards

.

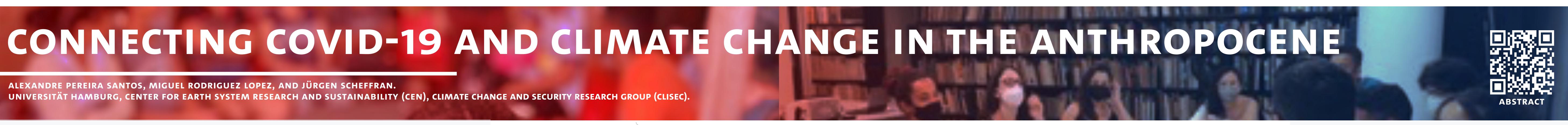
10 km

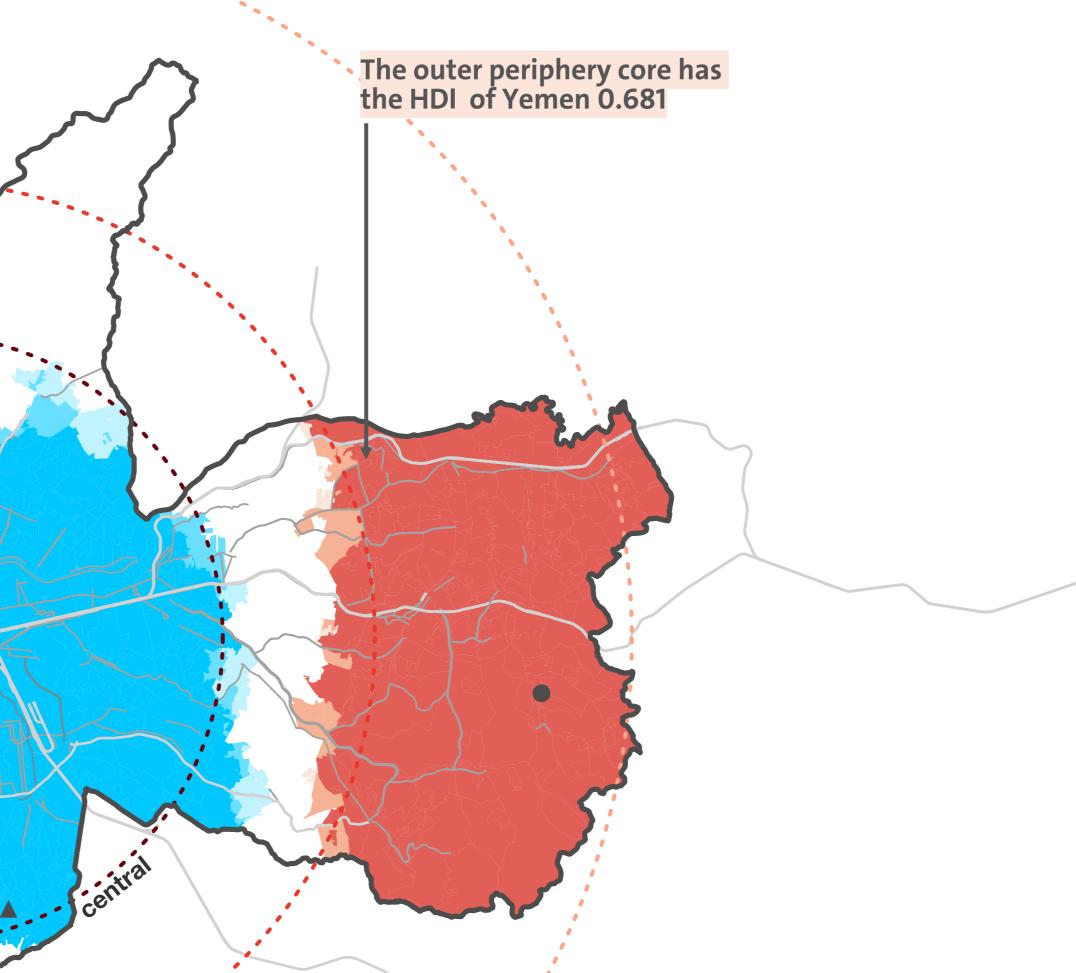
The urban core has the

HDI of Norway 0.942

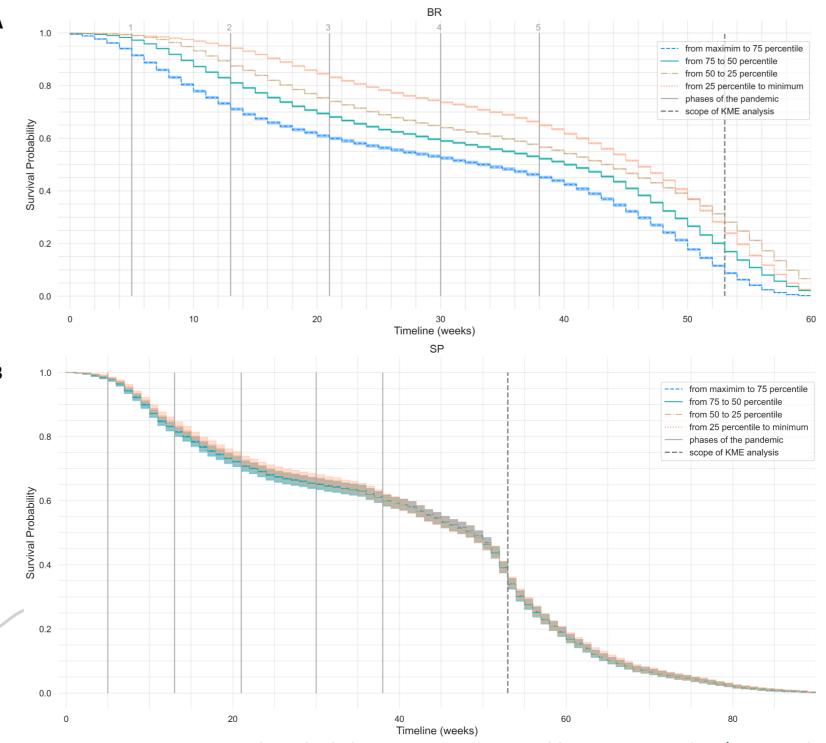
Fieldwork participants location Concentric regions central region - - inner periphery region outer periphery region Central region participants Peripheral region participants Social vulnerability hot spots by confidence interval Cold spot,99% CI Cold spot,95% CI Cold spot,90% CI

1 ,	
Not significant	
Hot spot,90% Cl	
Hot spot,95% Cl	
Hot spot,99% Cl	





KAPLAN-MEYER ESTIMATOR Cross-scale analysis shows contradictions: COVID-19 variability in the intra-urban scale is not explained by SVI alone.



determinants of health (SDOH) and social vulnerability. Figure 2. COVID-19 survival probability curves, grouped by SVI quartiles (60 weeks) (A) 5,570 Brazilian cities; and (B) 5,970 census districts in São Paulo. Survival Need for research: deviant patterns in KME (e.g. MAUP, or behaviour). probability is lower in high-vulnerability cities (A), with no clear pattern at the intraurban scale (B), showing complexity and spatial unit problems (MAUP). Source MAIN REFERENCES authors; data: IPEA (2015), Brasil.io (2021).

SPATIAL COINCIDENCE OF COVID-19 AND SVI COVID-19 deaths peak first and stay more concentrated in the outer periphery. The core-periphery pattern partially explains fatalities.

