



## The APARC Reanalysis Intercomparison Project Summary of Phase 1 (S-RIP) and Plans for Phase 2 (A-RIP)

Chemical Reanalyses & Air Quality, Tropospheric Circulation, Extreme Events, and More

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S-RIP / A-RIP Website



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Phase 1 Final Report



https://www.sparc-climate.org/sparc-report-no-10

## Introduction to S-RIP Phase 1

- Active since 2013
- Goals of S-RIP/A-RIP are:
  - establish a <u>communication platform</u> between reanalysis users and producers
  - better <u>understand differences</u> among reanalysis products and their underlying causes
  - <u>provide guidance</u> by documenting system details and intercomparison results
  - contribute to <u>future reanalysis improvements</u>
- Phase 1: focused intercomparison of atmospheric reanalyses in the upper troposphere and above
- ACP/ESSD special issue: 53 papers
- S-RIP Final Report published in January 2022



Table: List of reanalysis systems considered during S-RIP Phase 1.

Reanalysis Centre (contacts for S-RIP)	Name of Reanalysis Product
ECMWF (R. Dragani)	ERA-40, <u>ERA-Interim</u> , ERA5 (ERA-20C, ERA-20CM), (CERA-20C)
JMA (Y. Harada, C. Kobayashi)	JRA-25, <u>JRA-55</u> (JRA-55C, JRA-55AMIP)
NASA GMAO (K. Wargan)	MERRA, MERRA-2
NASA GMAO (K. Wargan) NOAA/NCEP (C. Long, W. Ebisuzaki)	MERRA, MERRA-2 NCEP-NCAR R1, NCEP- NCAR R2, CFSR



#### The S-RIP Report: Content and Structure

Co-leads: M. Fujiwara, G. Manney, L. Gray Report Editors: M. Fujiwara, G. Manney, L. Gray, J. Wright

	Chapter Title	Chapter Co-leads
1	Introduction	M. Fujiwara, G. Manney, L. Gray
2	Description of the Reanalysis Systems	J. Wright, M. Fujiwara, C. Long
3	Overview of Temperature and Winds	C. Long, M. Fujiwara
4	Overview of Ozone and Water Vapour	S. Davis, M. Hegglin
5	Brewer-Dobson Circulation	B. Monge-Sanz, T. Birner
6	Extratropical Stratosphere- Troposphere Coupling	E. Gerber, P. Martineau
7	Extratropical Upper Troposphere and Lower Stratosphere (UTLS)	C. Homeyer, G. Manney
8	Tropical Tropopause Layer	S. Tegtmeier, K. Krüger
9	Quasi-Biennial Oscillation (QBO)	J. Anstey, L. Gray
10	Polar Processes	M. Santee, A. Lambert, G. Manney
11	Upper Stratosphere and Lower Mesosphere	L. Harvey, J. Knox
12	Synthesis Summary	M. Fujiwara, G. Manney, L. Gray, J. Wright



Phase 1

**Final Report** 

#### S-RIP Phase 1: 2013-2022

#### Summary findings and recommendations

- More recent reanalyses typically outperform earlier products
- NCEP-NCAR R1 and NCEP-DOE R2 are unsuitable for many diagnostics and should generally not be used
- Conventional-input and pre-satellite reanalyses are useful for many diagnostics but should be carefully validated against full-input satellite era products
- Studies relying on reanalysis products should use multiple reanalyses whenever possible
- All reanalyses show discontinuities (especially CFSR); trends and climate shifts identified in reanalysis products should be carefully validated and justified
- Reanalysis products on model levels should be used for all studies when sharp vertical gradients or fine-scale vertical features are involved
- Several quantities, such as tendency terms, are handled and reported differently by different reanalyses
- Homogenized and continuing data records are essential for reanalysis production and evaluation

- Coordinated intercomparison and systematic documentation
- Guidance and recommendations for reanalysis users and producers
- 12 chapters totaling ~600 pages



#### Core Project of the WMO/ISC/IOC Vorld Climate Research Programm

SPARC Reanalysis Intercomparison Project (S-RIP) Final Report M. Fujiwara, G. L. Manney, L. J. Gray and J. S. Wright







https://www.sparc-climate.org/sparc-report-no-10/

What is an atmospheric reanalysis? A best guess of the past state

- A global atmospheric reanalysis system consists of:
  - A global forecast model (i.e., AGCM) fixed over time
  - An assimilation scheme (e.g., 3D-Var, 4D-Var, EnKF) fixed over time
  - Various input observations (radiosonde, satellite radiances, etc.) quality and quantity vary over time
- Used for studies of weather and climate processes, validation/nudging of GCMs or CCMs, etc. Although atmospheric reanalyses include observations, they are <u>not observations!</u>





