1	Preliminary research on safety of induced seismicity at carbon
2	sequestration sites
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9	ABSTRACT
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11	Geological carbon sequestration is a critical step towards achieving Taiwan's net-zero emissions by
12	2050. The issues of induced seismicity and the reactivation of fractures as potential leakage paths due to the injection of superscritical CO2 into geological formations must be theroughly.
13 14	investigated to gain public acceptance for carbon sequestration sites. This study uses the
15	Changhua Coastal Park pilot site to establish two geological models and develop corresponding
16	numerical simulation techniques. It examines whether CO2 injection impacts the stability of
17	element method software, calculate the influence range of pressure increments and compare the
19	differences between the two geological models. Two-phase flow is implemented in fully coupled
20	numerical simulations based on the Buckley–Leverett equation.
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22 23	Keywords: geological carbon sequestration, pressure buildup, earthquake, hydromechanical coupling, 3DEC
24	1. Introduction
25	Achieving net-zero emissions by 2050 has become a national commitment for Taiwan, in alignment
26 27	negative-emission technology to meet this target (IEA, 2017). However, the potential for induced
28	seismicity, triggered by the injection of supercritical CO ₂ into subsurface formations, remains a
29	significant challenge for public acceptance and regulatory approval (Mortezaei and Vahedifard,
30	2015).
31	In the risk and environmental impact assessment of carbon storage, faults and fractures are among
32	the critical pathways for potential CO ₂ leakage. Carbon dioxide may migrate upward along
33 34	sealing faults whose permeability increases due to pressure buildup. Such leakage can pose risks to
35	drinking water resources and subsurface ecosystems (IPCC, 2005). In addition, carbon storage
36	operations may induce seismic events, with the maximum estimated magnitude reaching up to 5.7
37	(Verdon, J.P., 2014). Therefore, both the potential public impact of induced seismicity and its effects

- on the long-term integrity of the caprock have become key topics in recent research.
- Taiwan is located along the seismically active Pacific Ring of Fire. Several NE-SW trending

- 40 normal faults are distributed across the Taiwan Strait. The distribution of active faults is primarily
- 41 located between the Western Foothills and the coastal plains of western Taiwan. The development
- 42 of carbon storage sites in Taiwan will inevitably require a rigorous assessment of the influence of
- faults and seismic hazards. 43
- 44 Geomechanical processes are among the key technical issues in geological carbon storage. As
- 45 shown in Fig. 1, abandoned wells or small-scale subsurface faults may exist near storage sites. The
- 46 injected CO₂ plume can alter the pore pressure within the formation (either the reservoir or
- caprock), potentially leading to deformation of adjacent strata, microseismicity, or reactivation of 47
- 48 pre-existing faults.



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50 Fig. 1. The key technical issues of geomechanical processes in geological carbon storage (Rutqvist, 2012).

52 Mortezaei and Vahedifard (2015) employed COMSOL Multiphysics to perform a two-dimensional 53 thermo-hydro-mechanical (THM) coupled simulation of CO₂ storage. Their study assessed stress 54 changes and deformation within the reservoir, caprock, and fault zones. The model incorporated a 55 fault with dimensions that are typically difficult to identify during site investigations. The simulated 56 fault slip was then used to estimate the maximum seismic moment (M_0) based on the seismological 57 theory proposed by Hanks and Kanamori (1979). Mortezaei and Vahedifard (2015) indicates that thinner reservoirs are associated with a higher probability of fault reactivation and tend to generate 58 59 larger seismic events. Higher reservoir permeability can reduce the likelihood of fault reactivation, 60 while higher reservoir porosity is associated with a longer rupture duration.

- 61 Rutqvist et al. (2007) employed a coupled model to evaluate the maximum injection pressure for
- CO2 storage. The analysis was conducted using TOUGH-FLAC, a simulation tool developed by 62
- 63 Rutqvist that integrates TOUGH2 for fluid flow analysis with FLAC3D for geomechanical analysis,
- 64 enabling direct coupling of hydro-mechanical processes. The results demonstrated that simplified
- 65 analytical solutions tend to underestimate or overestimate the maximum injection pressure (ranging
- 66 from 20 MPa to 40 MPa) associated with fault slip induced by CO₂ injection, due to the inability of
- 67 such solutions to incorporate critical geometric factors that influence the spatial distribution of fluid

- 68 pressure and stress. In contrast, fully coupled numerical simulations provide a more accurate
- 69 assessment of these variables, yielding a more reliable estimate of the maximum injection pressure,

70 which was approximately 25 MPa in this study.

