1 Strain partitioning and fault kinematics in the northern Qilian Shan (NE Tibet) determined from Powerian information of goodatic data

- 2 Tibet) determined from Bayesian inference of geodetic data
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10 Key Points:

- Oblique convergence in the northern Qilian Shan is accommodated by sub-parallel thrust and strike-slip faulting
- The short-term geodetic measurements do not constrain the thrust fault kinematics in the northern Qilian Shan over geological timescales.
- Multi-fault earthquakes may be common in the region as all of the shallow thrust faults are
 linked together by locked fault segments.
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18 Abstract

Oblique convergence across the northern Qilian Shan is accommodated by sub-parallel strike-slip 19 20 and thrust faults that ruptured simultaneously in the Mw 8 Gulang earthquake in 1927. We investigate the kinematics of fault loading in the northern Qilian Shan and provide insights into 21 the conditions necessary for generating multi-fault earthquakes. We perform Bayesian inversions 22 23 for the geometry and creep rate on the fault network. We infer that all of the thrust faults are locked north of the Qilian-Haiyuan strike-slip fault and are accumulating elastic strain. Multi-fault 24 earthquakes may occurr in this fault system because the faults are simultaneously loaded by the 25 same source of deformation and are linked together by locked fault segments. The interseismic 26 velocity field alone can not contain the location or activity of individual faults visible in the 27 geomorphology, therefore the short-term geodetic measurements may not reliably indicate the 28 29 long-term behavior of the fault system.

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31 Plain Language Summary

This study aims to understand the earthquake hazard in the northern Qilian region of China. We use measurements of ground deformation between earthquakes to infer how the faults are being loaded in the region. We find that the ground deformation can be explained by a simple model with a single, slowly creeping fault at depth that loads all of the overlying faults. Large earthquakes that were caused by slip on many different faults at the same time have occurred in this region before. We suggest these so-called 'multi-fault' earthquakes may occur because all of the faults are being simultaneously loaded by the same source of stress.

39

40 1 Introduction

41 Where convergence between a mountain range and its foreland is oblique the long-term deformation is often accommodated by a combination of thrust faulting along the range margins 42 and strike-slip faulting within its interior – a kinematic configuration known as strain partitioning 43 (Fitch, 1972; Sanderson and Marchini, 1984; McCaffrey, 1988; Murphy et al., 2014; Daout et al., 44 45 2016; Schütt and Whipp, 2020). Faults accommodating strain partitioning can slip in individual earthquakes releasing strain piecemeal, or in multi-fault earthquakes that rupture thrust and strike-46 slip faults simultaneously releasing the strain in one large event (e.g. 2016 Mw 7.8 Kaikoura 47 earthquake; Shi et al., 2017; Herman et al., 2023). 48

An important question in forecasting seismic hazard in zones of strain partitioning is whether we can determine the conditions necessary for generating multi-fault earthquakes from surface observations. One approach to this problem has been to study how elastic strain is accumulating in regions where multi-fault earthquakes have occurred in the past (Avouac, 2015; Dal Zilio et al., 2020, 2021). Such studies tentatively indicate that multi-fault earthquakes have ruptured fault systems that were entirely locked above a certain depth and were being loaded by a single creeping d collement at depth (Lamb et al., 2018; Lamb, 2021).

In this study, we consider the northern Qilian Shan strain-partitioned fault system, which consists of north-vergent thrusts and ~E-W trending strike-slip faults that accommodate the oblique convergence between the Gobi Alashan and the Qilian Shan (Figure 1; Allen et al., 2017; Daout et al., 2017; Luo and Wang, 2022). These faults have ruptured in recent destructive earthquakes (Zhang et al., 2020; Zhang et al., 2023; Yang et al., 2022; Guo et al., 2020). Paleoearthquake research indicates that multi-fault ruptures that span the strike-slip and thrust faults
have also occurred on this fault system in the past and may even repeat every ~4000 years (Li et
al., 2022; Gao et al., 2023).