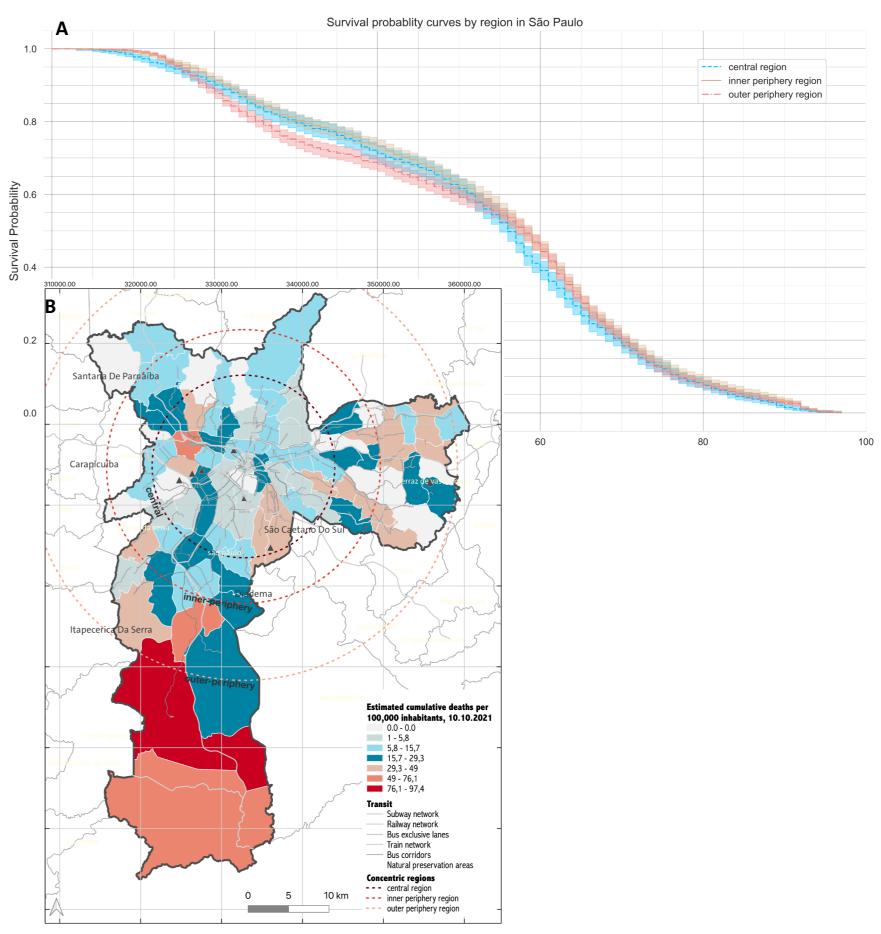


Figure 3. (A) Survival probability curves for 5,970 census districts grouped by the rings from Fig. 1 and (B) COVID-19 cumulative deaths per 100.000 inhabitants in the SP census districts on 10.10.2021

FOCUS GROUP RESULTS Diverging narratives of the pandemic: middle class improved well-being, low class faced hunger and threat to their lives.

Table 1. Cross-thematic matrix for the SP focus groups. Focus group participants contrasted, coming from the central (upper-middle class, intellectual in a SVI cold spot) and peripheral regions (low class, labourers, in a SVI hot spot).

r-periphery	Themes	(A) The	(B) Changing	(C) Capacity to	(D) New		
		intensification	behaviour: by	cope, respond	opportunities/		
neriphery		of threats to	choice or out of	and adapt	factors of	Cross-case	
r-penir	Cases	livelihoods	need?		resilience	observations	
	Central region	temporary	telework	high individual	new habits	Negative impacts	
	group (CRG)	threats to	allows active	capacity,	increase well-	were temporary,	
		education,	mobility, local	available family	being, resources	long-term	
		stress in the	and online	resources,	3 to seize	improvement	
		(4) work	shopping	healthcare	opportunities		
		environment		access			
	Peripheral region	severe threats,	a risk-risk	limited	reduced	Long-lasting	
	group (PRG)	unemployment,	trade-off:	capacities, lack	resilience, but	adverse effects	
		food insecurity,	unemployed or	of access to	community	hinder the	
		mental health	exposed, long-	health, and	organisation is a	development	
		issues	1 term losses	(2) impacts	(new) lifeline		
				translate into			
				losses			
	Cross-thematic	CRG: impacts	exposure to	polarised coping,	seizing		
	observations	within the	new behaviour	CRG: capacity	opportunities		
		coping	in both groups,	and additional	needs resources,		
		threshold. PRG:	but all choices	resources; PRG:	leading to		
		the threshold	in PRG involve	'territorial	increasing		
		was very low	losses	overload.'	inequality		
		and impacts					
		high.					
	• -		_		_		
	(1)Very-l	ow coping on the pande	capacity	Changes provided (3)			
	hefore	the nande	mic	oppo	ortunity to h	uild-	
				opportunity to build- back better			
	territo	orial overloa	aa				

2 Negative impacts from all sides: social, physical & mental health

Problems were (4) temporary, life improved overall

FACULTY OF MATHEMATICS, INFORMATICS AND NATURAL SCIENCES

4. DISCUSSION

 Evidence for H1 in the qualitative common factors between health & climate crises and H2 in hot spots & KME, as high-vulnerability areas had more fatalities.

 This interdisciplinary approach demonstrates the nexus components (e.g. human development, urban structure) & the nexus connections (e.g. unequal development, access to common goods).

LIMITATIONS

The nexus is not fully mapped. Interaction lacks hierarchy and quantification of influences.

- Methods do not exclude alternative explanations nor uncertainty.
- The mixing of methods should be evaluated more systematically.

However, multiple analytical scales, spatio-temporal data, and interdisciplinary combinations of evidence avoid 'monolithic assumptions' and improve robustness.

INNOVATIONS & INSIGHTS

Widening gap in resilience (urban core versus peripheral group).

 Social status and location choice converge – exposure and response capacity match and increase vulnerability.

Geographic differences in COVID-19 deaths aligned with social

Bolin, B., & Kurtz, L. C. (2018). Race, Class, Ethnicity, and Disaster Vulnerability. In H. Rodríguez et al. (Eds.), Handbook of Disaster Research (pp. 181–203). Springer International Publishing.

• Cinner, J. E., et al. (2018). Building adaptive capacity to climate change in tropical coastal communities. *Nature Climate Change*, 8(2).

• De Koning, K., & Filatova, T. (2020). Repetitive floods intensify outmigration and climate gentrification in coastal cities. Environmental Research Letters, 15(3).

 Pelling, M. (2003). The vulnerability of cities: natural disasters and *social resilience*. Earthscan.

5. CONCLUSIONS

 Intersectoral and social consequences from systemic crises disproportionately affect the most vulnerable.

 Crises may interact, overlapping responses and adaptation. Under limited resources, they may widen social and vulnerability gaps.

 Health and climate adaptation need to account for contextual, societal and subjective factors and avoid over-generalisation and 'onesize-fits-all' measures to minimize maladaptation.

Part of

Supported by

• • • •

• • • •

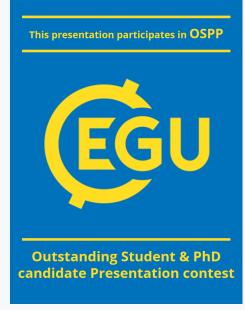
• • • •

. . . .



n**Stiftung**

OSPP participant





WWW.MIN.UNI-HAMBURG.DE

scher Akademischer Austauschdienst

man Academic Exchange Service





CONNECTING COVID-19 AND CLIMATE CHANGE IN THE ANTHROPOCENE

EVIDENCE FROM URBAN VULNERABILITY IN SÃO PAULO

EGU session Climate Extremes & Risk: impacts, nature-based disaster risk reduction and climate adaptation on-site poster X3.79 | EGU23-17315 Alexandre Pereira Santos Prof. Dr. Jurgen Scheffran Dr. Juan Miguel Rodriguez



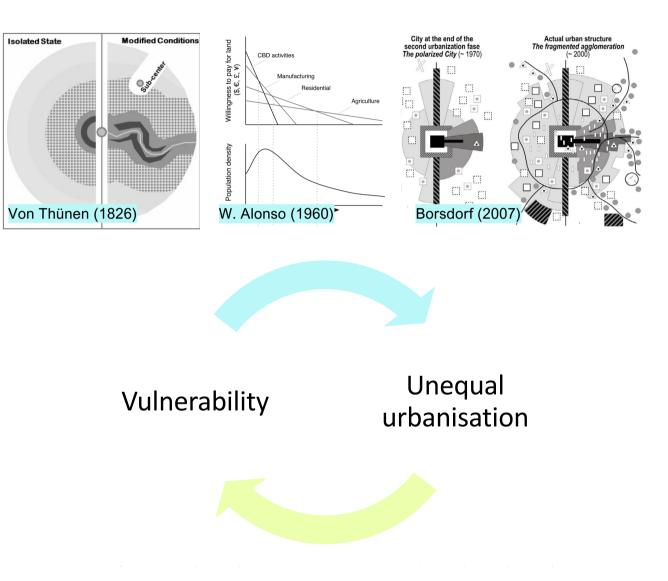
Research gap:

- Research and policy overlook the influence of urban development dynamics in vulnerability factors to climate and health crises.
- This increases the unintended negative outcomes of adaptation (i.e. maladaptation).