- 71 This study addresses these concerns by developing a multi-pronged technical framework to evaluate
- 72 induced seismic risks at potential GCS sites. The Changhua Coastal Industrial Park in western
- 73 Taiwan was selected as the pilot study area (Fig. 2), where detailed core samples and geological
- data are available. Although the project was canceled due to a combination of regulatory, technical,
- and public concerns, it still contributed valuable data. To assess whether CO₂ injection may
 compromise fault stability, a numerical model was used. The 3D Distinct Element Code (3DEC)
- enabled hydro-mechanical coupled simulations, following the recommendation of fully coupled
- numerical modeling by Rutqvist et al. (2007).



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2. Methodology

82 To evaluate the potential for induced seismicity associated with CO₂ geological storage, a hydro-

83 mechanical (HM) coupling model was developed using **3DEC version 7.0** (Itasca Consulting

84 Group), which is particularly suited for modeling fractured rock masses through the Distinct

Element Method (DEM). The target site, located in the Changhua Coastal Industrial Park, was
 represented using a stratified sedimentary model composed of alternating sandstone and shale

87 layers, based on core and well-log data. This study conducted assessment of adjacent blind faults or

88 fractures which may be reactivated under injection-induced stress perturbations.

- 90 According to the guidelines for the construction and application of the Engineering Geological
- 91 Model (EGM) proposed by the International Association for Engineering Geology and the
- 92 Environment (IAEG, 2022), two types of geological models were developed:
- 93 (1) Geological Model 1 (GM1): A simplified model assuming nearly horizontal stratigraphy with
- 94 minimal dip, in which fault data are assumed (Fig. 3). A hypothetical fault (264 m x 264 m) 100 m
- 95 is generated above injection point.
- 96 (2) Geological Model 2 (GM2): A refined model constructed based on geophysical data,
- 97 incorporating curved stratigraphic surfaces and fault structures (Fig. 4). A normal fault (2 km x 2
 98 km) based on reflect seismic profile is 5,700 m away from injection point.
- 99 The mesh was constructed using a nested tetrahedral grid, with higher resolution (100 m) near the
- 100 injection point and coarser resolution (up to 1000 m) toward the model boundaries. A quarter-
- 101 symmetry domain was adopted to reduce computational load. The CO₂ injection rate is set at 1
- 102 Mt/year, with an injection depth of 2,370 meters for both models.





3. Parameters

Based on the stratigraphic classification, the mechanical and hydraulic properties of the subsurface formations beneath the Changhua Coastal Industrial Park were defined as shown in Table 1 to Table 4. For faults near the injection site, the initial, minimum, and maximum aperture were all set to 1×10^{-4} m. Fluid flow simulations in 3DEC are limited to isotropic permeability, and anisotropic values cannot be directly input. Therefore, the geometric mean of the intrinsic permeability values was adopted for this study.

118 In addition, 3DEC uses the mobility coefficient, instead of intrinsic permeability for flow

simulations. The required input parameter is converted using the following equation:

$$k_m = k/\mu \tag{1}$$

120 Where k is the intrinsic permeability and μ is the dynamic viscosity.

121 The density of the CO₂ fluid was set to 630 kg/m³, and the dynamic viscosity was defined as 122 4.5×10^{-5} Pa·s.

123 According to SINOTECH (2014), the maximum principal stress is the vertical stress, indicating a

124 normal faulting stress regime. Borehole breakout data derived from borehole wall resistivity

imaging suggest that the minimum horizontal stress is oriented at 4.9°, while the maximum

126 horizontal stress is oriented at 85.1°. The gradients of the maximum, intermediate, and minimum

127 principal stresses are 22 MPa/km, 20 MPa/km, and 18 MPa/km, respectively.