#### What is an Atmospheric Reanalysis? Component 1: a global atmospheric model

- Usually a well-tested earlier version of an operational weather forecast model
- The version of the model is fixed over the lifetime of the reanalysis





- Consists of several essential components:
  - Grid and dynamical core
  - Physical parameterizations (clouds, convection, radiation, turbulence)
  - Prescribed forcings and boundary conditions
  - Coupled land surface model
- May be coupled to wave or ocean model

#### What is an Atmospheric Reanalysis? Component 2: input observations

- Reanalyses can be classified by inputs:
  - Surface input: surface pressure and winds
  - Conventional input: adds radiosondes and aircraft
  - Full input: includes satellite data
- Quality and quantity vary in space and time
- Assimilation space is often not variable space!
- Temperature and moisture are constrained by radiances and direct measurements
- Winds are constrained by balance constraints (e.g., thermal wind) and satellite image 'feature tracking' algorithms







#### What is an Atmospheric Reanalysis? Component 3: a data assimilation scheme

- Several strategies illustrated at right:
  - **3D-Var:** JRA-25
  - 3D-FGAT: NCEP-NCAR, ERA-40, CFSR, CRA-40
  - 3D-FGAT+IAU: MERRA, MERRA-2
  - 4D-Var: ERA-Interim, ERA5, JRA-55
  - EnKF: 20CR
- Assimilation system is fixed over the lifetime of the reanalysis
- Assimilation combines the model and observed states, accounting for uncertainties in both
- Only a few variables (temperature, humidity, winds) are directly impacted by assimilation
- Other variables (e.g., cloud fields, precipitation) are indirectly impacted through T, q, winds
- Time/space decorrelation scales are important
- Assimilation strategies for upper air and surface air are typically separate



a 3D-Var (increments calculated and applied at analysis times)

b 3D-FGAT (increments estimated at observation times but applied at analysis times)



c incremental 4D-Var (iteratively calculate increments for entire window and adjust initial state)







## Chapter 2: Description of the Reanalysis Systems (Wright et al., SPARC Report No.10, 2022)

An introduction for new users and a reference for experienced users:

Chapter 2 should be helpful for anyone using reanalysis products!

Information on key components of 12 global atmospheric reanalysis systems is summarized, including:

- the forecast models and their major components
- assimilation schemes
- observational data
- execution streams
- archived data products

Now working on an online repository for this information for increased flexibility as new reanalyses are released.

#### [S-RIP Chapter 2, Table 2.2]

Reanalysis system	Model	Horizontal grid	Vertical grid	
ERA-40	IFS Cycle 23r4 (2001)	N80: ~125 km (TL159)	60 (hybrid σ–p)	
ERA-Interim	IFS Cycle 31r2 (2007)	N128: ~79 km (TL255)	60 (hybrid σ–p)	
ERA-20C	IFS Cycle 38r1 (2012)	N80: ~125 km (TL159)	91 (hybrid σ–p)	
ERA5	IFS Cycle 41r2 (2016)	N320: ~31 km (TL639)	137 (hybrid σ–p)	
JRA-25 / JCDAS	JMA GSM (2004)	F80: 1.125°(T106)	40 (hybrid σ–p)	
JRA-55	JMA GSM (2009)	09) N160: ~55 km (TL319) 60 (hybrid		
MERRA	GEOS 5.0.2 (2008)	1/2° latitude, 2/3° longitude	72 (hybrid σ–p)	
MERRA-2	GEOS 5.12.4 (2015) C180: ~50 km (cubed sphere) 72 (ł		72 (hybrid σ–p)	
NCEP-NCAR R1	NCEP MRF (1995)	F47: 1.875° (T62)	28 (σ)	
NCEP-DOE R2	Modified MRF (1998)	F47: 1.875° (T62)	28 (σ)	
CFSR	NCEP CFS (2007) F288: 0.3125° (T382)		64 (hybrid σ–p)	
CFSv2 NCEP CFS (20)		F440: 0.2045° (T574)	64 (hybrid σ–p)	
NOAA-CIRES 20CR v2	NCEP GFS (2008)	F47: 1.875° (T62)	28 (hybrid σ–p)	





 Table 2.2:
 Basic details of the forecast models used in the reanalyses. Horizontal grid spacing is expressed in degrees for regular grids and in kilometres for reduced grids.