Unequal urban development interacts with hazards directly (e.g. exposure) and indirectly (e.g. access to services and support).

- Vulnerability is the incapacity to avoid or cope with the harmful effects from a hazard.
- Urbanisation dynamics or urban development dynamics are the processes of urban expansion, densification, verticalization, and reconstruction.

- Unequal development
 - Urban expansion, verticalization, and redevelopment follow market interests.
 - Advantages of agglomeration and social inequality lead to segregated distribution of jobs, wealth, and infrastructure.
- Why Brazil?
 - Highly urbanised & unequal
 - LAC may offer lessons to African or Asian cities



Sources: Abramo, 2012; Bógus, & Taschner, 1999; Borsdorf, Hidalgo, Sánchez, 2007; Gilbert & Gugler, 1984; Harvey, 2006, Pereira, et al. 2020.

Urban development and compound effects of hazards

- Unequal spatial development patterns interact with the biophysical environment in which it takes shape.
- Power asymmetries, social norms, and political relations skew infrastructure and adaptation measures distribution.
- More affluent households buy access to safe locations, pushing prices and excluding the socially vulnerable to exposed areas.
- Informal settlements combine high exposure with social or ethnic exclusion, low-quality or non-existent infrastructure, little tenure security, and restricted access to resources and services.

References: Cinner et al., 2018; Henrique & Tschakert, 2021; De Koning & Filatova, 2020; Harvey, 2006; Pelling, 2003.

Risk response motivation and capacity is a key driver of response, but not the only one:

- Empirical evidence of settling in exposed locations contradicts perfectly rational decision making based on risk perception.
- Location choice may be influenced by accessibility to economic opportunities, relative tenure stability, and strong social and family ties, for instance.
- Risk-risk trade-off: either accept risk to improve access to jobs and services or seek locations far enough to be cheap but risk social exclusion.
- Risk perception is necessary for response, but response capacity largely determines the choices available and the perceived efficacy.

Reference : Harvey, 2006; Janoschka, 2002; Wheaton, 1982; Abramo, 2012; Barros, 2012; Gilbert & Gugler, 1984; Bubeck et al., 2012; Lo et al., 2015.

The problem of poverty-vulnerability traps

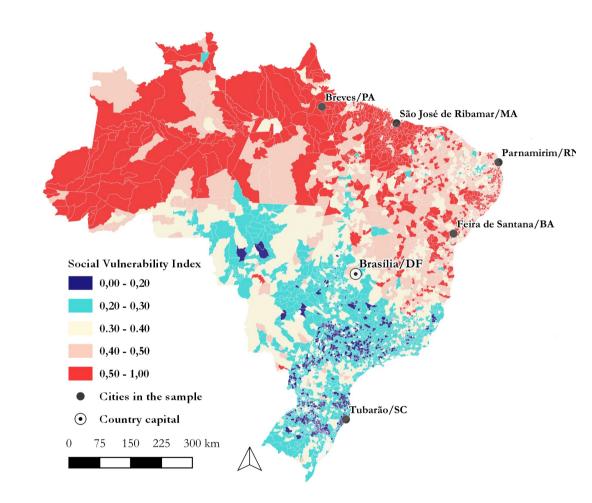
- Location choice may lead households to flood-prone areas.
- Tenure, kin relations, social networks, a familiar context, and economic opportunities are frequent factors.
- Evolving risk profiles may worsen exposure over time, offering an unfair trade-off between leaving (starting over) or staying under risk.
- Repeated hazards may sap resilience cyclically, impoverishing families, decreasing response capacity, and increasing vulnerability.
- Market regulation may lead to climate gentrification or povertyvulnerability traps.

References: Hardoy & Pandiella, 2009; Hjälm, 2014; Penning-Rowsell et al., 2013; Abramo, 2012; Boubacar et al., 2017; Henrique & Tschakert, 2021; Pelling, 2003.

PREVIOUS RESEARCH & CONTEXT

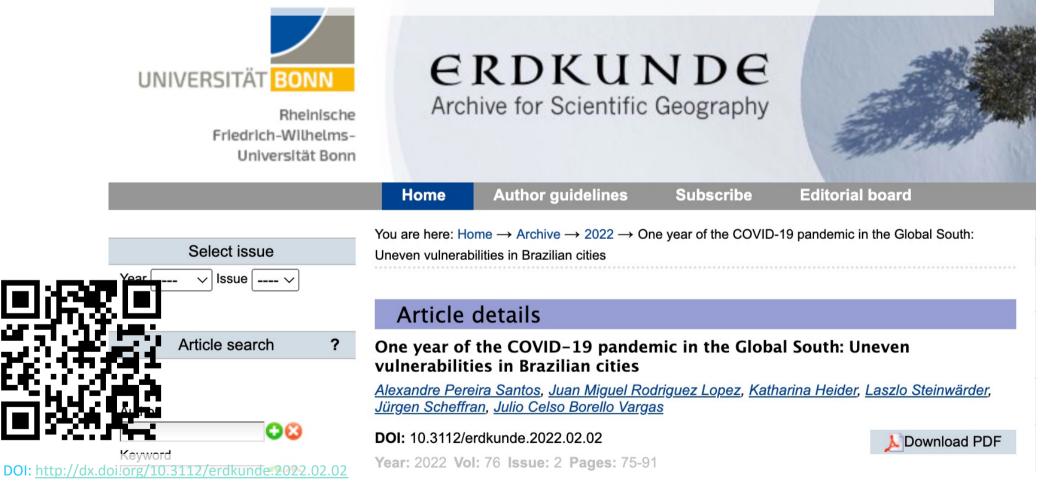
COVID-19 and social vulnerability in Brazil:

- Brazil is highly unequal and hierarchical
- We use survival analysis to analyse the connection of COVID-19 fatalities and structural social vulnerability
 - Kaplan-Meier estimator.
 - Cities in the social vulnerability index (SVI) distribution.



Reference : Collet, 2003; Costa & Margutti, 2015; Brasil.IO, 2021; M. C. Castro et al., 2021; Nicolelis et al., 2021.

PREVIOUS RESEARCH & CONTEXT: ONE YEAR OF THE COVID-19 PANDEMIC IN THE GLOBAL SOUTH: UNEVEN VULNERABILITIES IN BRAZILIAN CITIES



PREVIOUS RESEARCH & CONTEXT:

One year of the COVID-19 pandemic in the Global South

- Gap: COVID-19 vulnerability definitions come from the Global North, lacking contrast in socioeconomic factors (env. and demographic).
- Question: How do different degrees of vulnerability among Brazilian cities lead to varying survival probabilities of their populations in the COVID-19 pandemic?
- H: The population in more vulnerable cities had lower probabilities of surviving COVID-19 during the first year of the pandemic.

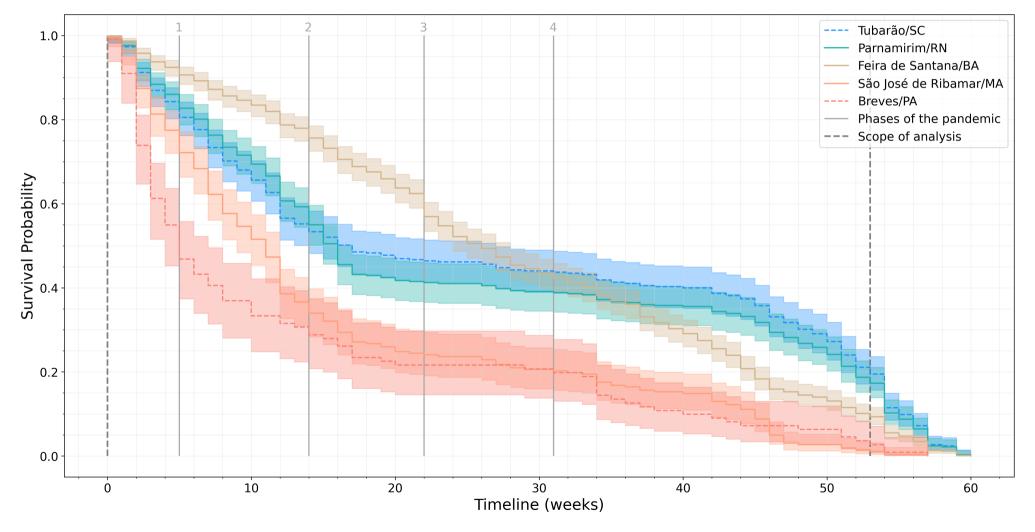
References: Nicolelis et al., 2021; Candido et al., 2020; M. C. Castro et al., 2021; S. L. Li et al., 2021.

