



28 **Abstract**

29 Using ERA5 data from 1979 to 2024, this study classifies 173 wintertime Cold Air  
30 Outbreak (CAO) events in midlatitude Asia, based on their temporal phasing relative to  
31 pulse-like intensifications of warm air mass transport into the polar stratosphere above  
32 400 K (PULSEs). Two PULSE-related types are identified: PULSE-lead (18.0%),  
33 where the PULSE precedes the CAO peak, and PULSE-lag (21.4%), where it follows.  
34 PULSE\_lead events exhibit slightly more persistent and widespread cold anomalies  
35 across Eurasia. The phasing is found to be governed by the planetary-wave driven  
36 coupling between the poleward stratospheric warm branch and equatorward  
37 tropospheric cold branch of the isentropic meridional mass circulation at 60°N,  
38 respectively dominated by warm air transport over the Northwestern Pacific and cold  
39 air transport over Asia. PULSE\_lead events are preceded by rapid propagation of  
40 wavenumber-2 energy into the stratosphere, simultaneously intensify both branches. In  
41 contrast, PULSE-lag events are triggered by a stronger Ural ridge and downstream  
42 energy dispersion, with delayed wavenumber-1-dominated upward wave flux  
43 strengthening the stratospheric warm branch only after the CAO. While PULSE\_lag  
44 events are mainly caused by tropospheric processes, a downward impact from the  
45 stratosphere is found for PULSE\_lead. The precursory PULSE induces a stratospheric  
46 mass deficit over the East Asian trough region, resulting in barotropic low anomalies,  
47 which helping maintain the trough and prolong the CAO. Furthermore, PULSE\_lead  
48 events have detectable stratospheric polar vortex anomalies 2 weeks in advance. This  
49 study clarifies the diversity of CAO formation mechanisms and demonstrates the  
50 existence of a subset involving active stratospheric pulse-like precursors.

51 **Key Words:** Cold air outbreak; Isentropic meridional mass circulation; Stratosphere-  
52 troposphere coupling; Stratospheric polar vortex

## 53 **1 Introduction**

54 Cold Air Outbreak (CAO) events in Asia represents southward intrusion of polar  
55 air masses, inducing widespread cold temperatures across mid-latitudes. The  
56 convergence of this cold air with warm moist air from the south often causes hazardous  
57 weather, including heavy snowfall, freezing rain, and strong winds, imposing  
58 substantial socioeconomic risks (Shi et al. 2020; Deng and Xu 2024). Asian CAOs  
59 occurred 8~10 times per winter (Chen et al. 2004; Yu et al. 2018a) and a pronounced  
60 warm Arctic–cold Eurasia trend pattern has been observed from the early 1990s to the  
61 early 2010s, even under global warming (Francis and Vavrus 2012; Cohen et al. 2014;  
62 Francis et al. 2017). Therefore, improving prediction skills of Asian CAOs remains a  
63 major priority for operational forecasting centers.

64 Asian CAOs are primarily driven by tropospheric circulation anomalies. Synoptic  
65 systems such as the Siberian High and Mongolian cyclones are often coupled with the  
66 Ural blocking high and deepened East Asian trough above, which govern the near-  
67 surface cold air accumulation and advection (Cohen et al. 2001; Cheung et al. 2012;  
68 Miao and Wang 2020; Hwang et al. 2022; Cholaw et al. 2022; Zhang and Lu 2022,  
69 Dong et al. 2023; Li et al. 2024). Meridional and zonal teleconnection patterns also play  
70 an important role. The negative phase of the Arctic Oscillation (AO) establishes the  
71 large-scale circulation background that facilitates the southward advance of cold air  
72 masses (Park and Ahn, 2016; Gong et al., 2019, 2022, 2024). The multidecadal  
73 fluctuations of the AO dominates Eurasian winter surface air temperature trends and  
74 CAO occurrence on interannual to interdecadal timescales, with dynamical processes  
75 contributing over 60%–80% of the total variance and even higher (~85%) to for extreme  
76 cold events. Meanwhile, the negative phase of the Eurasian (EU) pattern provides direct  
77 forcing for the intensification and persistence of the East Asian trough and the Ural  
78 High by establishing a canonical “western ridge-eastern trough” anomaly pattern (Wu  
79 and Wang 2002; Lim and Kim 2013; Liu et al. 2014; Maeda et al. 2021). Beside these

80 internal variabilities, Arctic amplification (AA)-related forcing (e.g., Barents-Kara Sea  
81 sea-ice loss) can accumulate through persistent positive feedbacks on multidecadal  
82 scales, contributing approximately -0.24 K/decade to central Eurasian cooling and  
83 effectively suppressing externally forced warming to form a “warming hole” since 1980  
84 (Gong et al., 2025). A closer investigation on individual events yields two distinct types  
85 of Asian CAOs (Park et al. 2014, 2015): i) Wave-train type, driven by baroclinic wave  
86 trains (positive in west Siberia, negative in Northeast China, positive in Northwestern  
87 Pacific), similar to the negative EU pattern and ii) Blocking type, tied to a height dipole  
88 consisting of the subarctic blocking and the East Asian coastal trough to the south,  
89 accompanied by a negative AO. However, these tropospheric signals exhibit limited  
90 predictability beyond two weeks (Simmons and Hollingsworth 2002; Zhang et al. 2019),  
91 while tropical predictability sources such as the El Niño-Southern Oscillation (ENSO)  
92 and Madden-Julian Oscillation (MJO) provide predictive skills during specific phases  
93 only (Dasgupta et al. 2021; Mayer et al. 2024). Exploring new predictability source is  
94 still in need.

95 Growing attention has been drawn to the neighbor above the extratropical  
96 troposphere, which is the stratospheric polar vortex (SPV), because of its higher  
97 predictability beyond 2 weeks by current operational models (Tripathi et al. 2015;  
98 Domeisen et al. 2020), lower-frequency variability (Cai and Ren 2007; Yu et al. 2018b),  
99 and downward impact (e.g., Baldwin et al. 2001). Particularly, 1-2 months after a  
100 weaker SPV or a stratospheric sudden warming (SSW) event, cold anomalies are more  
101 likely to occur over Eurasian and North American continents (Thompson et al. 2002;  
102 Kidston et al. 2015; Lehtonen and Karpechko 2016). Zhang et al. (2025) objectively  
103 classified Asian CAO events into three types (south, mixed, and north) based on the  
104 locations of core cold anomalies, and found that they are accompanied by different  
105 strength of pre-existing stratospheric polar vortex, a background under which even  
106 similar wave activities can result in dissimilar upper-tropospheric circulation anomalies  
107 over East Asia leading to different extents and magnitudes of anomalous coldness. The  
108 pulse-like intensification of stratospheric poleward warm air mass transport or heat flux  
109 into the polar stratosphere (denoted as “PULSE” in Cai et al. 2016) exhibits a quasi-

110 biweekly periodicity, which dynamically dominates the weakening of SPV (Polvani and  
111 Waugh 2004; Yu et al. 2018a, b, c; Dunn-Sigouin and Shaw 2020). Within the  
112 framework of the isentropic meridional mass circulation (Johnson 1989; Cai and Shin  
113 2014), these PULSE events are often coupled with strengthened equatorward transports  
114 of polar cold air in the lower troposphere, both driven by westward-tilted, deep-  
115 structured planetary waves (Yu et al. 2015a, b; Yu and Ren 2019). The frequently  
116 occurring PULSE events are argued to be more effective stratospheric indicators of  
117 individual CAO events than rare SSWs and the slow-varying SPV intensity (Yu et al.  
118 2018a). In addition, PULSE can provide forecasts 20 days in advance by operational  
119 models like Climate Forecast System Version 2 (CFSv2) (Cai et al. 2016; Yu et al. 2019;  
120 Domeisen et al. 2020), thus serving as a sub-seasonal forecasting candidate for CAO.

121 However, utilizing stratospheric signals in forecasting CAOs in Asia is a particular  
122 challenge because Asian CAOs often precede both weak SPV events and pulse-like  
123 stratospheric disturbances. This suggests that the circulation anomalies and large-scale  
124 waves associated with Asian CAOs appear to be a cause rather than a consequence of  
125 stratospheric anomalies. Many studies (Kolstad et al. 2010; Woo et al. 2015; Yu et al.  
126 2024) consistently conclude that Asian cooling is more commonly seen during the two  
127 weeks before the central dates of SSWs, the peak dates of weaker SPV events and  
128 PULSE events. This pre-event cooling is particularly pronounced during wave-1-  
129 dominated processes (Yu et al. 2018a; Zhou et al. 2024; Choi et al. 2021). Nevertheless,  
130 counterexamples exist. During the 2020/21 winter, Asia experienced two successive  
131 record-breaking CAOs, with the second following SSW and PULSE events (Yu et al.  
132 2021; Zhang et al. 2022; Davis et al. 2022). Moreover, CAOs with stratospheric  
133 precursors tend to be exceptionally severe and long-lasting (Park et al. 2014; Garfinkel  
134 et al. 2017; Zhang et al. 2022; Huang et al. 2025). Although Asian CAOs with  
135 stratospheric precursors are not the majority, they deserve particular attention, as they  
136 represent window of opportunity for utilizing stratospheric signals in the sub-seasonal  
137 forecasts.

138 To distinguish between Asian CAOs with and without precursory PULSE signals,  
139 we developed an objective classification framework based on a lagged time-domain

140 Empirical Orthogonal Function (EOF) analysis of stratospheric poleward warm air  
141 mass transport. Using such classification, we then investigated the characteristics of  
142 these two types, explored the associated circulation patterns, wave activity and  
143 propagation, and isentropic air mass distribution as key processes in stratosphere-  
144 troposphere coupling. Our particular focus is on two questions: (i) What mechanisms  
145 allow PULSEs to precede certain Asian CAO events? (ii) Through what pathways do  
146 these precursory PULSE events exert downward influence to amplify subsequent CAOs?

## 147 **2 Data and Methods**

### 148 **2.1 Data**

149 The data used include daily mean 2-m temperature ( $T_{2m}$ ), surface pressure ( $P_s$ ),  
150 three-dimensional geopotential ( $z$ ), air temperature ( $T$ ), meridional wind ( $v$ ), and zonal  
151 wind ( $u$ ) fields derived from the 6-hour daily ERA5 data from November 1979 to March  
152 2025 (Hersbach et al., 2020). The data fields are on  $1.5^\circ \times 1.5^\circ$  grids and 37 levels  
153 from 1000 to 1 hPa. Surface and three-dimensional potential temperature fields ( $\theta_s$  and  
154  $\theta$ ) were calculated from the  $P_s$ ,  $T_{2m}$  and  $T$ . T-N wave activity fluxes were derived from  
155 daily fields of  $z$ ,  $T$ ,  $u$ ,  $v$  (Takaya and Nakamura 2001). Thermodynamic tropopause  
156 pressure data is from the National Centers for Environmental Prediction.

157 A winter is defined as the period from November 1 of one year to March 31 of the  
158 next. Daily climatological mean fields were obtained by first calculating the multi-year  
159 (1979 - 2024) average for each calendar day from November 1 to March 31, and then  
160 applying a 31-day running mean. Anomaly fields were derived by subtracting the  
161 climatological mean of the corresponding calendar day from the raw data. The winter  
162 mean for each year was removed to filter out interannual variability modulated by  
163 external forcing, such as ENSO. A 7-day filtering was further applied to suppress high-  
164 frequency synoptic noise.

## 165 2.2 Calculation scheme of isentropic layer mass and meridional mass flux

166 Following the approaches of Yu et al. (2014, 2015b), the isentropic layer mass and  
 167 meridional mass flux were computed across 16 layers. Fifteen specific isentropic levels  
 168 were selected:  $\Theta_n(n=1, 15)=250, 260, 270, 280, 290, 300, 315, 330, 350, 370, 400,$   
 169  $450, 550, 650,$  and 850 K. These define 14 adjacent isentropic layers, with values  
 170 specified at the lower surface of each layer. An additional lowest layer (denoted as  
 171 “<250 K”) and a top layer (denoted as “>850 K”) were included to complete the 16-  
 172 layer structure using potential temperature as the vertical coordinate.  $v$  and  $\theta$  fields are  
 173 interpolated onto 200 equally spaced iso-sigma surfaces ( $\sigma$ -levels) ranging from 0 to 1.  
 174 Values below  $\theta_s$  are considered as below ground, thus removed. Based on whether the  
 175 potential temperature of an air parcel falls within the range of  $\Theta_n$  to  $\Theta_{n+1}$ , the mass  
 176 and meridional mass flux in each isentropic layer are obtained:

$$177 \quad mv_\theta(\lambda, \phi, \Theta_n, t) = \int_0^1 P_s(\lambda, \phi, t) \frac{\delta\sigma}{g} \cdot v(\lambda, \phi, \sigma, t) \cdot Y(\theta(\lambda, \phi, \sigma, t), \Theta_n, \Theta_{n+1}) d\sigma, \quad (1)$$

$$178 \quad m_\theta(\lambda, \phi, \Theta_n, t) = \int_0^1 P_s(\lambda, \phi, t) \frac{\delta\sigma}{g} \cdot Y(\theta(\lambda, \phi, \sigma, t), \Theta_n, \Theta_{n+1}) d\sigma, \quad (2)$$

179 where  $\phi$  denotes latitude,  $\lambda$  denotes longitude, and  $t$  denotes time.  $\delta\sigma=1/200$ ,  $g$   
 180 is the gravitational acceleration ( $9.8 \text{ m/s}^2$ ), and  $Y(x, x_1, x_2)$  is a boxcar function that  
 181 equals 1 when  $x_1 \leq x < x_2$  and 0 otherwise. Equations (1) and (2) were applied for layers  
 182  $\Theta_n$  (where  $n = 1$  to 14). For the lowest layer ( $n = 0$ ),  $\Theta_n$  was replaced by 0, and for the  
 183 top layer ( $n = 15$ ),  $\Theta_{n+1}$  was replaced by  $+\infty$ . A positive (negative) value of  $mv_\theta$   
 184 corresponds to northward (southward) transport of air mass with specific thermal  
 185 characteristics.

186 The zonal integrals of  $mv_\theta$  and  $m_\theta$  are as follows. The integration of mass or  
 187 mass flux over the specified longitudinal range yields the following.

$$188 \quad MV_\theta(\phi, \Theta_n, t) = \int_{\lambda_1}^{\lambda_2} \int_0^1 P_s(\lambda, \phi, t) \frac{\delta\sigma}{g} \cdot v(\lambda, \phi, \sigma, t) \cdot Y(\theta(\lambda, \phi, \sigma, t), \Theta_n, \Theta_{n+1}) d\sigma d\lambda, \quad (3)$$

$$189 \quad M_\theta(\phi, \Theta_n, t) = \int_{\lambda_1}^{\lambda_2} \int_0^1 P_s(\lambda, \phi, t) \frac{\delta\sigma}{g} \cdot Y(\theta(\lambda, \phi, \sigma, t), \Theta_n, \Theta_{n+1}) d\sigma d\lambda, \quad (4)$$

190  $\lambda_1$  and  $\lambda_2$  denote the outer boundaries of the required longitudinal integration  
 191 range.

192 In the winter hemisphere,  $mv_\theta > 0$  in the upper troposphere and stratosphere but  $< 0$   
 193 in the lower troposphere, forming a hemispheric meridional mass circulation  
 194 characterized by poleward transport of warm air (denoted as the “warm branch”) and  
 195 equatorward transport of cold air (denoted as the “cold branch”), consistent with Cai  
 196 and Shin (2014).

197 To quantify the intensity of stratospheric poleward warm air transport, we follow  
 198 Cai et al. (2016) and define an ST60N index, which is the net poleward transport of  
 199 warm air mass above the 400K in unit of kg per second.

$$200 \quad ST60N(t) = \int_0^{2\pi} \sum_{\theta_n=400K}^{850K} mv_\theta(\lambda, \phi = 60^\circ N, \theta_n, t) R \cos\phi d\lambda, \quad (5)$$

201 where  $R$  is the Earth’s radius. Thereafter, the ST60N index was standardized.

202 The wavenumber-1 and -2 components of the  $mv_\theta$  and ST60N (denoted as  
 203 ST60N\_W1 and ST60N\_W2) are derived by decomposing  $v$  into its spectral  
 204 components ( $v_1$  and  $v_2$ ) using Fourier transform, which are then substituted for  $v$  in  
 205 Equations (1) and (3).

### 206 **2.3 Asian cold area index and selection of CAO events**

207 This study employs the cold area percentage (CAP) index defined by Cai (2003)  
 208 and Yu et al. (2015a), which quantifies the percentage of grid points within a specific  
 209 region where the  $T_{2m}$  anomaly falls below -0.5 times the local standard deviation (LSD)  
 210 across all winter days. The region used in this study spans over Asia ( $30^\circ$ – $60^\circ$ N and  
 211  $60^\circ$ – $135^\circ$ E), denoted as Asian CAP (ACAP). The threshold of -0.5 LSD was selected  
 212 because it captures moderate yet statistically significant cold anomalies, providing a  
 213 balanced criterion for identifying coherent and meteorologically relevant CAOs across  
 214 the extensive and topographically diverse study region.

215 An Asian CAO event is identified when the ACAP index exceeds the 50<sup>th</sup> percentile  
 216 (26.4 %) for at least two consecutive days, with its peak surpassing the 70<sup>th</sup> percentile  
 217 (37.4 %). The 50<sup>th</sup> percentile threshold ensures that the cold coverage exceeds the  
 218 winter median, while the peak threshold has also been tested using the 65<sup>th</sup> and 75<sup>th</sup>  
 219 percentiles, and the main results remain qualitatively unchanged. The period of the

220 event is defined by the start and end dates during which the index remains above the  
221 50<sup>th</sup> percentile. We require that two adjacent events must be separated by at least three  
222 days, otherwise only the event exhibiting the higher maximum value is retained. The  
223 peak date corresponds to the day with the maximum value during the event's duration.  
224 A total of 173 CAO events were identified during winters from 1979 to 2024.

## 225 **3 Temporal Phasing between Stratospheric Poleward Warm Air** 226 **Transport Anomalies and Asian CAOs**

### 227 **3.1 Temporal Phasing-based Classification of CAO**

228 The spectrum of both ACAP and ST60N indices shows pronounced peaks at quasi-  
229 biweekly timescales (Fig. 1), confirming a close temporal alignment between Asian  
230 CAO and PULSE events, as indicated by Yu et al. (2018c). The composite evolution of  
231 ST60N shows statistically significant and persistent positive values during and after the  
232 peak dates of CAO (Fig. 2a), consistent with PULSEs often emerging after Asian CAOs  
233 (Woo et al. 2015; Yu et al. 2024). After decomposing ST60N into different  
234 wavenumbers, the wavenumber-1 component shows a clear increase with time and  
235 peaks around day +7, contributing to the peak of ST60N after CAO peak. This phase  
236 relationship supports the established finding that in events dominated by strong  
237 wavenumber-1 waves, such as displacement-type SSWs and wavenumber-1-dominant  
238 PULSE events, Eurasian cold events typically peak before the central stratospheric  
239 signal (e.g., Hyesun et al. 2021; Zhou et al. 2024). In contrast, the ST60N\_W2 peaks  
240 almost concurrently with the ACAP index, aligning with previous reports that Asian  
241 CAOs tend to occur concurrently with split-type SSWs and wavenumber-2-dominant  
242 PULSE events (Kuttippurath and Nikulin 2012; Yu et al. 2018c).

243 However, an event-by-event examination reveals that not all Asian CAOs lack a  
244 precursory stratospheric PULSE signal. To objectively classify their temporal phasing  
245 relationships, EOF analysis was applied to the 173 temporal evolutions of the ST60N

246 index, centered on the peak dates of CAO events ( $\pm 7$  days). The evolution from Day -  
247 7 to Day +7 is considered as the “spatial” dimension and the number of CAO events is  
248 the “temporal” dimension. The two dominant resulting modes are shown in Fig. 3. The  
249 positive phase of the leading mode (EOF1, 30.0% variance) exhibits strongly positive  
250 ST60N values in the week preceding the CAO peak, suggesting the presence of a  
251 precursory stratospheric pulse signal. Conversely, the positive phase of the second  
252 mode (EOF2, 28.2% variance) features PULSEs in the week following the CAO peak.  
253 Based on the ST60N evolution patterns, CAO events were further classified into two  
254 distinct categories coupled with PULSEs. Events were classified as PULSE\_lead if the  
255 principal component of the EOF1 (PC1) exceeded  $+0.5\sigma$ , and EOF1 dominated the  
256 event' s evolution, with the absolute value of PC1 being greater than that of PC2.  
257 Conversely, events satisfying these same criteria for the EOF2 mode were categorized  
258 as PULSE\_lag, indicating cases where the stratospheric PULSE follows the CAO peak.

259 This classification framework identified 31 PULSE\_lead events and 37  
260 PULSE\_lag events, respectively accounting for 18.0% and 21.4% of the total,  
261 highlighting the different roles of stratospheric precursors versus subsequent feedbacks  
262 in the evolution of CAOs in Asia. The composite evolution of the ST60N index differs  
263 markedly between the two types, demonstrating that the validity and effectiveness of  
264 the classification method.

### 265 **3.2 Different Characteristics of Cold Extremes in Two Types of CAO**

266 Figs. 2b and 2c display the composite ACAP index evolution during the  $\pm 7$ -day  
267 windows centered on the peak dates of the PULSE\_lead and PULSE\_lag CAO events,  
268 with the significance of the composite was determined using a two-sample t-test at the  
269 95% confidence level by comparing event samples with all winter samples, ensuring  
270 high consistency of anomalies among individual events within each category. A  
271 comparison between two types of events reveals two features. The peak magnitudes of  
272 composite mean ACAP index are comparable ( $\sim 60\%$ ), indicating no detectable  
273 difference in the maximum cold area coverage. However, PULSE\_lead events tend to

274 maintain statistically significant values of ACAP for approximately one day longer on  
275 average than PULSE\_lag events, with a difference observed on the fourth day after the  
276 peak. Fig. 4 further shows the spatial distribution of the occurrence probability of cold  
277 extremes, defined as  $T_{2m}$  anomalies below -0.5 LSD (medium-to-intense) and -1 LSD  
278 (intense), averaged over the duration of the CAO events of each type. It is seen that  
279 during both types, a “warm Arctic – cold midlatitude continent” pattern dominates the  
280 Eurasian sector, with fewer occurrence probabilities of cold extremes over the high-  
281 latitude Western Russia/Siberian Arctic but more over Central and East Asia. In  
282 Mongolia and northern/eastern China, the probability of medium-to-intense cold  
283 anomalies exceeds 50%, which is 30% higher than the winter climatology, while intense  
284 cold anomalies surpass 35% (20% above climatology). The key difference between two  
285 types of CAOs is the spatial extent. During PULSE\_lead events, elevated cold anomaly  
286 probabilities cover nearly the entire midlatitude Eurasian continent. Eastern China in  
287 particular experiences a more extensive and continuous area with a  $\geq 30\%$  probability  
288 increase for medium-to-intense cold, compared to PULSE\_lag events. In general,  
289 PULSE\_lead events are characterized by a slightly more persistent, widespread cold  
290 anomaly pattern across Eurasia than PULSE\_lag events, consistent with the modest  
291 difference in the composite mean values of ACAP index between the two types, as  
292 shown in Figs 2b and 2c.

### 293 **3.3 Vertical Profiles of Isentropic Meridional Mass Fluxes**

294 Because the daily variations of isentropic meridional mass circulation around  
295  $60^\circ\text{N}$  redistribute cold and warm air masses in the extratropics, dynamically driving the  
296 “warm Arctic-cold midlatitudes” pattern (Yu et al. 2015a; Sorokina et al. 2016; Lin et  
297 al. 2025), we next examine the longitudinal and vertical structure of isentropic  
298 meridional mass fluxes across  $60^\circ\text{N}$  ( $mv_\theta(\lambda, \phi=60^\circ\text{N}, \Theta_n, t)$ ) and their zonally integral  
299 around peak dates of CAOs, in order to demonstrate how PULSE events, i.e., intensified  
300 warm air mass transport into the polar stratosphere, are connected to nearly half of  
301 CAOs in Asia.

302 During the week before both PULSE\_lead (Figs. 5a) and PULSE\_lag (Figs. 6a)  
303 events, statistically significant negative anomalies of  $mv_\theta$  are present within the 60°E–  
304 120°E sector, extending from below 250K to 650K. These anomalies below 280K  
305 indicate a stronger equatorward transport of cold polar air through the Asian route,  
306 which is one of the three main routes of cold air outbreaks identified by Iwasaki et al.  
307 (2012) and Yu et al. (2024). Simultaneously, positive anomalies are observed within the  
308 10°E–60°E sector, but the longitudinal extent of this signal below 270 K is notably  
309 narrower than that of the equatorward transport. This suggests an intensified poleward  
310 mass transport of warmer air to the west of cold air intrusion. Together, this zonal dipole  
311 pattern in isentropic mass flux anomalies across the polar circle provides a dynamical  
312 explanation for the “warm Arctic–cold midlatitude Eurasia” configuration shown in Fig.  
313 4. This dipole pattern had largely vanished one week after the CAO peaks.

314 From Figs. 5a and 6a, we can observe a zonal dipole pattern in  $mv_\theta$  anomalies at  
315 stratospheric layers above 330 K. This pattern consists of the previously mentioned  
316 negative  $mv_\theta$  anomalies over Asia extending from the bottom, and positive anomalies  
317 to the east over Northwestern Pacific (120°E–150°E), which is the primary and  
318 vertically deepest climatological route for warm air transport into the polar stratosphere  
319 as indicated by the climatology. However, these positive anomalies observed in the  
320 week before PULSE\_lag events are much weaker and narrower than those associated  
321 with PULSE\_lead events (compare Figs. 5a and 6a). Instead, widespread positive  
322 anomalies only emerged in the subsequent week of PULSE\_lag events (Fig. 6b).

323 From the isentropic meridional mass circulation perspective or zonal integral  
324 perspective (right panels of Figs. 5a and 6a), we find that during the week before both  
325 types, the strengthened equatorward mass fluxes via the Asian route were associated  
326 with a strengthened cold branch of the isentropic meridional mass circulation, indicated  
327 by significantly negative anomalies in the zonally integrated  $mv_\theta$  between 260–270 K.  
328 Coupled with the strengthening of cold branch was the strengthening of the warm  
329 branch aloft, but the layers of strengthening differ: in the stratosphere (above 350 K)  
330 for PULSE\_lead but in the mid-upper troposphere (290–315 K) for PULSE\_lag. In  
331 addition, we can see the co-occurrence of positive zonally integrated  $mv_\theta$  anomalies

332 above 350 K and positive  $mv_\theta$  anomalies over the Northwestern Pacific region in the  
333 week before PULSE\_lead events as well as the week after PULSE\_lag events,  
334 suggesting that poleward warm air transport in this sector often dominates the total  
335 stratospheric warm air transport across 60°N. Therefore, due to the regional coupling  
336 between equatorward cold air transport over the Asian sector and poleward warm air  
337 transport over the Northwestern Pacific sector within the stratosphere, a uniquely deep  
338 vertical coupling exists between the cold branch and the stratospheric warm branch of  
339 the isentropic meridional mass circulation before PULSE\_lead-type Asian CAO events,  
340 which has been observed among continental-scale CAOs with precursory PULSEs and  
341 higher subseasonal predictability (Yu et al. 2022, 2023; Yang et al. 2025).

342 Wave decomposition of isentropic meridional mass fluxes further clarifies the  
343 roles of planetary waves in the two types of CAO events. Specifically, the composite  
344 evolution of ST60N\_W1 and ST60N\_W2 (Figs. 2b and 2c) shows that PULSE\_lead  
345 events are primarily driven by enhanced wavenumber-2 activity prior to the event. In  
346 contrast, PULSE\_lag events arise are contributed from both wavenumbers after the  
347 event, with wavenumber-1 dominating. A closer examination of the decomposed  $mv_\theta$   
348 anomalies at 60°N (Figs. 5c–d and Figs. 6c–6d for wavenumber-1 and Figs. 5e–5f and  
349 Figs. 6e–6f wavenumber-2) shows that, for both event types, wavenumber-1 and -2  
350 components of  $mv_\theta$  anomalies constructively reinforce the climatological phase in the  
351 troposphere one week before the event, enhancing cold and warm air exchange between  
352 polar and mid-latitude regions and thus the CAO signature. For PULSE\_lead events,  
353 the wavenumber-2 components extend upward with a slight westward tilt, leading to  
354 poleward zonal integrated  $mv_\theta$  anomalies from 300 K up to 650 K in the prior week,  
355 whereas wavenumber-1 shows no significant stratospheric anomaly. For PULSE\_lag  
356 events, however, constructive superposition of both wavenumber-1 (dominant) and  
357 wavenumber-2 anomalies onto the climatological flow occurs in the stratosphere  
358 specifically during the week after the CAO peak. This implies a delayed upward  
359 propagation of planetary wave energy, amplifying stratospheric mass exchange after the  
360 tropospheric exchange. These results imply that a pre-existing enhancement of deep  
361 structure wavenumber-2 waves is essential for PULSE\_lead CAO events in Asia, while

362 the gradual upward propagation of planetary waves of both wavenumber-1 and -2 may  
363 relate to the PULSE\_lag events.

364 Note that in the remaining 60.6% CAO events, which lack a discernible PULSE  
365 signature, the  $mv_{\theta}$  anomalies at 60°N driven by wavenumber-1 and -2 are weak and  
366 statistically insignificant (not shown). This suggests that smaller-scale waves likely  
367 dominate the formation of these particular events. Given that planetary wavenumber-1  
368 and -2 serve as key mediators of stratosphere-troposphere coupling, the absence of  
369 pronounced activity in these planetary-scale components explains the lack of a clear  
370 stratospheric imprint for this subset of CAOs.

## 371 **4 Mechanism Underlying the Temporal Phasing of Asian CAO and** 372 **PULSE**

### 373 **4.1 Circulation Patterns in the Troposphere and Stratosphere and Wave Activities**

374 Next, we selected five stages relative to the peak dates of CAO events: 7 to 5 days  
375 before (days -7 to -5), 4 to 2 days before (days -4 to -2), 1 day before to 1 day after  
376 (days -1 to 1), 2 to 4 days after (days 2 to 4), and 5 to 7 days after (days 5 to 7). We then  
377 examined the composite mean large-scale circulation patterns at the 500 hPa level at  
378 each stage, as shown in Fig. 7. Prior to the peak dates of both event types, the Ural  
379 Ridge and East Asian Trough are noticeably amplified (Figs. 7a–f), a configuration  
380 widely documented to favor southward cold air advection from polar regions (e.g., Woo  
381 et al. 2015; Zhou et al. 2024). However, combining the composites of T-N wave activity  
382 flux, two key differences emerge. First, the magnitude of the high anomalies over the  
383 Ural region preceding PULSE\_lead events is only about two-thirds of that before  
384 PULSE\_lag events, indicating a comparatively weaker Ural Ridge during PULSE\_lead  
385 events. Second, clear downstream wave energy dispersion is only evident prior to  
386 PULSE\_lag events. As wave energy propagates downstream, the Ural Ridge, which  
387 becomes strongest during days -4 to -2, gradually weakens by days -1 to +1, while

388 downstream negative anomalies intensify, reflecting a deepening of the East Asian  
389 Trough (Figs. 7d, f). In contrast, no such upstream wave energy propagation is evident  
390 prior to PULSE\_lead events. Nevertheless, in these cases, the anomalously deep East  
391 Asian Trough is sustained throughout the prior week and even undergoes secondary  
392 intensification while shifting eastward between the day  $-4$  to  $-2$  and day  $-1$  to  $+1$   
393 periods (Figs. 7a, c, e).

394 From the composited stratospheric circulation patterns at 50 hPa and associated  
395 vertical component of T-N wave activity flux at 100 hPa (Fig. 8), we see that for  
396 PULSE\_lead events, significant negative anomalies are present over the Northern  
397 Eurasia as early as days  $-7$  to  $-5$ , indicating a preconditioning displacement of one low  
398 center of the stratospheric polar vortex toward Eurasia. Pronounced positive vertical T-  
399 N wave activity fluxes in these regions suggest early upward propagation of wave  
400 energy from the troposphere into the stratosphere (Fig. 8a). These upward wave fluxes  
401 peak during days  $-4$  to  $-2$ , accompanied by the emergence of northward horizontal  
402 wave fluxes east of the low anomalies, indicative of poleward heat transport (Fig. 8c).  
403 This aligns with the observed poleward warm air mass flux anomalies over the  
404 Northwestern Pacific region (Fig. 5a). By days  $-1$  to  $+1$ , sustained poleward heat and  
405 mass transport contributes to a meridional dipole anomaly pattern (high north and low  
406 south) within this longitudinal sector, with the low anomaly center shifting gradually  
407 southward (Fig. 8e). This evolution suggests that, prior to PULSE\_lead CAO events, a  
408 strengthened Ural Ridge paired with an intensified East Asian Trough triggers cold air  
409 southward outbreaks across Asia. Concurrently, associated increased wave energy  
410 mainly propagates upward into the stratosphere rapidly, strengthening poleward warm  
411 air transport downstream of the pre-existing Northern Eurasian low anomaly. Thus,  
412 owing to the largely unimpeded upward wave propagation associated with the Ural  
413 Ridge intensification, both the stratospheric warm branch and the tropospheric cold  
414 branch of the isentropic meridional mass circulation are amplified almost concurrently,  
415 preceding the peak of the CAO events belong to the PULSE\_lead type.

416 Distinctively, PULSE\_lag events show no significant stratospheric geopotential  
417 height anomalies or upward wave fluxes before the CAO peak (days  $-7$  to  $-2$ ; Figs. 8d,

418 f). Pronounced upward and poleward wave fluxes emerge only afterwards, resulting in  
419 decrease of height in the midlatitude Asia but increase in the polar region, thus  
420 weakening the stratospheric polar vortex. By then, the tropospheric circulation at 500  
421 hPa has already transitioned to a zonal flow pattern featured by low anomalies north of  
422 60°N and high anomalies to the south (Figs. 7h, j), creating a contrast with the  
423 stratospheric pattern at 50 hPa. This sequence suggests that for PULSE\_lag events, a  
424 strengthened Ural High enhances the East Asian Trough via downstream wave energy  
425 dispersion, collaboratively triggering the CAO. The associated planetary waves then  
426 propagate upward slowly, eventually inducing a delayed stratospheric response.  
427 Consequently, the stratospheric PULSE appears to be a lagged consequence rather than  
428 a precursor of the CAO in these cases.

429 The stratosphere-troposphere coupling relationship, in terms of the circulation  
430 patterns and wave activities, is more clearly illustrated in the pressure-longitude cross-  
431 sections of geopotential height anomalies and T-N wave activity fluxes, averaged over  
432 45–65°N, where both the East Asian Trough anomalies and the stratospheric low  
433 anomalies are mainly located. As shown in Figs. 9a and 9c, PULSE\_lead events exhibit  
434 enhanced upward wave activity fluxes over the Ural high region and its upstream area,  
435 which propagate predominantly upward into the stratosphere during days -7 to -2. In  
436 contrast, during the same period in PULSE\_lag events (Figs. 9b, d), although wave  
437 activity fluxes strengthen in the troposphere, they propagate mainly toward the low-  
438 pressure center, the East Asian trough within the troposphere, failing to reach the  
439 stratosphere above 100 hPa. Accompanying these wave activities, positive and negative  
440 anomalies in the troposphere amplify the climatological trough and ridge at days -4 to  
441 -2 for PULSE\_lag events (Fig. 9d). Then, during days -1 to +1, upward wave activity  
442 fluxes intensify in the stratosphere within the East Asian sector, coinciding with a  
443 further intensification and upward extension of negative height anomaly into the  
444 stratosphere. This anomaly maintains a westward-tilted vertical structure over the  
445 following week, superimposing onto the climatological trough, consistent with the  
446 strengthening of upward-propagating wave activity fluxes from the troposphere.  
447 Therefore, a bottom-up coupling process mediated by upward wave propagation is

448 dominant in both types of CAO events. Combining the results from Figs. 5c–f and 6c–  
449 f, the key distinction lies in the timing, efficiency, and dominant scale of this  
450 propagation: wavenumber-2 wave energy enters the stratosphere readily and early in  
451 PULSE\_lead events, whereas in PULSE\_lag events, the combined activity of  
452 wavenumber-1 (dominant) and wavenumber-2 waves strengthens and requires a longer  
453 period to propagate into the stratosphere.

454 Interestingly, during 1 week before PULSE\_lead events, the main feature in  
455 geopotential height anomalies is a nearly vertical, equivalent barotropic structure in the  
456 low anomalies (~80–120°E), with the negative maximum located in the stratosphere,  
457 above 50 hPa (Figs. 9a, c, e). This seems to suggest a top-down, equivalent barotropic  
458 response, which is quite different with those westward-tilted baroclinically amplifying  
459 wave structure found in the week after PULSE\_lag events. This barotropic structure  
460 found before PULSE\_lead CAO events raises a question of interest: do precursory  
461 stratospheric warm air mass transports exert a downward influence on Asian CAO  
462 events, and if so, through what primary pathways might this occur?

#### 463 **4.2 Downward Pathway from PULSE to CAOs of PULSE\_lead Type**

464 From the latitude-isentropic distribution of integrated isentropic mass anomalies  
465 averaged over three periods (days -7 to -5, -4 to -2, and -1 to +1) in the primary  
466 longitudinal range (70–150°E) of the East Asian trough intensification, it can be  
467 observed that prior to the occurrence of both PULSE\_lead and PULSE\_lag type of CAO  
468 event, the equatorward transport of cold air in the troposphere is anomalously strong  
469 (Figs. 5a, 5b). This results in a significant quadrupole pattern in the daily tropospheric  
470 mass anomalies in extratropics, which becomes increasingly pronounced by days -1 to  
471 +1 (Figs. 10a–f). Specifically, for cold air below 280 K, positive anomalies dominate at  
472 mid-latitudes south of 60°N, while negative anomalies prevail at high latitudes.  
473 Opposite can be said for warm tropospheric air above 280 K, indicating that the  
474 exchange of cold and warm air triggered by stronger cold branch is the primary  
475 mechanism behind the “warm Arctic–cold Eurasian mid-latitudes” pattern

476 characteristic of Asian CAO events as shown in Fig. 4.

477 In the stratosphere, for PULSE\_lead-type CAO events, anomalously strong  
478 poleward warm air transport (reflected by an enhanced ST60N index) is observed about  
479 one week before the peak event (Fig. 5a). This corresponds to a distinct pattern in daily  
480 stratospheric mass daily tendency anomalies, characterized by an increase at high  
481 latitudes and a decrease at mid-latitudes. As a result, negative stratospheric mass  
482 anomalies gradually shift southward, reaching their maximum amplitude around days -  
483 1 to +1 within the 45–65°N region. These anomalies lie directly above the negative  
484 warm air mass anomalies in the mid-latitude troposphere, collectively contributing to  
485 the deepening of the East Asian trough. This is consistent with the secondary  
486 intensification of East Asian trough around days -1 to +1, observed from Fig. 7e. In  
487 contrast, during the early stages of PULSE\_lag-type CAO events, which correspond to  
488 a weaker ST60N signal, positive mass anomalies prevail in the mid-latitude  
489 stratosphere. The accumulated negative mass anomalies above the 280 K isentropic  
490 level in the mid-troposphere are less pronounced than in PULSE\_lead events. This  
491 suggests that, despite the lower air density in the stratosphere, stratospheric mass  
492 anomalies still play an important role in the deepening and maintenance of the East  
493 Asian trough.

494 We further quantify the contribution from stratospheric PULSE by examining the  
495 joint probability density function (PDF) between two variables: (i) the total air mass  
496 anomalies in the stratosphere (above 350K) over the region (45–65°N, 70–150°E),  
497 averaged over days -4 to +1, and (ii) ST60N averaged over days -7 to -2 (Fig. 11a). It  
498 is seen that for PULSE\_lead events (red contours), the joint PDF is confined to the first  
499 and fourth quadrants, where ST60N is positive, indicating that the PULSE occurs prior  
500 to the CAO event. Large values of joint PDF predominantly concentrate in the fourth  
501 quadrant, characterized by the combination of precursory positive ST60N and  
502 subsequent negative stratospheric air mass anomalies over the East Asian mid-latitudes,  
503 with a total probability of 70%. This suggests that intensified warm air transport into  
504 the polar stratosphere results in anomalous stratospheric air mass deficit over the East  
505 Asian mid-latitudes. We further examine the joint PDF between the total air mass

506 anomalies above 350K and those above 290K (i.e., extending down into the md-  
507 troposphere) over the same region averaging period (Figure 11b). We found that joint  
508 PDF is largely concentrated in the third quadrant, where both stratospheric and  
509 tropospheric air mass anomalies are negative. Notably, larger negative anomalies above  
510 350 K correspond to larger negative anomalies above 290 K, indicating a coherent  
511 vertical structure. To quantify the stratospheric contribution, we define a contribution  
512 ratio as the total air mass anomaly above 350 K divided by that above 290 K. As shown  
513 by the right y-axis in Fig. 11b, this contribution ratio reaches up to 0.36, which is read  
514 from the shading, suggesting that despite the relative thinness of the stratospheric air,  
515 its mass variability makes a non-negligible contribution to the full column above the  
516 mid-troposphere, and thereby to the evolution of mid-tropospheric trough–ridge  
517 systems. Examining the joint distribution between mass anomalies above 350 K and  
518 their contribution ratio (shadings in Fig.11b), we find that the second quadrant,  
519 characterized by negative mass anomalies above 350K and a positive contribution ratio  
520 to those above 290K, shows a high probability of 62.3%. This indicates that, in  
521 PULSE\_lead events, the stratospheric mass deficit is not merely a passive response but  
522 actively contributes to the total column mass reduction. In contrast, for PULSE\_lag  
523 events, the absence of a clear shift of PDF toward positive ST60N (Fig. 11a, blue  
524 contours) results in stratospheric mass anomalies above 350K are nearly equally split  
525 between positive and negative values. Consequently, no clear preference is found for  
526 any mass-ratio quadrant (Figure 11c). These results demonstrate that, unlike  
527 PULSE\_lag events, in the early stage of PULSE\_lead events, the PULSE signal is not  
528 merely a stratospheric imprint of rapidly propagating tropospheric wavenumber-2  
529 waves. Instead, it acts to enhance poleward warm air transport over East Asia, leading  
530 to a reduction in mid-latitude air mass and lowered pressure, which in turn plays an  
531 important role in deepening and maintaining the East Asian trough, while the  
532 intensification of this trough is one of the key large-scale tropospheric circulation  
533 systems responsible for the formation of Asian CAO events.

## 534 **5 Conclusion and Discussion**

### 535 **5.1 Conclusions**

536 This study presents an objective classification of wintertime CAO events in  
537 midlatitude Asia based on their temporal phasing with pulse-like intensification of  
538 stratospheric poleward warm air transport (PULSE). By applying lagged EOF analysis  
539 to the ST60N index in the two weeks centered at the peak dates of 173 CAO events  
540 from 1979 to 2024, we identified two distinct types: PULSE\_lead events (18.0%),  
541 where the stratospheric PULSE precedes the CAO peak, and PULSE\_lag events  
542 (21.4%), where the PULSE follows it. While both types exhibit similar peak intensity  
543 in terms of cold area coverage and no significant difference in the magnitude of low-  
544 temperature anomalies, PULSE\_lead events are characterized by averagely longer  
545 persistence and a more widespread spatial extent of cold anomalies across Eurasia, thus  
546 posing a greater potential socioeconomic threat.

547 Our findings reveal the dynamical origins of these distinct temporal phasing  
548 relationships. From an isentropic meridional mass circulation perspective, the temporal  
549 phasing is fundamentally governed by the coupling between the stratospheric warm  
550 branch and tropospheric cold branch of the isentropic meridional mass circulation at  
551 60°N. The enhanced poleward warm air transport over the Northwestern Pacific  
552 dominates the intensification of stratospheric warm branch while the intensified  
553 equatorward cold air transport in the lower troposphere across Asia dominates the  
554 intensification of cold branch. When these two branches are simultaneously intensified,  
555 PULSE\_lead events occur, whereas when stratospheric warm air transport lags  
556 tropospheric cold air transport by more than one week, PULSE\_lag events develop.  
557 Wave decomposition and stratospheric-tropospheric circulation analysis demonstrate  
558 that during PULSE\_lead events, accompanied with the intensification of Ural ridge,  
559 increased wave energy (predominantly wavenumber-2) rapidly propagates upward into  
560 the stratosphere ahead of the CAO peak, driving the precursory PULSE. Conversely, in  
561 PULSE\_lag events, wave energy associated with a more remarkably intensified Ural

562 ridge propagates mainly eastward within the troposphere, amplifying the East Asian  
563 trough downstream and triggering the CAO first, followed by delayed upward  
564 propagation of combined wavenumber-1 (dominant) and wavenumber-2 energy that  
565 induces the stratospheric response. The more efficient wave propagation in  
566 PULSE\_lead events appears to be related to pre-existing polar vortex that shifts toward  
567 the Asian sector.

568 Results further demonstrate that for PULSE\_lead events, the precursory  
569 stratospheric PULSE signal is not merely a passive imprint. The early, wavenumber-2-  
570 driven PULSE leads to a significant reduction of air mass in the midlatitude stratosphere  
571 over East Asia, approximately the East Asian trough region. This stratospheric mass  
572 deficit contributes up to 0.36 of the total mass changes above the mid-troposphere,  
573 deepening and maintaining the East Asian trough. Such a stratospheric downward  
574 influence through stratospheric mass deficit mechanism occurs in 62.3% of the  
575 PULSE\_lead CAOs. This explains why with a comparatively weaker Ural Ridge  
576 compared to PULSE\_lag type, we can observe a more persistent and vertically  
577 barotropic East Asian trough anomaly, which creates a favorable large-scale circulation  
578 pattern that amplifies and sustains the subsequent CAO. This represents a downward  
579 influence pathway from the stratospheric PULSE to the tropospheric CAO over Asia.

580 In conclusion, by distinguishing between CAOs with and without precursory  
581 stratospheric PULSES, our results help reconcile seemingly contradictory findings in  
582 the literature. The common observation that Asian CAO often precedes weak SPV or  
583 PULSE events, as recorded in Kolstad et al. (2010), Woo et al. (2015) and Choi et al.  
584 (2021), is consistent with our PULSE\_lag type, which is often associated with  
585 wavenumber-1 dynamics. On the other hand, the notable counterexamples of severe  
586 CAOs following stratospheric disturbances, such as those in the 2020/21 winter  
587 accompanied with a split-type SSW (Yu et al. 2021; Zhang et al. 2022; Davis et al.  
588 2022), align with the PULSE\_lead type driven by wavenumber-2. By demonstrating  
589 that only a subset of CAOs (18%) involves active stratospheric pulse-like precursors,  
590 our findings clarify that these two perspectives are not mutually exclusive. In fact, they  
591 reflect different subsets of events with distinct formation mechanisms.

592        Rather than overgeneralizing the role of stratospheric downward influence in  
593 Asian CAOs, we highlight that a precursory, wavenumber-2-driven intensification of  
594 the stratospheric warm air mass transport into the polar stratosphere (ST60N\_W2) can  
595 contribute to a subset of Asian CAOs through a downward-influencing mass deficit  
596 mechanism. Then one may ask whether this signal offers a promising source of  
597 predictability at extended ranges. According to Yu et al. (2019), operational forecast  
598 models such as CFSv2 can provide up to 17 and 11 days of lead prediction for positive  
599 ST60N\_W2 events when a 2-day and 1-day time shift is allowed, respectively. This  
600 suggests that, for Asia, the use of precursory PULSE signals in stratospheric poleward  
601 warm air transport in sub-seasonal CAO forecasts may be feasible. However, it must be  
602 acknowledged that the applicability of this forecast pathway is inherently limited, as  
603 only a moderate fraction of CAO events exhibit precursory PULSE signals.

## 604 **5.2 Discussions**

605        Although the 78 identified PULSE-related CAO events account for approximately  
606 40% of all Asian CAOs, the remaining majority are associated with the negative phases  
607 of the leading EOF modes, corresponding to a less disturbed stratosphere and a stronger  
608 polar vortex. A next step would be to evaluate the practical forecast skill for these two  
609 distinct CAO types, in comparison to troposphere-only events, using state-of-the-art  
610 sub-seasonal prediction models. Such an assessment is crucial for translating the  
611 present dynamical understanding into improved operational forecasting capabilities.

612        Moreover, given that our analysis suggests a pre-existing Asian-shifted polar vortex  
613 is critical for establishing a stratospheric pathway and forming a precursory PULSE  
614 signal before CAOs, we further trace the earlier evolution of the stratospheric polar  
615 vortex. As shown in Figs. 12a–b, significant geopotential height anomalies at 50 hPa  
616 are evident as early as two weeks prior to both event types. For PULSE\_lead events, a  
617 significant negative anomaly in high latitudes (50–80°N) accompanied by stronger  
618 westerlies at 50°N is observed near North America beginning 15 days before the event.  
619 A negative anomaly also emerges over Asia around day –11. Combined with the spatial

620 pattern in Fig. 12c, this indicates an elongation and stretching of the polar vortex, a  
621 pattern consistent with existing literature (Park et al. 2014; Garfinkel et al. 2017; Zhang  
622 et al. 2022; Huang et al. 2025). Distinctively, the two weeks preceding PULSE\_lag  
623 events are characterized by negative anomalies over high-latitude North America and  
624 positive anomalies over high-latitude Europe and Central Asia. This pattern indicates a  
625 shift of the polar vortex toward North America preceding PULSE\_lag CAOs.  
626 Subsequently, from the CAO peak to two weeks after, the anomaly pattern reverses  
627 completely. This evolution implies the presence of a quasi-periodic oscillation in the  
628 stratospheric circulation, aligning with the earlier work of Shen et al. (2023). It is also  
629 similar to the stratospheric signals associated with North American reflective events  
630 (Kolstad et al. 2010; Woo et al. 2015; Yu et al. 2024). It is noteworthy to point out that  
631 previous studies have linked wave-train-type CAOs to the formation of a negative  
632 upper-tropospheric height anomaly southeast of Greenland approximately 12 days in  
633 advance, a signal potentially originating from the lower stratosphere over the North  
634 Atlantic (Cohen et al. 2010; Orsolini et al. 2016). This anomaly is observed in both  
635 types of PULSE-related CAOs in present study, suggesting that such a precursor, by  
636 itself, is insufficient to determine whether a subsequent CAO will be dynamically  
637 influenced by stratospheric downward propagation. This insight is corroborated by  
638 Shen et al. (2025), who reported that Eurasian cold events with similar local  
639 tropospheric circulations can exhibit divergent large-scale evolution, with only a subset  
640 being linked to the stratosphere.

641 Our primary investigation also yields that the annual occurrence of PULSE\_lead  
642 CAO events exhibits no significant long-term trend, suggesting weak influence from  
643 AA-related changes; instead, it is dominated by interannual variability, with a period of  
644 approximately 3 years accounting for 65.2% of the total variance, as identified by  
645 ensemble empirical mode decomposition (EEMD, Feng et al., 2014). Correlation  
646 analysis with external forcings indicates that these events are significantly correlated  
647 with linearly detrended Autumn sea ice concentration anomalies in Barents sea ( $r=-$   
648 0.302) and La Niña like sea surface temperature anomaly pattern ( $r=-0.325$ ). This is  
649 consistent with existing findings; for instance, Arctic sea ice loss in the Barents-Kara

650 Seas prolongs CAO duration through stratosphere-troposphere wave feedbacks (Deser  
651 et al. 2016; Zhang et al. 2022; Davis et al. 2022), while La Niña conditions can favor  
652 certain planetary wave configurations that modulate stratospheric pathways (Hu et al.  
653 2017; Zhang et al. 2022). Such pre-conditioning of the stratospheric polar vortex and  
654 its drivers need further investigation. This is important because identifying the large-  
655 scale conditions favoring PULSE\_lead-type CAOs at a longer lead time would enable  
656 early indication of whether an impending CAO is likely to follow the PULSE\_lead  
657 evolution pathway. In turn, this would allow for more informed utilization of  
658 ST60N\_W2-based forecasts to anticipate subsequent occurrence of CAOs in  
659 midlatitude Asia.

660 **References**

- 661 Baldwin, M. P., and T. J. Dunkerton (1999) Propagation of the arctic oscillation from the stratosphere to  
662 the troposphere. *J. Geophys. Res.*, 104(D24), 30937-30946, <https://doi.org/10.1029/1999JD900445>.
- 663 Cai, M. (2003) Potential vorticity intrusion index and climate variability of surface temperature. *Geophys.*  
664 *Res. Lett.*, 30, 1119, <https://doi.org/10.1029/2002GL015926>.
- 665 Cai, M., and R. Ren (2007) Meridional and Downward Propagation of Atmospheric Circulation  
666 Anomalies. Part I: Northern Hemisphere Cold Season Variability. *J. Atmos. Sci.*, 64, 1880–1901,  
667 <https://doi.org/10.1175/JAS3922.1>.
- 668 Cai, M., and C. S. Shin (2014) A Total Flow Perspective of Atmospheric Mass and Angular Momentum  
669 Circulations: Boreal Winter Mean State. *J. Atmos. Sci.*, 71, 2244–2263, [https://doi.org/10.1175/JAS-](https://doi.org/10.1175/JAS-D-13-0175.1)  
670 [D-13-0175.1](https://doi.org/10.1175/JAS-D-13-0175.1).
- 671 Cai, M., Y. Yu, Y. Deng, H. M. van den Dool, R. Ren, S. Saha, X. Wu, and J. Huang (2016) Feeling the  
672 Pulse of the Stratosphere: An Emerging Opportunity for Predicting Continental-Scale Cold-Air  
673 Outbreaks 1 Month in Advance. *Bull. Amer. Meteorol. Soc.*, 97, 1475–1489,  
674 <https://doi.org/10.1175/BAMS-D-14-00287.1>.
- 675 Cheung, H. N., W. Zhou, H. Y. Mok, and M. C. Wu (2012) Relationship between Ural–Siberian Blocking  
676 and the East Asian Winter Monsoon in Relation to the Arctic Oscillation and the El Niño–Southern  
677 Oscillation. *J. Clim.*, 25, 4242–4257, <https://doi.org/10.1175/JCLI-D-11-00225.1>.
- 678 Chen, T., W. Huang, and J. Yoon (2004) Interannual variation of the East Asian cold surge activity. *J.*  
679 *Clim.*, 17, 401–413, [https://doi.org/10.1175/1520-0442\(2004\)017,0401:IVOTEA.2.0.CO;2](https://doi.org/10.1175/1520-0442(2004)017,0401:IVOTEA.2.0.CO;2).
- 680 Choi H., J. H. Kim, B. M. Kim, and S. J. Kim (2021) Observational evidence of distinguishable weather  
681 patterns for three types of sudden stratospheric warming during northern winter. *Front. Earth Sci.*,  
682 9, 625868, <https://doi.org/10.3389/feart.2021.625868>.
- 683 Cholaw, B., Z. Anran, Z. Xie, M. Yong, and P. Gomboluudev (2022) The development of a powerful  
684 Mongolian cyclone on 14–15 March 2021: Eddy energy analysis. *Atmos. Oceanic Sci. Lett.*, 15(6),  
685 1674-2834, <https://doi.org/10.1016/j.aosl.2022.100259>.
- 686 Cohen, J., K. Saito, and D. Entekhabi (2001) The role of the Siberian high in Northern Hemisphere  
687 climate variability. *Geophys. Res. Lett.*, 28, 299-302, <https://doi.org/10.1029/2000GL011927>.
- 688 Cohen, J., J. Foster, M. Barlow, K. Saito, and J. Jones (2010) Winter 2009–2010: A case study of an  
689 extreme Arctic Oscillation event. *Geophys. Res. Lett.*, 37, L17707,  
690 <https://doi.org/10.1029/2010GL044256>.
- 691 Cohen, J., Screen, J., Furtado, J. et al. (2014) Recent Arctic amplification and extreme mid-latitude  
692 weather. *Nature Geosci.*, 7, 627–637, <https://doi.org/10.1038/ngeo2234>.
- 693 Davis, N. A., J. H. Richter, A. A. Glanville, J. Edwards, and E. LaJoie (2022) Limited surface impacts of

694 the January 2021 sudden stratospheric warming. *Nat. Commun.*, 13, 1136,  
695 <https://doi.org/10.1038/s41467-022-28836-1>.

696 Dasgupta, P. and M. K. Roxy, R. Chattopadhyay, et al. (2021) Interannual variability of the frequency of  
697 MJO phases and its association with two types of ENSO. *Sci. Rep.*, 11, 11541,  
698 <https://doi.org/10.1038/s41598-021-91060-2>.

699 Deng, J., and H. Xu (2024) Modulation effects of the Tibetan-Mongolian Plateaus on East Asian winter  
700 air temperature associated with synoptic evolution of Siberian high. *Clim. Dyn.*, 62, 9469-9488,  
701 <https://doi.org/10.1007/s00382-024-07404-x>.

702 Deser, C., L. Sun, R. A. Tomas, and J. A. Screen (2016) Does ocean coupling matter for the northern  
703 extratropical response to projected Arctic sea ice loss? *Geophys. Res. Lett.*, 43, 2149–2157,  
704 <https://doi.org/10.1002/2016GL067792>.

705 Domeisen, D. I. V., A. H. Butler, A. J. Charlton-Perez, B. Ayarzagüena, M. P. Baldwin, E. Dunn-Sigouin,  
706 et al. (2020) The role of the stratosphere in subseasonal to seasonal prediction: 1. Predictability of  
707 the stratosphere. *J. Geophys. Res-Atmos.*, 125, e2019JD030920,  
708 <https://doi.org/10.1029/2019JD030920>.

709 Dong, Z., L. Wang, S. Gui, H. Gong, and K. Hu (2023) Diminished impact of the East Asian winter  
710 monsoon on the maritime continent rainfall after the late-1990s tied to weakened Siberian high–  
711 Aleutian low Covariation. *J. Geophys. Res-Atmos.*, 128, e2022JD037336,  
712 <https://doi.org/10.1029/2022JD037336>.

713 Dunn-Sigouin, E., and T. Shaw (2020) Dynamics of Anomalous Stratospheric Eddy Heat Flux Events in  
714 an Idealized Model. *J. Atmos. Sci.*, 77, 2187–2202, <https://doi.org/10.1175/JAS-D-19-0231.1>.

715 Feng, J., Z. Wu, and G. Liu (2014) Fast Multidimensional Ensemble Empirical Mode Decomposition  
716 Using a Data Compression Technique. *J. Climate*, 27, 3492 – 3504, [https://doi.org/10.1175/JCLI-](https://doi.org/10.1175/JCLI-D-13-00746.1)  
717 [D-13-00746.1](https://doi.org/10.1175/JCLI-D-13-00746.1).

718 Francis, A., and J. Vavrus (2012) Evidence linking Arctic amplification to extreme weather in mid-  
719 latitudes. *Geophys. Res. Lett.*, 39, L06801, <https://doi.org/10.1029/2012GL051000>.

720 Francis, A., J. Vavrus, and J. Cohen (2017) Amplified Arctic warming and mid-latitude weather: new  
721 perspectives on emerging connections. *WIREs. Clim. Change*, 8, e474,  
722 <https://doi.org/10.1002/wcc.474>.

723 Garfinkel, C. I., S. W. Son., K. Song, et al. (2017) Stratospheric variability contributed to and sustained  
724 the recent hiatus in Eurasian winter warming. *Geophys. Res. Lett.*, 44, 374–382,  
725 <https://doi.org/10.1002/2016GL072035>.

726 Gong, H., L. Wang, W. Chen, and R. Wu (2019) Attribution of the East Asian winter temperature trends  
727 during 1979 – 2018: Role of external forcing and internal variability. *Geophys. Res. Lett.*, 46,  
728 [10875 – 10882, https://doi.org/10.1029/2019GL084154](https://doi.org/10.1029/2019GL084154).

729 Gong, H., L. Wang, W. Chen, and R. Wu (2019) Time - varying contribution of internal dynamics to  
730 wintertime land temperature trends over the Northern Hemisphere. *Geophys. Res. Lett.*, 46,  
731 <https://doi.org/10.1029/2019GL086220>.

732 Gong, H., H. Xiao, Q. Chen, and L. Wang (2022) Impact of internal climate variability on wintertime  
733 surface air temperature trends over Eurasia in the CESM1 large ensemble. *J. Geophys. Res-Atmos.*,  
734 127, e2021JD035340. <https://doi.org/10.1029/2021JD035340>.

735 Gong, H., K. Ma, L. Wang, and W. Chen (2024) Attribution of the record-breaking extreme cold event  
736 over Northern East Asia in December 2023. *Geophys. Res. Lett.*, 51, e2024GL112568,  
737 <https://doi.org/10.1029/2024GL112568>.

738 Gong, H., Wang, L., James A. Screen, Chen, W., Judah Cohen, and Wu, R. (2025) Teleconnection from  
739 Arctic warming suppresses long-term warming in central Eurasia. *Sci. Adv.*, 11, (12),  
740 <https://www.science.org/doi/10.1126/sciadv.adq9461>.

741 Hersbach, H., Bell, B., Berrisford, P., Hirahara, S., Horanyi, A., Muñoz-Sabater, J., et al. (2020) The  
742 ERA5 global reanalysis. *Quarterly Journal of the Royal Meteorological Society*, 146, 1999–2049.  
743 <https://doi.org/10.1002/qj.3803>.

744 Hu, J., T. Li, H. Xu, et al. (2017) Lessened response of boreal winter stratospheric polar vortex to El Niño  
745 in recent decades. *Clim. Dyn.*, 49, 263–278, <https://doi.org/10.1007/s00382-016-3340-z>.

746 Huang, Z., Y. Huang, Z. Yin, and H. Wang (2025) Subseasonal reversal of extreme cold temperature  
747 frequencies in Northeast China: Possible mechanism and prediction. *J. Geophys. Res-Atmos.*, 130,  
748 e2024JD042556, <https://doi.org/10.1029/2024JD042556>.

749 Hwang, J., S. W. Son, P. Martineau, et al. (2022) Impact of winter blocking on surface air temperature in  
750 East Asia: Ural versus Okhotsk blocking. *Clim. Dyn.*, 59, 2197–2212,  
751 <https://doi.org/10.1007/s00382-022-06204-5>.

752 Iwasaki, T. and Y. Mochizuki (2012) Mass-weighted isentropic zonal mean equatorward flow in the  
753 Northern Hemispheric winter. *SOLA.*, 8, 115–118, <https://doi.org/10.2151/sola.2012-029>.

754 Johnson, D. R. (1989) The forcing and maintenance of global monsoonal circulations: An isentropic  
755 analysis. *Adv. Geophys.*, 31, 43–316, [https://doi.org/10.1016/S0065-2687\(08\)60053-9](https://doi.org/10.1016/S0065-2687(08)60053-9).

756 Kidston, J., A. A. Scaife, S. C. Hardiman, et al. (2015) Stratospheric influence on tropospheric jet streams,  
757 storm tracks and surface weather. *Nature Geosci.*, 8, 433–440, <https://doi.org/10.1038/ngeo2424>.

758 Kolstad, E. W., T. Breiteig, and A. A. Scaife (2010) The association between stratospheric weak polar  
759 vortex events and cold air outbreaks in the Northern Hemisphere. *Q. J. R. Meteorol. Soc.*, 136, 886–  
760 893, <https://doi.org/10.1002/qj.620>.

761 Kuttippurath, J., and G. Nikulin (2012) A comparative study of the major sudden stratospheric warmings  
762 in the Arctic winters 2003/2004–2009/2010. *A.C.P.*, 12, 8115–8129, [https://doi.org/10.5194/acp-12-](https://doi.org/10.5194/acp-12-8115-2012)  
763 8115-2012.

764 Lehtonen, I. and A. Y. Karpechko (2016) Observed and modeled tropospheric cold anomalies associated  
765 with sudden stratospheric warmings. *J. Geophys. Res-Atmos.*, 121, 1591–1610,  
766 <https://doi.org/10.1002/2015JD023860>.

767 Li, D., T. Zhou, Y. Qi, L. Zou, et al. (2024) Future reduction of cold extremes over East Asia due to  
768 thermodynamic and dynamic warming. *A.C.P.*, 24, 7347–7358, [https://doi.org/10.5194/acp-24-](https://doi.org/10.5194/acp-24-7347-2024)  
769 [7347-2024](https://doi.org/10.5194/acp-24-7347-2024).

770 Lim, Y. K. and H. D. Kim (2013) Impact of the dominant large-scale teleconnections on winter  
771 temperature variability over East Asia. *J. Geophys. Res-Atmos.*, 118, 7835–7848,  
772 <https://doi.org/10.1002/jgrd.50462>.

773 Lin, H., B. Yu, and N. M. J. Hall (2025) Link of the Warm Arctic Cold Eurasian pattern to the Southern  
774 Annular Mode variability. *npj Clim. Atmos. Sci.*, 8, 225, [https://doi.org/10.1038/s41612-025-01102-](https://doi.org/10.1038/s41612-025-01102-z)  
775 [z](https://doi.org/10.1038/s41612-025-01102-z).

776 Liu, Y., L. Wang, W. Zhou, et al. (2014) Three Eurasian teleconnection patterns: spatial structures,  
777 temporal variability, and associated winter climate anomalies. *Clim. Dyn.*, 42, 2817–2839,  
778 <https://doi.org/10.1007/s00382-014-2163-z>.

779 Maeda, S., K. Takemura, and C. Kobayashi (2021) Planetary Wave Modulations Associated with the  
780 Eurasian Teleconnection Pattern. *J. Meteorol. Soc. Jpn.*, 99(2), 449–458,  
781 <https://doi.org/10.2151/jmsj.2021-022>.

782 Mayer, K. J., W. E. Chapman, and W. A. Manriquez (2024) Exploring the relative importance of the MJO  
783 and ENSO to North Pacific subseasonal predictability. *Geophys. Res. Lett.*, 51, e2024GL108479,  
784 <https://doi.org/10.1029/2024GL108479>.

785 Miao, J. and T. Wang (2020) Decadal variations of the East Asian winter monsoon in recent decades.  
786 *Atmos. Sci. Lett.*, 21, e960, <https://doi.org/10.1002/asl.960>.

787 Orsolini, Y. J., R. Senan, F. Vitart, et al. (2016) Influence of the Eurasian snow on the negative North  
788 Atlantic Oscillation in subseasonal forecasts of the cold winter 2009/2010. *Clim. Dyn.*, 47, 1325–  
789 1334, <https://doi.org/10.1007/s00382-015-2903-8>.

790 Park, T. W., C. H. Ho, J. H. Jeong, et al. (2015) A new dynamical index for classification of cold surge  
791 types over East Asia. *Clim. Dyn.*, 45, 2469–2484, <https://doi.org/10.1007/s00382-015-2483-7>.

792 Park, T. W., C. H. Ho, and Y. Deng (2014) A synoptic and dynamical characterization of wave-train and  
793 blocking cold surge over East Asia. *Clim. Dyn.*, 43, 753–770, [https://doi.org/10.1007/s00382-013-](https://doi.org/10.1007/s00382-013-1817-6)  
794 [1817-6](https://doi.org/10.1007/s00382-013-1817-6).

795 Park, H. J., J. B. Ahn (2016) Combined effect of the Arctic Oscillation and the Western Pacific pattern  
796 on East Asia winter temperature. *Clim. Dyn.*, 46, 3205 – 3221, [https://doi.org/10.1007/s00382-015-](https://doi.org/10.1007/s00382-015-2763-2)  
797 [2763-2](https://doi.org/10.1007/s00382-015-2763-2).

798 Polvani, M., and W. Waugh (2004) Upward Wave Activity Flux as a Precursor to Extreme Stratospheric

799 Events and Subsequent Anomalous Surface Weather Regimes. *J. Clim.*, 17, 3548-3554,  
800 [https://doi.org/10.1175/1520-0442\(2004\)017<3548:UWAFAA>2.0.CO;2](https://doi.org/10.1175/1520-0442(2004)017<3548:UWAFAA>2.0.CO;2).

801 Shen, X., Wang, L., Scaife, A. A., Hardiman, S. C., and Xu, P. (2023) The Stratosphere-Troposphere  
802 Oscillation as the dominant intraseasonal coupling mode between the stratosphere and troposphere.  
803 *J. Clim.*, 36, 2259–2276, <https://doi.org/10.1175/jcli-d-22-0238>.

804 Shen, X., Wang, L., Scaife, A. A., & Hardiman, S. C. (2025) Intraseasonal linkages of winter surface air  
805 temperature between Eurasia and North America. *Geophysical Research Letters*, 52,  
806 e2024GL113301, <https://doi.org/10.1029/2024GL113301>.

807 Shi, S., T. Zhang, Z. Ma, W. Li, Y. Yang, and S. Yang (2020) Study on variation characteristics of  
808 frequency of cold wave processes in the northeastern Qinghai-Tibet Plateau. *J. Glaciol.*, 42(4),  
809 1169-1178, <https://doi.org/10.7522/j.issn.1000-0240.2020.0099>.

810 Simmons, A. J., and A. Hollingsworth (2002) Some aspects of the improvement in skill of numerical  
811 weather prediction. *Q. J. R. Meteorol. Soc.*, 128, 647–677,  
812 <https://doi.org/10.1256/003590002321042135>.

813 Sorokina, S. A., C. Li, J. J. Wettstein, and N. G. Kvamstø (2016) Observed Atmospheric Coupling  
814 between Barents Sea Ice and the Warm-Arctic Cold-Siberian Anomaly Pattern. *J. Clim.*, 29, 495–  
815 511, <https://doi.org/10.1175/JCLI-D-15-0046.1>.

816 Takaya, K., and H. Nakamura (2001) A Formulation of a Phase-Independent Wave-Activity Flux for  
817 Stationary and Migratory Quasigeostrophic Eddies on a Zonally Varying Basic Flow. *J. Atmos. Sci.*,  
818 58, 608–627, [https://doi.org/10.1175/1520-0469\(2001\)058<0608:AFOAPI>2.0.CO;2](https://doi.org/10.1175/1520-0469(2001)058<0608:AFOAPI>2.0.CO;2).

819 Thompson, D. W., M. P. Baldwin, and J. M. Wallace (2002) Stratospheric connection to Northern  
820 Hemisphere wintertime weather: Implications for prediction. *J. Clim.*, 15, 1421–1428,  
821 [https://doi.org/10.1175/1520-0442\(2002\)015<1421:SCTNHW>2.0.CO;2](https://doi.org/10.1175/1520-0442(2002)015<1421:SCTNHW>2.0.CO;2).

822 Tripathi, O. P., M. Baldwin, A. Charlton-Perez, et al. (2015) The predictability of the extratropical  
823 stratosphere on monthly time-scales and its impact on the skill of tropospheric forecasts. *Q. J. R.*  
824 *Meteorol. Soc.*, 141, 987-1003, <https://doi.org/10.1002/qj.2432>.

825 Wu, B., and J. Wang (2002) Winter Arctic Oscillation, Siberian high and East Asian winter monsoon.  
826 *Geophys. Res. Lett.*, 29(19), 31-34, <https://doi.org/10.1029/2002GL015373>.

827 Woo, S., B. Kim, and J. Kug (2015) Temperature Variation over East Asia during the Lifecycle of Weak  
828 Stratospheric Polar Vortex. *J. Clim.*, 28, 5857–5872, <https://doi.org/10.1175/JCLI-D-14-00790.1>.

829 Yang, Y. F., Y. Y. Yu, C. H. Shi, et al (2025) Temporal phasing of stronger warm air mass transport into  
830 the polar stratosphere and cold air outbreaks in North America. *J. Meteorol. Res.*, 39(5), 1-18,  
831 <https://doi.org/10.1007/s13351-025-5037-x>.

832 Yu, Y., R. Ren, J. Hu, and G. Wu (2014) A mass budget analysis on the interannual variability of the polar  
833 surface pressure in the winter season. *J. Atmos. Sci.*, 71(9), 3539–3553, <https://doi.org/10.1175/JAS->

834 [D-13-0365.1.](#)

835 Yu, Y., M. Cai, R. Ren, and H. M. Van Den Dool (2015a) Relationship between warm airmass transport  
836 into the upper polar atmosphere and cold air outbreaks in winter. *J. Atmos. Sci.*, 72(1), 349–368,  
837 <https://doi.org/10.1175/JAS-D-14-0111.1>.

838 Yu, Y., R. Ren, and M. Cai (2015b) Dynamic linkage between cold air outbreaks and intensity variations  
839 of the meridional mass circulation. *J. Atmos. Sci.*, 72 (8), 3214–3232, [https://doi.org/10.1175/JAS-](https://doi.org/10.1175/JAS-D-14-0390.1)  
840 [D-14-0390.1.](#)

841 Yu, Y., M. Cai, C. Shi, and R. Ren (2018a) On the linkage among strong stratospheric mass circulation,  
842 stratospheric sudden warming, and cold weather events. *Mon. Weather Rev.*, 146(9), 2717–2739,  
843 <https://doi.org/10.1175/MWR-D-18-0110.1>.

844 Yu, Y., M. Cai, and R. Ren (2018b) A stochastic model with a low-frequency amplification feedback for  
845 the stratospheric northern annular mode. *Clim. Dyn.*, 50(9), 3757–3773,  
846 <https://doi.org/10.1007/s00382-017-3843-2>.

847 Yu, Y., M. Cai, R. Ren, and J. Rao (2018c) A closer look at the relationships between meridional mass  
848 circulation pulses in the stratosphere and cold air outbreak patterns in northern hemispheric winter.  
849 *Clim. Dyn.*, 51(7), 3125–3143, <https://doi.org/10.1007/s00382-018-4069-7>.

850 Yu, Y. and Ren, R. (2019) Understanding the variation of stratosphere–troposphere coupling during  
851 stratospheric northern annular mode events from a mass circulation perspective. *Clim. Dyn.*, 53,  
852 5141–5164, <https://doi.org/10.1007/s00382-019-04675-7>.

853 Yu, Y., M. Cai., C. Shi, et al. (2019) Sub-seasonal prediction skill for the stratospheric meridional mass  
854 circulation variability in CFSv2. *Clim. Dyn.*, 53, 631–650, [https://doi.org/10.1007/s00382-018-](https://doi.org/10.1007/s00382-018-04609-9)  
855 [04609-9.](#)

856 Yu, Y. Y., Y. F. Li, R. C. Ren, M. Cai, et al. (2021) An Isentropic Mass Circulation View on the Extreme  
857 Cold Events in 2020/2021 Winter. *Adv. Atmos. Sci.*, 39, 643–657, [https://doi.org/10.1007/s00376-](https://doi.org/10.1007/s00376-021-1289-2)  
858 [021-1289-2.](#)

859 Yu, Y., L. Bowen, R. Rongcai, G. Zhaoyong, Y. Qinlan, and H. Muxin (2022) Precursory Signals in the  
860 Stratospheric Meridional Mass Circulation for Mid-Latitude Cold Air Outbreak Events of High and  
861 Low Sub-Seasonal Predictability. *J. Geophys. Res-Atmos.*, 127, 16,  
862 <https://doi.org/10.1029/2022JD036814>.

863 Yu, Y., X. Yu, Z. Guan, D. Hu, C. Shi, D. Guo, and J. Rao (2023) Stratospheric PULSE–continental cold  
864 air outbreak coupling relationships: Interannual and interdecadal changes. *Front. Earth Sci.*, 10,  
865 1093189, <https://doi.org/10.3389/feart.2022.1093189>.

866 Yu, Y., R. Ren, Y. Li, et al. (2024) Continental cold-air-outbreaks under the varying stratosphere–  
867 troposphere coupling regimes during stratospheric Northern Annular Mode events. *Clim. Dyn.*, 62,  
868 7207–7231, <https://doi.org/10.1007/s00382-024-07275-2>.

869 Zhang, L. and C. Lu (2022) Detection of the synoptic southeastward-extending Siberian cold high during  
870 1978–2017. *Atmos. Oceanic Sci. Lett.*, 15(3), 1674–2834,  
871 <https://doi.org/10.1016/j.aosl.2021.100140>.

872 Zhang, F., Y. Q. Sun, L. Magnusson, R. Buizza, S. Lin, J. Chen, and K. Emanuel (2019) What Is the  
873 Predictability Limit of Midlatitude Weather?. *J. Atmos. Sci.*, 76, 1077–1091,  
874 <https://doi.org/10.1175/JAS-D-18-0269.1>.

875 Zhang, X., Rao, J., Garfinkel, C. I., Son, S.-W., He, B., and Liu, Y. (2025). Classification of cold air  
876 outbreaks in East Asia and potential stratospheric harbingers. *J. Geophys. Res-Atmos.*, 130,  
877 [e2024JD043199](https://doi.org/10.1029/2024JD043199).

878 Zhang, R. N., R. H. Zhang, and C. Sun (2022) Modulation of the interdecadal variation of atmospheric  
879 background flow on the recent recovery of the EAWM during the 2000s and its link with North  
880 Atlantic–Arctic warming. *Clim. Dyn.*, 59, 561–578, <https://doi.org/10.1007/s00382-022-06152-0>.

881 Zhang, R., W. Zhou, W. Tian, Y. Zhang, Z. Liu, and P. K. Y. Cheung (2022) Changes in the Relationship  
882 between ENSO and the Winter Arctic Stratospheric Polar Vortex in Recent Decades. *J. Clim.*, 35,  
883 5399–5414, <https://doi.org/10.1175/JCLI-D-21-0924.1>.

884 Zou, C., R. Zhang, P. Zhang, L. Wang, and R. Zhang (2024) Contrasting physical mechanisms linking  
885 stratospheric polar vortex stretching events to cold Eurasia between autumn and late winter. *Clim.*  
886 *Dyn.*, 62, 2399–2417, <https://doi.org/10.1007/s00382-023-07030-z>.

887 Zou, C. and R. Zhang (2024) Arctic sea ice loss modulates the surface impact of autumn stratospheric  
888 polar vortex stretching events. *Geophys. Res. Lett.*, 51, e2023GL107221,  
889 <https://doi.org/10.1029/2023GL107221>.

890

891 **Acknowledgments**

892 This research was jointly supported by National Natural Science Foundation of China,  
893 Grant/Award Numbers: 42375060.

894

895 **Statements and Declarations**

896 **Funding**

897 This work is supported by the National Natural Science Foundation of China (grant  
898 42375060)

899

900 **Competing interests**

901 The authors have no relevant financial or non-financial interests to disclose.

902

903 **Author Contributions**

904 Zhiqiang Ding contributed to do the analyses, made the figures and wrote the first draft  
905 of the paper. Yueyue Yu formulated the main ideas, contributed to the design of the  
906 analyses, and helped review and editing the manuscript. Haishan Chen, Xiaocen Shen,  
907 Ming Cai, Yang Liu helped review and editing the manuscript.

908

909 **Data availability**

910 The ERA5 datasets used in this study are obtained from the official websites  
911 (<https://cds.climate.copernicus.eu/datasets/derived-era5-single-levels-daily-statistics>;  
912 <https://cds.climate.copernicus.eu/datasets/derived-era5-pressure-levels-daily-statistics>).

913 **Author information**

914 Authors and Affiliations

915 **State Key Laboratory of Environment Characteristics and Effects for Near-space,**  
916 **Nanjing 210044, China**

917 Zhiqiang Ding, Yueyue Yu & Yang Liu

918 **State Key Laboratory of Climate System Prediction and Risk Management,**  
919 **Nanjing 210044, China**

920 Haishan Chen

921 **Ministry of Education/Collaborative Innovation Center on Forecast and**  
922 **Evaluation of Meteorological Disasters, Nanjing University of Information Science**  
923 **and Technology, Nanjing 210044, China**

924 Zhiqiang Ding, Yueyue Yu, Haishan Chen & Yang Liu

925 **Department of Meteorology, University of Reading, Reading, UK**

926 Xiaocen Shen

927 **Department of Earth, Ocean Atmospheric Sciences, Florida State University,**  
928 **Tallahassee, FL 32304, USA**

929 Ming Cai

930

931 Corresponding author

932 Correspondence to YueyueYu.

933

### 934 **Ethics declarations**

935 Conflict of interest

936 The authors declare no potential conflict of interest.

937

938 Consent for publication

939 All the authors agree the submission and publication of the paper.

940

941 **Additional information**

942 Publisher's Note

943 Springer Nature remains neutral with regard to jurisdictional claims in published maps  
944 and institutional affiliations.

945

946 **Captions**

947 **Fig. 1** Fourier power spectra of (a) ST60N (units: 1) and (b) ACAP (units: %) index in winters  
948 (November–March) from 1979 to 2024. This period serves as the common analysis time frame  
949 for all subsequent figures

950 **Fig. 2** Composite of the ACAP index (bars, units: %) and ST60N index and its wavenumber-1 and  
951 wavenumber-2 components (lines) during the  $\pm 7$ -day windows centered on peak dates of  
952 CAOs: (a) all events, (b) PULSE\_lead type events, and (c) PULSE\_lag type events. Dots and  
953 filled symbols denote statistical significance at the 95% confidence level. The 50<sup>th</sup> and 70<sup>th</sup>  
954 percentile of ACAP index are highlighted by the horizontal dashed line

955 **Fig. 3** Dominant evolution patterns of ST60N, indicated by the spatial patterns of (a) the first and  
956 (b) the second EOF modes for the ST60N index during the  $\pm 7$ -day windows centered on peak  
957 dates of 173 Asian CAOs. The percentage of explained variance for each mode is labeled above  
958 respective panel

959 **Fig. 4** Spatial distribution of the occurrence probability (shading; units: %) of grid points with 2m  
960 temperature anomalies below  $-0.5$  (a–b) and  $-1.0$  (c–d) local standard deviation (LSD)  
961 thresholds during all days when the ACAP index exceeds its 50<sup>th</sup> percentile for CAO events  
962 belonging to “PULSE\_lead” (a, c), “PULSE\_lag” (b, d) types. Probability differences  
963 relative to all winter days are overlaid as contours

964 **Fig. 5** Composite isentropic meridional mass flux anomalies at  $60^\circ\text{N}$  (shadings,  $10^9 \text{ kg s}^{-1}$ ) averaged  
965 over days  $-6$  to  $0$  (a, c, e) and days  $+1$  to  $+7$  (b, d, f) relative to peak dates of Asian CAO events  
966 belonging to PULSE\_lead type: total (a–b), wavenumber-1 (c–d) and wavenumber-2  
967 components (e–f). Contours depicts winter climatological mean and right panels display zonal  
968 integrated anomalies which above  $330\text{K}$  was multiplied by 5 for visualization. Hatched area  
969 and colored bars are significant at 95% confidence level

970 **Fig. 6** As in Figure 5, but for PULSE\_lag type

971 **Fig. 7** Composite maps of 500 hPa geopotential height anomalies (shading, gpm) and horizontal

972 wave activity flux (arrows,  $\text{m}^2/\text{s}^2$ ) for PULSE-lead and PULSE-lag CAOs relative to their peak  
973 dates. Five temporal phases are analyzed: lead times of  $-7$  to  $-5$  days (a–b),  $-4$  to  $-2$  days (c–  
974 d),  $-1$  to  $+1$  days (e–f), lag times of  $+2$  to  $+4$  days (g–h), and  $+5$  to  $+7$  days (i–j). All arrows  
975 and hatched areas denote statistical significance at the 95% confidence level

976 **Fig. 8** Composite fields of 50 hPa geopotential height anomalies (contours, units: gpm), vertical  
977 wave activity flux anomalies at 100 hPa (shading, normalized by time), and horizontal wave  
978 activity flux at 100 hPa (arrows, units:  $\text{m}^2/\text{s}^2$ ) for PULSE-lead and PULSE-lag CAOs: days  $-7$   
979 to  $-5$  (a–b), days  $-4$  to  $-2$  (c–d), days  $-1$  to  $+1$  (e–f), days  $+2$  to  $+4$  (g–h), and days  $+5$  to  $+7$   
980 (i–j) relative to peak dates. Bold contours indicate statistical significance at the 95% confidence  
981 level, and only significant wave fluxes are displayed

982 **Fig. 9** Composite evolution of T-N flux anomaly vectors (zonal and vertical components; units:  
983  $\text{m}^2/\text{s}^2$ ) and geopotential height anomaly (shading; units: gpm) averaged over  $45\text{--}65^\circ\text{N}$  for five  
984 periods of (a) PULSE-lead and (b) PULSE-lag CAOs. The climatological winter mean  
985 geopotential height (contours; units: gpm) is overlaid. Only anomalies statistically significant  
986 at the 95% confidence level are displayed. The levels above 200 hPa is magnified by a factor  
987 of three for visualization

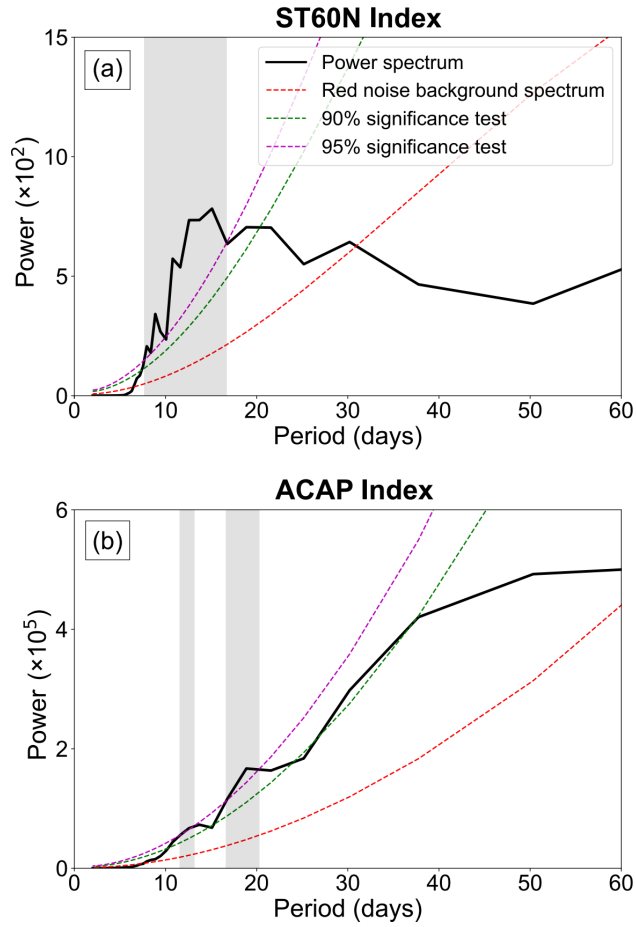
988 **Fig. 10** Zonal sum of isentropic mass anomalies (contours, units:  $10^{12}$  kg) over the longitude band  
989  $70\text{--}150^\circ\text{E}$  and their vertically integral above each isentropic level (shading, units:  $10^{12}$  kg) for  
990 PULSE-lead (left column) and PULSE-lag (right column) CAOs during three periods relative  
991 to the peak dates: days  $-7$  to  $-5$  (a, b),  $-4$  to  $-2$  (c, d), and  $-1$  to  $+1$  (e, f). The rightmost attached  
992 panels display the daily tendency of mass anomalies (units:  $10^{12}$  kg; levels above 350K are  
993 amplified by 5), summed over midlatitudes ( $45\text{--}65^\circ\text{N}$ , red curve) and high latitudes ( $70\text{--}90^\circ\text{N}$ ,  
994 blue curve), respectively. Hatched area, thickened contours and dotted curves denote statistical  
995 significance at the 95% confidence level. The solid green line represents the climatological  
996 winter mean thermodynamic tropopause potential temperature

997 **Fig. 11** Joint probability distributions (contours, %) of key dynamical and thermodynamical anomalies  
998 associated with CAO events. (a) ST60N during days  $-7$  to  $-2$  relative to peak dates versus regionally  
999 integrated isentropic mass anomalies above 350K in the low-pressure anomaly key region ( $45\text{--}$

1000 65°N, 70–150°E; averaged over days -4 to +1). (b, c) Joint distributions of mass anomalies  
1001 above 350 K versus (i) those above 290K (contours) and (ii) their ratio (350K/290K; shadings)  
1002 for the same period (days -4 to +1 relative to peak dates of (b) PULSE\_lead and (c) PULSE\_lag  
1003 events). Quadrants labels indicate the probability of samples falling within each bin of the 350  
1004 K mass anomaly versus the 350 K/290 K ratio

1005 **Fig. 12** Composite of (a, b) 50hPa geopotential height anomalies (shading, units: gpm) averaged  
1006 over 50°N–80°N and zonal wind anomalies (contours, units: m/s) at 50°N during the  $\pm 15$ -day  
1007 period relative to PULSE\_lead (a) and PULSE\_lag (b) CAOs; 50hPa geopotential height  
1008 anomalies (shading, units: gpm) and zonal wind anomalies (contours, units: gpm) averaged  
1009 over 15 to 8 days before peak dates of PULSE\_lead (c) and PULSE\_lag (d) CAOs

1010



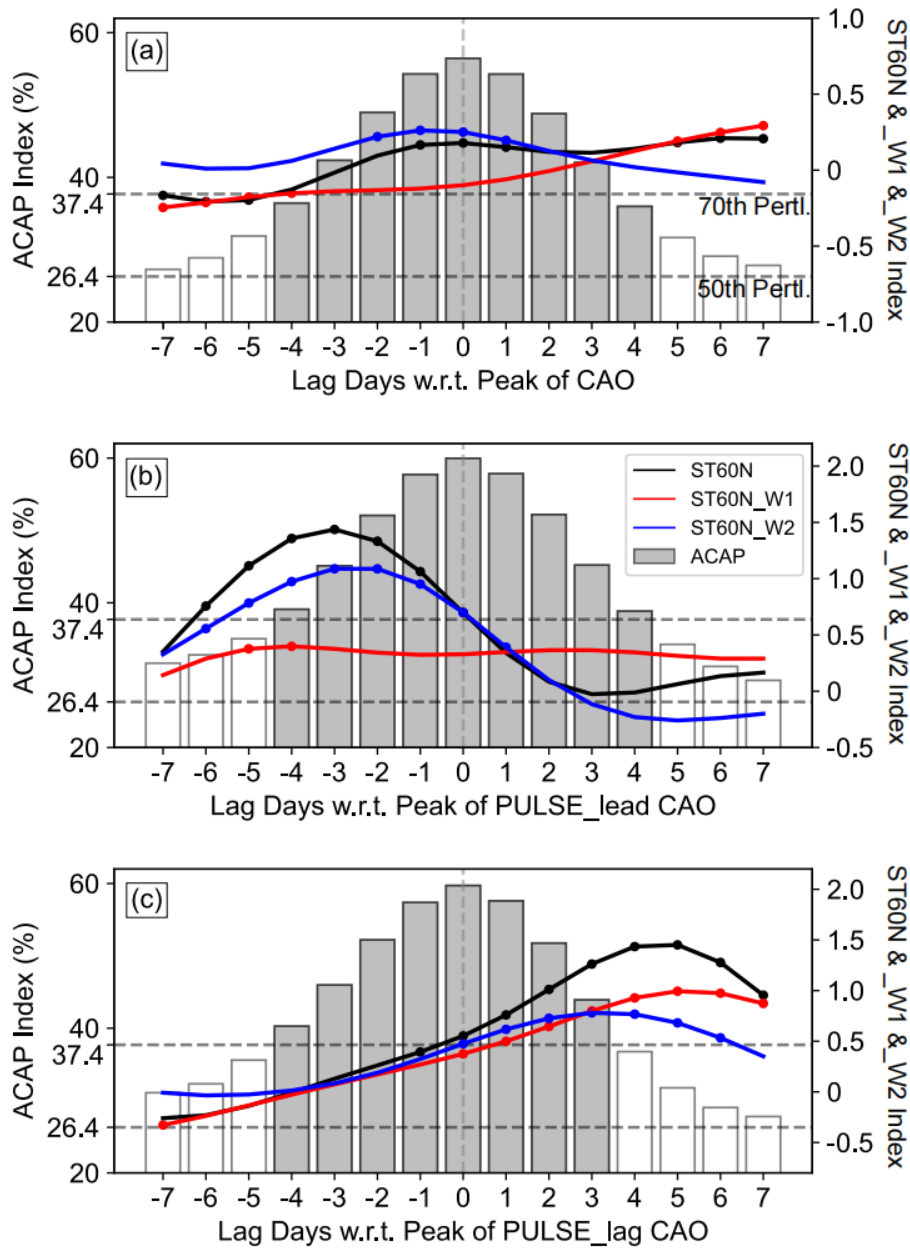
1011

1012 **Fig. 1** Fourier power spectra of (a) ST60N (units: 1) and (b) ACAP (units: %) index in winters

1013 (November - March) from 1979 to 2024. This period serves as the common analysis time frame for

1014 all subsequent figures

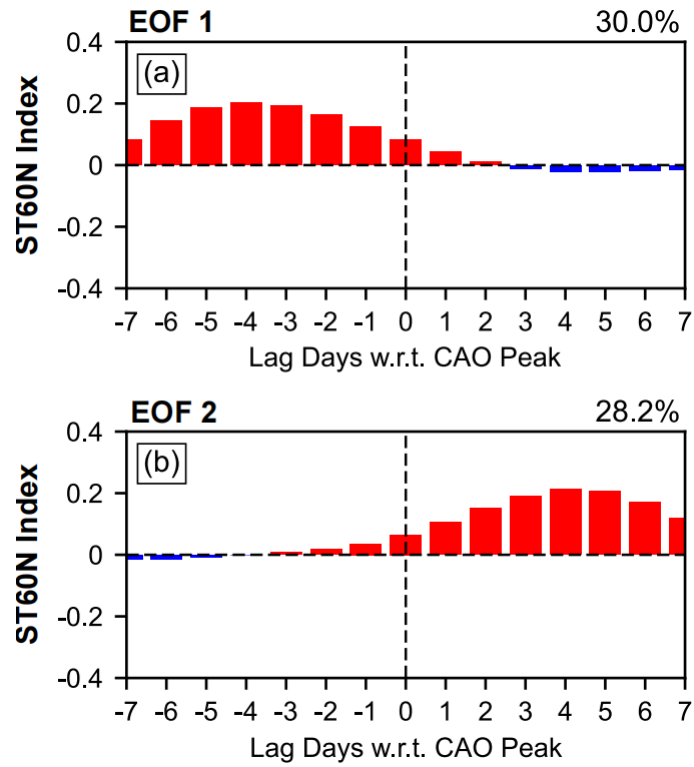
1015



1016

1017 **Fig. 2** Composite of the ACAP index (bars, units: %) and ST60N index and its wavenumber-1 and  
 1018 wavenumber-2 components (lines) during the  $\pm 7$ -day windows centered on peak dates of CAOs: (a)  
 1019 all events, (b) PULSE\_lead type events, and (c) PULSE\_lag type events. Dots and filled symbols  
 1020 denote statistical significance at the 95% confidence level. The 50th and 70th percentile of ACAP  
 1021 index are highlighted by the horizontal dashed line

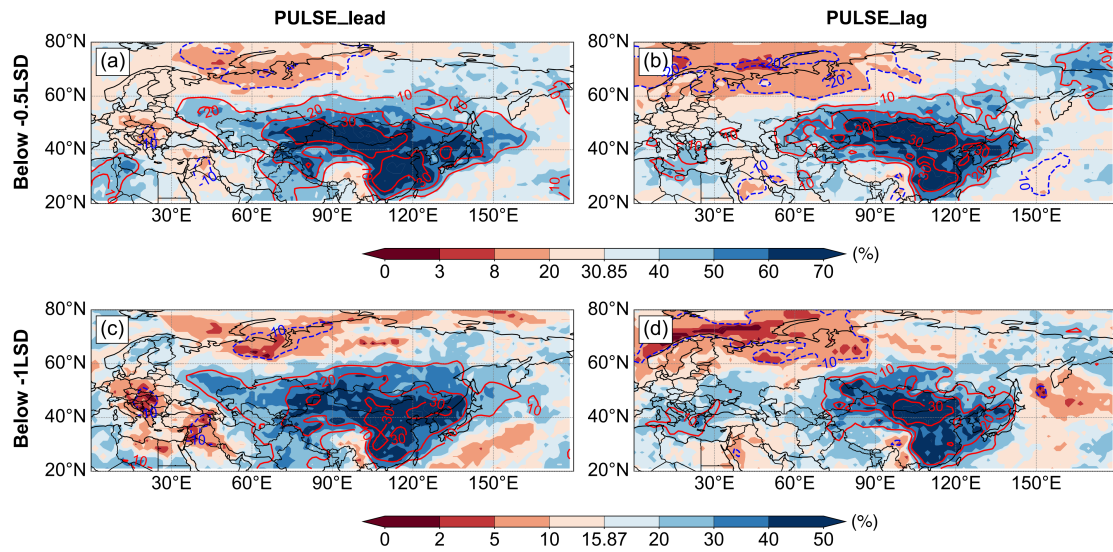
1022



1023

1024 **Fig. 3** Dominant evolution patterns of ST60N, indicated by the spatial patterns of (a) the first and  
 1025 (b) the second EOF modes for the ST60N index during the  $\pm 7$ -day windows centered on peak dates  
 1026 of 173 Asian CAOs. The percentage of explained variance for each mode is labeled above respective  
 1027 panel

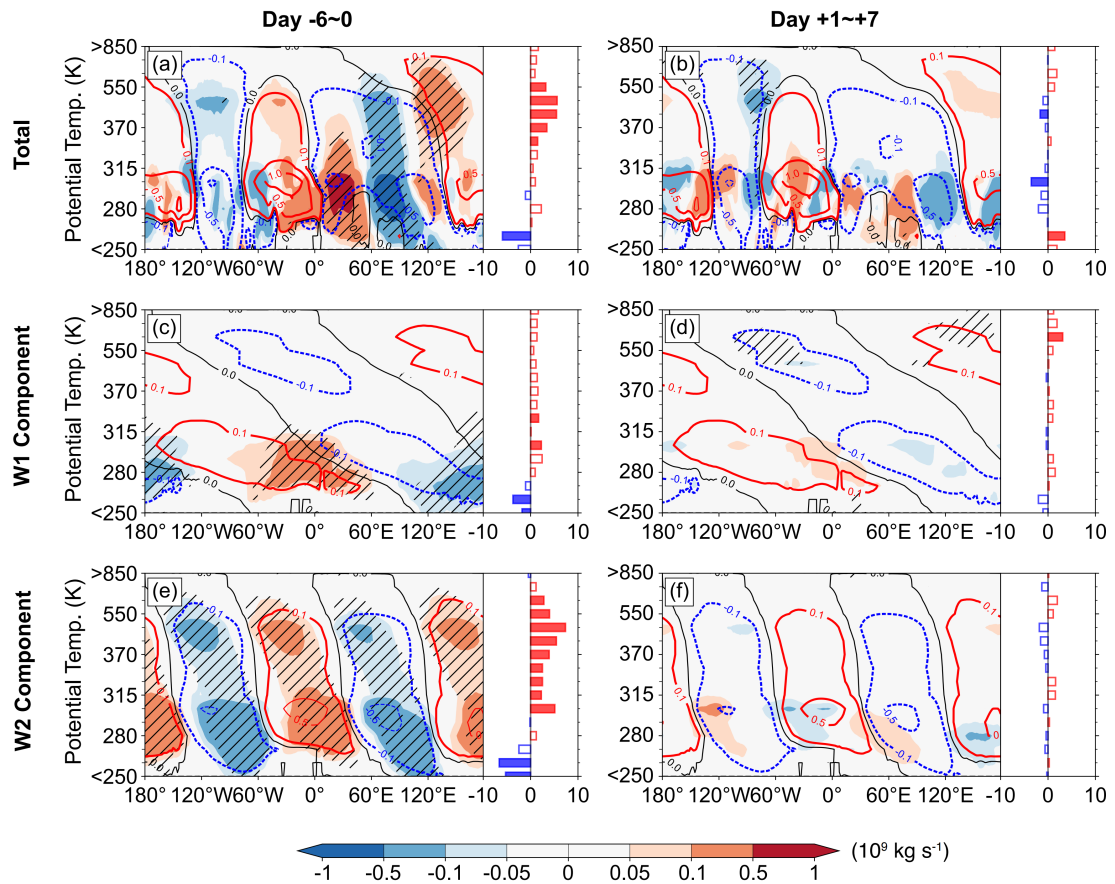
1028



1029

1030 **Fig. 4** Spatial distribution of the occurrence probability (shading; units: %) of grid points with 2m  
 1031 temperature anomalies below -0.5 (a–b) and -1.0 (c–d) local standard deviation (LSD) thresholds  
 1032 during all days when the ACAP index exceeds its 50th percentile for CAO events belonging to  
 1033 “PULSE\_lead” (a, c), “PULSE\_lag” (b, d) types. Probability differences relative to all winter days  
 1034 are overlaid as contours

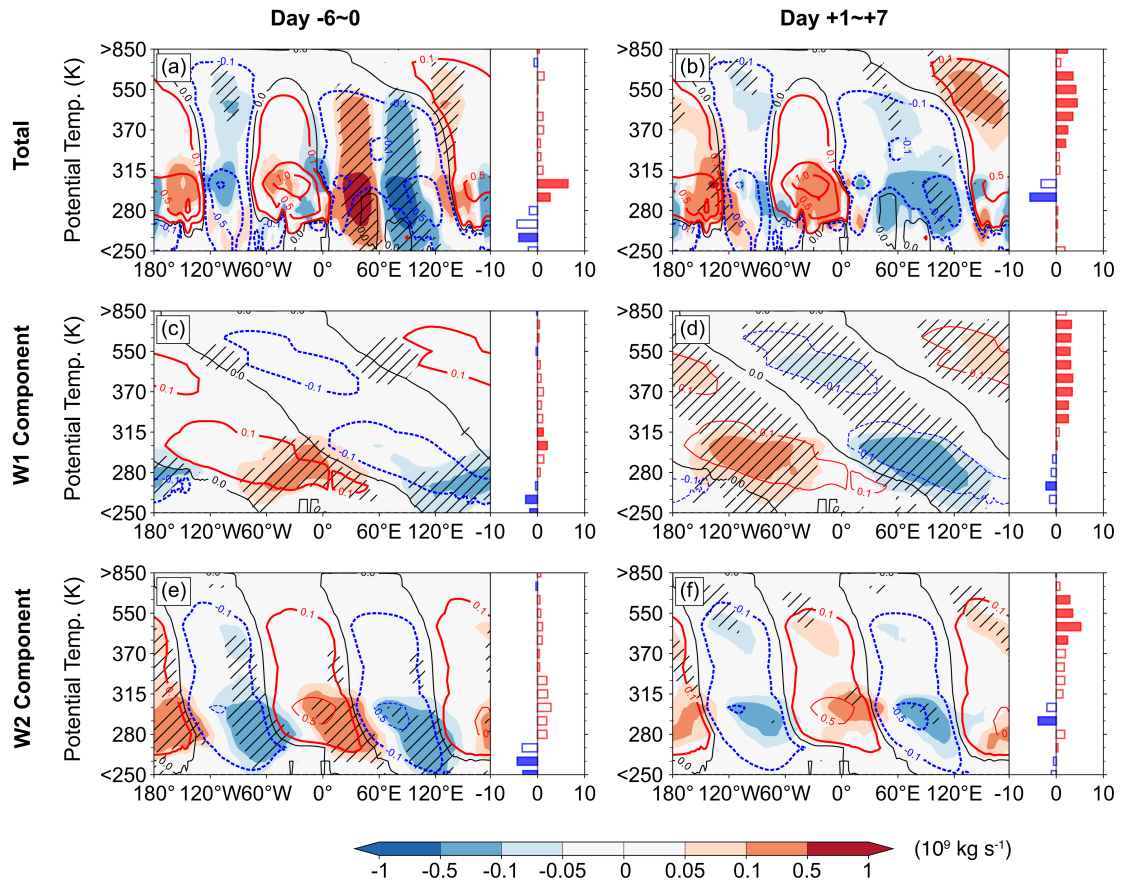
1035



1036

1037 **Fig. 5** Composite isentropic meridional mass flux anomalies at 60°N (shadings,  $10^9 \text{ kg s}^{-1}$ ) averaged  
 1038 over days -6 to 0 (a, c, e) and days +1 to +7 (b, d, f) relative to peak dates of Asian CAO events  
 1039 belonging to PULSE\_lead type: total (a–b), wavenumber-1 (c–d) and wavenumber-2 components  
 1040 (e–f). Contours depicts winter climatological mean and right panels display zonal integrated  
 1041 anomalies which above 330K was multiplied by 5 for visualization. Hatched area and colored bars  
 1042 are significant at 95% confidence level

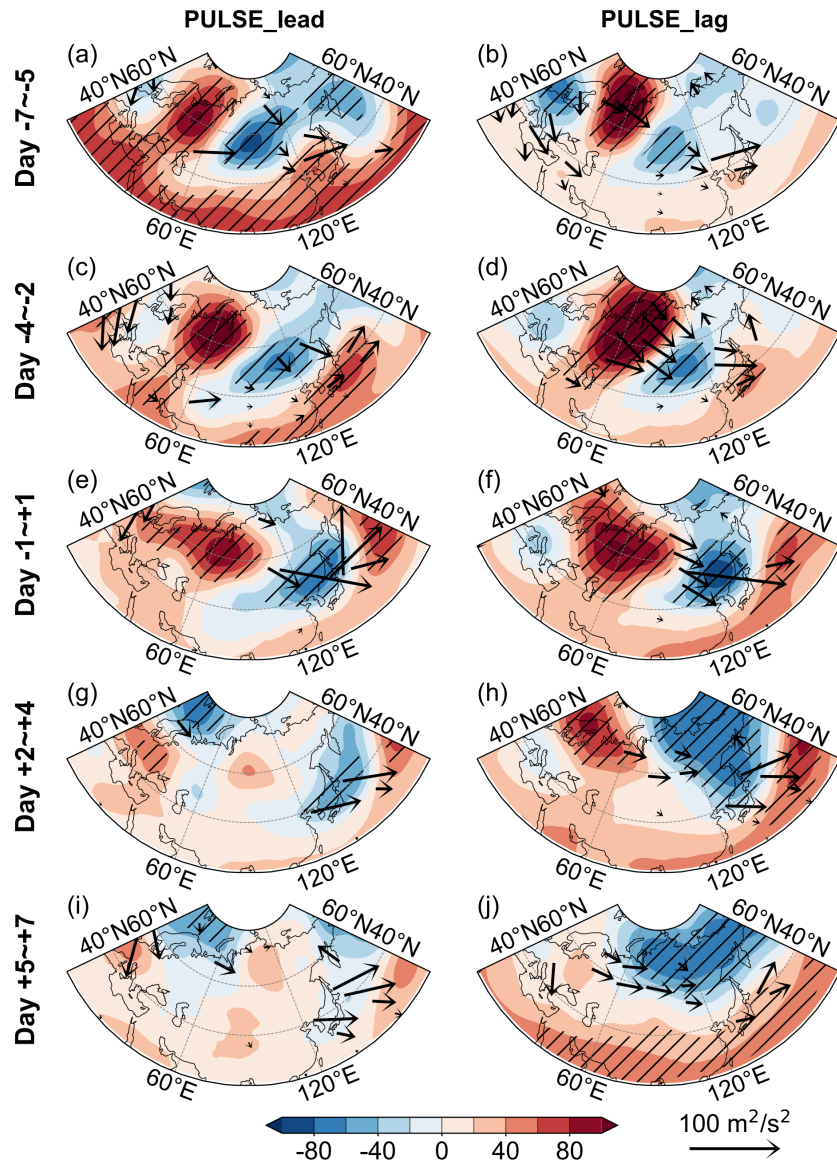
1043



1044

1045 **Fig. 6** As in Figure 5, but for PULSE\_lag type

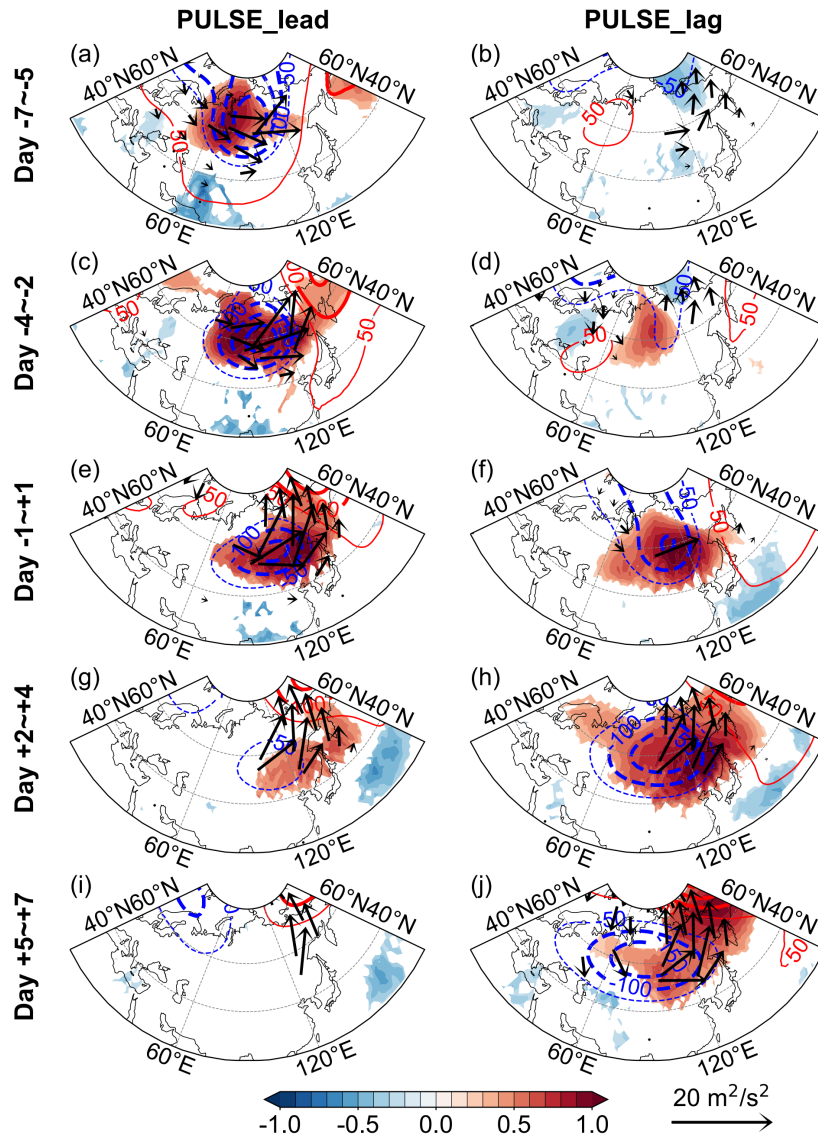
1046



1047

1048 **Fig. 7** Composite maps of 500 hPa geopotential height anomalies (shading, gpm) and horizontal  
 1049 wave activity flux (arrows,  $\text{m}^2/\text{s}^2$ ) for PULSE-lead and PULSE-lag CAOs relative to their peak dates.  
 1050 Five temporal phases are analyzed: lead times of -7 to -5 days (a-b), -4 to -2 days (c-d), -1 to +1  
 1051 days (e-f), lag times of +2 to +4 days (g-h), and +5 to +7 days (i-j). All arrows and hatched areas  
 1052 denote statistical significance at the 95% confidence level

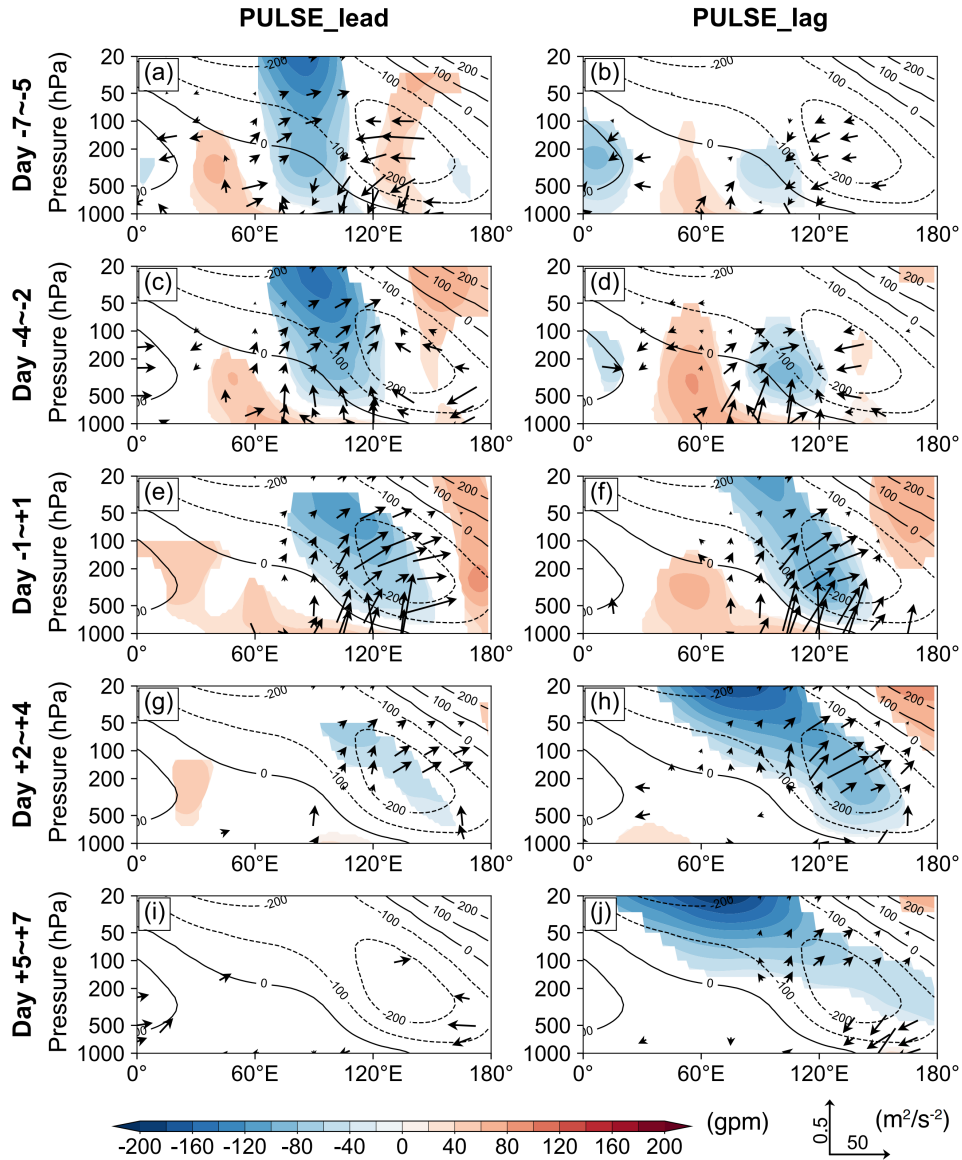
1053



1054

1055 **Fig. 8** Composite fields of 50 hPa geopotential height anomalies (contours, units: gpm), vertical  
 1056 wave activity flux anomalies at 100 hPa (shading, normalized by time), and horizontal wave activity  
 1057 flux at 100 hPa (arrows, units:  $\text{m}^2/\text{s}^2$ ) for PULSE-lead and PULSE-lag CAOs: days  $-7$  to  $-5$  (a–b),  
 1058 days  $-4$  to  $-2$  (c–d), days  $-1$  to  $+1$  (e–f), days  $+2$  to  $+4$  (g–h), and days  $+5$  to  $+7$  (i–j) relative to  
 1059 peak dates. Bold contours indicate statistical significance at the 95% confidence level, and only  
 1060 significant wave fluxes are displayed

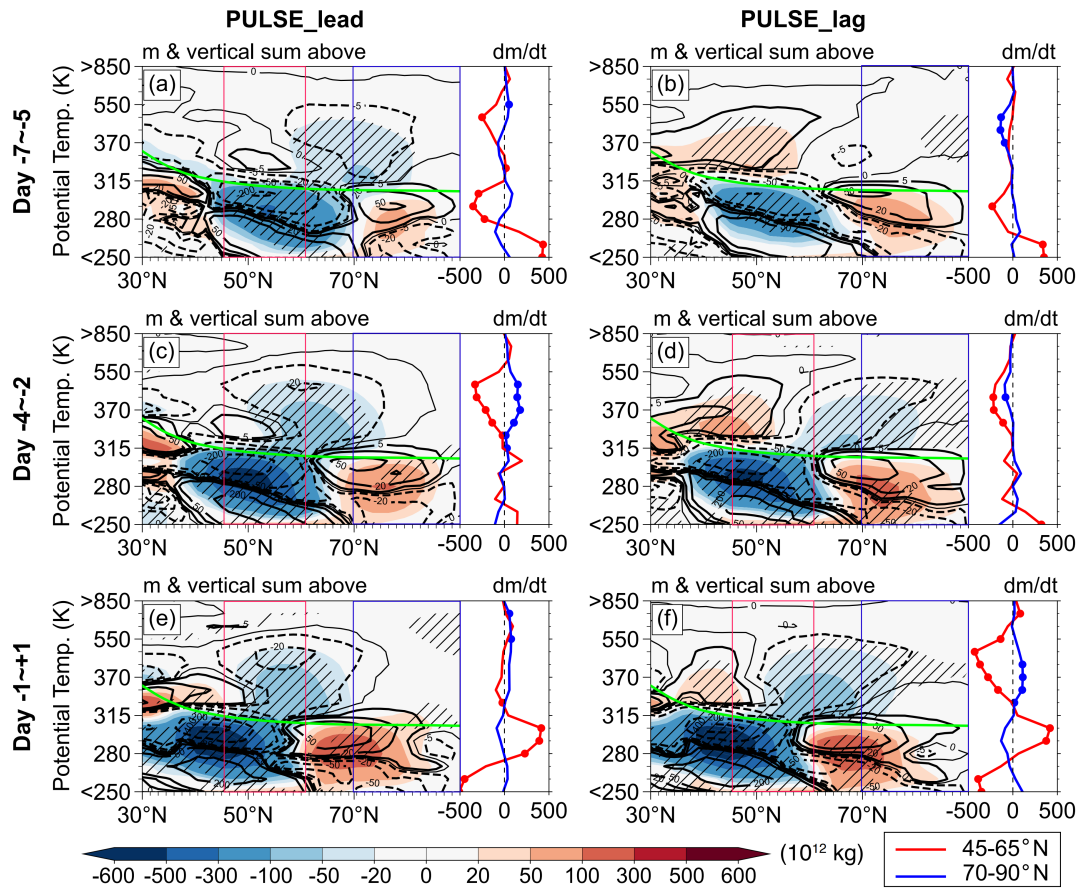
1061



1062

1063 **Fig. 9** Composite evolution of T-N flux anomaly vectors (zonal and vertical components; units:  
 1064  $\text{m}^2/\text{s}^2$ ) and geopotential height anomaly (shading; units: gpm) averaged over 45–65°N for five  
 1065 periods of (a) PULSE-lead and (b) PULSE-lag CAOs. The climatological winter mean geopotential  
 1066 height (contours; units: gpm) is overlaid. Only anomalies statistically significant at the 95%  
 1067 confidence level are displayed. The levels above 200 hPa is magnified by a factor of three for  
 1068 visualization

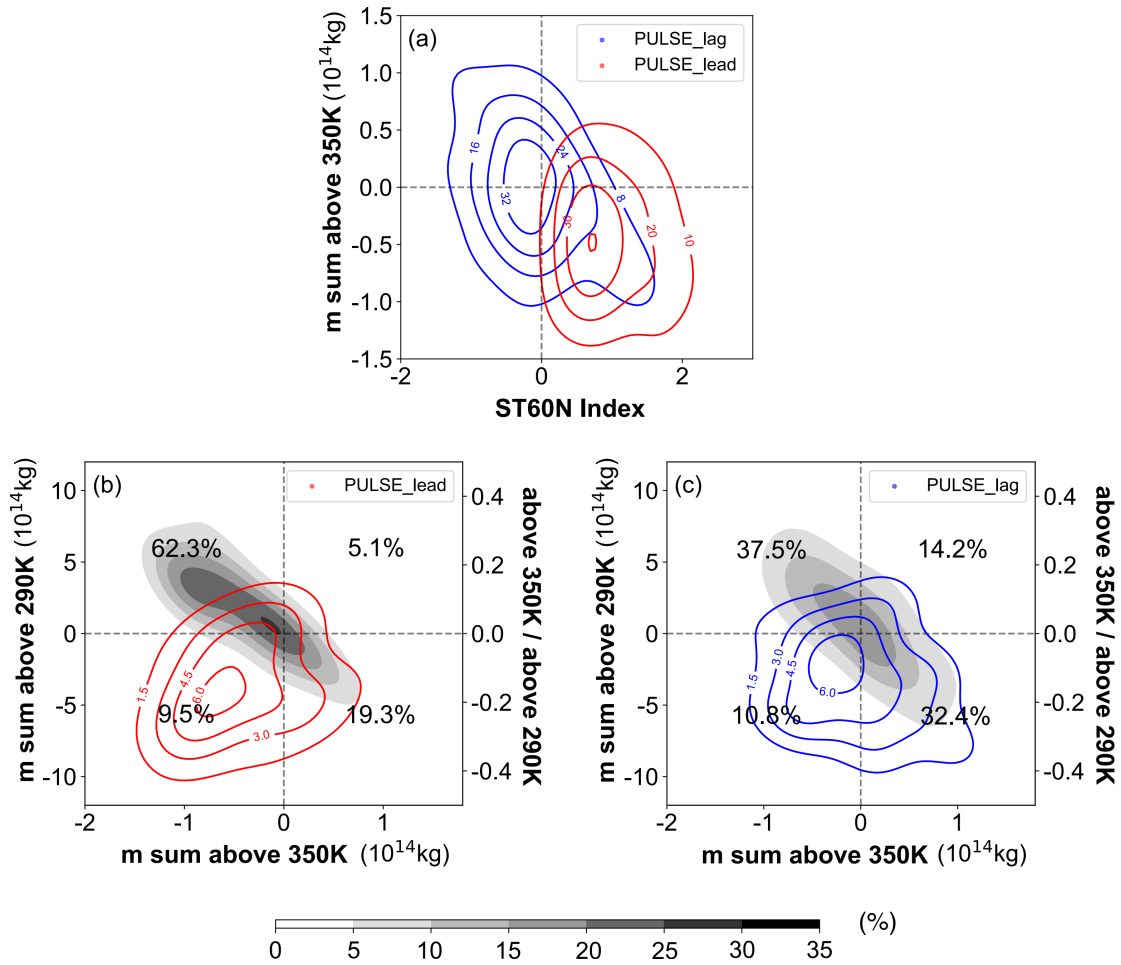
1069



1070

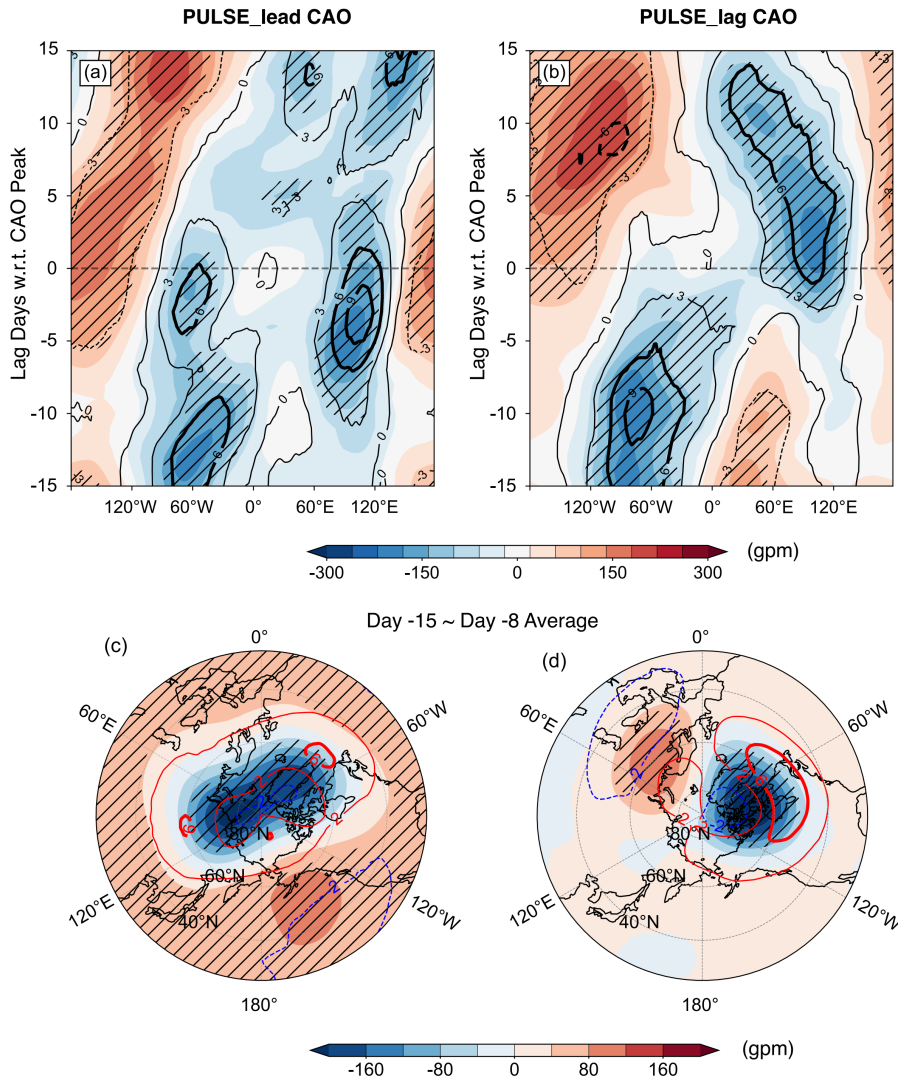
1071 **Fig. 10** Zonal sum of isentropic mass anomalies (contours, units:  $10^{12}$  kg) over the longitude band  
 1072  $70\text{--}150^{\circ}\text{E}$  and their vertically integral above each isentropic level (shading, units:  $10^{12}$  kg) for  
 1073 PULSE-lead (left column) and PULSE-lag (right column) CAOs during three periods relative to the  
 1074 peak dates: days -7 to -5 (a, b), -4 to -2 (c, d), and -1 to +1 (e, f). The rightmost attached panels  
 1075 display the daily tendency of mass anomalies (units:  $10^{12}$  kg; levels above 350K are amplified by  
 1076 5), summed over midlatitudes ( $45\text{--}65^{\circ}\text{N}$ , red curve) and high latitudes ( $70\text{--}90^{\circ}\text{N}$ , blue curve),  
 1077 respectively. Hatched area, thickened contours and dotted curves denote statistical significance at  
 1078 the 95% confidence level. The solid green line represents the climatological winter mean  
 1079 thermodynamic tropopause potential temperature

1080



1081  
 1082  
 1083  
 1084  
 1085  
 1086  
 1087  
 1088  
 1089  
 1090

**Fig. 11** Joint probability distributions (contours, %) of key dynamical and thermodynamical anomalies associated with CAO events. (a) ST60N during days -7 to -2 relative to peak dates versus regionally integrated isentropic mass anomalies above 350K in the low-pressure anomaly key region (45–65°N, 70–150°E; averaged over days -4 to +1). (b, c) Joint distributions of mass anomalies above 350 K versus (i) those above 290K (contours) and (ii) their ratio (350K/290K; shadings) for the same period (days -4 to +1 relative to peak dates of (b) PULSE\_lead and (c) PULSE\_lag events). Quadrants labels indicate the probability of samples falling within each bin of the 350 K mass anomaly versus the 350 K/290 K ratio



1091

1092

**Fig. 12** Composite of (a, b) 50hPa geopotential height anomalies (shading, units: gpm) averaged

1093

over 50°N–80°N and zonal wind anomalies (contours, units: m/s) at 50°N during the ±15-day period

1094

relative to PULSE\_lead (a) and PULSE\_lag (b) CAOs; 50hPa geopotential height anomalies

1095

(shading, units: gpm) and zonal wind anomalies (contours, units: gpm) averaged over 15 to 8 days

1096

before peak dates of PULSE\_lead (c) and PULSE\_lag (d) CAOs

1097