We compile geodetic measurements of interseismic ground deformation from InSAR, GNSS and levelling and assess whether they can constrain the distribution of creeping and locked fault segments within the northern Qilian Shan. We take a Bayesian approach to the problem, as this provides posterior probability distributions for the free parameters that define the kinematic models and allows us to quantitatively assess their uncertainties given the data and prior information available. Finally, we consider the implications of our models for fault loading in the Qilian Shan.

71 **2** Tectonic setting and interseismic deformation in the northern Qilian Shan

72 **2.1 Tectonic setting**

The Qilian Shan is a WNW-ESE trending mountain range that lies north of the Tibetan 73 74 Plateau, between the Qaidam Basin to the south and the Gobi Alashan to the north (Figure 1). A mixture of strike-slip and thrust-faulting earthquake focal mechanisms indicate that the northern 75 margin of the Qilian Shan is accommodating oblique shortening (Figure 1). North-vergent thrusts 76 known as the North Qilian thrust fault (NQTF) and North Tuolaishan thrust fault (NTLSF) lie 77 along the northern margin of the range and accommodate range-perpendicular shortening (Luo and 78 Wang, 2022). WNW-ESE trending strike-slip faults, including the Lenglongling fault (LLLF) and 79 Tuolaishan fault (TLSF), cut across the interior of the range and accommodate range-parallel shear 80 (Gaudemer et al., 1995; Lasserre et al., 2002). 81

Geological reconstructions, modelling of GNSS velocities, and seismic tomographic imaging have led to the interpretation that the thrust faults root into a south-dipping d collement at ~15-25 km depth with a dip angle of 10-20 ° (Gaudemer et al., 1995; Meyer et al., 1998; Allen et al., 2017; Daout et al., 2017; Ye et al., 2015; Shen et al., 2017). Paleo-earthquakes are inferred to have sometimes independently, and sometimes simultaneously, ruptured the strike-slip and thrust faults (see Figure 1b; Li et al., 2022; Gao et al., 2023; Guo et al., 2020).

Estimates of the Late Quaternary cumulative shortening rate across all of the range-front thrust faults are 3–4 mm/yr (Xiong et al., 2017; Liu et al., 2021ab; Zhong et al., 2020). Estimates of the rate of strike-slip motion on the Qilian-Haiyuan fault averaged over the Late Quaternary are 3.3–7.1 mm/yr on the TLSF and LLLF segment (Zhang et al., 2004; Zheng et al., 2013; Yuan et al., 2013; He et al., 2010; Jiang et al., 2017).

93 **2.2 Geodetic measurements of interseismic deformation**

To constrain the 3-dimensional interseismic velocity field in the northern Qilian Shan, we combine GNSS, InSAR and leveling measurements collected over the past 20 years (Figure 1 & S1 and Table S1).

The horizontal velocities are the GNSS solutions formulated by Wang and Shen (2020). The measurements derive from both campaign-style and continuous GNSS networks occupied over the period 1999-2016. Non-tectonic signals and earthquake-related deformation have been removed from the time-series (Wang and Shen, 2020). The typical uncertainties in the horizontal
 velocities are 0.5 mm/yr.

We complement the GNSS data with the dense (500 m pixel size) InSAR line-of-sight velocity field of Ou et al., (2022) derived from ascending- and descending-track Sentinel-1 data covering the time period 2014-2019. The InSAR velocity field has been tied to the GNSS reference frame of Wang and Shen (2020). Short-wavelength line-of-sight displacement signals related to mining, hydrological loading and permafrost freeze-thaw are contained within the dataset, along with longer-wavelength deformation associated with tectonic loading.

Finally, we used measurements of vertical velocities from both GNSS and leveling. The GNSS vertical velocities consist of 113 continuous and 969 campaign observations spanning 2008 to 2019 (Zhao et al., 2023). Postseismic effects were mitigated by discarding stations that contain offsets and transients around the timing of large earthquakes. We also included the vertical velocities derived from repeat occupation of levelling lines from Wu et al. (2022). The levelling lines were occupied first in 2012 and then again in 2015.