PREVIOUS RESEARCH & CONTEXT 5 CITIES ACROSS A SVI DISTRIBUTION

Source: authors, based on data from IPEA (2015). Accumulated COVID-Accumulated COVID-Approximate SVI 19 deaths 19 cases **City name/State** Population (2020) SVI score quantile (24.02.2021)(24.02.2021)Tubarão/SC 106.422 0.121 Min. value 14,062 218 Parnamirim/RN³ 267.036 0.247 25% 16,051 256 Feira de Santana/BA³ 619.609 0.336 50% 498 29,106 São José de 179.028 0.449 75% 1,748 151 **Ribamar/MA³ Breves/PA³** Max. value 102 103.497 0.603 3,578 Brazil 211,707,713 0.326 Mean 10,438,360 253,372

Table 3-1. Descriptive statistics for the cities in the sample.

PREVIOUS RESEARCH & CONTEXT SURVIVAL PROBABILITIES FOR THE 5 CITIES



PREVIOUS RESEARCH & CONTEXT: PRELIMINARY TAKEAWAYS

- KME for the 5 Brazilian cities shows survival probability is inversely proportional to the city's vulnerability level.
- Results of log-rank test and Cox Proportional Hazard Model support the results from KME.
- Results do not reject hypothesis, showing correspondence between increasing vulnerability and the impacts of COVID-19.
- Small sample of cities and does not control for other alternative explanations (e.g. behaviour, politics, or individual char.).
- There is potential in considering SDOH and behaviour in multidimensional approaches to COVID-19.

References: Baggio et al., 2021; S. L. Li et al., 2021; Nicolelis et al., 2021; Pereira et al., 2021.

PREVIOUS RESEARCH & CONTEXT PRELIMINARY TAKEAWAYS

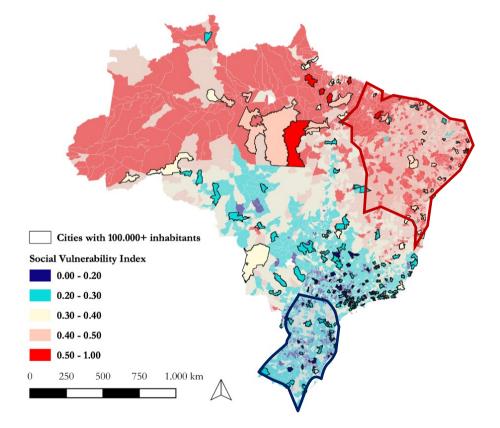
- Results show correspondence between vulnerability and COVID-19 fatalities (KME & Cox agree).
- Small sample of cities.
- Does not control for behaviour, politics, or individual characteristics.

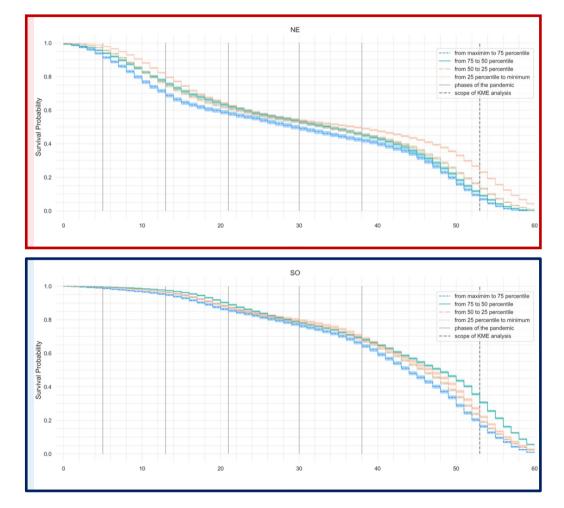
Nexus: human development and health (SDOH).

- Socio-environmental vulnerability is associated with the impacts of health crises.
- The role of human development and common urban goods.

THE URBANISATION-RISK EXPOSURE NEXUS

APPLYING KAPLAN-MEIER ESTIMATOR TO THE 5,570 MUNICIPALITIES IN BRAZIL: HIGH VULNERABILITY CITIES AND REGIONS HAVE LOWER SURVIVAL PROBABILITIES





NEXUS: RESEARCH QUESTION

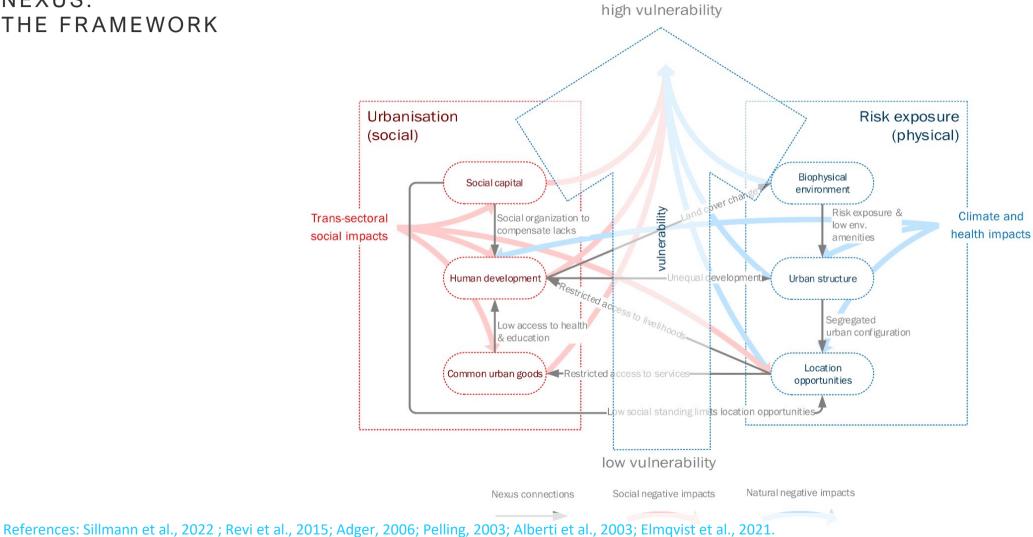
- Gap: We know inequality fuels health and climate vulnerability, however we know little about how these vulnerabilities interact.
- Questions:
 - What is the system of connections between urbanisation and risk exposure in GS cities in the Anthropocene?
 - Are there urban populations in SP (BR) vulnerable to both the COVID-19 pandemic and climate change. Which factors influence this vulnerability?
- H1: Areas lacking human development include social and environmental vulnerability factors common to climate change and COVID-19.
- H2: Urban hot spots of these factors coincide with greater COVID-19 fatality rates.

NEXUS: LITERATURE REVIEW

		Table 1-1: Select literature review results.					
Literature bodies		Main insights	Sources				
Vulnerability	-	Vulnerability is a multidimensional, dynamic process that combines exposure, sociodemographic characteristics,					
frameworks		access to assets, livelihoods and social capital	Kurtz, 2018; Cutter,				
	-	Vulnerability is contextual and trans-scalar, involving the individual, family and society scales	1995; Cutter & Emrich, 2006; Salgado et al.,				
	-	Vulnerability is not anonymous; it has race, class and ethnicity.					
			2004 Corburn et al., 2020,				
Multiple	-	Climate change shows increasing temporal and spatial overlap of stressors (e.g. heat waves, droughts and poor					
stressors		air quality)	Crutzen, 2002; Elmqvist et al., 2021;				
	-	Cities concentrate exposure	Gibbard et al., 2022;				
	-	Cities provide economies of scale for resilience	Watts et al., 2021				
	-	Informal, low-income settlements often combine low well-being and high vulnerability					
	 The poor often live on their resistive threshold, are more exposed and are less capable of coping 						
	-	Stressors have environmental, technological and social origins					
Compound	-	Hazards may interact directly or through their secondary effects	Cinner et al., 2018;				
risks or	-	Frequency of hazard impacts and resistance, resilience and recovery capacity	Juhola et al., 2022; Sillman et al., 2022;				
hazards		Systemic risks are unique; their outcomes cross system scales and affect multiple locations or sectors of society	Zscheischler et al.,				
-	-	Systemic risks have a greater possibility of interacting with other hazards and conflicts, tipping social systems					
		beyond their resistive thresholds					
	-	Health and climate hazards may also interact directly or indirectly					
	-	Repeated impacts may lead to poverty–vulnerability traps					

References: Sillmann et al., 2022 ; Revi et al., 2015; Adger, 2006; Pelling, 2003; Alberti et al., 2003; Elmqvist et al., 2021.