128 The fault friction angle was set to 31° , and the fault cohesion was assumed to be 0 MPa for both

mocel based on the study by Lin et al. (2017). The GM1 fault orientation was assumed to strike east–west and dip southward at 60° . The GM1 fault area was estimated using the empirical

relationship proposed by Leonard (2014). To meet the seismic events to moment magnitude 3, the

132 corresponding fault area is 0.07 km². Assuming a square-shaped fault, the resulting fault length and

133 width are 264 meters. The GM2 fault is assumed to strike east–west, with a total length of 2 km and

dipping northward. The dip angle is 35° in the upper section and 55° in the lower section, with a total fault area of approximately 4 km².

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137	Table 1. Hydraulic	Properties Input	Parameters, GM1
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Formation	Depth(m)	Porisity	Intrinsic permeability $k_v \ (m^2)$	Intrinsic permeability $k_h (m^2)$	Mobile coefficient $k_m \left(\frac{m^2 s}{R_a}\right)$
Toukoshar Fm	n 0~1500	0.26	3.07e-14	9.21e-14	1.18E-09
R1Cap rock	1500~1700	0.26	3.07e-14	9.21e-14	1.18E-09
R1	1700~2135	0.26	3.07e-14	9.21e-14	1.18E-09
R2 Cap rock	2135~2295	0.23	3.33e-17	1.00e-16	1.28E-12
R2	2295~2608	0.24	Kueichulin Fm:7.31e-15 Kuanyinshan Fm:1.35e-14	Kueichulin Fm :2.91e-14 Kuanyinshan Fm:4.05e-14	4.10E-10
R3 Cap rock	2608~2800	0.2	上 4.66e-16 中 1.59e-14 下 8.9e-15	上 1.4e-15 中 4.77e-14 下 2.67e-14	1.56E-10

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140 Table 2. Mechanical Properties Input Parameters, GM1

Formation	Depth(m)	Density	Bulk modulus (Pa)	Shear modulus (Pa)	Cohesion (Pa)	Friction angle	Tensile strength (Pa)
Toukoshan	0~1500	2280	7.95E8	4.10E8	1.3E6	47	1.09E6
Fm							
R1Cap	1500~1700	2270	1.25E9	4.49E8	1.00E6	43	4.93E5
rock							
R1	1700~2135	2270	1.25E9	4.49E8	1.00E6	43	4.93E5
R2 Cap	2135~2295	2320	1.29E9	7.35E8	2.08E6	45	1.26E6
rock							
R2	2295~2608	2245	1.00E9	4.00E8	2.00E6	44	5.30E5
R3 Cap	2608~2800	2425	2.36E9	1.84E9	2.82E6	44	1.16E6
rock							

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143 Table 3. Hydraulic Properties Input Parameters, GM2

Formation	Depth(m)	Porisity	Intrinsic	Intrinsic	Mobile
			permeability $k_m (m^2)$	permeability $k_{\rm h}$ (m^2)	coefficient

					$k_m (\frac{m^2 s}{Pa})$
Toukoshan	0	933.91	0.26	3.07e-14	9.21e-14
Fm					
Cholan Fm	933.91	2597.24	0.26	3.07e-14	9.21e-14
Chinshui Sh	1727.62	2670.99	0.23	3.33e-17	1.00e-16
Kueichulin Fm	1770.35	2794.75	0.24	7.31e-15	2.91e-14
Nanchuang Fm	1899.71	2881.18	0.24	9.93E-15	3.43E-14
Kuanyinshan Ss	2089.62	3092.72	0.24	1.35e-14	4.05e-14
Talu Sh	2281.59	3404.09	0.2	top 4.66e-16 mid 1.59e-14 bottom 8.9e-15	top 1.4e-15 mid 4.77e-14 bottom 2.67e-14
Peiliao Fm	2462.35	3717.87	0.2	1.17e-15	3.51e-15
Shiti Fm	2651.92	4018.82	0.26	1.36728E-13	1.36728E-13
Piling Sh	2997.47	4243.14	0.25	2.14008E-14	2.14008E-14
Mushan Fm	3143.23	4925.06	0.25	2.14008E-14	2.14008E-14
Wuchishan Fm	3417.14	5490.53	0.25	2.14008E-14	2.14008E-14

6 Table 4. Mechanical Properties Input Parameters, GM2

Formation	Depth(m)	Density	Bulk modulus	Shear modulus	Cohesion (Pa)	Friction angle	Tensile strength
			(Pa)	(Pa)			(Pa)
Toukoshan	2280	7.95E8	4.10E8	1.3E6	47	1.09E6	
Fm							
Cholan Fm	2270	1.25E9	4.49E8	1.00E6	43	4.93E5	
Chinshui Sh	2320	1.29E9	7.35E8	2.08E6	45	1.26E6	
Kueichulin	2245	1.00E9	4.00E8	2.00E6	44	5.30E5	
Fm							
Nanchuang	2245	1.00E9	4.00E8	2.00E6	44	5.30E5	
Fm							
Kuanyinshan	2245	1.00E9	4.00E8	2.00E6	44	5.30E5	
Ss							
Talu Sh	2425	2.36E9	1.84E9	2.82E6	44	1.16E6	
Peiliao Fm	2427	3.20E9	2.26E9	5.90E6	48	2.25E6	
Shiti Fm	2427	3.20E9	2.26E9	5.90E6	48	2.25E6	
Piling Sh	2427	3.20E9	2.26E9	5.90E6	48	2.25E6	
Mushan Fm	2427	3.20E9	2.26E9	5.90E6	48	2.25E6	
Wuchishan	2427	3.20E9	2.26E9	5.90E6	48	2.25E6	
Fm							

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4. Boundary condition

149 As the simulation process is divided into two stages, two sets of boundary conditions were applied.

150 In the equilibrium stage, the lateral boundaries of the model had zero velocity in the x and y

directions. The bottom boundary was defined as a roller boundary, while the ground surface was 151

- 152 treated as a free boundary. In the injection stage, the ground surface remained a free boundary, while all other boundaries were set as viscous boundaries. Regarding fluid boundary conditions, all 153
- 154 boundaries in 3DEC are impermeable by default. In this study, fixed groundwater pressure was
- 155 applied to all boundaries except the ground surface.

5. Results

After five years of CO₂ injection, the east-west cross-sectional distribution of pressure buildup is 157

158 shown in Fig. 5. The lateral extent of pressure increase (greater than 0.01 MPa) is primarily confined within 1.5 km in the east-west direction. The overlying R2 caprock exhibits good sealing

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capacity, resulting in pressure buildup occurring mainly below the R2 caprock in the vertical 160

- direction. The maximum pressure increase occurs at the injection point, reaching approximately 161
- 162 0.17 MPa.

Monitoring points were placed at the injection location, to record pressure evolution over time, as 163 shown in Fig. 6. It is worth noting that although 3DEC does not support two-phase flow simulations 164

and cannot capture the detailed migration of CO₂ plumes, the results of pressure magnitude and 165

spatial extent of pressure changes are comparable to Mathias et al. (2009) approximate solutions. 166

The pressure buildup for GM1 and GM2 are 0.17 MPa and 0.18 MPa, respectively, showing no 167

significant difference, as illustrated in Fig. 7. Notably, in the GM2, the influence of the dip angle of 168

the Chinshui Shale caprock can be observed. 169



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Fig. 6. Pressure buildup evolution over time.





Fig. 7. Pressure buildup distribution after five tears of injection in GM2



180 GM1 assuming that a nearby blind fault is located within the R2 caprock above the injection point, 181 at a distance of 100 meters (as shown in Fig. 8). It can be observed that the locations of maximum normal displacement and maximum shear displacement both occur at the lower-left corner of the 182 fracture. This is because the lower-left corner is the closest point to the injection location. The 183 temporal evolution of normal and shear displacements at this point is shown in Fig. 9. The 184 185 maximum normal displacement is approximately 31 µm (indicating fracture opening), while the 186 maximum shear displacement is approximately 6 µm.No shear or tensile failure was observed on the fracture plane; the relative displacements remained within the elastic deformation range. 187

188 The shear displacement along the fault plane for GM2 is shown in Fig. 10. The maximum 189 shear displacement occurs near the center of the fracture. The magnitude of shear displacement 190 is approximately 2.7 µm. No shear or tensile failure was observed on the fracture surface; the

- relative displacement shows a tendency toward reverse faulting but remains within the elastic
- 192 deformation range.
- 193 Interestingly, despite the distances from the injection point to the fracture being 100 meters and
- 194 5,700 meters in the two models, respectively, the maximum shear displacements were of a
- similar magnitude. This discrepancy appears unreasonable and is presumed to result from
- 196 numerical convergence errors or issues related to the mesh model, such as element size or
- 197 connectivity.



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199 Fig. 8. Normal and shear displacement of fault in GM1

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Fig. 9. Normal and Shear Displacement vs. Time on the Fracture Plane



Fig. 10. Shear displacement of fault in GM2

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To investigate the effects of two-phase flow during CO₂ injection into a saline aquifer, this study incorporated the Buckley–Leverett equation into the 3DEC model through the development of custom callback functions. These functions account for factors such as relative permeability, fluid viscosity, and the relationship between discharge and fluid saturation, while neglecting gravity and

210 capillary forces.

211 The simulation result of injection point pressure is shown in Fig. 11. An overpressure is observed at

the early stage of CO₂ injection, which is attributed to the very low relative permeability to CO₂.

213 This phenomenon was also reported by Villarrrasa et al. (2016). In other words, pressure buildup

214 under two-phase flow conditions is significantly higher than that observed in single-phase flow.

215 Consequently, employing simplified single-phase flow models for CO_2 injection simulations may

- 216 underestimate the actual pressure response and, in turn, the associated geomechanical risks.
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6. Conclusion and future work

224 Based on single-phase fluid flow simulations at an injection rate of 1 Mt/year, the adjacent faults 225 (Model 1 and Model 2) at the Changhua Coastal Industrial Park CCS pilot site remain stable. 226 However, a comparison with two-phase flow simulations using the Buckley-Leverett equation 227 reveals that pressure buildup is significantly higher under two-phase conditions. An overpressure 228 phenomenon is also observed during the early stages of CO₂ injection, primarily due to the low 229 relative permeability to CO₂. To improve the accuracy of geomechanical assessments, it is recommended to continue developing 230 and integrating two-phase flow capabilities into 3DEC, including the effects of gravity and capillary 231 232 forces, in order to better evaluate their influence on fault stability. 233 7. Acknowledgements 234 235 This research was supported by the National Science and Technology Council (NSTC), Executive 236 Yuan, Taiwan, under the project "CO2 sequestration performance assessment of induced Seismicity" (Project No. NSTC 112-3111-Y-042A-001). 237 238 239 References 240 1. IEA (2017).Energy Technology Perspectives 2017, IEA, Paris 241 https://www.iea.org/reports/energy-technology-perspectives-2017, License: CC BY 4.0. 242 Mortezaei, K., Vahedifard, F. (2015), Numerical Simulation of Induced Seismicity in Carbon 2. 243 Capture and Storage Projects, Geotech Geol Eng, 33:411-424, DOI 10.1007/s10706-015-9859-244 7. 245 Rutqvist, J. (2012), The Geomechanics of CO2 Storage in Deep Sedimentary Formations, 3. 246 Geotechnical and Geological Engineering, Vol. 30, pp. 525-551. 247 4. Hanks, T.C., Kanamori, H. (1979), A moment magnitude scale, Journal of Geophysical Research: 248 Solid Earth, Vol. 84, pp.2348-2350. 249 5. Rutqvist, J., Birkholzer, J., Cappa F., Tsang, C-F. (2007), Estimating maximum sustainable 250 injection pressure during geological sequestration of CO2 using coupled fluid flow and 251 geomechanical fault-slip analysis, Energy Conversion and Management, Vol. 48, pp. 1798-1807. 252 6. IAEG (2022), Guidelines for the development and application of engineering geological 253 models on projects, International Association for Engineering Geology and the Environment 254 (IAEG), Commission 25 Publication No. 1, 129 pp. 255 7. SINOTECH (2014). Geological investigation and technology development for the pilot CO₂ geological storage site. Final report commissioned by Taiwan Power Company. 256 Lin, D.-S., Wang, C.-Y., Hsu, S.-K., Ni, C.-F., Jao, J.-C., Hsieh, P.-C., & Yang, K.-M. (2017). 257 8. 258 Investigation of potential CO₂ geological storage sites in onshore and offshore central Taiwan 259 and planning for CCS development. Final report of a research project funded by the Ministry of 260 Science and Technology, Taiwan. 261 Leonard, M. (2014), Self-Consistent Earthquake Fault-Scaling Relations: Update and Extension 9. 262 to Stable Continental Strike-Slip Faults, Bulletin of the Seismological Society of America, Vol. 104, No. 6, pp. 2953–2965, December 2014, doi: 10.1785/0120140087. 263

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