...but even recent reanalyses can differ substantially



S-RIP Final Repor

NCEP R1 and R2 are unsuitable for many diagnostics and should usually be avoided



"



Conventional-input and pre-satellite reanalyses should be carefully validated

"

Pre-satellite era reanalyses (1958 - 1978) appear to be of good quality in the Northern Hemisphere, and can be used to reduce sampling uncertainty in measures of stratosphere-troposphere coupling by approximately 20% ... a more significant reduction in uncertainty than achieved by shifting from an earlier generation reanalysis to a more recent one.

"

"

Pre-satellite era reanalyses of the **Southern Hemisphere** are generally of **poor quality and can only be used to reduce sampling uncertainty with great caution**.

Name	post-satellite era, 1979-present		pe-satellite era, 1958-979		
	NH	SH	NH	SH	
ERA-40	consistent	consistent	consistent *	inconsistent	
ERA-Interim <sup>+</sup>	recommended	recommended	n.a.	n.a.	
ERA-20C	use w/ caution	use w/ caution	use w/ caution	use w/ caution	
JRA-25	consistent	consistent	n.a.	n.a.	
JRA-55	recommended	recommended	recommended *	inconsistent	
JRA-55C	consistent *	use w/ caution	n.a.	n.a.	
JRA-55AMIP	inconsistent	inconsistent	inconsistent	inconsistent	
MERRA	consistent	consistent	n.a.	n.a.	
MERRA-2	recommended	recommended	recommended n.a.		
NCEP-R1	consistent *	consistent *	consistent * inconsistent		
NCEP-R2	consistent *	consistent *	n.a.	n.a.	
CFSR	recommended	recommended	n.a.		
CFSv2	recommended	recommended	n.a. n.a		
20CR v2	inconsistent	inconsistent	inconsistent	inconsistent	
20CR v2c	inconsistent	inconsistent	inconsistent	inconsistent	



[Ayarzagüena et al., ACP 2019; Hitchcock, ACP 2017; S-RIP Chapter 6]



#### Use multiple reanalyses whenever possible



Caution: all reanalyses show discontinuities – be careful with long-term trends and variability!





Vertical weighting functions of **temperature**sensitive radiance measurements for SSU & MSU (1978-2006) and AMSU-A (1998-present). [S-RIP Chapter 2, Figure 2.16]



transition from three broad SSU IR channels to 5 narrower AMSU/ATMS microwave layers proves to be problematic for data assimilation.



Be cautious when using reanalysis for long-term or trend analyses





- Cold point tropopause temperature trends from reanalyses range from zero to strong decreasing (cooling)
- Observational trends indicate a decrease, but are also uncertain, with no significant trend in recent years
- Reanalyses and observations consistently show increases in tropopause height over the 1980s and 1990s, consistent with cooling
- Check for (1) consistency across multiple reanalyses, (2) consistency with (ideally independent) observations, (3) clear and convincing physical explanation

[S-RIP Chapter 8]

#### " parameterizations can physical have model systematic impacts not only on forecast variables Note also MERRA-2 warm and but also on analyzed variables (e.g., temperature and humidity)... wet biases in upper troposphere! 11 (more on next slide) [Wright et al., ACP 2020; S-RIP Chapter 8] (b) +0.2 kJ kg<sup>-1</sup> +0.1 kJ kg<sup>-1</sup> (C) +0.1 kJ kg<sup>-1</sup> (d) +0.05 kJ kg<sup>-1</sup> 100 300 hPa 300 hPa 300 hPa AIRS -0.2 kJ kg +0.00 kJ kg-+0.10 kJ kg<sup>-1</sup> +1.1 kJ kg +0.6 kJ kg ERA5 -0.3 kJ kg -0.0 kJ kg +0.03 kJ kg 200 ERA-Interim **JRA-55** MERRA-2 300 CESR 242.5 247.5 2 240.0 245.0 0 1 3 94.0 94.5 95.0 95.5 96.0 400 [S-RIP Chapter 2] 500 hPa +0.3 kJ kg<sup>-1</sup> -0.2 kJ kg-1 500 hPa +0.02 kJ kg^1 500 hPa -0.3 kJ kg<sup>-1</sup> -1.6 kJ kg<sup>-1</sup> +0.02 kJ kg<sup>-1</sup> [hPa] +0.2 kJ kg-1 +1.0 kJ kg^-1 +0.00 kJ kg 500 0.2 kJ kg 0.3 kJ kg +0.04 kJ kg 600 Dres 700 Ice fraction 268 270 272 10 15 57.00 57.25 57.50 57.75 58.00 266 5 850 hPa 850 hPa -0.1 kJ kg<sup>-1</sup> +0.7 kJ kg -0.01 kJ kg<sup>-1</sup> 850 hPa mixed phase +0.4 kJ kg^-1 -0.2 kJ kg<sup>-1</sup> -0.01 kJ kg<sup>-1</sup> +0.3 kJ kg -1.4 kJ kg<sup>-1</sup> 800 -0.02 kJ kg partitioning -0.1 kJ kg +0.02 kJ kg -1.2 kJ kg<sup>-1</sup> ERA-Interim JRA-55 900 MERRA MERRA-2 1000 CFSR 0 294 14.50 14.75 15.00 320 330 340 350 290 292 296 20 30 40 14.25 $c_{0}T [kJ kg^{-1}]$ $L_v q [kJ kg^{-1}]$ gz [kJ kg<sup>-1</sup>] Moist static energy [kJ kg<sup>-1</sup>] 230 260 270 280 220 240 250 Temperature [K]