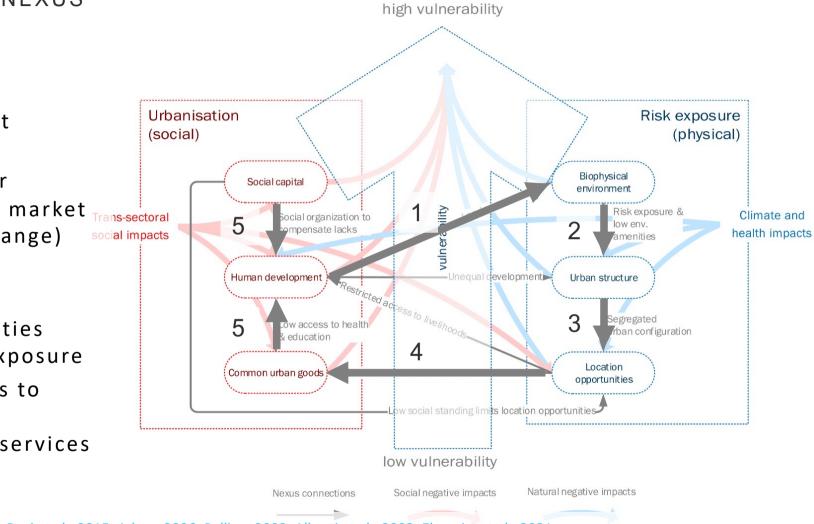
NEXUS: THE FRAMEWORK



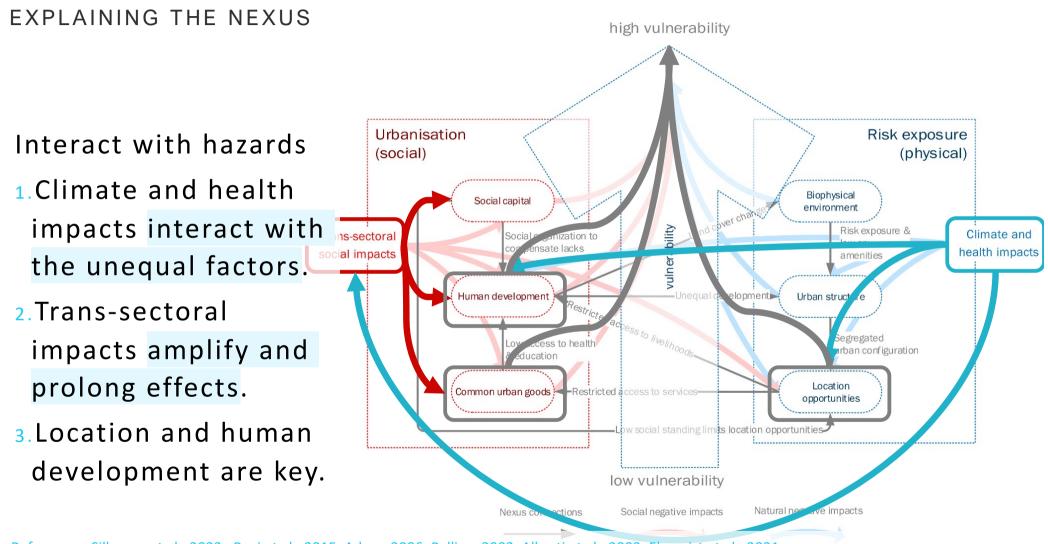
EXPLAINING THE NEXUS

Urban development dynamics:

- 1. Social demand for urbanisation (i.e. market led land-cover change) social impact
- 2. Unequal urban development
- 3. Spatial opportunities with more/less exposure
- 4.Segregated access to infrastructure, institutions, and services
- 5.And so on...



References: Sillmann et al., 2022 ; Revi et al., 2015; Adger, 2006; Pelling, 2003; Alberti et al., 2003; Elmqvist et al., 2021.



References: Sillmann et al., 2022 ; Revi et al., 2015; Adger, 2006; Pelling, 2003; Alberti et al., 2003; Elmqvist et al., 2021.

EXPLAINING THE NEXUS high vulnerability **Risk** exposure Urbanisation Interact with hazards (social) (physical) 1. Climate and health Biophysical Social capital environment impacts interact with sectoral ility Risk exposure & Climate and ation to sate lacks health impacts al impacts amenities the unequal factors. vulner Human developmer elopment Urban struct 2 Trans-sectoral Segregated impacts amplify and arban configuration prolong effects. Location Common urban goods Restricted a opportunities 3. Location and human social standing limits location opportunitie

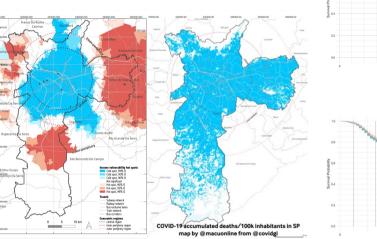
Therefore:

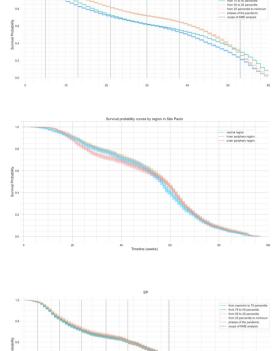
- vulnerable populations suffer more intense or lasting consequences from crises
- these populations have lower coping and adaptive capacities against impacts

METHODS TO EXPLORE THE NEXUS

- Mixed-methods approach:
 - Thematic analysis.
 - Hot spot analysis (Getis-Ord Gi*).
 - Survival analysis (KME & Cox).
- Data:
 - SP Fieldwork (03.2022).
 - SP COVID-19 microdata (n = 1,948,601).
 - SVI & HDI.

Table 5-3: cross-thematic matrix for the SP focus groups.						
Themes	(a) The	(b) Changing	(c) Capacity to	(d) New		
	intensification	behaviour: by	cope, respond,	opportunities/		
Cases	of threats to livelihoods	choice or out of need?	and adapt	factors of resilience	Cross-case observations	
Central region	temporary	telework allows	high individual	new habits	Negative impacts	
	threats to	active mobility,	capacity,	increase	were temporary,	
group (CRG)	education, stress	local and online	available family	wellbeing,	long-term	
	in the work	shopping	resources.	resources to	improvement	
	environment	shopping	healthcare	seize	improvement	
	GINIOIIIIGII		access	opportunities		
Peripheral region	severe threats.	a risk-risk trade-	limited	reduced	Long-lasting	
group (PRG)	unemployment,	off: unemployed	capacities, lack	resilience, but	negative impacts	
group (i ito)	food insecurity,	or exposed,	of access to	community	hinder	
	mental health	long-term losses	health, impacts	organization is a	development	
	issues	-	translate into	(new) lifeline		
			losses			
Cross-thematic	CRG: impacts	exposure to new	polarized coping,	seizing		
observations	within the coping	behaviour in	CRG: capacity	opportunities		
	threshold. PRG:	both groups, but	and additional	needs resources,		
	the threshold	all choices in	resources; PRG:	lead to		
	was very low and	PRG involve	"territorial	increasing		
	impacts high.	losses	overload"	inequality		





References: Braun & Clarke, 2012; Tashakkori & Teddlie, 2010; Kaplan & Meier, 1958; Getis & Ord, 1992; Costa & Margutti, 2015; UNDP, 2022.

METHODS TO EXPLORE THE NEXUS: MIXED METHODS

Mixed-methods research design that includes a thematic analysis of the material from two focus groups, geospatial analysis with hot spots methods, and survival analysis.

This research combined quantitative and qualitative methods using a sequential, iterative, and multi-sampling design (Tashakkori & Teddlie, 2010).

