

16/04/2024

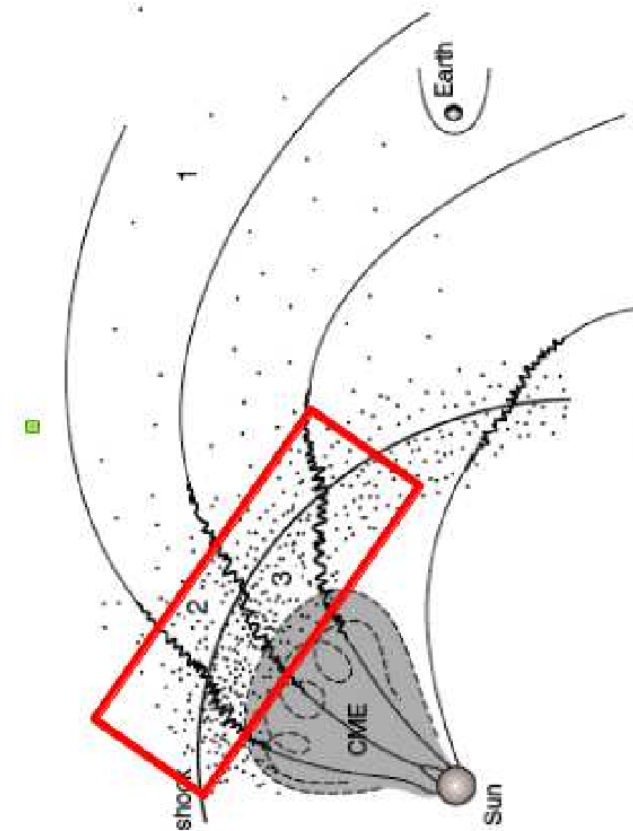
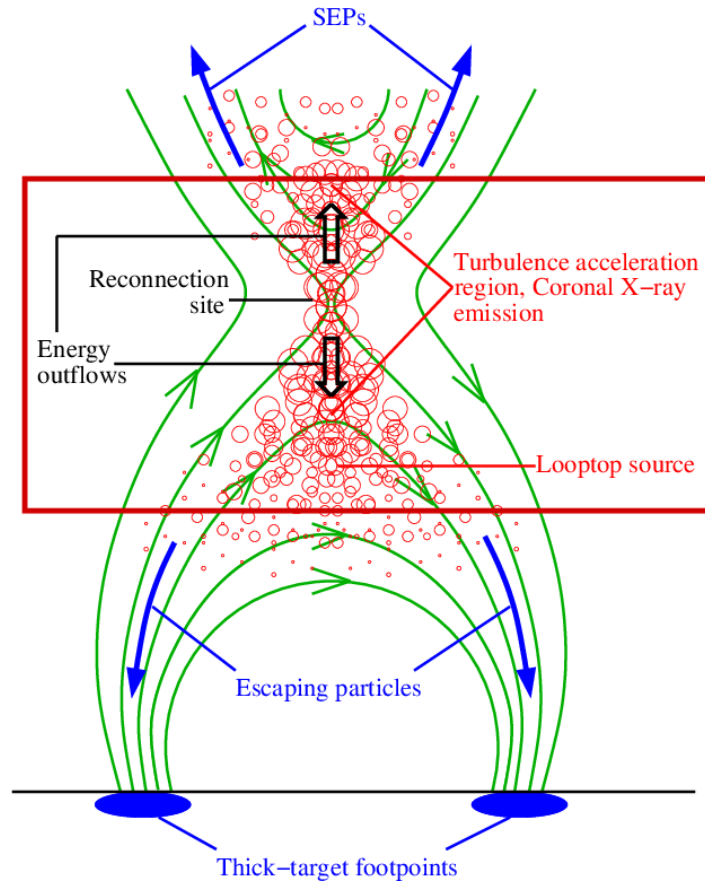
Laura Rodríguez García  
ESA Research Fellow

# Acceleration and Transport of Solar Energetic Particles in the Inner Heliosphere

## How do solar eruptions produce energetic particle radiation that fills the heliosphere?



Particle acceleration

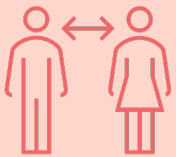


Particle transport

Credit: Petrosian 2016



Study the acceleration of solar energetic electrons sampling events closer to the acceleration site



Study the evolution of particle parameters with distance to the Sun



To study new parameters that could be related to particle acceleration processes



## Solar activity relations in energetic electron events measured by the MESSENGER mission

Rodríguez-García et al. (A&A, 2023b)

L. Rodríguez-García, L. A. Balmaceda, R. Gómez-Herrero, A. Kouloumvakos, N. Dresing, D. Lario, I. Zouganelis, A. Fedeli, F. Espinosa Lara, I. Cernuda, G. C. Ho, R. F. Wimmer–Schweingruber, and J. Rodríguez-Pacheco



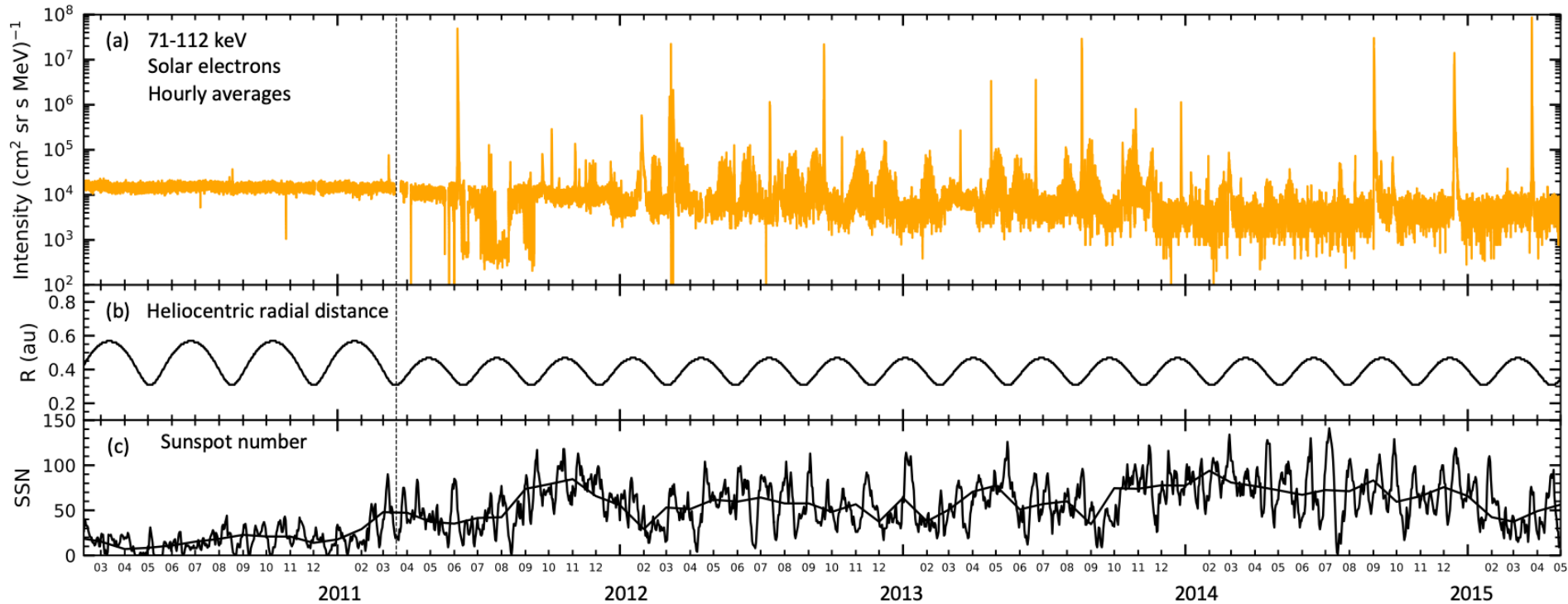
Credit: <https://ismaelcaracol.wordpress.com/>

Pictures and screenshots  
are welcome



Link to the article

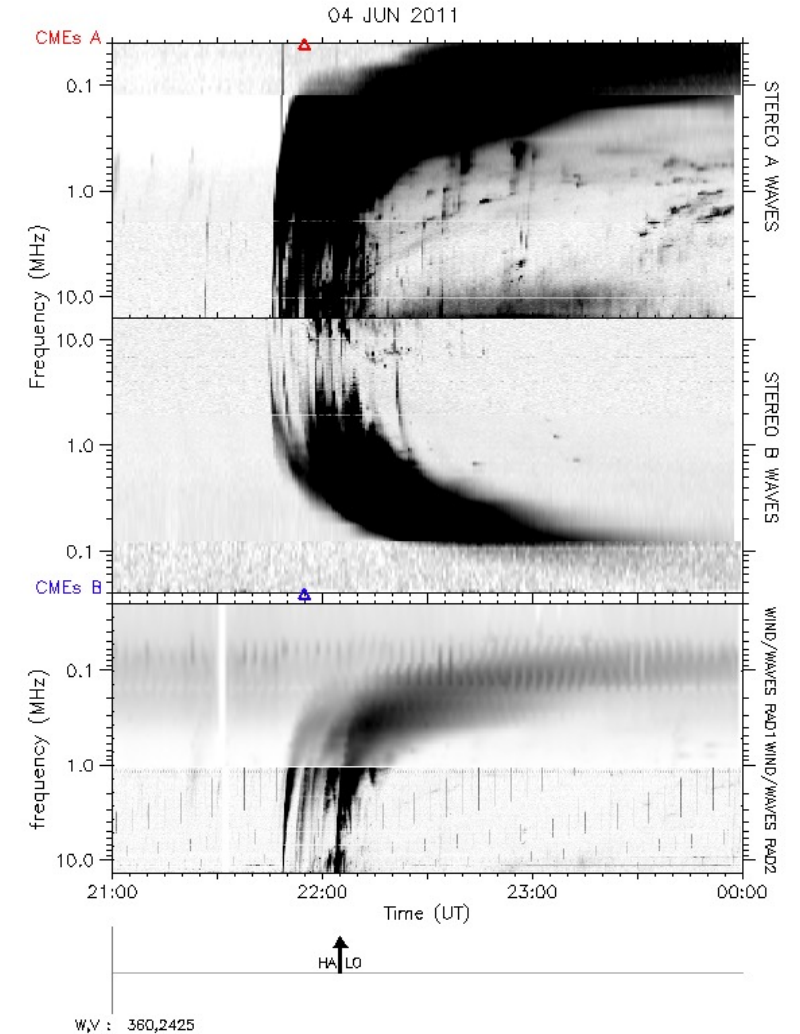
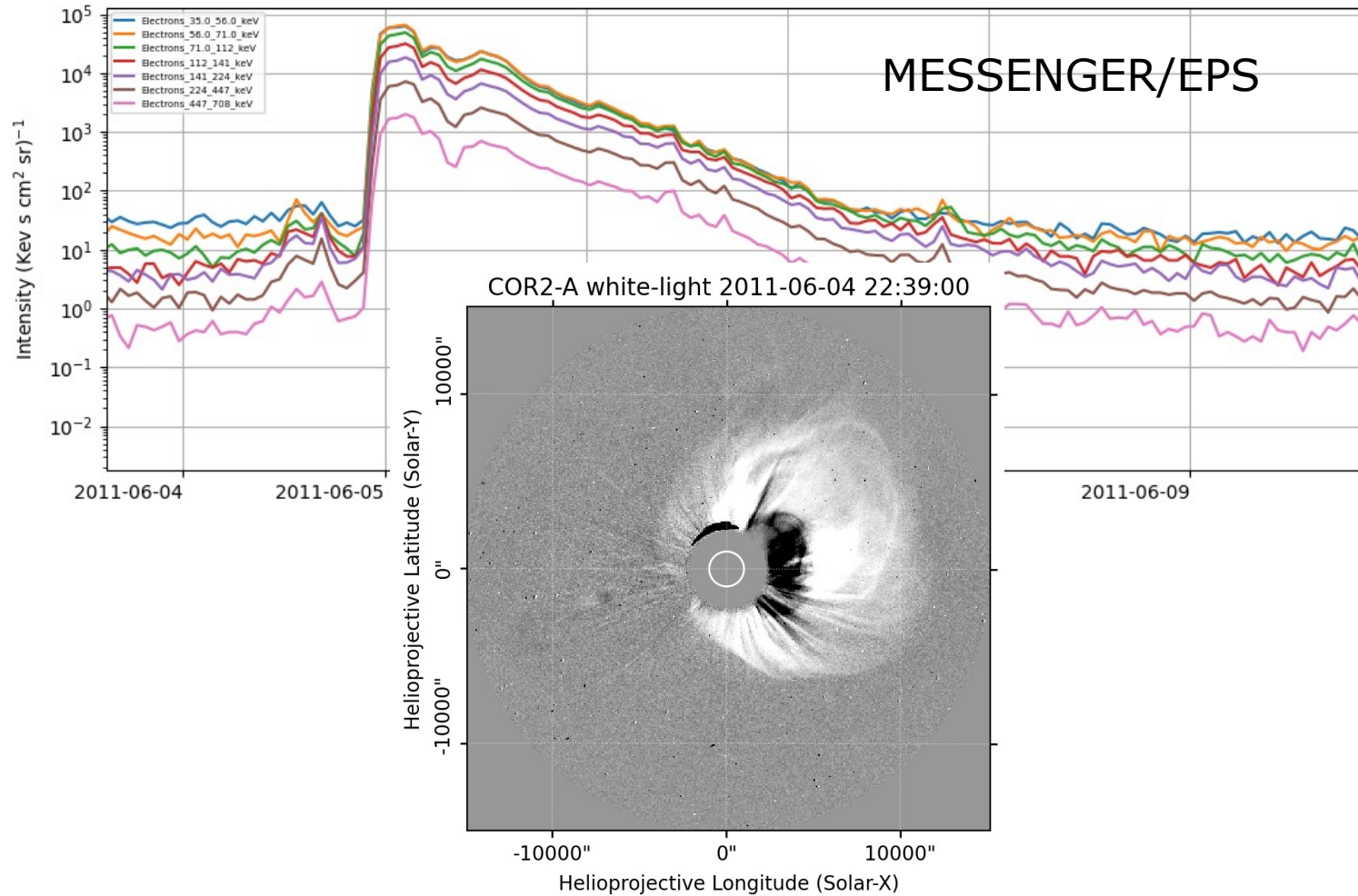