#### S-RIP: Key Findings and Recommendations

Reanalysis fields inherit biases from the underlying forecast model





Recommendation: use products on model levels when sharp vertical gradients are involved



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<sup>[</sup>Dai et al., 2020; Dai & Wright 2021]

#### Other Examples From the S-RIP Report: Dynamical Fields



#### ENSO circulation response [Chapter 6]

A good agreement across reanalyses is found for El Niño and La Niña polar stratospheric responses, despite some differences in the tropics





#### Temperature and wind responses to ozone depletion [Chapter 6]

Large differences among reanalyses arise from poor representations of Antarctic ozone depletion, which depend strongly on parameterized heterogeneous chemistry.

#### Other Examples From the S-RIP Report: Ozone







Asian monsoon "ozone valley" [Chapter 8]

None of the current reanalyses, even those assimilating MLS data, fully captures the ozone decrease in the ASM anticyclone



#### Other Examples From the S-RIP Report: Stratospheric Water Vapor



#### Water vapor overview [Chapter 4]

Reanalysis products are generally unsuitable for studying stratospheric water vapor and its variations. Reanalyses only assimilate water vapor below the tropopause and often rely on satellite-based climatologies to represent the radiative impacts of SWV (e.g., JRA-55, MERRA, MERRA-2). Only ERA-Interim and ERA5 provide physically meaningful variations in SWV.



Tropical tape recorder simulated using reanalysis winds [Chapter 5]

Reanalyses show significant differences in the intensity and upward propagation of the tape recorder signal.



# Now in progress: Phase $2 \rightarrow A$ -RIP

- Continuing the activity through at least 2028
- > Specific plans depend on participants, but will include:
  - Evaluation of new and forthcoming reanalyses
  - Evaluation of reanalyses of atmospheric composition, both those focusing on upper tropospheric and stratospheric processes and those focusing on air quality applications
  - Evaluation of tropospheric circulation (e.g., blocking, Rossby-wave breaking, jets and storm tracks) in relation to both stratospheric influences and extreme weather events
  - More comprehensive evaluation of monsoon circulations and dynamics, including atmospheric composition
  - More extensive comparisons of the upper stratosphere and mesosphere using newer reanalyses with higher tops
  - New joint special issue in ACP and WCD open for submissions!









#### New inter-journal special issue Now open in ACP and WCD



New inter-journal special issue on "The SPARC Reanalysis Intercomparison Project (S-RIP) Phase 2" in Atmospheric Chemistry and Physics (ACP) & Weather and Climate Dynamics (WCD): Opened: 1 January 2023 Closes: 31 December 2028

[https://acp.copernicus.org/articles/special issue1242.html]

ACP

#### [https://wcd.copernicus.org/articles/special\_issue10\_1242.html]





**Example:** Reanalysis Differences from REM of 850 hPa temperature variability. From Martineau et al (2024), in Phase 2 WCD Special Issue: https://doi.org/10.5194/wcd-5-1-2024

Updated zonal-mean dynamical dataset Now available from Patrick Martineau https://www.jamstec.go.jp/ridinfo/

[RID Landing Page]



- An extended version of the dynamical part of the zonal mean data set by Martineau et al. (ESSD, 2018) plus several new diagnostics, ERA5, and JRA-3Q.
- Diagnostics for ongoing reanalyses are updated regularly, and new reanalyses will be added as they become available
- Periods of availability for each reanalysis for most diagnostics here –



#### Now available: JRA-3Q Japanese Reanalysis for 3 Quarters of a Century



Reanalysis system	Period	Model	Horizontal grid	Vertical grid	Top level
JRA-25/JCDAS	1979 – 2014.1	JMA GSM (2004)	1.125°(T106)	40	0.4 hPa
JRA-55	1958 – present	JMA GSM (2009)	~55 km (TL319)	60	0.1 hPa
, JRA-3Q	1947.9 – present	JMA GSM (2018)	~40 km (TL479)	100	0.01 hPa

- Uses further reprocessed/recalibrated (homogenized) observational data
- Boundary condition over ocean (replaces COBE-SST throughout for JRA-55):
  - Early part: COBE-SST2 (1 degree)
  - From around 1985 onward: MGDSST (0.25 degree) (NEW)
- Ozone data: Created with improved CCM (MRI-CCM2, TL159L64)
- The JRA-3Q project started in 2014 and has been produced in three streams
- Some initial evaluations have been presented at EGU and other meetings for example, the Brewer-Dobson circulation is weaker than ERA5 in the NH and comparable in the SH

# Targets: new sources of reanalysis products CRA-40, CAFE60, IMDAA, ...



Reanalysis system	Period	Source	Focus	Horizontal grid	Time
CRA-40	1979 – 2018	China (CMA)	Global atmosphere	~34km (T <sub>L</sub> 574)	6-hr
CAFE60 (ensemble)	1960 – 2021	Australia (CSIRO)	Global atmos+ocean	2°×2.5°	1-day
IMDAA	1979 – 2020	India (NCMRWF)	Regional atmosphere	12km	1-hr

- Several new groups working to produce reanalyses with locally distinct characteristics
- Often complementary to or interdependent with prior reanalyses
- Unique focus areas and sources of observational data suggest new areas for analysis and intercomparison
- Intend to produce systematic documentation and evaluation
- May include additional regional reanalyses (Arctic, EU, North America) depending on areas of analysis



#### Targets: reanalyses of atmospheric composition CAMS, BRAM2/3/(4), M2-SCREAM, TCR2/(3), R21C-Chem...



Reanalysis system	Period	Source	Focus area	Grid spacing	Levels
CAMS-EAC4	2003 – 2021	ECMWF	Whole atmosphere	0.75°×0.75°	60
BRAM2	2004.09 - 2019.08	BIRA-IASB	Stratosphere	2.5°×3.75°	37
M2-SCREAM	2004.10 - 2021.12	NASA GMAO	Stratosphere	~50km	72
TCR2	2005 – 2019	NASA JPL	Troposphere	T106 (1.1°)	32

- Detailed information on atmospheric composition variations in troposphere, stratosphere, or both
- Observational information mainly from satellite sensors (O<sub>3</sub>, NO<sub>2</sub>, CO, HNO<sub>3</sub>, SO<sub>2</sub>, AOD, …)
- Chemistry-climate models of varying complexity
- Larger differences in assimilation methods and constraints
- Meteorological reanalyses provide O<sub>3</sub> but with simpler models
- Also examining aerosol analyses in MERRA-2, CAMS, etc.



#### Targets: more coupled ocean-atmosphere reanalyses CERA, CAFE60, toward ERA6 and MERRA-3

- Prior coupled reanalysis focused more on forecast initialization (NCEP CFSR / CFSv2)
- Strong coupling: data assimilation constraints affect atmosphere and ocean simultaneously
- Weak coupling: data assimilation constraints affect atmosphere and ocean separately, with indirect effects on the other
- Increasing use of ensemble approaches to better quantify uncertainty

Reanalysis	Period	Centre	Members	Coupling
CFSR	1979 – 2010	NCEP	1	weak
CERA-20C	1901 – 2010	ECMWF	10	weak
CERA-SAT	2008 – 2016	ECMWF	10	weak
CAFE60	1960 – 2021	CSIRO	96	strong



## Long-term: toward reanalyses of the coupled Earth system

Key Questions:

- How do we deal with the ever-increasing volume of data? •
- What roles can / should S-RIP play? •

Example: US CLIVAR Workshop on Future Earth System Reanalyses (May 2022)



[conference webpage]



[recorded lectures]



[Figure credit: ECMWF]

#### A-RIP: seeking your participation!

We plan to:



- Continue to gather and share information on existing and near-future reanalysis products
- Continue communication with groups producing reanalyses and cooperate on evaluation & intercomparison
- Entrain folks who are interested in helping evaluate reanalyses, especially early career scientists
- Increase the visibility, accessibility, and impact of S-RIP outcomes



#### Emma Knowland

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Kris Wargan krzysztof.wargan-1@nasa.gov S-RIP2/A-RIP planning for composition reanalyses We invite all who are interested in A-RIP to the workshop following QOS: Boulder, CO 22-24 July ECS event: 20-21 July Felix Ploeger f.ploeger@fz-juelich.de S-RIP2/A-RIP planning for UTLS dynamic and composition studies



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