The qualitative data include two focus groups held in SP in March 2022, and we studied them using thematic analysis methods (Braun & Clarke, 2012). Quantitative data sources included the Social Vulnerability Index (SVI) (Costa & Margutti, 2015) and the COVID-19 fatalities data (Brasil.IO, 2021; SP Municipal Health Department, 2022).

References: Braun & Clarke, 2012; Tashakkori & Teddlie, 2010; Kaplan & Meier, 1958; Getis & Ord, 1992; Costa & Margutti, 2015; UNDP, 2022.

METHODS TO EXPLORE THE NEXUS: THEMATIC ANALYSIS

We obtained the qualitative data during fieldwork in two focus group sessions held on March 13 and 15 in the Benfica community (Guaianases neighbourhood) and the SP city centre. The lead author of this paper participated in the focus group sessions held in Portuguese. The research team recorded and transcribed the sessions and then coded the transcripts from a deductive, semantic, and realist approach to support thematic analysis (Braun & Clarke, 2006, 2012).

We analysed the coded content of the focus group sessions using thematic analysis methods (Braun & Clarke, 2006, 2012), which consists of identifying common and relevant themes across the cases to support the research question. We opted for these methods due to their flexibility and accessibility to non-experts in qualitative methods involved in mixed methods designs. Further detail on the fieldwork design, focus group implementation.

Coding available at our GitHub repository.

METHODS TO EXPLORE THE NEXUS: HOT SPOTS ANALYSIS

Using social vulnerability data, we implemented the Optimised Hot Spot Analysis tool in ArcGIS Pro 2.2.2 to answer the research question: Which populations are vulnerable to climate change and the COVID-19 pandemic? The assumption is that lower human development leads to higher impacts from COVID-19 in the form of higher fatality rates. We derive this assumption from the literature (Corburn et al., 2020; Levin et al., 2022; Lorenz et al., 2021) and prior research (Santos, Rodriguez Lopez, Heider, et al., 2022). The tool calculated the Getis-Ord Gi* statistic using multiple fixed-distance spatial relationships and automatically significant statistical tested distances to discover the most concentrations of high values (i.e. hot spots) or low values (cold spots). The tests sought to reject the null hypothesis (e.g. eliminating clusters that could be random). The results were the z-scores and p-values for each spatial feature, indicating confidence intervals of 90, 95, and 99% (ESRI, 2022).

METHODS TO EXPLORE THE NEXUS: SURVIVAL ANALYSIS

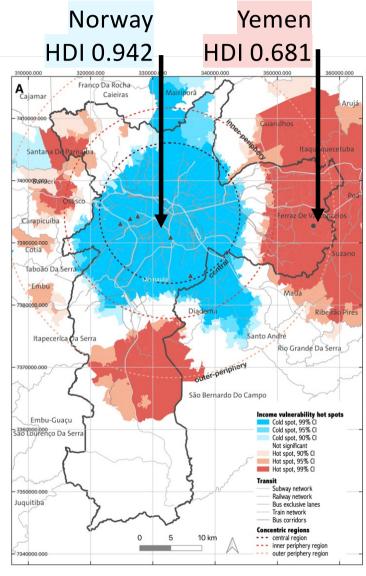
We implemented survival analysis using the Kaplan-Meier Estimator (KME). We employ the KME to analyse the survival probabilities of different populations over a predefined period (Kaplan & Meier, 1958). This method observes fatalities within a given time window for different population subgroups (also called 'reduced groups'), permitting the analysis of statistical differences between these groups without other assumptions.

We implement these models with the SP municipal COVID-19 fatalities geocoded microdata from January 2020 to November 2021 (SP Municipal Health Department, 2022). Data preparation included eliminating invalid records (e.g. without geographic references) and aggregating fatalities per epidemiological week and census district. We provide additional survival analysis with the Cox proportional hazard regression (Cleves et al., 2008) in 0. Data and the Python code feature in the supplementary materials.

Data & code available at our GitHub repository

RESULTS -THEMATIC ANALYSIS

- 2 focus groups in contrasting contexts:
 - CRG: Centre
 - PRG: Benfica community
- 17 participants, convenience sample.
- Socioeconomic and ethnic diverse sample.



CRG: expanded Centre

7 participants (5F/2M), ages: 20 – 34y.

Self-dec. eth.: 1 Black/3 Pardo/3 White.



PRG: Benfica
10 participants (7F/3M), ages: 19 – 48y.
2 Black/6 Pardo/2 white.



References: Braun & Clarke, 2006, 2012.«

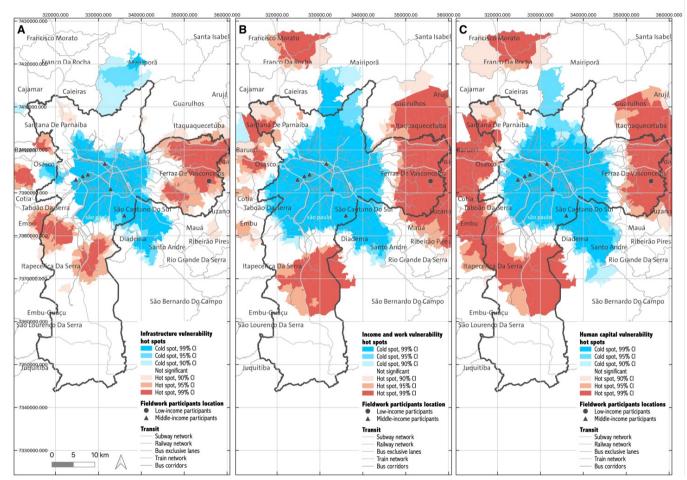
RESULTS -THEMATIC ANALYSIS

Table 5-2. cross-thematic matrix for the SP focus groups.

Themes Cases	(A) The intensification of threats to livelihoods	(B) Changing behaviour: by choice or out of need?	(C) Capacity to cope, respond and adapt	(D) New opportunities/ factors of resilience	Cross-case observations
Central region	temporary	telework allows	high individual	new habits	Negative impacts
group (CRG)	threats to	active mobility,	capacity,	increase well-	were temporary,
	education, stress	local and online	available family	being, resources	long-term
	in the work	shopping	resources,	to seize	improvement
	environment	967094999 D	healthcare access	opportunities	
Peripheral region	severe threats,	a risk-risk	limited	reduced	Long-lasting
group (PRG)	unemployment,	trade-off:	capacities, lack of	resilience, but	adverse effects
	food insecurity,	unemployed or	access to health,	community	hinder the
	mental health	exposed, long-	and impacts	organisation is a	development
	issues	term losses	translate into	(new) lifeline	
			losses		
Cross-thematic	CRG: impacts	exposure to	polarised coping,	seizing	
observations	within the	new behaviour	CRG: capacity	opportunities	
	coping	in both groups,	and additional	needs resources,	
	threshold. PRG:	but all choices	resources; PRG:	leading to	
	the threshold	in PRG involve	'territorial	increasing	
	was very low	losses	overload.'	inequality	
	and impacts				
	high.				

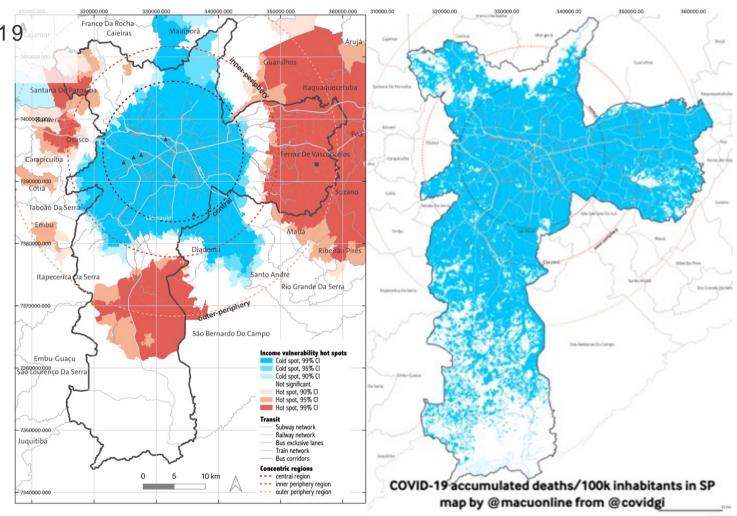
RESULTS: HOT SPOTS ANALYSIS

- All SVI components match the centreperiphery pattern
- Cold spots consistent in the central areas
- Hot spots consistent i the peripheral areas