- ❑ Most of the rising, maximum, and early decay phase of solar cycle 24
- ❑ Heliocentric distance of MESSENGER: 0.31 to 0.47 au
- ❑ 61 solar energetic electron events (vertical spikes)
- ❑ High background of MESSENGER/EPS instrument-> only strong events
- ❑ Anti-Sun pointing of MESSENGER/EPS-> lower limit of peak electron intensities



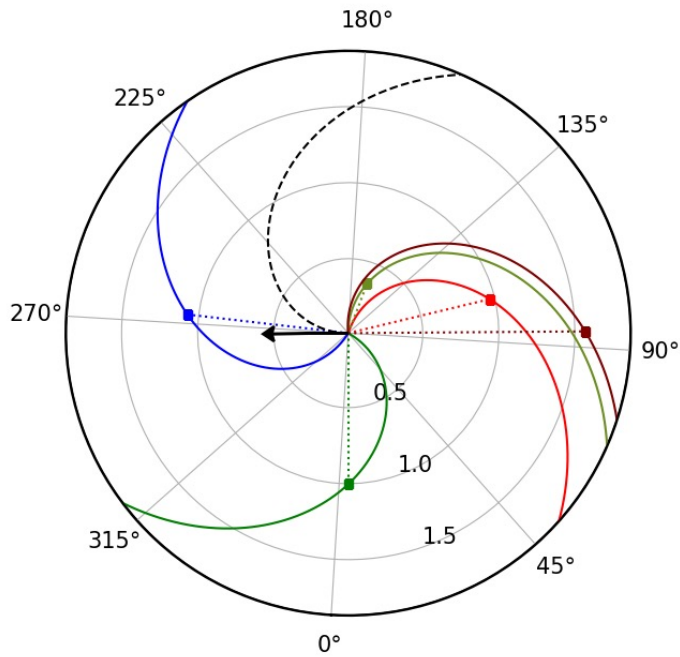
## Example: Solar energetic electron event on 2011 June 4



Assembled on 27NOV2017



2011-09-22 10:30:00

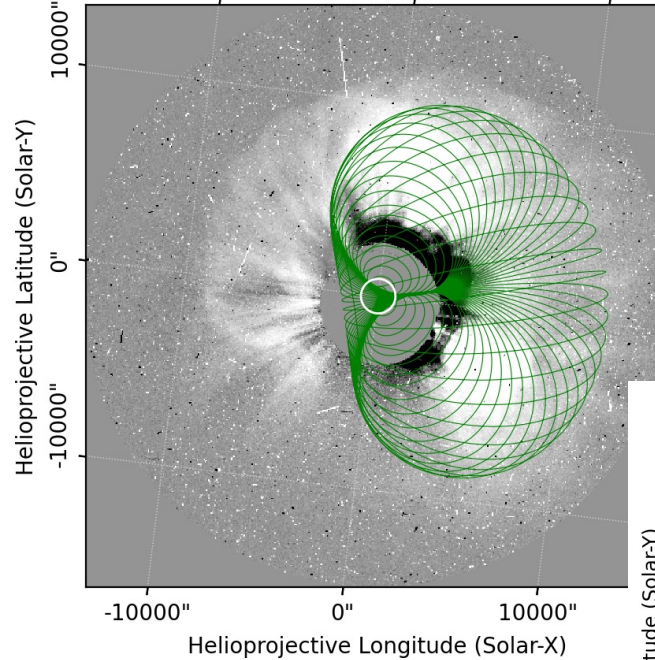


- STEREO A
- Earth
- STEREO B
- MESSENGER
- Mars
- field line connecting to ref. long. (vsw=400 km/s)
- reference long.

Solar-MACH  
<https://solar-mach.github.io>

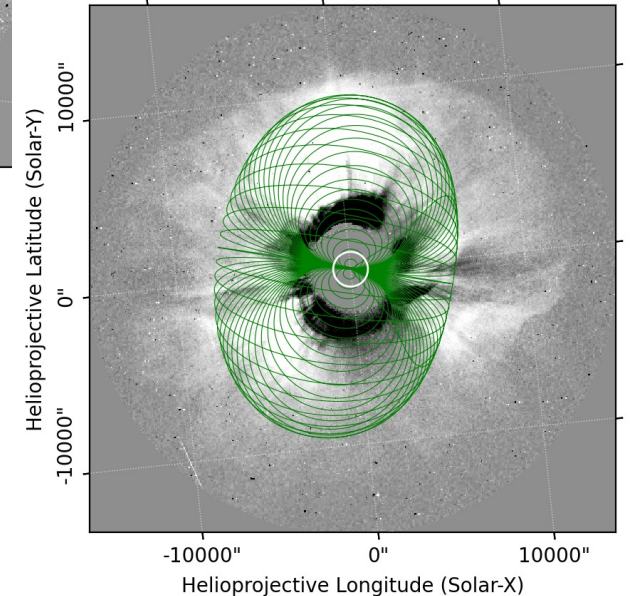
**Nominal connection angle (CA) using 400 km/s solar wind speed**

COR2-B white-light 2011-09-22 12:54:53



**3D CME/CME-driven shock geometry and kinematics**

COR2-A white-light 2011-09-22 12:54:00



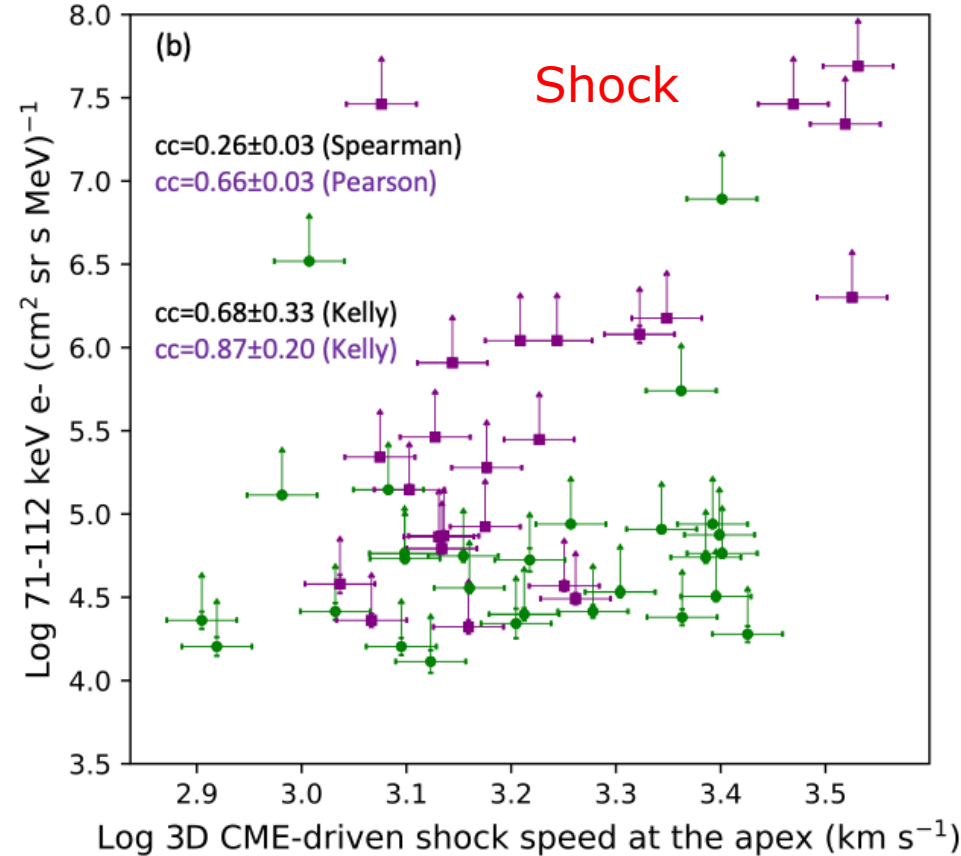
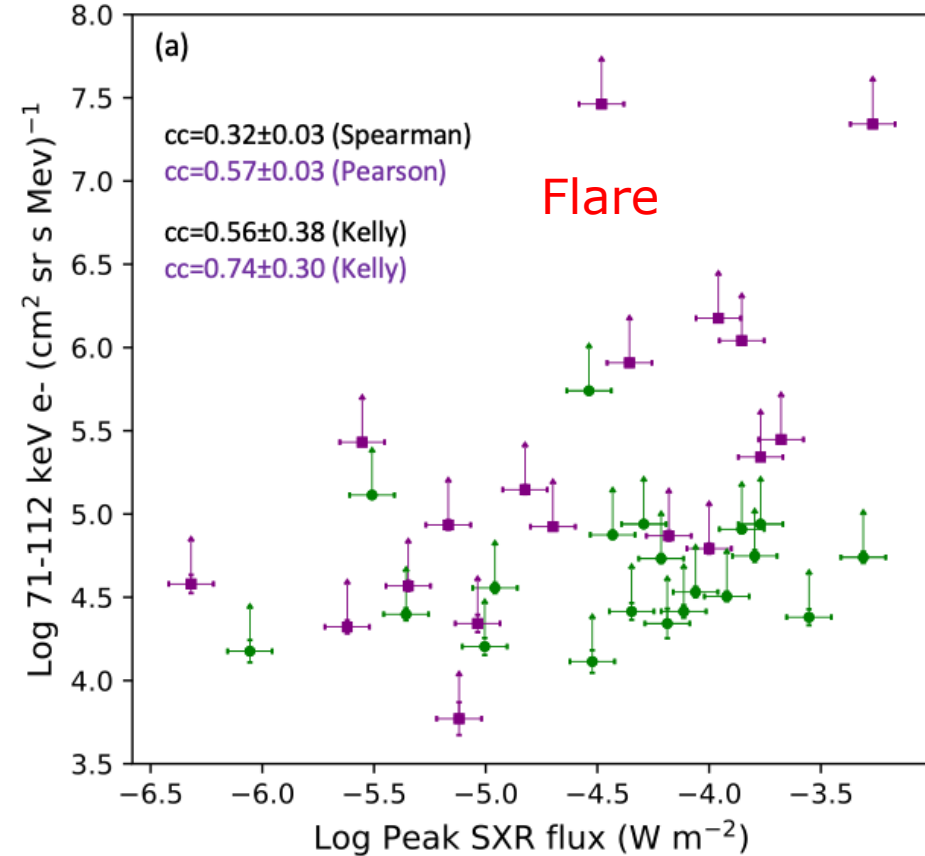
**PyThea**  
 Reconstruct the 3D structure of CMEs and shock waves

# Relations: e<sup>-</sup> peak intensity vs solar source parameters



37 events (all), 18 events (-65° ≤ CA ≤ +33°)

52 events (all), 24 events (-65° ≤ CA ≤ +33°)



□ Spearman, Pearson, and Kelly correlation methods used

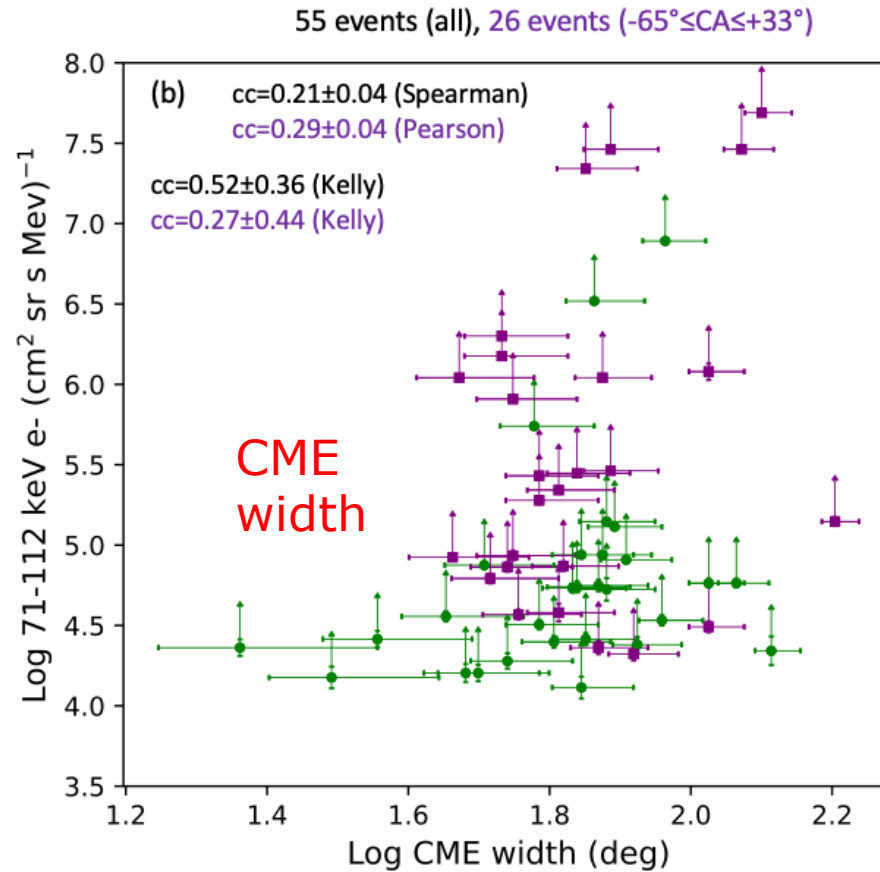
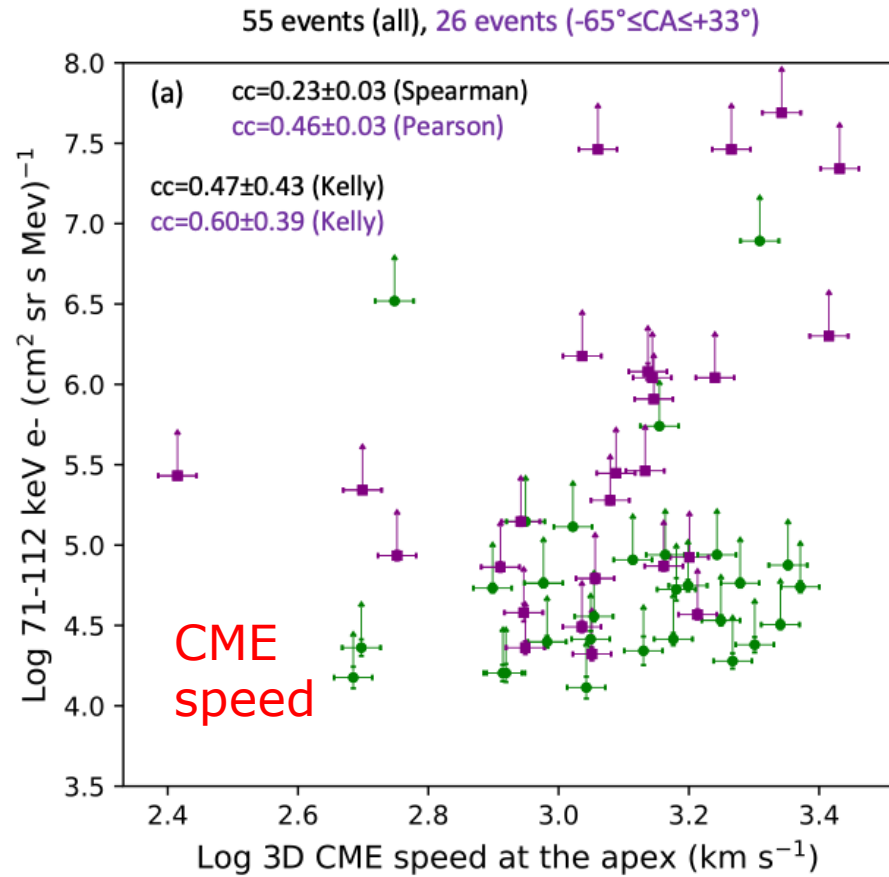
□ Corrected for the lower limit of peak intensity values measured by MESSENGER



**Similar correlations (within uncertainties) between the SEE peak intensities and the flare or shock parameters**



# Relations: e<sup>-</sup> peak intensity vs solar source parameters



□ Spearman, Pearson, and Kelly correlation methods used

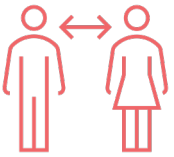
□ Corrected for the lower limit of peak intensity values measured by MESSENGER

**The correlation of the peak electron intensity with the maximum speed of the 3D CME-driven shock at the apex is stronger than that with the 3D CME speed at the apex**

**The 3D CME geometry plays a moderate role in the acceleration of particles**



## Solar energetic electrons (SEE) measured by the MESSENGER and Solar Orbiter missions



### Peak intensity and peak-intensity energy spectrum radial dependences: statistical analysis

Rodríguez-García et al. (A&A 2023a)

L. Rodríguez-García, R. Gómez-Herrero, N. Dresing, D. Lario, I. Zouganelis, L. A. Balmaceda, A. Kouloumvakos, A. Fedeli, F. Espinosa Lara, I. Cernuda, G. C. Ho, R. F. Wimmer–Schweingruber, and J. Rodríguez-Pacheco



Credit: <https://ismaelcaracol.wordpress.com/>

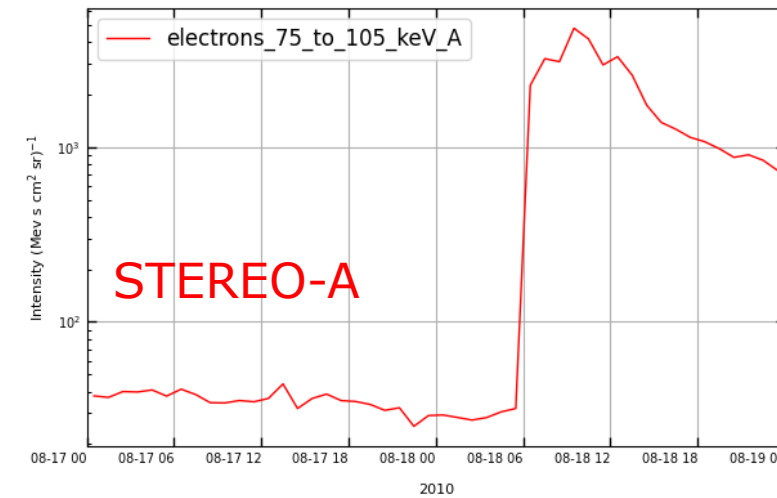
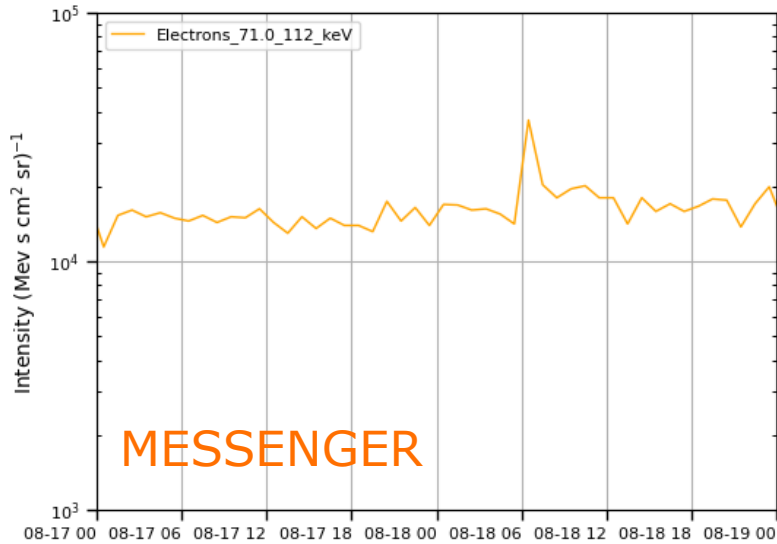
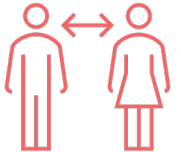
Pictures and screenshots are welcome



Link to the article

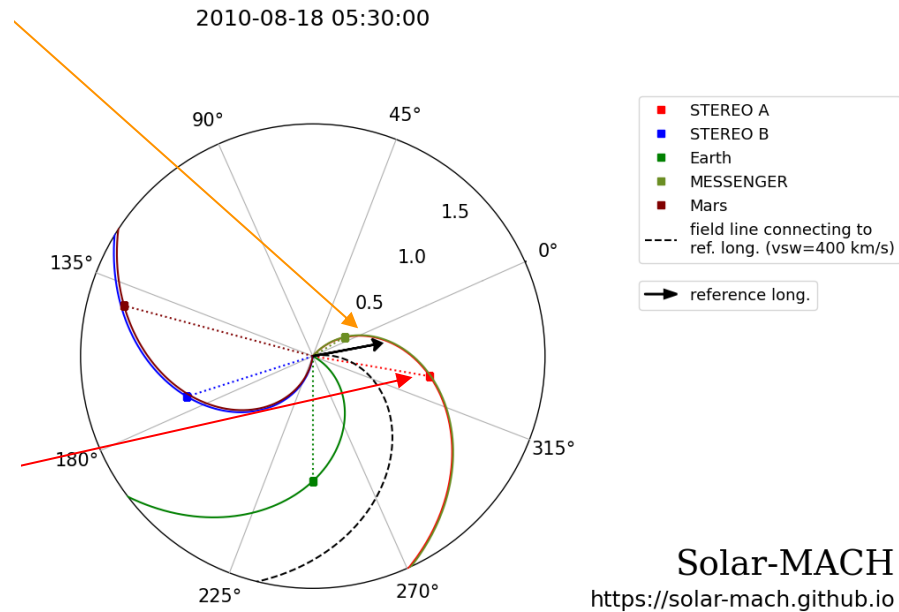


# Nominal Parker spiral alignment with near 1 au



## We have corrected for:

1. Small longitudinal effect (not exactly the same connectivity to the source)
2. Intercalibration factor between s/c to compare the peak intensities

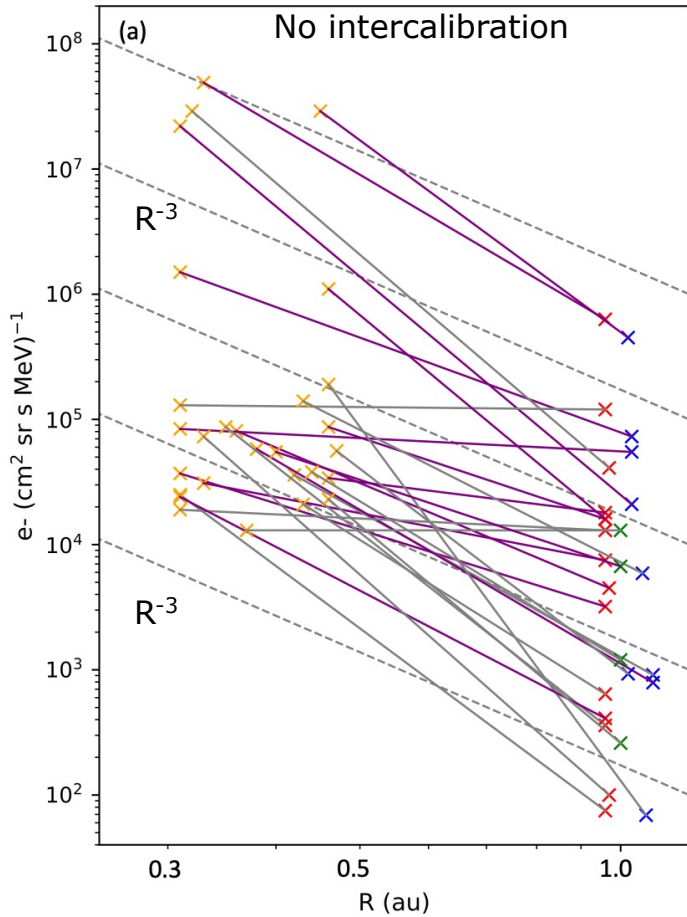
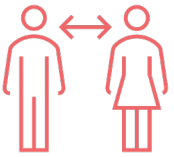


Similar connectivity is not always guaranteed



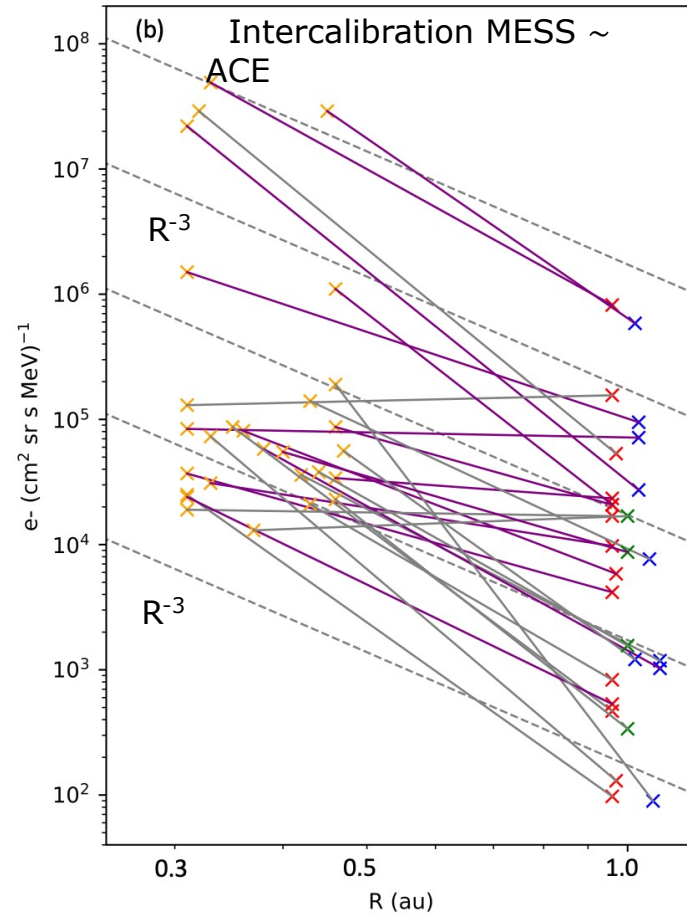
Large sample of events is instrumental to derive radial dependences

# Radial dependence of the e<sup>-</sup> peak intensities



$\sim R^\alpha$

SEP 1: $\alpha = -5.14$	+0.41
SEP 2: $\alpha = -2.17$	-0.03
SEP 4: $\alpha = -1.33$	-0.09
SEP 5: $\alpha = -4.08$	-0.06
SEP 8: $\alpha = -2.92$	+0.35
SEP 12: $\alpha = -3.86$	-2.35
SEP 13: $\alpha = -4.08$	+0.22
SEP 14: $\alpha = -4.35$	+1.21
SEP 15: $\alpha = -0.86$	-0.16
SEP 16: $\alpha = -2.30$	-0.51
SEP 17: $\alpha = -0.35$	-0.03
SEP 18: $\alpha = -2.52$	+0.02
SEP 19: $\alpha = -5.79$	+0.87
SEP 21: $\alpha = -5.44$	+0.47
SEP 22: $\alpha = -0.34$	-0.52
SEP 23: $\alpha = -0.07$	+0.30
SEP 30: $\alpha = -9.38$	+0.75
SEP 34: $\alpha = -3.60$	+0.34
SEP 37: $\alpha = -5.92$	+0.67
SEP 38: $\alpha = -3.51$	-1.15
SEP 45: $\alpha = -5.75$	+0.30
SEP 50: $\alpha = 0.00$	-1.62
SEP 51: $\alpha = -2.30$	+0.08
SEP 52: $\alpha = -5.77$	+0.92
SEP 53: $\alpha = -6.11$	+0.67
SEP 54: $\alpha = -5.09$	-0.72
SEP 57: $\alpha = -5.29$	+1.11
SEP 60: $\alpha = -4.21$	+0.99



$\sim R^\alpha$

SEP 1: $\alpha = -4.91$	+0.41
SEP 2: $\alpha = -1.93$	-0.03
SEP 4: $\alpha = -1.08$	-0.09
SEP 5: $\alpha = -3.83$	-0.06
SEP 8: $\alpha = -2.65$	+0.35
SEP 12: $\alpha = -3.58$	-2.35
SEP 13: $\alpha = -3.83$	+0.22
SEP 14: $\alpha = -4.02$	+1.21
SEP 15: $\alpha = -0.51$	-0.16
SEP 16: $\alpha = -1.94$	-0.51
SEP 17: $\alpha = -0.13$	-0.03
SEP 18: $\alpha = -2.30$	+0.02
SEP 19: $\alpha = -5.57$	+0.87
SEP 21: $\alpha = -5.18$	+0.47
SEP 22: $\alpha = -0.10$	-0.52
SEP 23: $\alpha = 0.16$	+0.30
SEP 30: $\alpha = -9.07$	+0.75
SEP 34: $\alpha = -3.37$	+0.34
SEP 37: $\alpha = -5.68$	+0.67
SEP 38: $\alpha = -3.22$	-1.15
SEP 45: $\alpha = -5.39$	+0.30
SEP 50: $\alpha = 0.26$	-1.62
SEP 51: $\alpha = -2.01$	+0.08
SEP 52: $\alpha = -5.43$	+0.92
SEP 53: $\alpha = -5.87$	+0.67
SEP 54: $\alpha = -4.77$	-0.72
SEP 57: $\alpha = -4.95$	+1.11
SEP 60: $\alpha = -3.89$	+0.99

MESSENGER: 71-112 keV

STEREO-A: 75-105 keV

STEREO-B: 75-105 keV

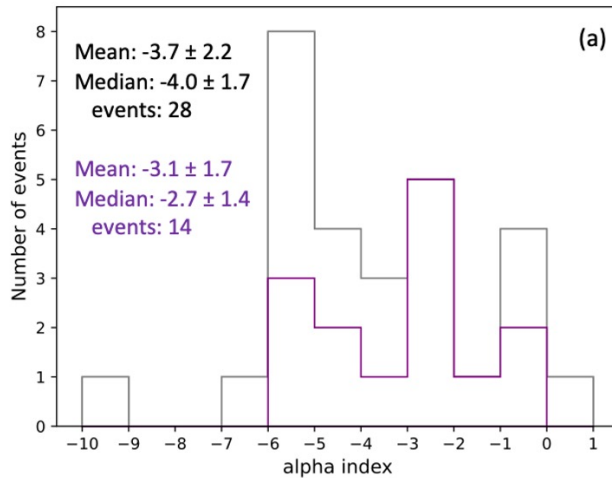
ACE: 53-103 keV

SEE events where CA difference < |20|°

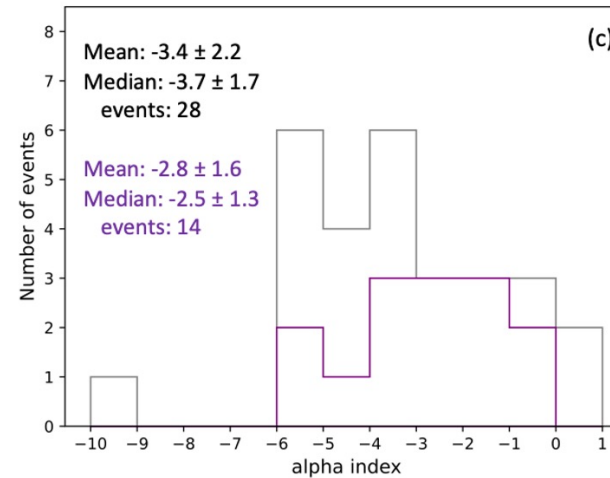
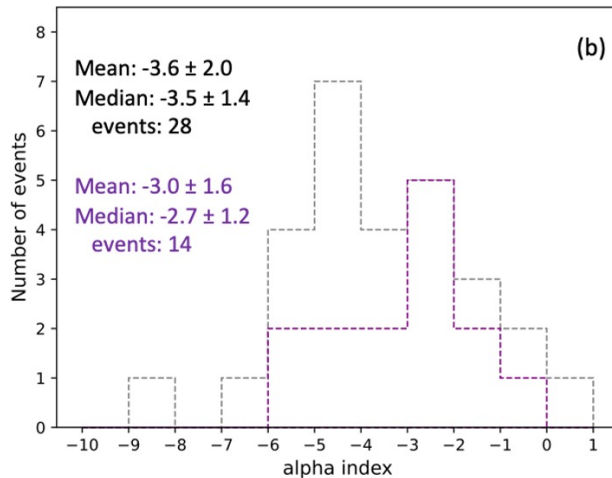
SEE events where CA difference < |30|°

# Radial dependence of the e<sup>-</sup> peak intensities

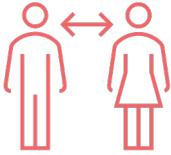
(a) No longitudinal effect correction  
No intercalibration



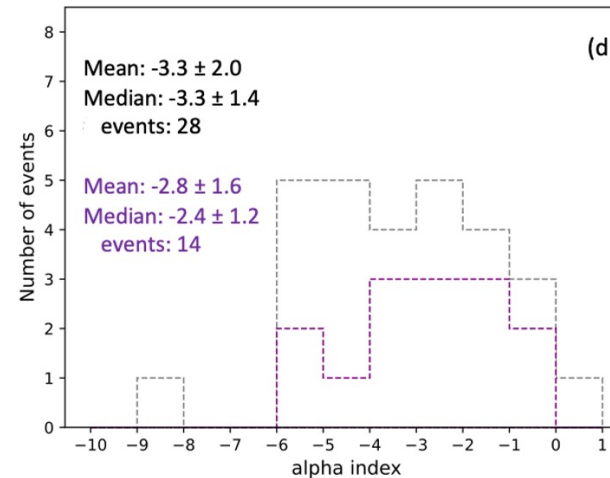
(b) Longitudinal effect correction  
No intercalibration



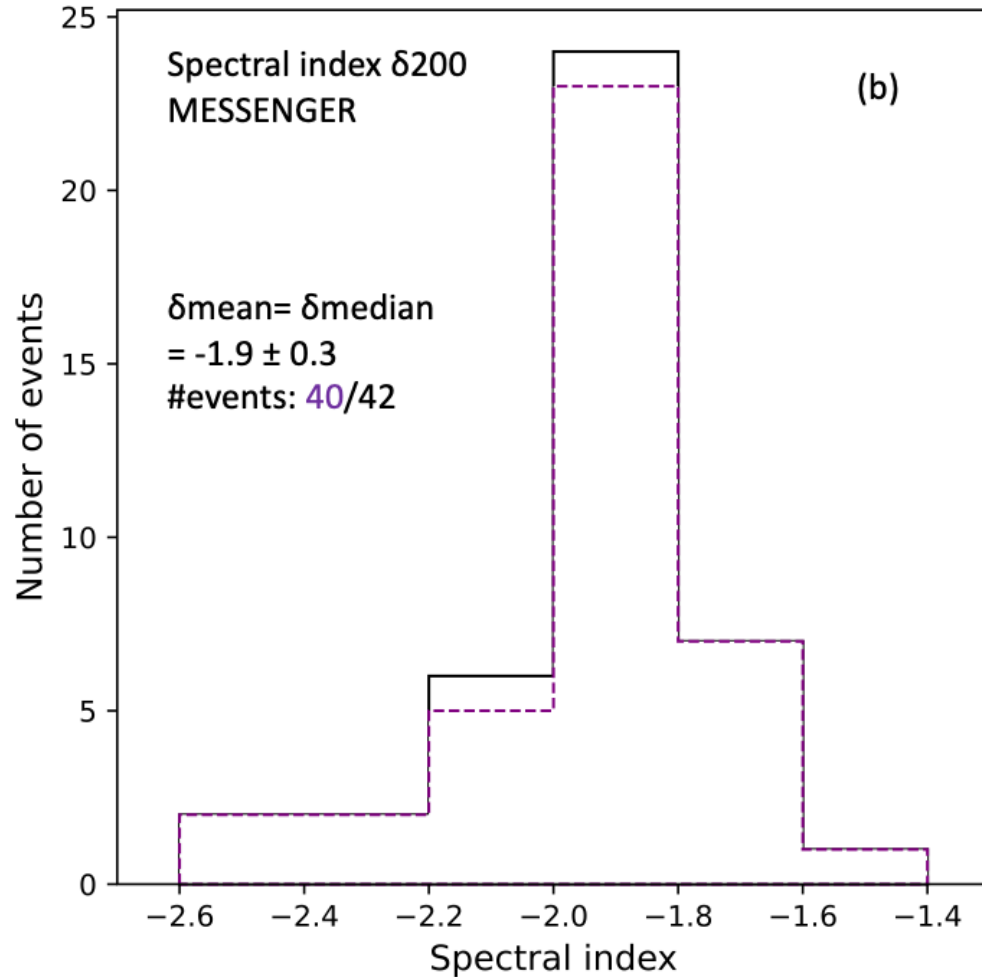
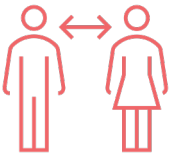
(c) No longitudinal effect correction  
Intercalibration




(c) Longitudinal effect correction & Intercalibration

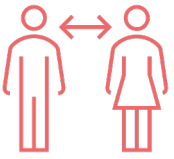
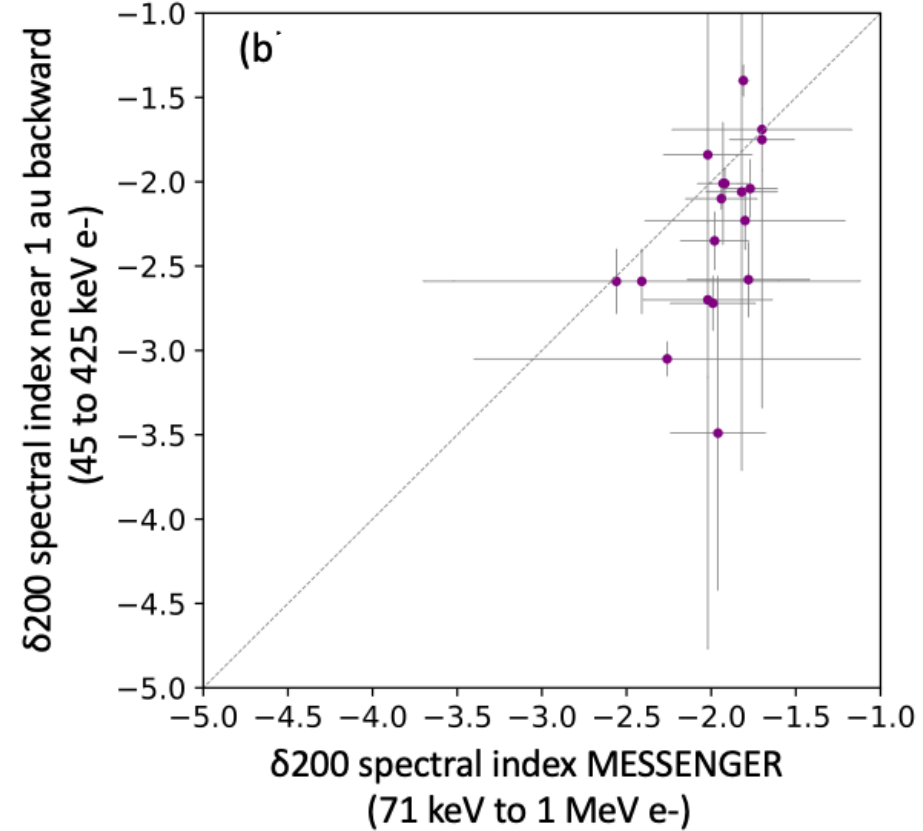
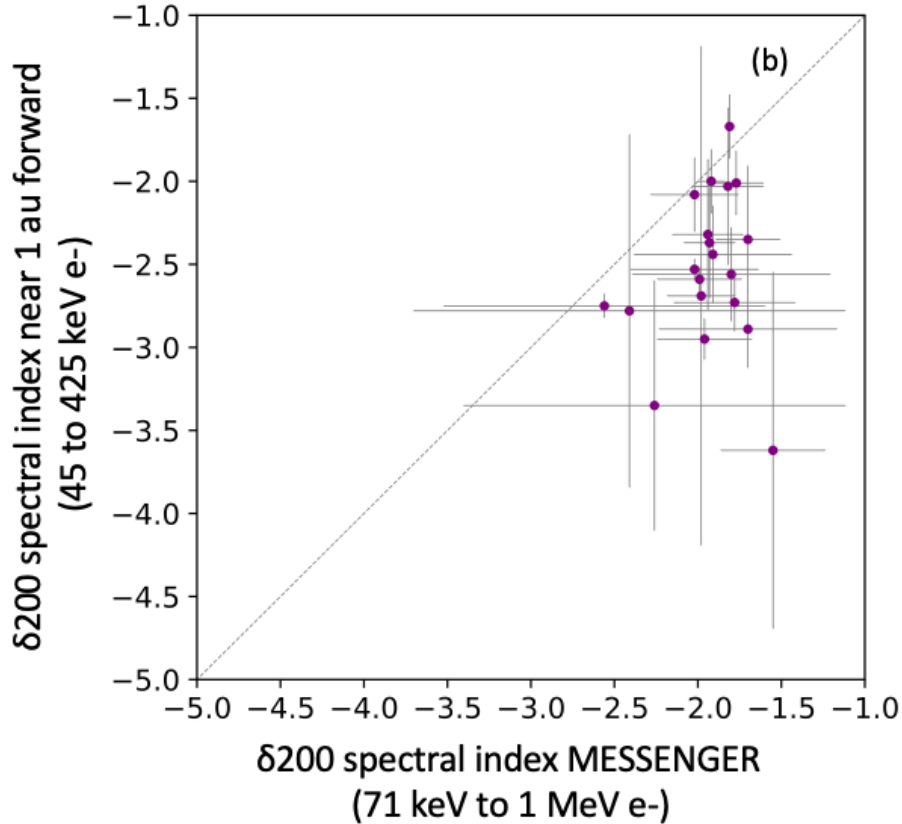


On average and within the uncertainties, the **dependence  $R^{-3}$**  found in previous studies **is confirmed**



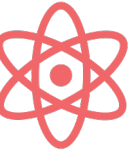
Spectral indices	Near 0.4 au (this study) Backward spectra	Near 1 au Forward spectra
$\delta_{200}$	$-1.9 \pm 0.3$	$-3.55 \pm 1.45$ (Dresing et al., 2020)
		$-2.56$ (Dresing et al., 2022)

# Radial dependence of the e<sup>-</sup> energy spectrum



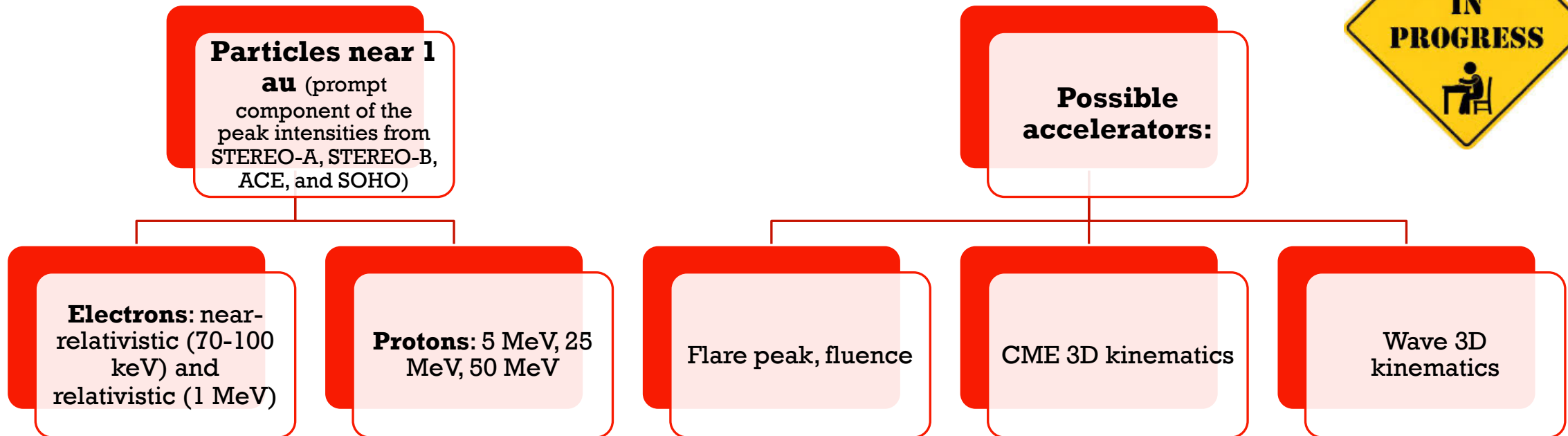
The **electron spectral index** found in the energy range around 200 keV ( $\delta 200$ ) of the backward-scattered population **near 0.3 au is harder when compared to** the both anti-sunward and backward propagating beam **near 1 au.**

## What CME properties account for SEP events?



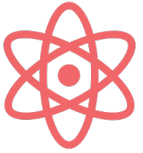
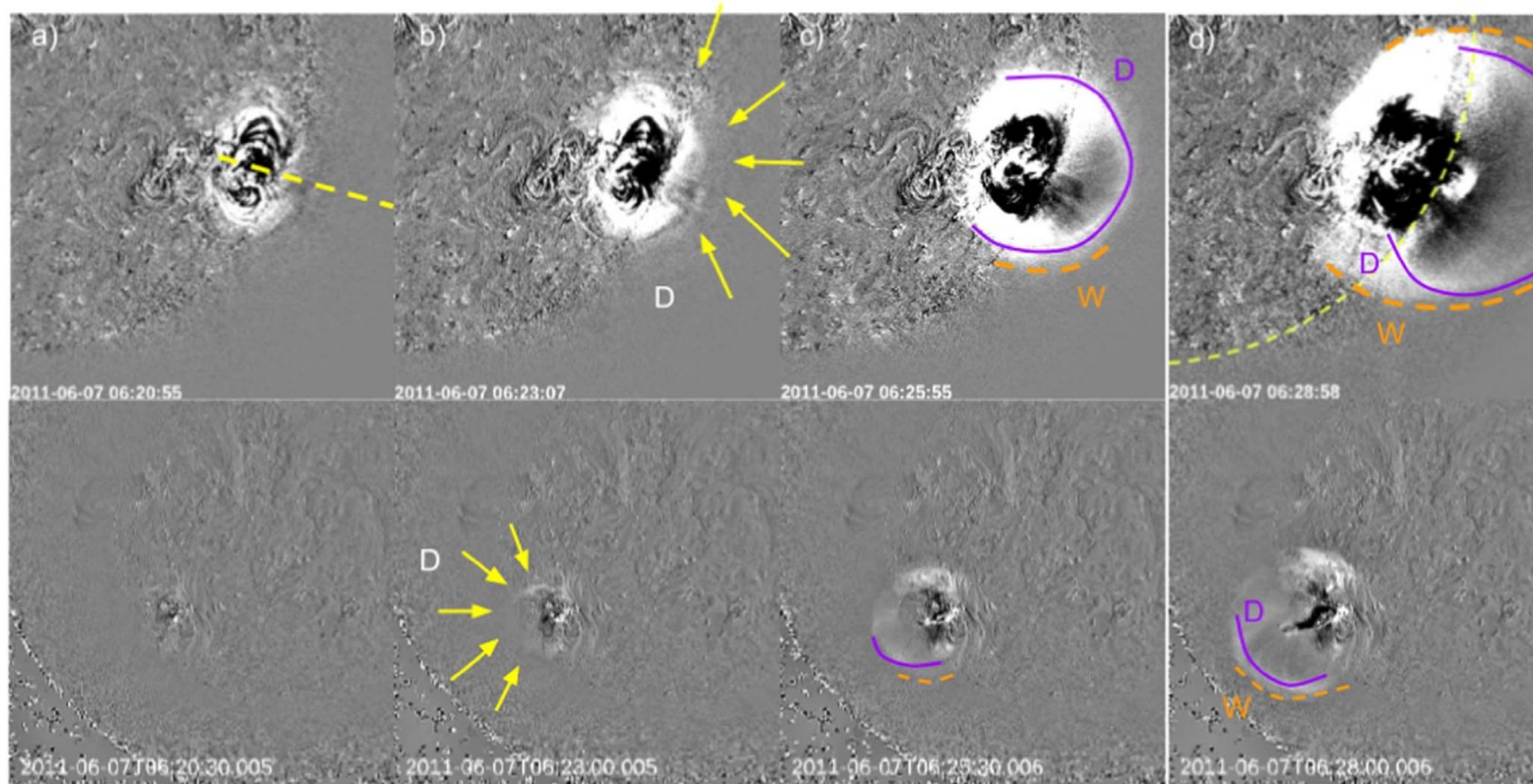
Balmaceda et al. (ApJ, in prep.)

L. A. Balmaceda, L. Rodríguez-García, Angelos Vourlidas,  
David Lario, Raúl Gómez-Herrero, and Nina Dresing





# Example of remote-sensing coverage



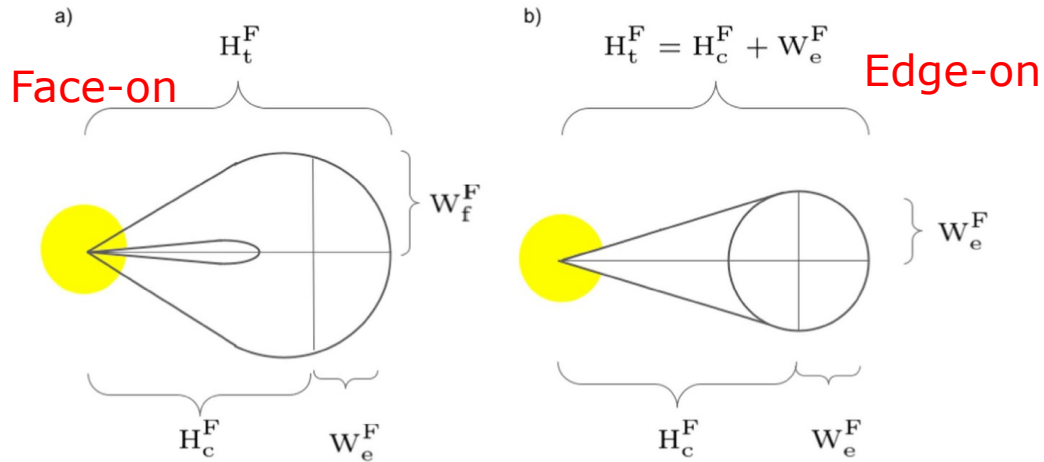
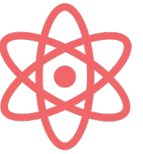
Balmaceda et al,  
2022 ApJ



Link to the article

**Figure 5.** 2011 June 7 event as seen by AIA (top) and EUVI-A (bottom). The sequence shows different stages in the CME early evolution and wave formation. (a) Rising filament. (b) Early CME (bubble) formation. (c) Lateral expansion of the CME, D, leading to the formation of the wave, W. (d) The separation of the wave (W; orange line) from the driver (D; purple line) is clearly observed. All frames are base-difference images. The yellow dashed lines in panels (a) and (d) indicate the direction for the radial and circular slits used to build the stackplots in Figure 6. An animation of the bottom panels of this figure is available. The animation includes the EUVI-A 195 Å image on the left and the corresponding base-difference image on the right. The animation sequence starts at 06:00:30 UT and ends at 06:38:00 UT. The time lapse between images is 2.5 minutes.

# Parameters of CME & wave included in the study



Balmaceda et al, 2022 ApJ

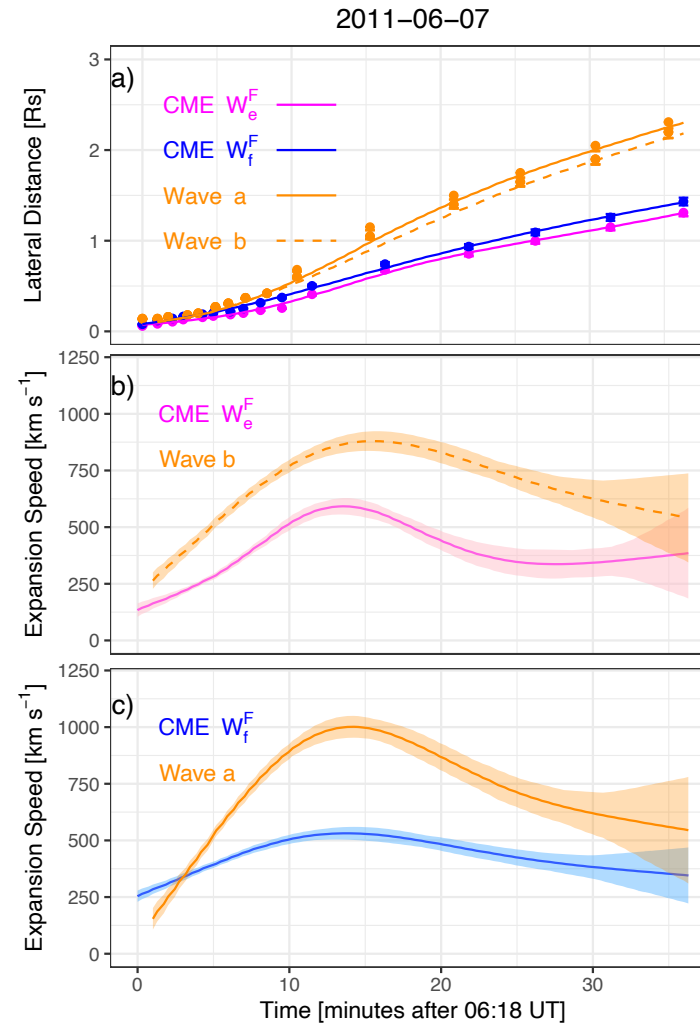
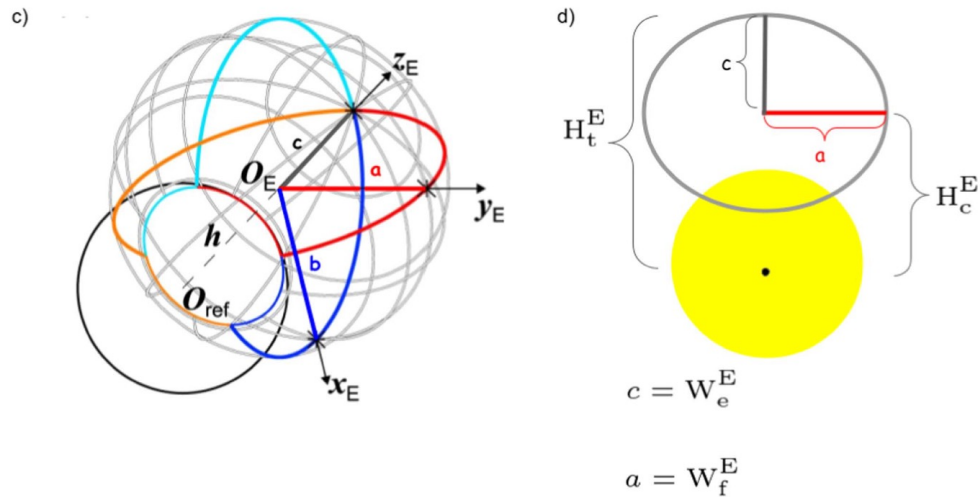
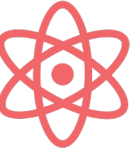


Figure 1. Schematic representation of the 3D models for the flux rope (top) and the shock/wave (bottom) with the parameters of interest (see text for details). (a) Face-on view of the flux rope. (b) Edge-on view of the flux rope. (c) Ellipsoid model (adapted from Kwon et al. 2014). (d) Simplified 2D version of the shock/wave model.

# Preliminary list of events under study



Event	Date	Location Lat,Long	CME $v_{peak}$ [km s <sup>-1</sup> ]			Shock $v_{peak}$ [km s <sup>-1</sup> ]	
			LE	WEO	WFO	Top	b
1	12/12/2013	S29W45	1257	503	626	1279	734
2	11/19/2013	S15W75	1250	255	500	1350	875
3	06/21/2013	S20E72	2000	750	1100	2000	900
4	05/22/2013	N17W76	1757	721	1161	1951	1339
6	05/26/2012	N17W120	2385	642	1000	2621	1481
7	05/17/2012	N06W81	2583	1105	1578	2664	2117
8	10/20/2011	N17W100	1009	343	424	1022	586
10	09/22/2011	N20E70	1832	593	827	1851	886
11	08/09/2011	N21W70	1878	717	932	1937	1286
12	08/08/2011	N18W62	2065	633	822	2095	1088
13	08/04/2011	N24W36	2385	637	903	2405	1291
14	03/07/2011	N10E20	960	272	355	974	553
15	02/24/2011	N13E82	2019	314	826	2052	905
16	01/28/2011	N18W88	725	280	379	1092	756
17	12/31/2010	N14W55	459	462	457	531	548
18	09/08/2010	N24W93	949	319	435	966	562
19	08/18/2010	N12W100	1670	379	839	1669	883
20	08/14/2010	N10W53	1275	277	457	1287	798

## CME:

-LE (leading edge): radial expansion

-WEO (width edge-on): cross-section expansion

-WFO (width face-on): lateral expansion

## CME-driven shock:

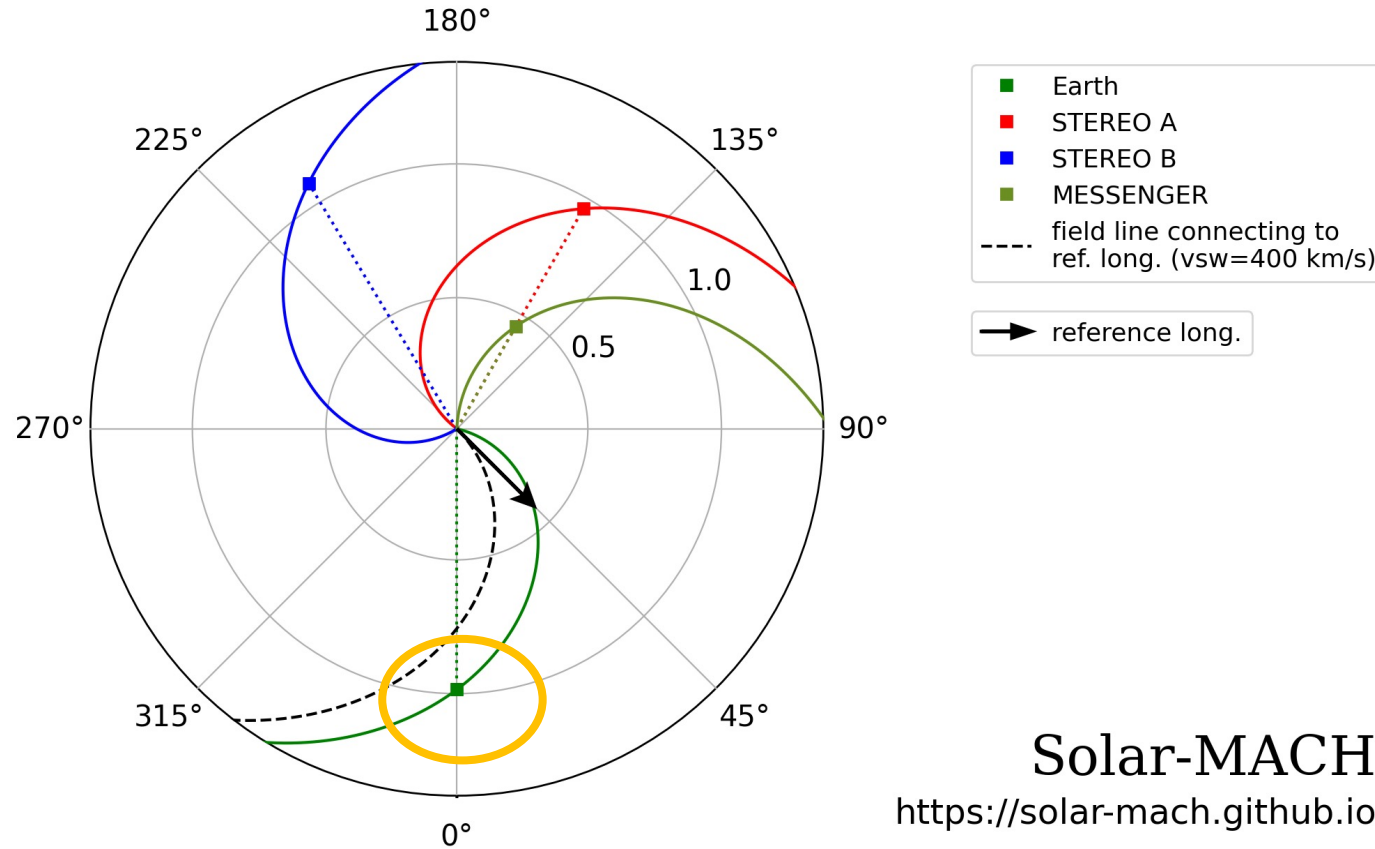
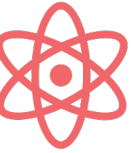
-Top: radial expansion

-b: lateral expansion

# Example of spacecraft constellation

SEP event number 1

2013-12-12 04:00:00

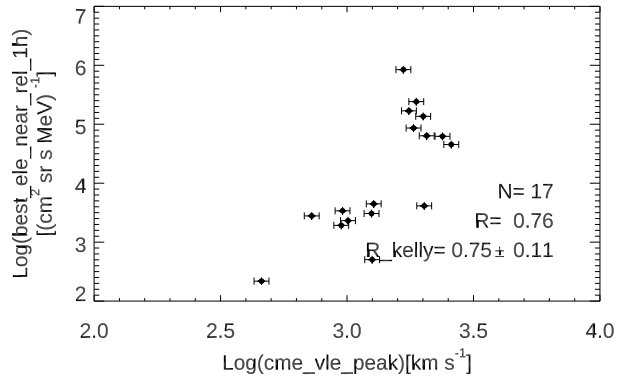


# Near-relativistic electrons (70-100 keV)



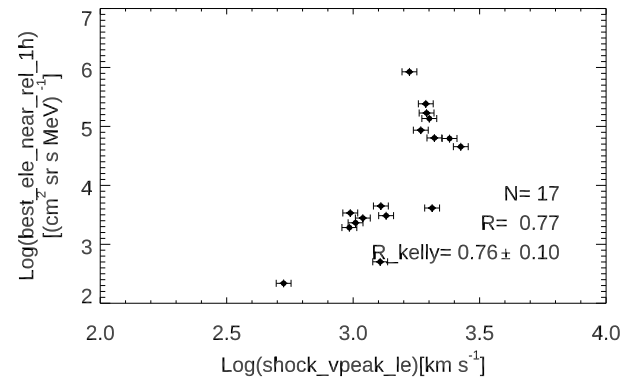
CME

radial

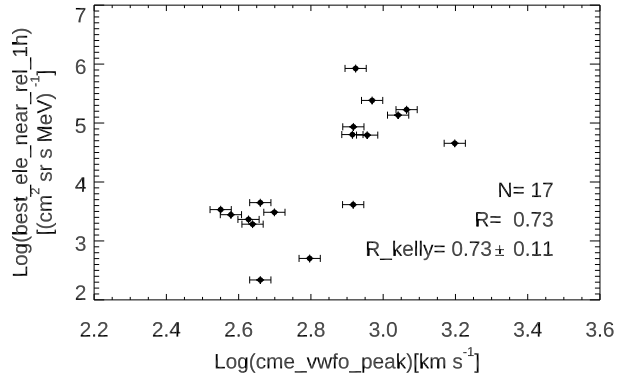


Wave

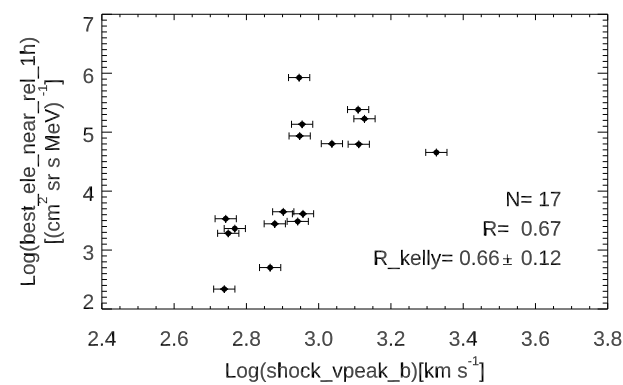
radial



lateral

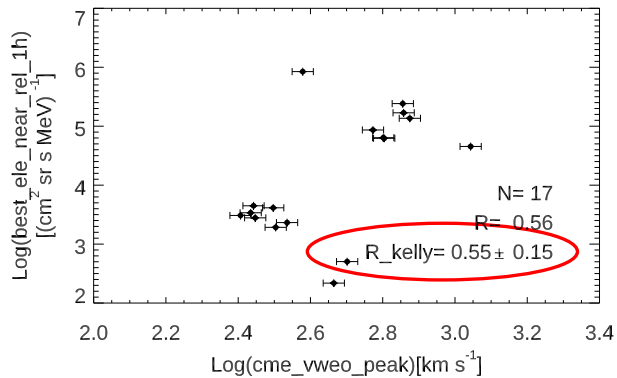


lateral



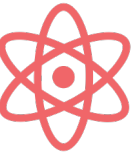
Best connected s/c

cross-section



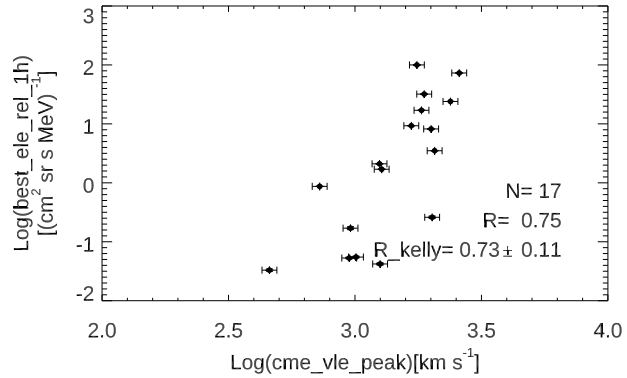
Lateral expansion of the CME **more relevant** than the cross-section expansion

# Relativistic electrons (1 MeV)



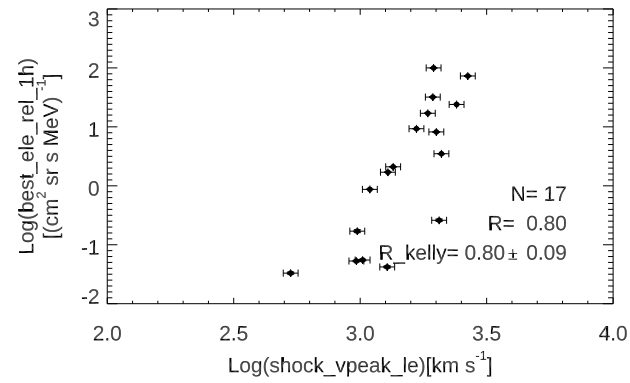
CME

radial

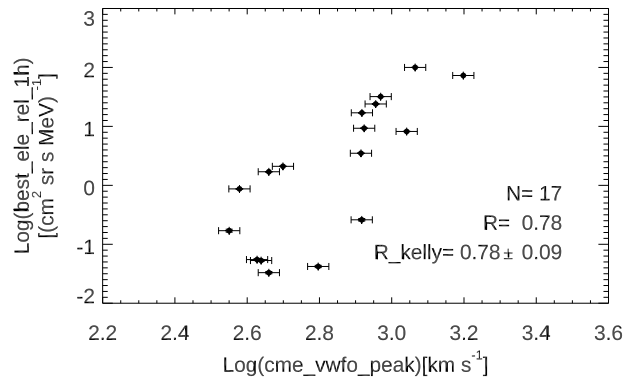


Wave

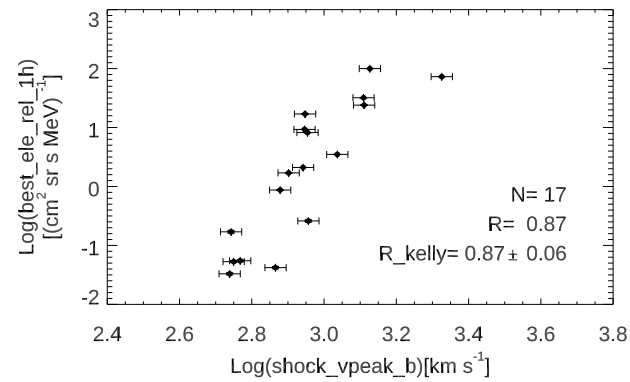
radial



lateral

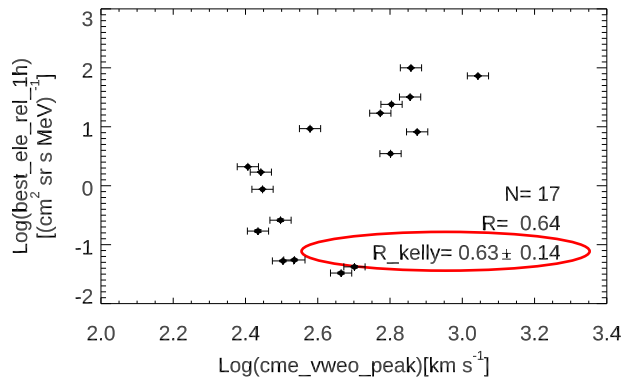


lateral



Best connected s/c

cross-section



Lateral expansion of the CME **more relevant** than the cross-section expansion

# 5 MeV protons

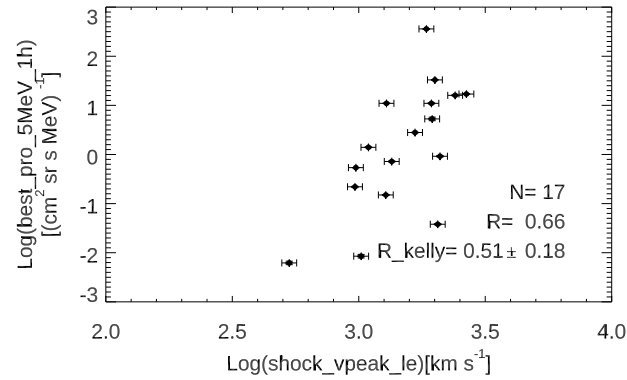
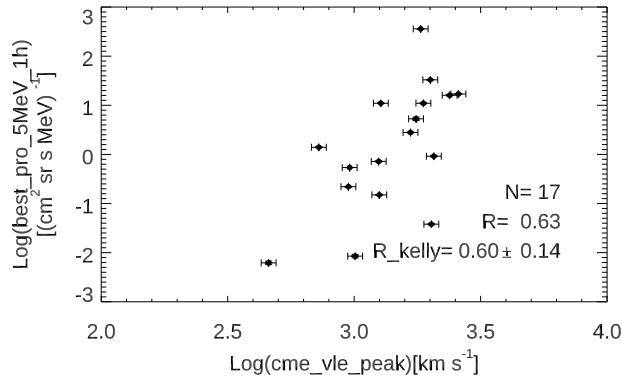


CME

Wave

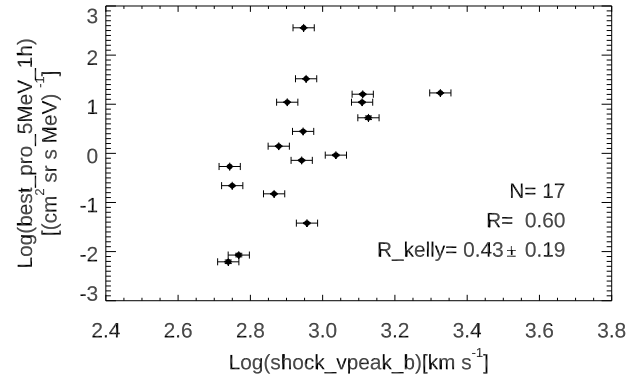
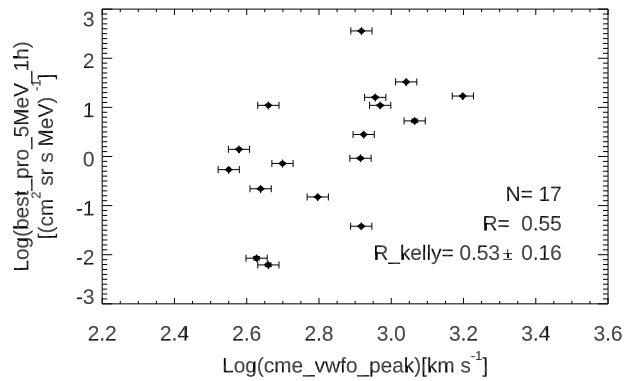
radial

radial



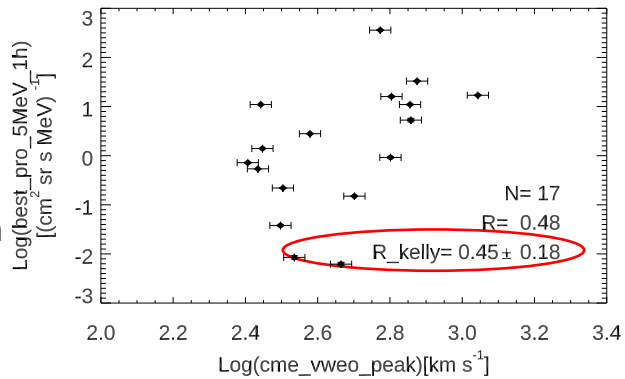
lateral

lateral



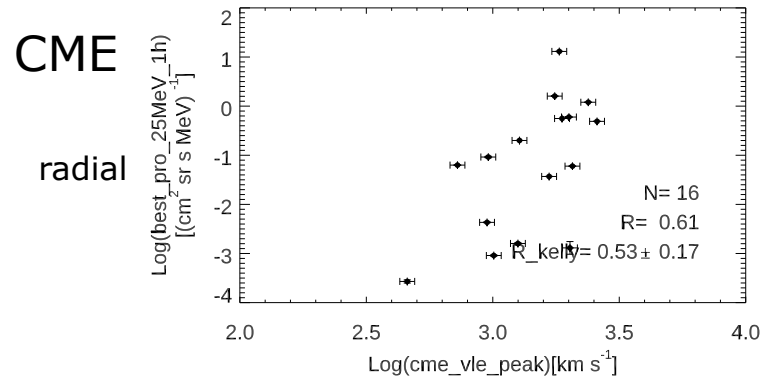
Best connected s/c

cross-section

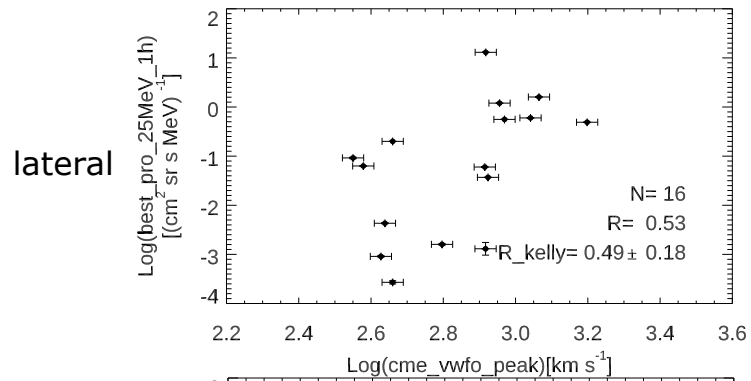
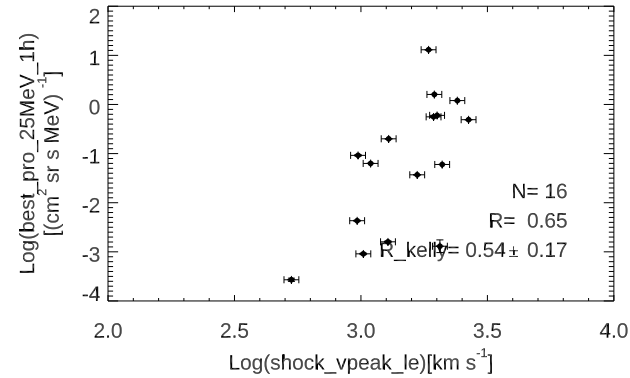


Lateral expansion of the CME **more relevant** than the cross-section expansion

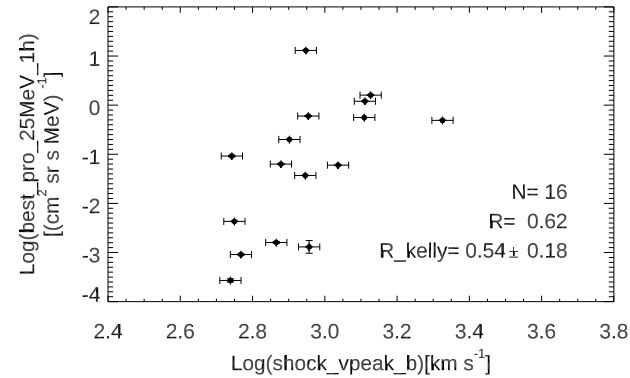
# 25 MeV protons



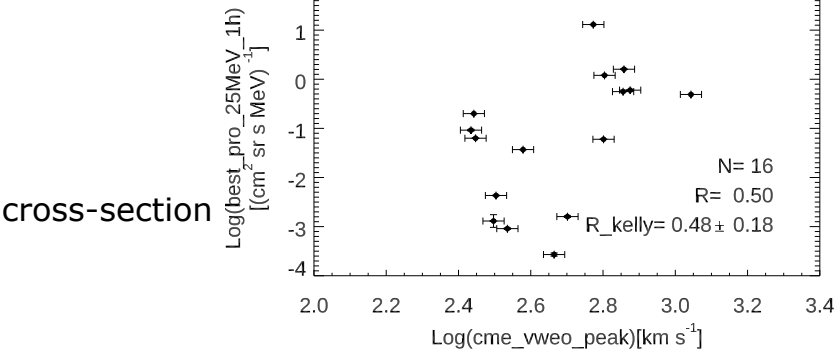
**Wave**  
radial



**lateral**



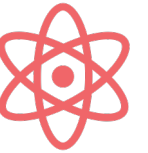
Best connected s/c



Shock speed and CME speed have **similar relevance**



# 50 MeV protons

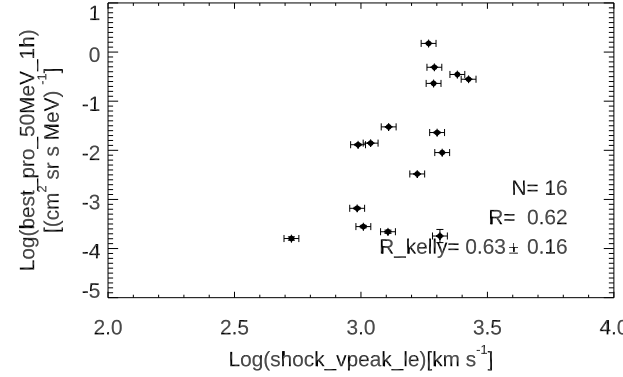
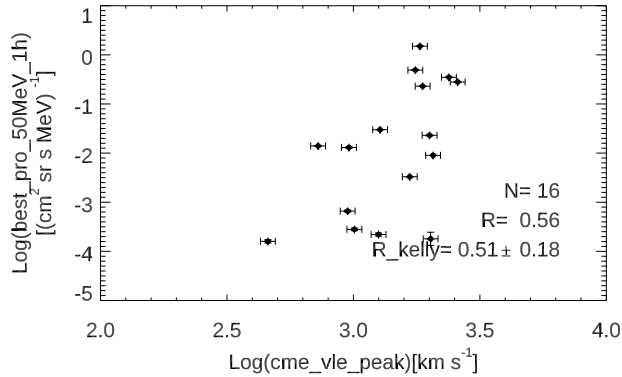


CME

Wave

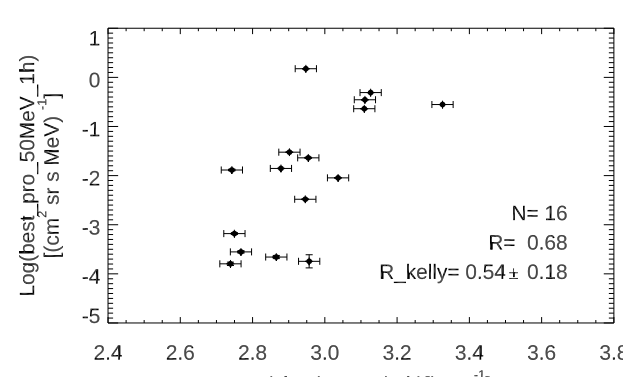
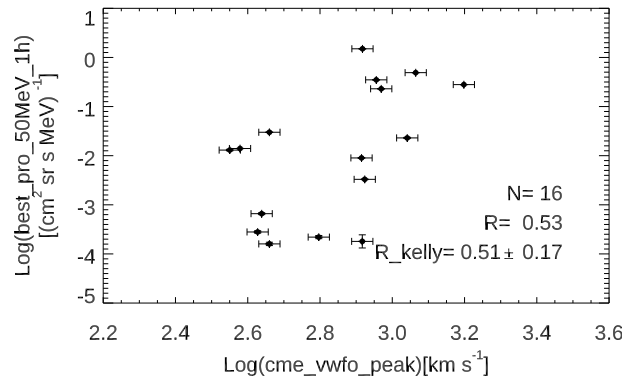
radial

radial



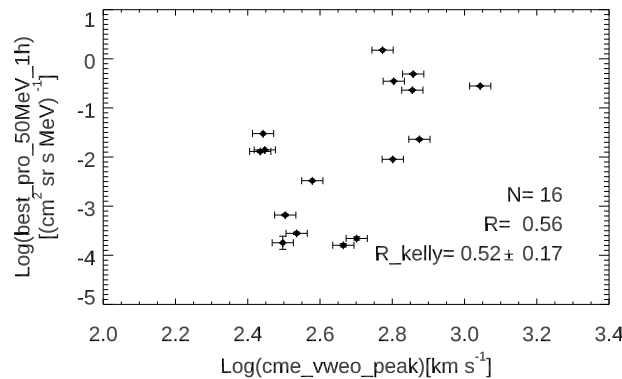
lateral

lateral



Best connected s/c

cross-section



Shock speed and CME speed have **similar relevance**

- ✓ **Both flare and shock-related processes may contribute to the acceleration of near relativistic electrons in large SEE events**, in agreement with previous studies based on near 1 au data
- ✓ **The maximum speed of the CME-driven shock is a better parameter to investigate particle-acceleration-related mechanisms than the average CME speed**, as suggested by the stronger correlation with the SEE peak intensities
- ✓ There is a wide variability in the radial dependence of the electron peak intensity between  $\sim 0.3$  au and  $\sim 1$  au, but the peak intensities of the energetic electrons decrease with radial distance from the Sun in 27 out of 28 events. **On average and within the uncertainties, we find a radial dependence consistent with  $R^{-3}$**
- ✓ The **electron spectral index** found in the energy range around 200 keV ( $\delta 200$ ) of the backward-scattered population **near 0.3 au is harder** in 19 out of 20 (15 out of 18) events by a median factor of  $\sim 20\%$  ( $\sim 10\%$ ) **when compared to the anti-sunward propagating beam (backward-scattered population) near 1 au.**



## Electrons and protons 5 MeV:

- Lateral expansion of the CME **more relevant** than the cross-section expansion

## Protons 25 and 50 MeV:

- Shock speed and CME speed have **similar relevance**
- **Similar relevance** of CME and shock-lateral, cross-section- expansion speeds



# Acceleration and Transport of Solar Energetic Particles in the Inner Heliosphere



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