114 The GNSS velocity field is dominated by a broad decrease in the north-eastward directed velocities from the interior of the Qilian Shan near Qinghai Lake towards the Gobi Alashan, with 115 the largest velocity gradients across the northern margin of the Qilian Shan (Figure 1). The InSAR 116 LOS velocity field contains significant scatter, but in the long-wavelength component there is a 3-117 5 mm/yr increase in the ascending-track LOS velocity, and decrease in the descending-track LOS 118 velocity, from SW to NE across the northern margin of the Qilian Shan. The levelling data contain 119 large uncertainties but indicate a small change in the rate of uplift across the northern margin of 120 the Qilian Shan of around 2 ± 1.3 mm/yr. Overall, the geodetic observations indicate that the 121 highest gradients in velocity, and therefore highest strain rates, occur across the northern margin 122 of the Qilian Shan across the strain-partitioned fault system. 123

124 **3 Modelling the interseismic deformation**

125 **3.1 Model setup**

We interpret the spatial correlation between the broad velocity gradients in the geodetic data and the major active faults along the northern Qilian Shan to reflect elastic strain accumulation around the mapped faults driven by creep on their underlying shear zones. In this section, we follow an approach similar to Daout et al., (2016) and attempt to recover information about the locations of the creeping shear zone that load the shallower locked fault using measurements of the interseismic velocity field.

Given the along-strike simplicity of the fault system and the velocity field, we consider 132 only a two-dimensional model. For each creeping fault included in the model, we assume it is 133 planar and that it has a single creeping-to-locked transition at its up-dip end. We model the surface 134 velocity field caused by creeping fault segments using linear combinations of the analytical 135 solutions for the deformation around edge dislocations in a 2-dimensional, linearly elastic half-136 137 space (Segall, 2010). Where a single fault bifurcates into two faults, we follow Daout et al., (2016) and assume that there must be kinematic compatibility across the fault triple junction such that the 138 horizontal and vertical components of the velocity field are conserved. 139

The free parameters in this problem are the geometries of the faults in the subsurface, their creep rates, and the position of the locked-to-creeping transition on each fault. We also include a constant offset applied to each dataset (GNSS, InSAR, levelling) to account for reference frame differences. In order to solve for these free parameters we employ a Bayesian inversion
implemented in the software package Flower2D (Daout et al., 2016, 2017). Flower2D randomly
samples the prior distributions and uses the Metropolis algorithm to evaluate the posterior
probability density functions (PDFs), guided by the geodetic data and uncertainties.

The uncertainties in the InSAR and GNSS datasets are comparable (Figure S5); Therefore, we used the square root of the relative number of data points as a weighting factor in our inversions (Ou et al., 2022), which is equilvant to a weight of 20 to the GNSS and leveling data and a weight of 1 to the InSAR data. The levelling data have much larger uncertainties than the InSAR and GNSS velocities (Figure S5), though we found that removing the levelling data from the inversions had little effect on the results (Figure S7 and S8).

We first attempt to model the velocity field assuming that the surface deformation is a 153 result of creep on a fault that has its up-dip edge beneath the TLSF (Model A; Figure 2a). The 154 range-parallel component of motion is accommodated by a vertical strike-slip fault that is locked 155 from the surface down to a depth H, below which is a semi-infinite dislocation creeping at a 156 velocity V_{ss} . Crustal shortening rate is accommodated by a horizontal semi-infinite dislocation at 157 depth H creeping at a rate $V_{shorten}$ that terminates against the TLSF. Changes in the dip of the 158 creeping strike-slip fault have no effect on the range-perpendicular velocities, and therefore our 159 two-fault configuration also simulates oblique slip on a d collement south of the TLSF. 160

We then consider models with increasing numbers of creeping faults to examine the 161 sensitivity of the velocity field measurements to the complexity of the fault system at depth. The 162 additional complexity we consider in Model B is that we added a creeping d collement to the north 163 of the TLSF (Model B; Figure 2). In Model B, both the down-dip extension of the TLSF, and some 164 portion of the d collement north of the TLSF, can creep. The additional parameters in Model B 165 are the width of the d collement HD_{deco} and its height H_{deco} . In Model C we introduce further 166 complexity by including two ramp faults that extend from the d collement to the surface where 167 the NQTF and NTLSF outcrop (Model C; Figure 2). Model C therefore has four additional free 168 parameters: the widths $HDc_{1,2}$ and heights $Hc_{1,2}$ of the two ramp thrusts. 169

170 **3.2 Setting the priors**

The Bayesian framework priors incorporate estimates of the likelihood of a particular 171 variable taking a value based on prior knowledge. We used a uniform distribution within defined 172 bounds for all of the priors, with the upper and lower bounds based on constraints imposed by the 173 geological slip rates, geodetic data and the geometry of the fault system. The oblique convergence 174 across the fault system is defined as the local horizontal shortening ($V_{shorten}$) and shearing (V_{ss}) 175 velocity perpendicular and parallel to the TLSF, respectively (Figure 2). For Model A, the strike-176 slip rate V_{ss} of the TLSF can take values of 2–8 mm/yr. The horizontal shortening rate $V_{shorten}$ of 177 the thrust faults can take values of 0-10 mm/yr. In Model B, the priors are the same as Model A, 178 but include a south-dipping d collement north of the TLSF. The horizontal distance that the 179 creeping d collement extends north of the strike-slip fault HD_{deco} can take values of 2-38 km, and 180 its height is H_{deco} can take values of 0–25 km. For Model C, we added two branches as the proxy 181 of NTLSF (with priors HD_{c1} : 0–40 km; H_{c1} : 0–25 km) and NQTF (with priors HD_{c2} : 30–70 km; 182 H_{c2} : 0–25 km), which allow these faults to extend from the d \pm ollement to the surface. 183

185 **4. Results**

186 **4.1 Posterior models**

The initial model was manually selected. To mitigate the influence of this initial model choice we discarded the first 30,000 samples of the total 50,000. The mean and standard deviation of the posterior probability distributions (PDFs) for each variable are shown in Table S2, and the shape of the PDFs are illustrated in Figures S2-S4 for Models A–C. All parameters exhibit normal distributions in their posterior PDFs and PDFs are narrower than the priors, indicating that the data impose constraints on the range of models that can fit the data (Table S2).

193 The fits between the models and the data are good with Model A having a Normalized Root-Mean-Square Error (NRMSE) of 0.50, Model B has a NMRSE of 0.47 and Model C an 194 195 NRMSE of 0.44. All three models fit the InSAR data and the horizontal GNSS measurements well, with some systematic misfits 40 km north of the range front in the fault-parallel velocities. The 196 most notable between the model predictions are in the vertical velocities north of the range front, 197 where each best-fit model predicts a different location for the peak uplift rate. The peak uplift rate 198 199 is positioned above the tip of the creeping-to-locked transition. Unfortunately, the large uncertainties in the levelling data, and large scatter in the InSAR LOS velocities, are only of limited 200 value for differentiating between models (Text S2). In the future, more accurate measurements of 201 the vertical velocities across the Oilian Shan range front will be important for placing tighter 202 constraints on the creeping-to-locked transition on faults in the region. 203

The steady-state creep rate of TLSF V_{ss} is in the range 4.2–4.7 mm/yr for Models A-C, which is similar to the Late Quaternary slip rates (Guo et al., 2017; Jiang et al., 2017; Zheng et al., 2013). The locking depth on the TLSF across all models is 15 ± 1.5 km (Table S2). This result is consistent with previous studies that only modelled the fault-parallel velocity field around the Qilian-Haiyuan fault and did not consider the thrusts (Li et al., 2017; Qiao et al., 2021; Liu et al., 2022; Huang et al., 2022; He et al., 2023). The total shortening rate across the fault system is 9 mm/yr in Model A and 6.3 mm/yr in Model B and Model C.

211 **4.2 Evaluating model complexity and data fitting**

With the increasing number of variables needed to define Model A-C there was only a slight decrease from 0.5 to 0.44 in the NRMSE (Figure 3). To establish whether the change in NRMSE is significant, we used the Akaike Information Criterion (AIC):

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$$AIC = 2k + n \ln(\frac{RMS}{\sqrt{n}}),$$

where k is the number of free parameters of the model and n is the number of geodetic data points 216 (Akaike, 1974). A smaller AIC for a model with more variables suggests that the improvement in 217 data fitting validates the usage of a more complex model. We find that the AIC of Model A is 218 smaller than that of Model B and Model C, suggesting Model A is the optimal model of the three 219 to explain the geodetic observations (Figure 3). Therefore, including the possibility of creep on a 220 d collement and on splay faults within the fold-thrust belt is not justified given the data and its 221 uncertainties. A set of synthetic tests in which we forward model the surface deformation due to 222 creep on the d collement north of the TLSF and the splay thrusts supports the conclusion that these 223

faults are unlikely to be creeping at the late Quaternary slip rate (see more detail in Text S1; Figures S11-S16).

4.3 Limitations of the modelling

We chose to model the velocity field across the range front as being due to the elastic strain accumulating around a localized zone of shear because strain at the surface is also localised onto a small number of narrow fault zones. If the interseismic deformation were in fact caused by a series of sub-parallel shear zones or distributed ductile flow at depth, then the geometry and slip rate on the shear zone derived from our modelling will be incorrect in detail.

232 **5 Discussion**

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5.1 Kinematics, seismicity and long-term deformation

Our preferred kinematic model (Model A) indicates that elastic strain is accumulating 234 above a depth of 15 km within the fold-thrust belt of the northern Qilian Shan. The point at which 235 strain is accumulating fastest is the creeping-to-locked transition directly beneath the TLSF. 236 Microseismicity in the region clusters around the inferred creeping-to-locked transition, whilst 237 elsewhere seismicity is broadly distributed throughout the fold-thrust belt north of the TLSF 238 (Figure 3; Xia et al., 2021). Notably, there is no evidence for seismicity clustering at the down-dip 239 edge of the creeping-to-locked transition on the d collement in Model B, or along the shallow 240 thrust faults within the fold-thrust belt (i.e., NTLSF and NQTF) from Model C. We interpret the 241 lack of clustered seismicity north of the TLSF to support the inference that there is only a single 242 creeping-to-locked transition in this region. 243

Although the kinematic model and seismicity does not require any creep on shallow thrust faults during the interseismic period, there is geological and geomorphological evidence that the thrust faults separating the Qilian Shan and Alashan are active and have accommodated tens of kilometers of crustal shortening in the Cenozoic (Gaudemer et al., 1995; Meyer et al., 1998; Zuza et al., 2016). In essence, the short-term geodetic measurements do not reflect the kinematics of the locked parts of the thrusts in the northern Qilian Shan over geological timescales (see also Lamb and Smith, 2013; Lamb, 2021; Herman et al., 2023).

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252 **5.2 Comparison to previous kinematic models**

Allen et al., (2017) previously investigated the kinematics of strain partitioning in the 253 northern Qilian Shan ~150 km to north-west of our data profile. They found that the interseismic 254 horizontal velocity field could be fit by oblique creep on a shallow d collement dipping towards 255 the south that is locked above 26±8 km depth. Our kinematic model is similar to that of Allen et 256 257 al., (2017) in that all the shallow thrust faults are locked. However, our models infer a shallower depth for the creeping-to-locked transition of 15 km. Our modelling is not consistent with a dipping 258 d collement because of the small change in vertical velocity across the range front. The shallower 259 locking depth could be related to the lateral variations in the structure of the northern Qilian Shan. 260 In the west, the oblique convergence is accommodated by a series of thrust faults merging into a 261 deep d collement (e.g., Meyer et al., 1998), whilst in the east the convergence is accommodated 262 by a "flower structure" (e.g., Gaudemer et al., 1995; Wu et al., 2023; Figure 4). 263

265 **5.3 Implications for multi-fault earthquakes and seismic hazard**

The northern Qilian strain-partitioned faults system (i.e., the TLSF, NTLSF and NQTF) 266 has previously rupture in large multi-fault earthquakes (Figure 4; Li et al., 2022; Gao et al., 2022). 267 A key result of our modelling is that this fault system is being simultaneously loaded by a single 268 creeping fault. The shallow (<15 km), locked fault segments that lie above the creeping segment 269 270 may all fail together as, by virtue of being loaded by the same source of creep, the state of stress at the base of each fault is similar where they sole into one structure. Future ruptures could 271 potentially occur at any location in the fold-thrust belt (Mary et al., 2013; Yagupsky et al., 2014; 272 Guo et al., 2020), but multi-fault ruptures would be expected to initiate at the creeping-to-locked 273 transition and rupture up-dip. There are no creeping patches on the d collement that could act as a 274 barrier to rupture propagation between faults once a rupture initiates. Our observations are 275 consistent with the view that multi-fault earthquakes are more common in tectonic settings where 276 the long-term deformation includes a diverse array of kinematically different faults, but the short-277 term deformation can be explained by a single, deep source of creep. 278

279 6 Conclusion

We have shown that geodetic estimates of the interseismic velocity field in the northern 280 Qilian Shan can provide constraints on the kinematics of strain partitioning. Given the data 281 available, we find that thrust faults along the margins of the range front are locked and are being 282 loaded by a creeping d collement. There is no robust geodetic evidence for creep beneath the fold-283 thrust belt itself. The strike-slip fault within the range interior is likely being loaded by creep on a 284 vertical shear zone that cuts through the Qilian crust. Seismicity in the fold-thrust belt is 285 distributed, whilst seismicity beneath the Qilian-Haiyuan strike-slip fault is concentrated at the 286 geodetically-inferred creeping-to-locked transition. We suggest that, because the whole fold-thrust 287 belt is simultaneously accumulating elastic strain, faults in the northern Qilian Shan may be able 288 to rupture in large magnitude multi-fault earthquakes. 289

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302 Data Availability Statement

The data (InSAR, GNSS, levelling data) and synthetic modeling script used in this paper have been shared on Zenodo (Zhang et al., 2023). The Flower2D code is available from the Github (Daout, 2023). Maps were created by using Generic Mapping Tools (GMT) version 6 (Wessel et al., 2019).

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- 493 **Figures**



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Figure 1: Geodetic measurements and seismogenic setting. (a) Geodetic data including InSAR 495 (Ou et al., 2022), GNSS horizontal and vertical components (black arrows and colored squares; 496 Wang and Shen, 2020; Zhao et al., 2023), and levelling data (colored circles; Wu et al., 2022). 497 Dashed black box indicates data cross-section for 2-D fault model. Bold gray lines show the 498 northern Qilian strain-partitioned fault system. The insert cartoon illustrates kinematics of this 499 system. (b) Active faults and historical earthquakes in the area. Black lines denote faults; pink lines 500 show 1927 Mw 8.0 Gulang earthquake ruptures (Allen et al., 2017; Guo et al., 2020). Focal 501 mechanisms from Gaudemer et al. (1995) and USGS. 502

(a) 2-D models



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Figure 2: Model setup and data fitting. (a) Sketches of the 2-D models tested (Model A to C) with details of each model variable. Red/black lines denote the creeping/locked fault segments. The dashed red line shows the semi-infinite horizontal dislocation. Panels (b-e) show fits between the models and the geodetic data. Lines represent the best-fit model results, blue dots are InSAR observations, and diamonds with error bars are GNSS and leveling data. TLSF is marked by vertical dashed line.





Figure 3: Cross-sections of topography and the creeping faults in models A-C. (a) Depicts topography, model structures, relocated earthquakes (Xia et al., 2021), and the modelled creeping fault geometries in the northern Qilian region. (b,c) Compares NRMS and AIC values for the different models A-C. The different symbols show results with different data weightings (InSAR:horizontal_GNSS:vertical=1:20:20 [color-filled diamonds] or 1:20:0 [color-filled circles]).

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Figure 4: A 3-D block model of the Northern Qilian fault system. Seismicity is shown as grey cicles (Xia et al., 2021), and the Moho is taken from (Shen et al., 2017). Locked faults are shown as black lines and creeping faults as red lines. The bold faults are those included in our models. Includes insights into long-term deformation (Lamb, 2019) and paleo-earthquake records (Li et al., 2022).