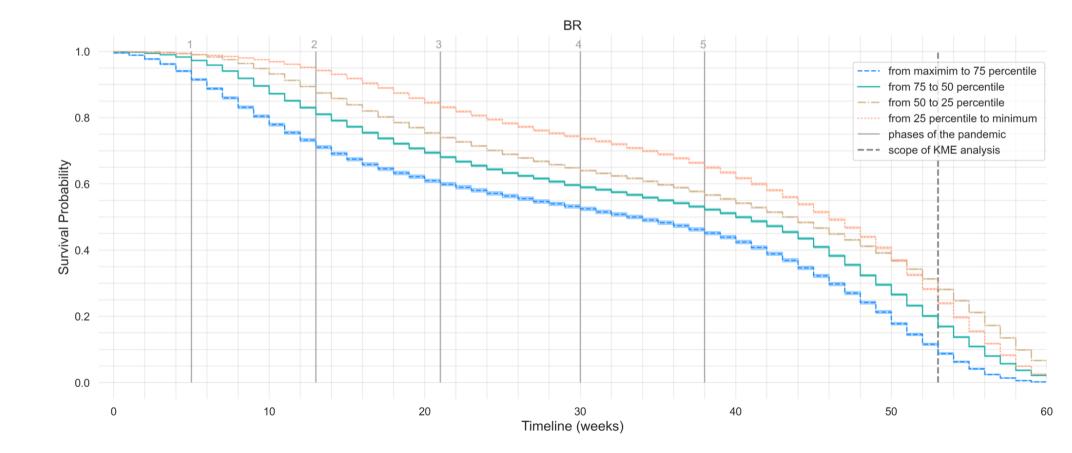
RESULTS -HOT SPOTS VS. COVID-19 FATALITIES

- Well-defined cold and hot spots: core-periphery.
- Periphery: infrastructure, income & work, and human capital hot spots.
- COVID-19 deaths concentrate in periphery.

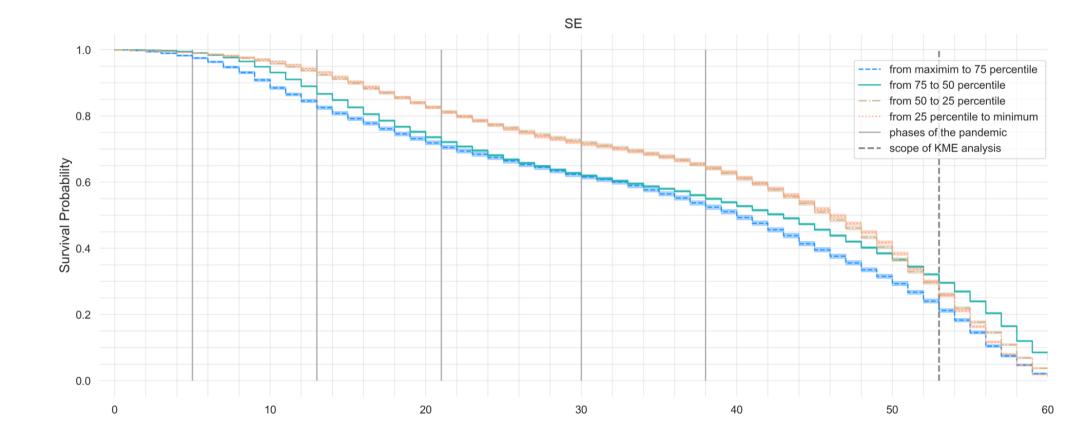


References: Bógus & Taschner, 1999; Feitosa et al., 2021.

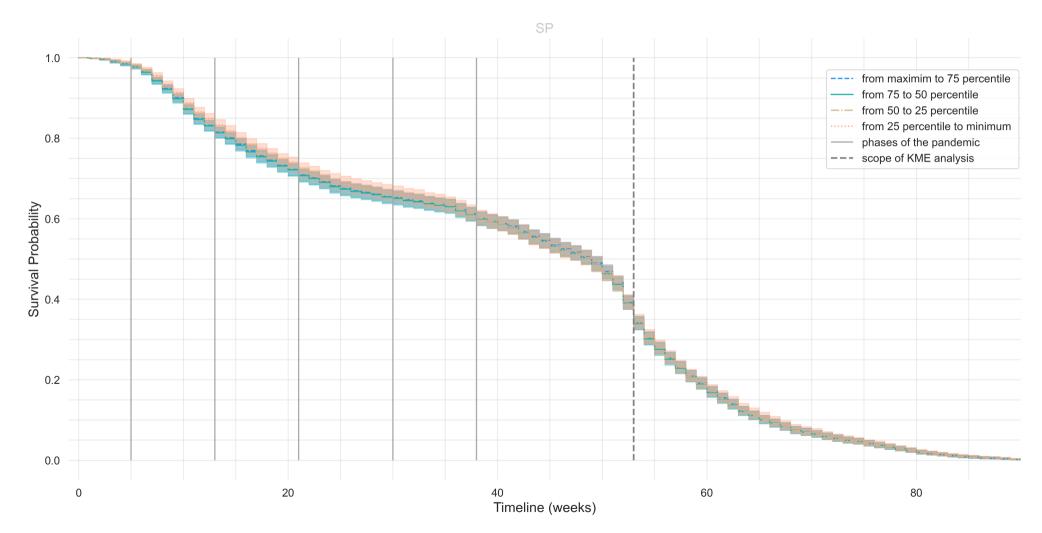
RESULTS – NATIONAL KME (N = 5,570 MUNICIPALITIES): VERY CLEAR TREND OF SURVIVAL PROBABILITY, NEGATIVE ASSOCIATION TO VULNERABILITY



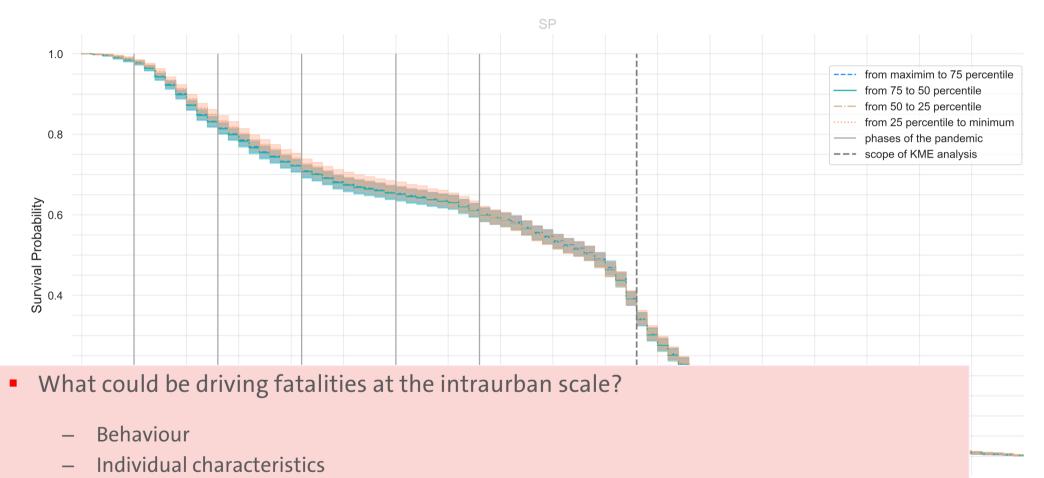
RESULTS - REGIONAL KME (N = 1,668 MUNICIPALITIES): CLEAR TREND OF SURVIVAL PROBABILITY, NEGATIVE ASSOCIATION TO VULNERABILITY



RESULTS – INTRAURBAN KME (N = 5,970 CENSUS DISTRICTS): NO CLEAR TREND, ALL SVI QUANTILES CROSS AND ERROR BARS OVERLAP

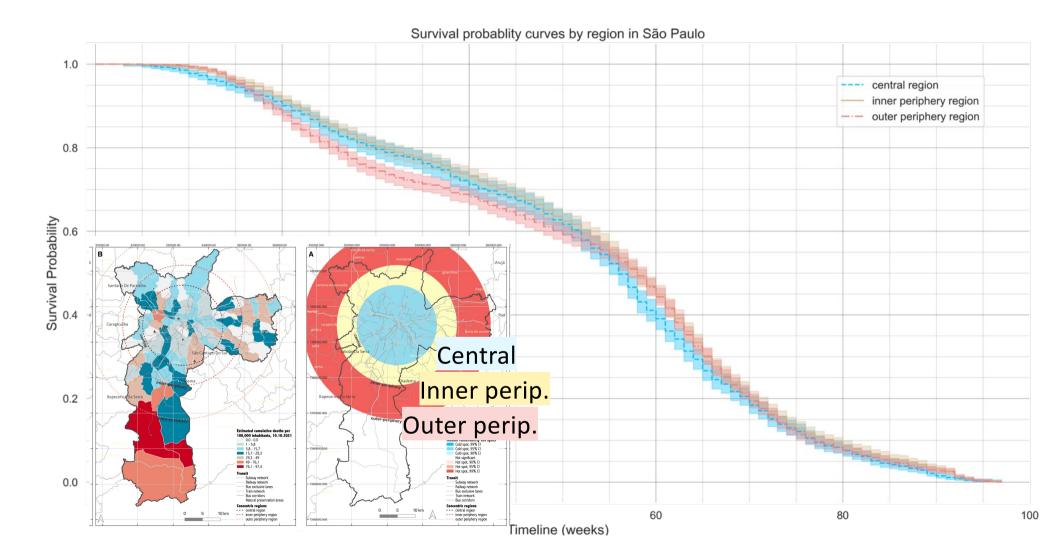


RESULTS - INTRAURBAN KME (N = 5,970 CENSUS DISTRICTS):



Could long-term vulnerability play no role?

RESULTS - INTRAURBAN, BY REGION (N = 5,970 CENSUS DISTRICTS)



DISCUSSION

- Common factors between health & climate crises (qualitative evidence supports, H1)
 - Widening gap in resilience between the central and peripheral groups.
 - Social status and location choice converge exposure and res. capacity.
- High-vulnerability areas had more fatalities (quantitative evidence, hot spots and KME, partially support H2).
 - Geographic differences in COVID-19 deaths aligned with SDOH and SV.
 - Need for research: deviant patterns in KME (e.g. MAUP, or behaviour).

DISCUSSION

- The nexus provides a systemic approach to a complex set of relationships.
- It demands empirical validation (beyond theory).
- Assumptions stemming from the nexus (for the ensuing work):
 - 1. unequal distribution of climate and health hazards in cities in the Anthropocene
 - vulnerable populations suffer more intense or lasting consequences from climate and health crises
 - vulnerable populations often have lower coping and adaptive capacities against these impacts
 - urbanisation a dynamic social process defined by social capital, human development and common urban goods
 - 5. exposure the physical aspects of vulnerability, including the biophysical environment, urban structure and location opportunities.

LIMITATIONS

- The nexus is not fully mapped, interaction remains mostly theoretical, lacking hierarchy of factors and quantification of influences.
- Methods do not exhaust alternative explanations nor quantify uncertainty.
- Mixing of methods should be evaluated more systematically.
- The direct coupling of climate and health crises is hard to assess, may demand other techniques (e.g. modelling).

However, multiple scales, spatio-temporal data, and interdisciplinary combination of evidence avoid 'monolithic assumptions' and improve robustness.

CONCLUSION AND OUTLOOK

- Intersectoral and social consequences from systemic crises (climate change and COVID-19) disproportionately affect the most vulnerable.
- Crises may interact, overlapping responses and adaptation.
 Under limited resources, the social and vulnerability gaps may widen.
- 3. Health and climate adaptation need to account for contextual, societal and subjective factors and avoid over-generalisation and 'one-size-fits-all' measures.

As our shared urban planet faces the Anthropocene, this research seeks to shine a light tinted by fairness onto future decisions.

CONCLUSION AND OUTLOOK

- The impacts of systemic risks are multidimensional. Many social dimensions are absent in measures (e.g. GDP or fatalities).
- Social & environmental factors significantly contribute to COVID-19 vulnerability.
- Unequal development patterns explain most socioeconomic vulnerability in SP and part of the COVID-19 fatality concentration in the period – increased exposure and reduced adaptive capacity.
- Local adaptation should be inclusive, context-sensitive, and counter inequality.
- Recommendations:
 - Regulate location opportunities equitably.
 - Support community organisation (instead of top-down interventions).
 - Correct historical bias toward adaptation where needed the least (e.g. in central areas).

REFERENCES

- Bermudi, P. M. M., Lorenz, C., Aguiar, B. S. de, Failla, M. A., Barrozo, L. V., & Chiaravalloti-Neto, F. (2021). Spatiotemporal ecological study of COVID-19 mortality in the city of São Paulo, Brazil: Shifting of the high mortality risk from areas with the best to those with the worst socio-economic conditions. Travel Medicine and Infectious Disease, 39(November 2020), 101945. <u>https://doi.org/10.1016/j.tmaid.2020.101945</u>
- Cinner, J. E., Adger, W. N., Allison, E. H., Barnes, M. L., Brown, K., Cohen, P. J., Gelcich, S., Hicks, C. C., Hughes, T. P., Lau, J., Marshall, N. A., & Morrison, T. H. (2018). Building adaptive capacity to climate change in tropical coastal communities. *Nature Climate Change*, 8(2), 117–123. <u>https://doi.org/10.1038/s41558-017-0065-x</u>
- Corburn, J., Vlahov, D., Mberu, B., Riley, L., Caiaffa, W. T., Rashid, S. F., Ko, A., Patel, S., Jukur, S., Martínez-Herrera, E., Jayasinghe, S., Agarwal, S., Nguendo-Yongsi, B., Weru, J., Ouma, S., Edmundo, K., Oni, T., & Ayad, H. (2020). Slum Health: Arresting COVID-19 and Improving Well-Being in Urban Informal Settlements. Journal of Urban Health, 97(3), 348–357. <u>https://doi.org/10.1007/s11524-020-00438-6</u>
- Crutzen, P. J. (2002). Geology of mankind. Nature 2002 415:6867, 415(6867), 23–23. <u>https://doi.org/10.1038/415023a</u>
- Gibbard, P., Walker, M., Bauer, A., Edgeworth, M., Edwards, L., Ellis, E., Finney, S., Gill, J. L., Maslin, M., Merritts, D., & Ruddiman, W. (2022). The Anthropocene as an Event, not an Epoch. Journal of Quaternary Science, 37(3), 395–399. <u>https://doi.org/10.1002/jqs.3416</u>

REFERENCES

- Henrique, K. P., & Tschakert, P. (2021). Pathways to urban transformation: From dispossession to climate justice. Progress in Human Geography, 45(5), 1169–1191. <u>https://doi.org/10.1177/0309132520962856</u>
- Janoschka, M. (2002). El nuevo modelo de la ciudad latinoamericana: fragmentación y privatización. EURE (Santiago), 28(85), 1–14. <u>https://doi.org/10.4067/S0250-71612002008500002</u>
- Koh, D. (2020). Occupational risks for COVID-19 infection. Occupational Medicine, 70(1), 3–5. <u>https://doi.org/10.1093/OCCMED/KQAA036</u>
- Pelling, M. (2003). The vulnerability of cities: natural disasters and social resilience. Earthscan.
- Santos, A. P., Rodriguez Lopez, J. M., Heider, K., Steinwärder, L., & Scheffran, J. (2022). One year of the COVID-19 pandemic in the Global South: Uneven vulnerabilities in Brazilian cities. Erdkunde, 76(2), 75–91. <u>https://doi.org/10.3112/erdkunde.2022.02.02</u>
- Sillmann, J., Christensen, I., Hochrainer-Stigler, S., Huang-Lachmann, J.-T., Juhola, S., Kornhuber, K., Mahecha, M., Mechler, R., Reichstein, M., Ruane, A., Schweizer, P.-J., & Williams, S. (2022). Briefing note on systemic risk. <u>https://doi.org/10.24948/2022.01</u>
- Zscheischler, J., Westra, S., Van Den Hurk, B. J. J. M., Seneviratne, S. I., Ward, P. J., Pitman, A., Aghakouchak, A., Bresch, D. N., Leonard, M., Wahl, T., & Zhang, X. (2018). Future climate risk from compound events. Nature Climate Change, 8(6), 469–477. <u>https://doi.org/10.1038/s41558-018-0156-3</u>

THANK YOU.



alexandre.pereira.arq@gmail.com

Universität Hamburg



www.covidgi.uni-hamburg.de

With the support from:



