

Regional Seasonal Crop Yield Forecasts Through Hybrid Modeling and Remote Sensing Data Assimilation

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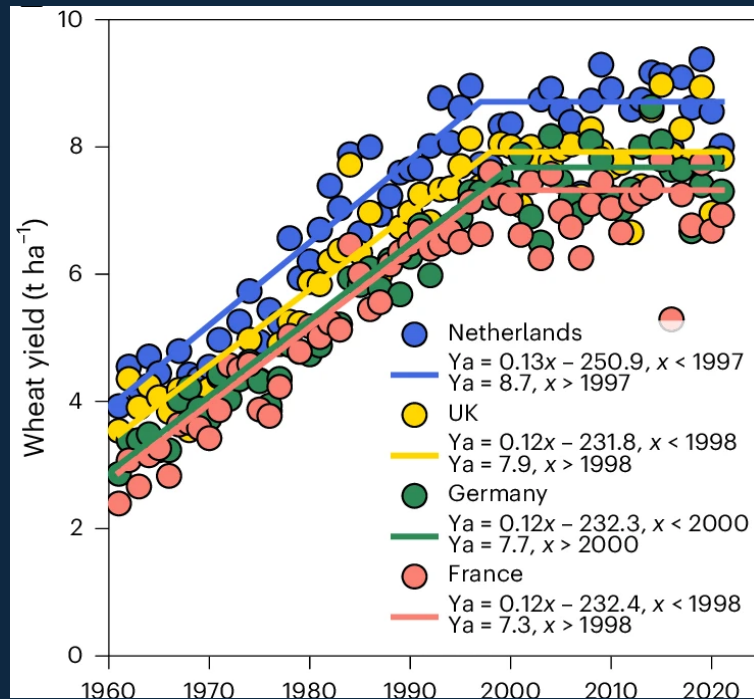
Outline

- (1) Seasonal Crop Yield Predictions & Hybrid Model Approaches & Data Assimilation
- (2) Study Design & First Results
- (3) Conclusions

(1) Seasonal Crop Yield Predictions & Hybrid Model Approaches & Data Assimilation

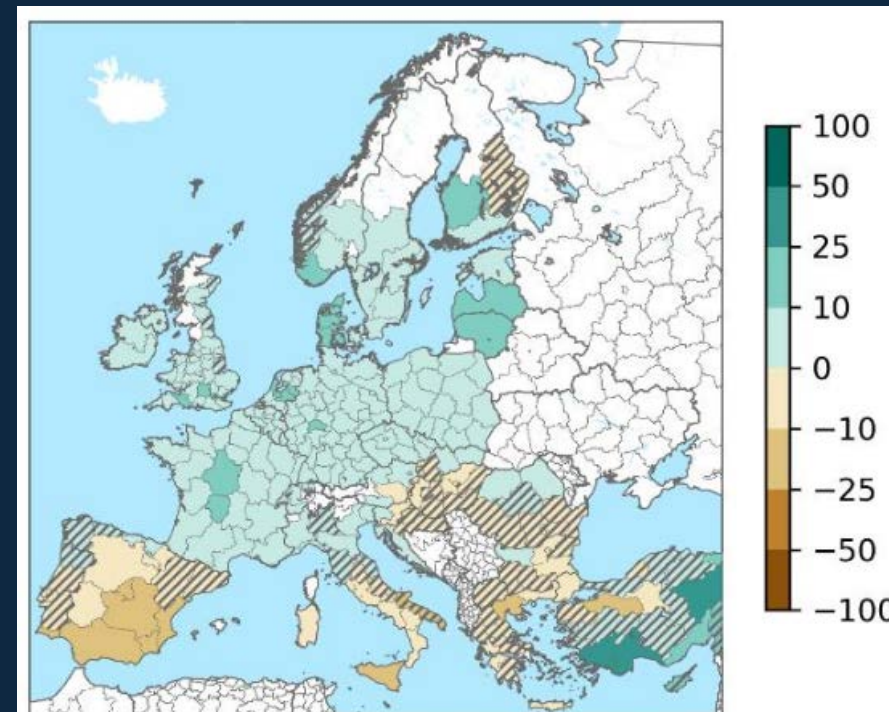
Why Seasonal Yield Forecasting is important

Northwest Europe Yield Increase and Plateau Since 2000 (FAOSTAT) with Spatial Variation Between Countries



Silva, J.V., Rijk, B., Berghuijs, H.N.C. et al. Agronomic management drives the wheat yield plateau in high-yielding environments of northwest Europe. *Nat Food* 7, 45–54 (2026). <https://doi.org/10.1038/s43016-025-01286-w>

Ensemble Mean Changes of Wheat Yield (% relative to the historical period) projected under the RCP85 for 1.5 °C warming conditions under rainfed conditions.



Hristov, J., Toreti, A., Pérez Domínguez, I., Dentener, F., Fellmann, T., Elleby C., Ceglar, A., Fumagalli, D., Niemeier, S., Cerrani, I., Panarello, L., Bratu, M., Analysis of climate change impacts on EU agriculture by 2050, EUR 30078 EN, Publications Office of the European Union, Luxembourg, 2020, ISBN 978-92-76-10617-3, doi:10.2760/121115, JRC119632

What is Data Assimilation?

- paradigm: continuous integration of observations into a model
- reduce uncertainty of model state and variables
- methods:
 - State Updating / Parameter Forcing (e.g. FAPAR corrections)
 - Parameter Tuning
 - Ensemble Approaches (e.g. Ensemble Kalman Filter (EnKF), 4DEnVar)
- currently rarely operational in crop models at regional scale

What is Hybrid Modeling?

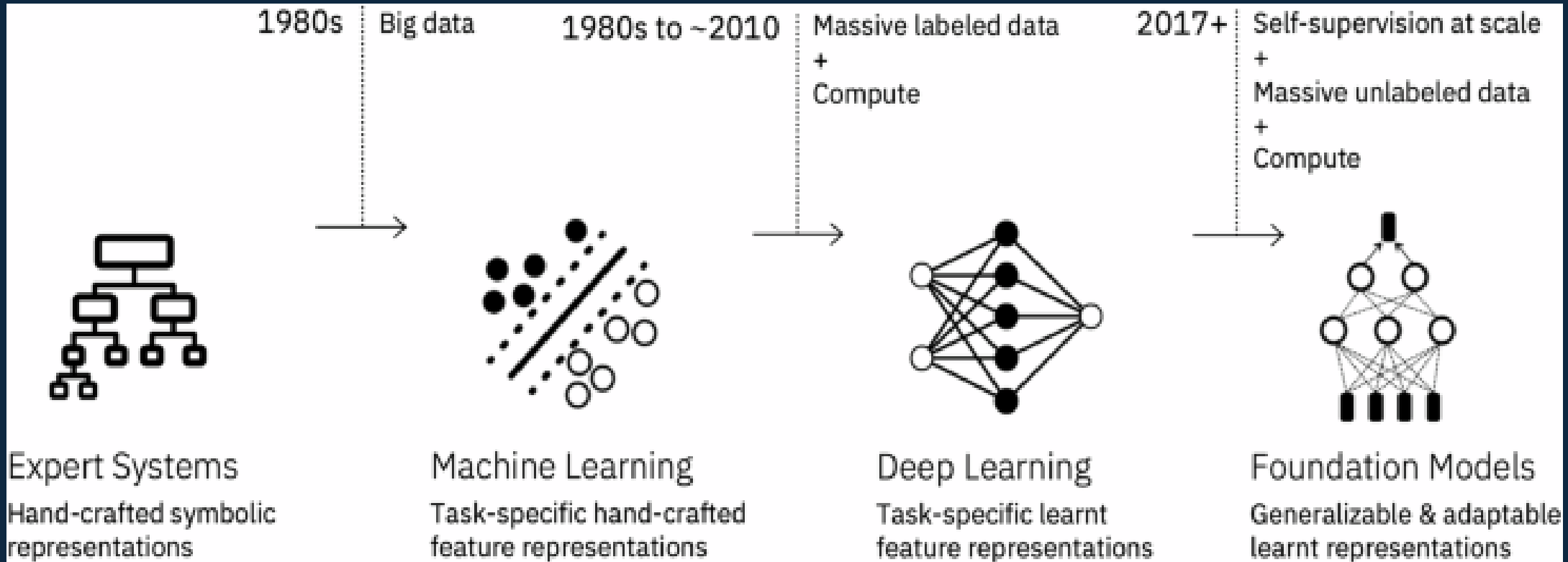
- paradigm: combine biophysics of process-based models with data-driven machine learning
- ML learns e.g. residuals and non-linear relationships
- PM provides physical backbone
- PB is not replaced, but augmentation of process understanding

What we still do not know

- scalable EO model integration
- phenology timing and extreme event impacts
- transferability across regions

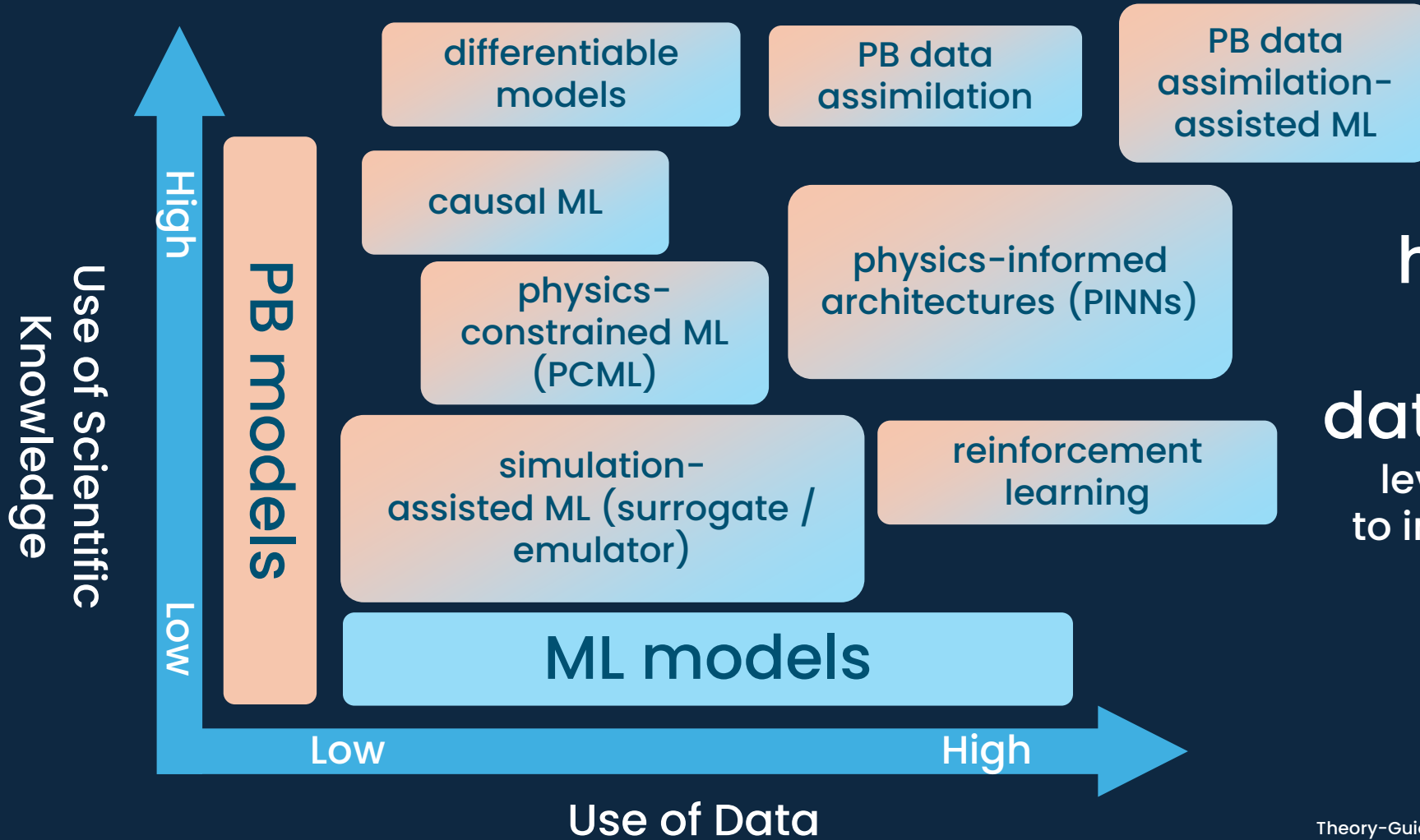
- approaches combining a process-based model data assimilation framework with machine learning models

From Expert Systems to Foundation Models



Pyzer-Knapp, E.O., Manica, M., Staar, P. et al. Foundation models for materials discovery – current state and future directions. npj Comput Mater 11, 61 (2025).

The modelling continuum



**hybrid models =
theory-guided
data science models**
leverage domain knowledge
to improve model performance

Theory-Guided Data Science: A New Paradigm for Scientific Discovery from Data
Karpatne, et al. (2017). *IEEE Transactions on Knowledge and Data Engineering*.
<https://doi.org/10.1109/TKDE.2017.2720168>

(2) Study Design & First Results

Project Design

Aim: Regional Early-Season Crop Yield Forecasts

Method: Hybrid ML Crop Modeling & Data Assimilation

Data: Remote Sensing Phenology Data

Project Plans & Work Packages



Hybrid EO Model Framework for European Yield Predictions

Data Collection

- ERA5-Land climate forcing
- LPJmL simulations
- yield statistics
- FAPAR canopy dynamics
- C3S seasonal forecasts

ERA5-Land Data



daily data on 10 km grid



temperature, precipitation &
radiation



aggregation on NUTS-2/3 level

Data Collection

- ERA5–Land climate forcing
- LPJmL simulations
- yield statistics
- FAPAR canopy dynamics
- C3S seasonal forecasts

LPJmL Simulations



0.5° grid / 10 km grid



World Cereal crop masks



ERA5–Land climate forcing



HWSD soil data

Data Collection | LPJmL

LPJmL Dynamic Global Vegetation Model (DGVM)

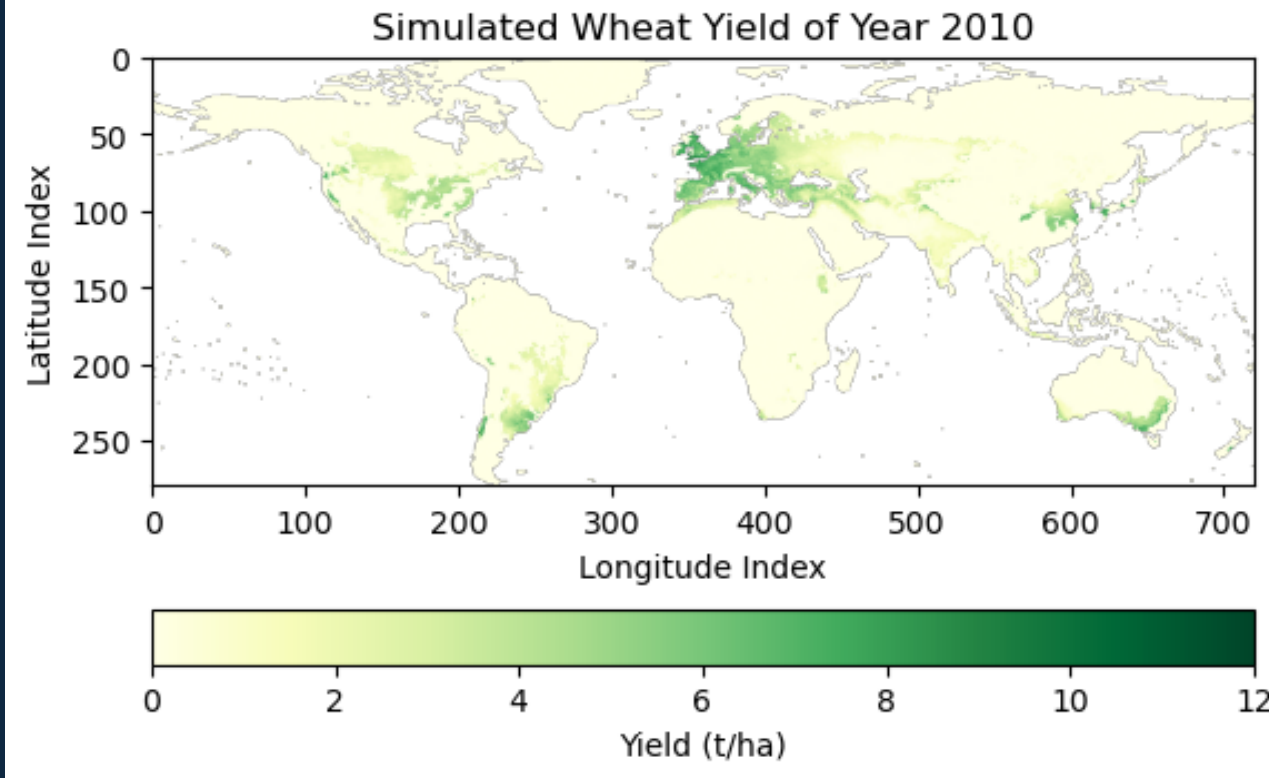
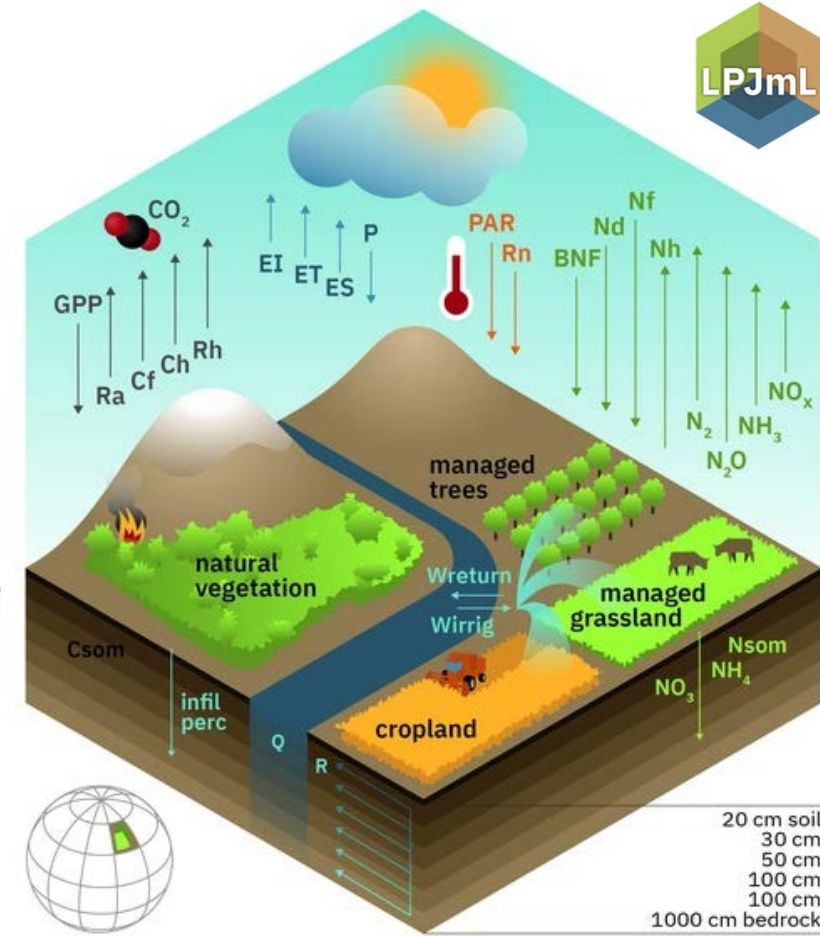
LPJmL v.5.9 harvested carbon transformed to non-corrected yield in t/ha via crop specific harvest index

Carbon
 GPP gross primary production
 Ra autotrophic respiration
 Rh heterotrophic respiration
 Ch harvested carbon
 Cf fire carbon fluxes
 Csom soil organic matter C

interception
 transpiration
 evaporation
 precipitation
 percolation
 infiltration
 runoff
 return flow of irrigation
 irrigation water
 discharge

photosynthetic active radiation
 net radiation

biological N fixation
 fertilizer/manure input
 atmospheric deposition
 harvested nitrogen
 molecular N emission
 nitrous oxide emissions
 ammonia volatilization
 nitrogen oxides emissions
 soil organic matter N



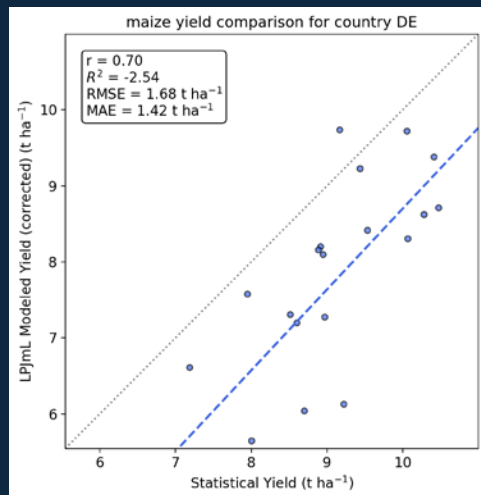
<https://www.pik-potsdam.de/en/institute/departments/activities/biosphere-water-modelling/lpjml>

Data Collection | LPJmL

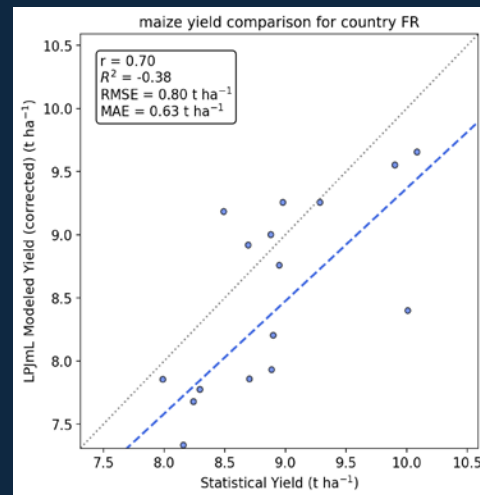
WP 1

Hybrid
Machine
Learning

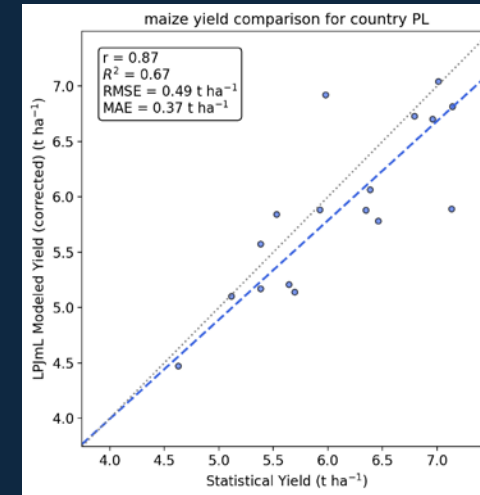
Maize



Germany (DE)

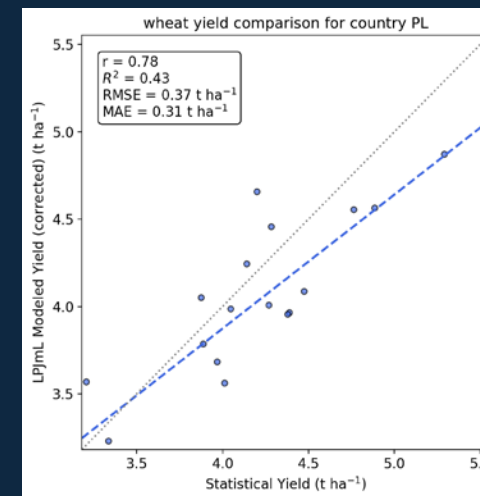
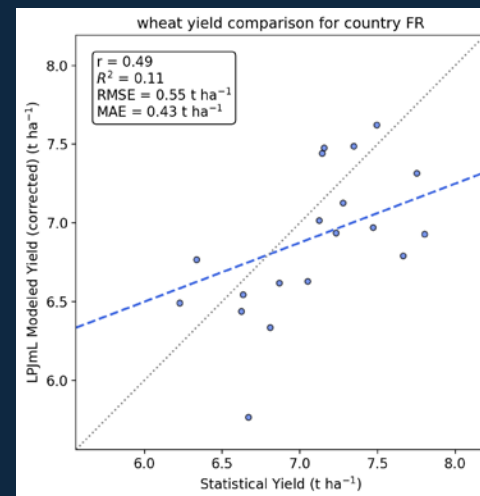
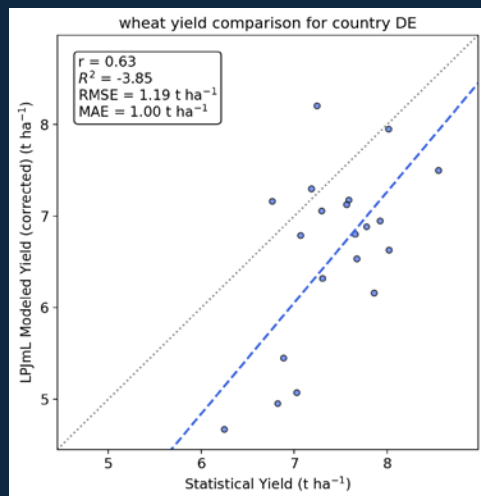


France (FR)

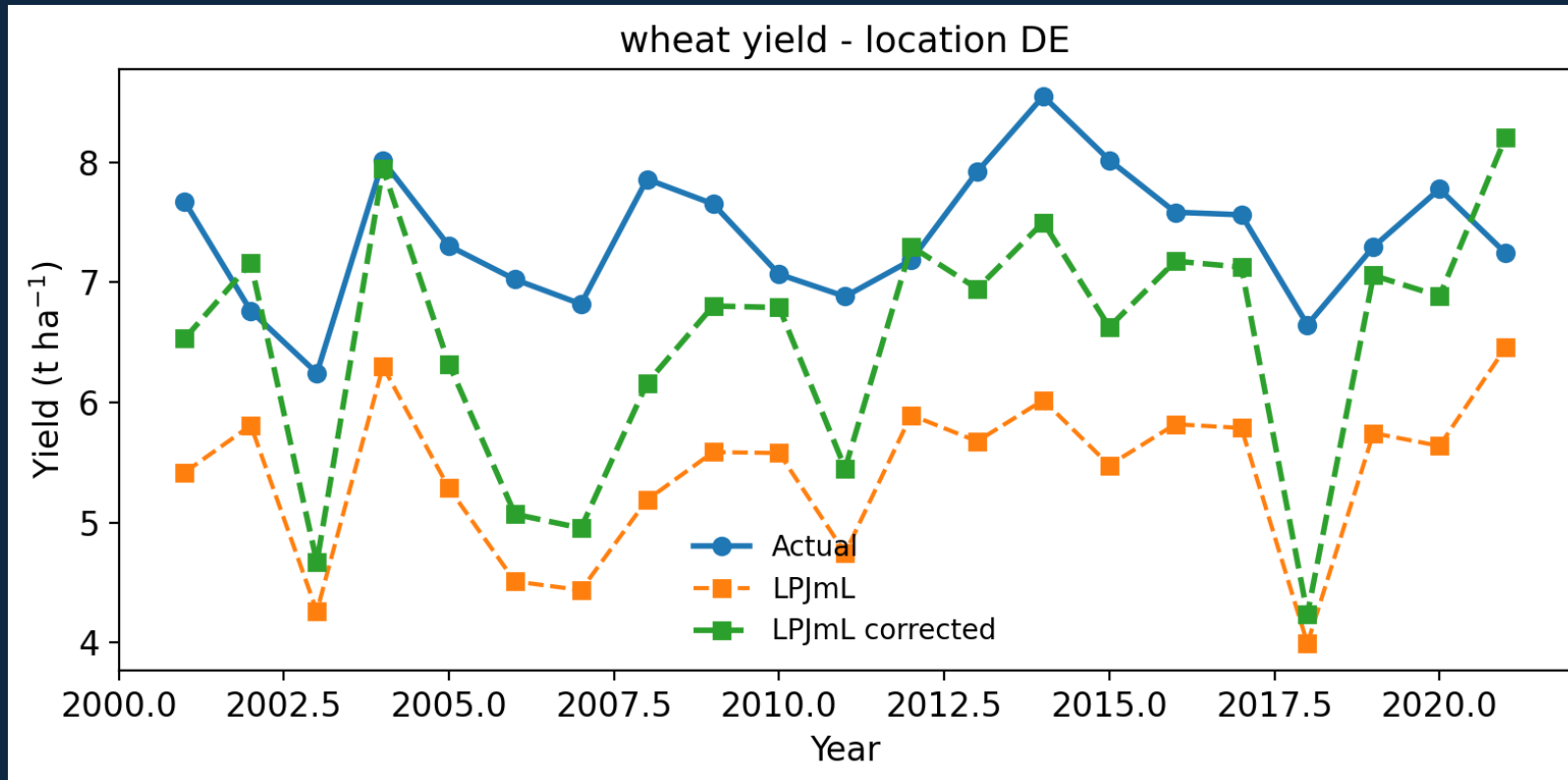


Poland (PL)

Wheat



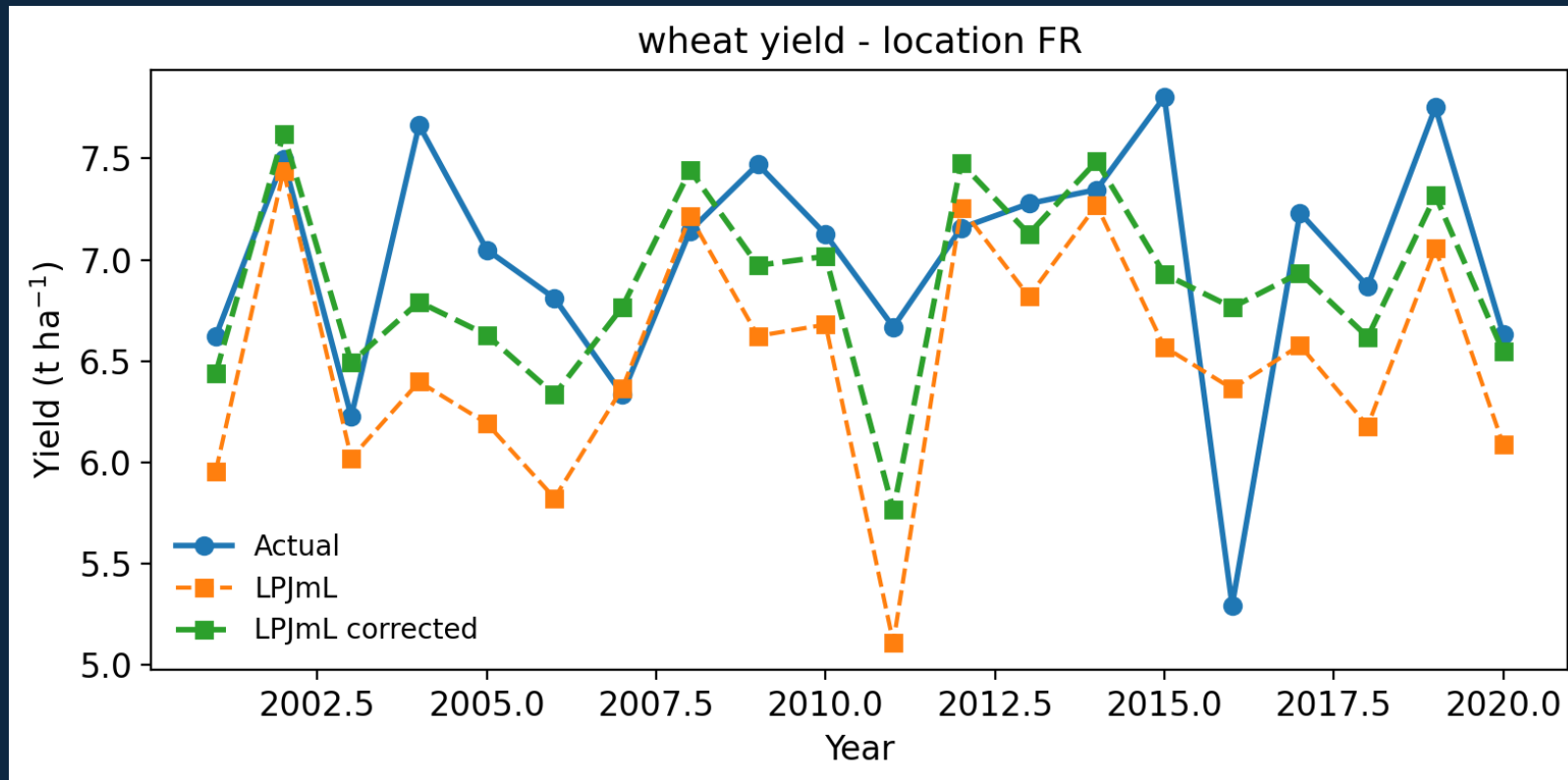
Validation against yield statistic



Comparison of (bias-corrected) LPJmL wheat yield simulations against EUROSTAT yield statistics for **Germany**.

- bias-correction improves performance
- mapping of temporal pattern
- overall underestimation
- 2018 dry-year highly underestimated

Validation against yield statistic



Comparison of (bias-corrected) LPJmL wheat yield simulations against EUROSTAT yield statistics for **France**.

- better overall performance
- extremes not properly mapped
- 2016 heavy rainfall highly overestimated

Data Collection

- ERA5–Land climate forcing
- LPJmL simulations
- **yield statistics**
- FAPAR canopy dynamics
- C3S seasonal forecasts

Yield Statistics



official EUROSTAT statistics



NUTS-2/3 level



2000–2024 validation data



CY-Bench dataset used

Data Collection

- ERA5-Land climate forcing
- LPJmL simulations
- yield statistics
- FAPAR canopy dynamics
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FAPAR Observations



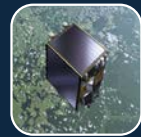
Sentinel-3



MODIS



VIIRS



PROBA-V

FAPAR Processing



5 km resampling



2018-2024 monthly data



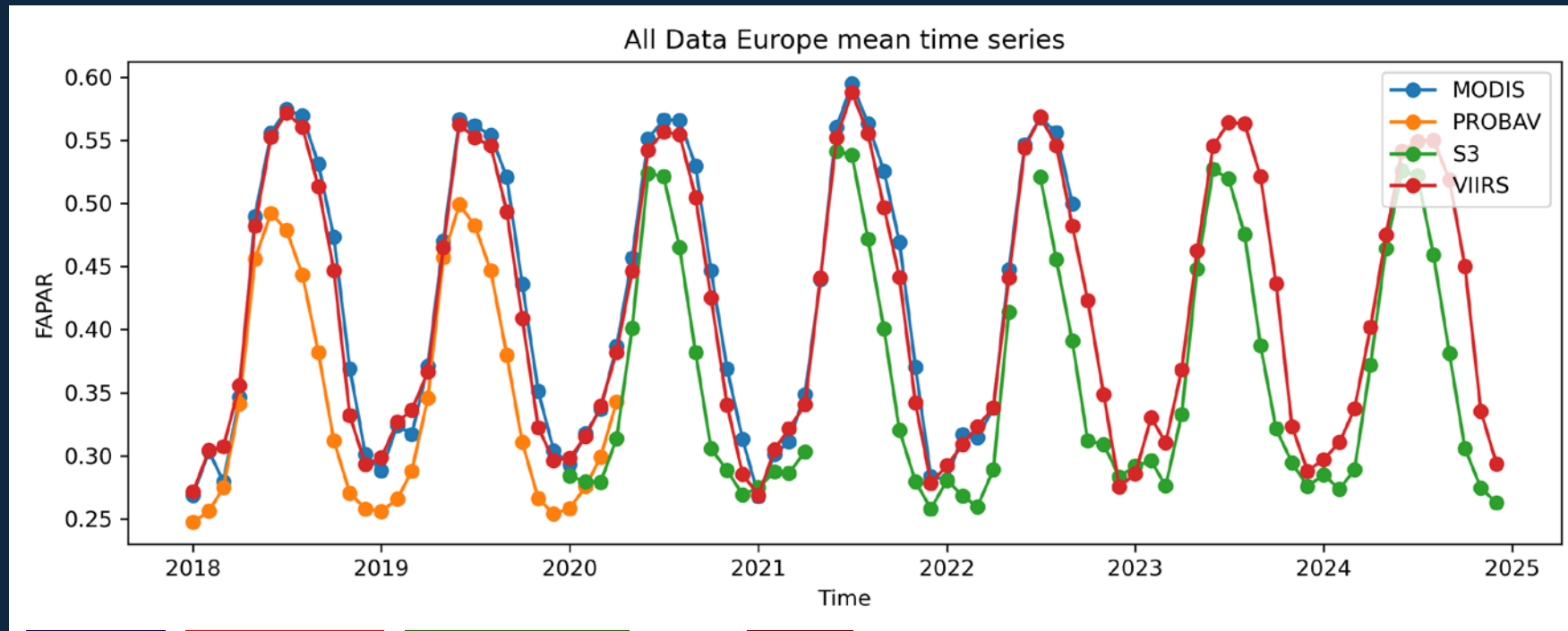
European NUTS-2/3 aggregation



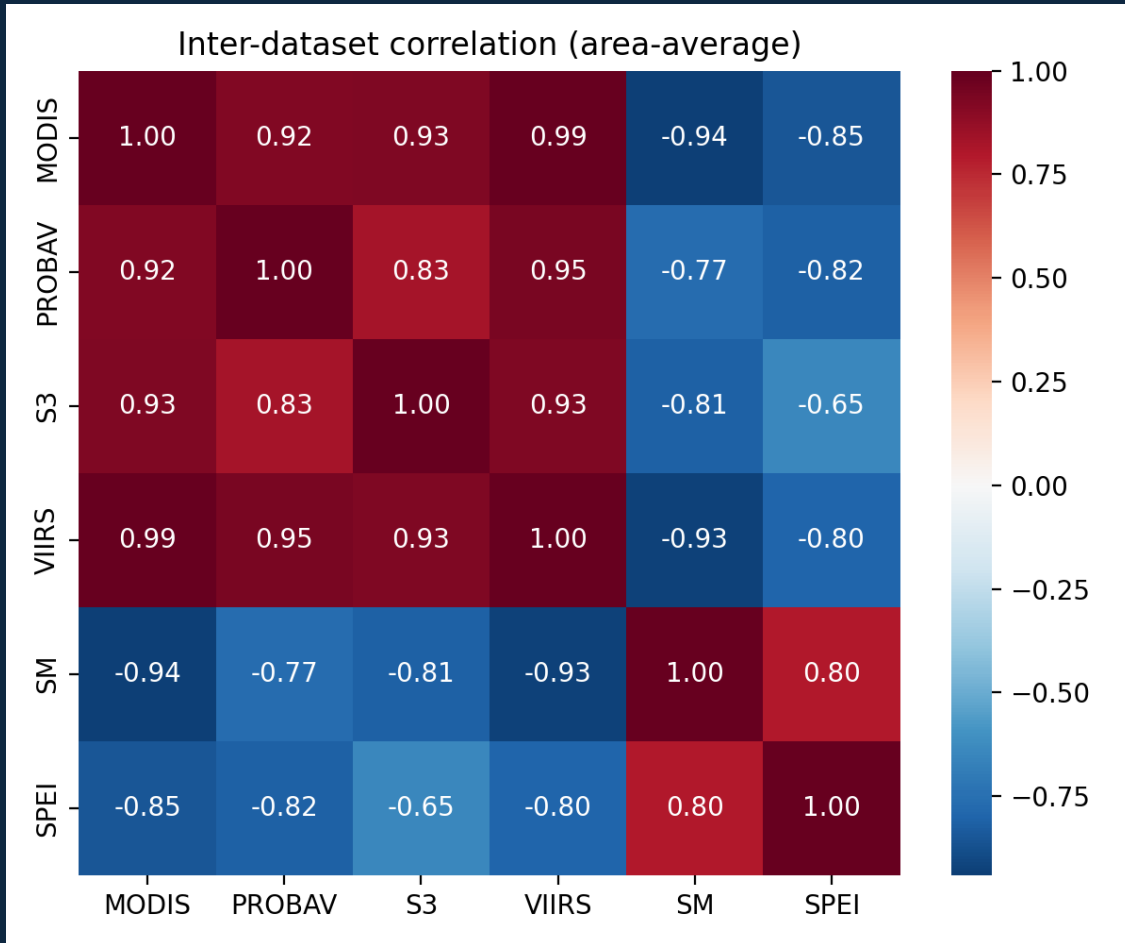
weighting by arable land

Data Collection | FAPAR

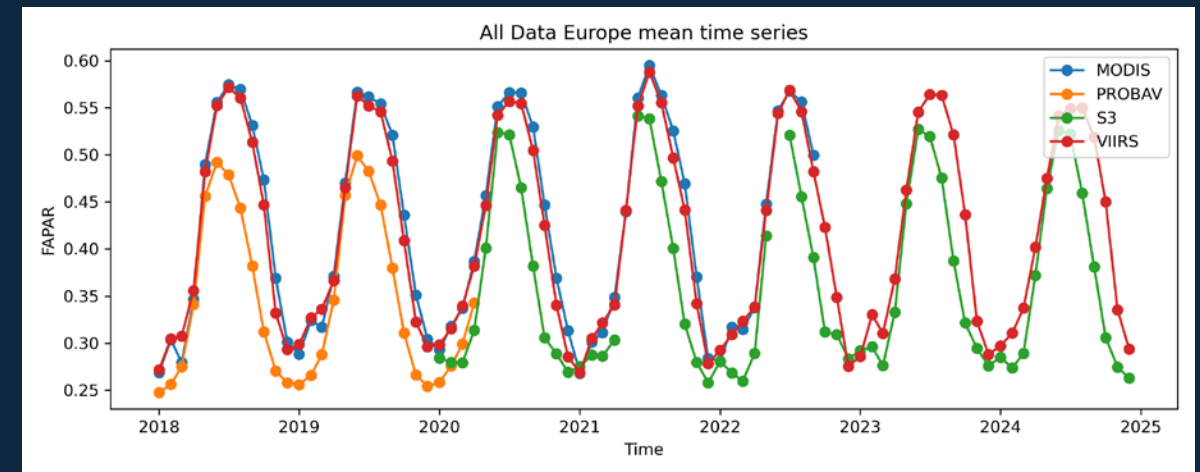
- FAPAR provides phenological timings of green-up, peak, and senescence phases
- indirect signal for vegetation stress and canopy density dynamics
- constraints timing and magnitude of vegetation development



Comparison of MODIS, PROBA-V, Sentinel-3, and VIIRS monthly European FAPAR composites mean.



- very good agreement between MODIS and VIIRS ($r=0.99$)
- smaller peaks for PROBA-V and Sentinel-3 (monthly aggregation scheme)
- lowest correlation ($r=0.83$) between PROBA-V and Sentinel-3



Data Collection

- ERA5–Land climate forcing
- LPJmL simulations
- Yield Statistics
- FAPAR canopy dynamics
- **C3S seasonal forecasts**

C3S Seasonal Forecasts



0.5° grid / 10 km grid



temperature, precipitation & radiation for next 6 months

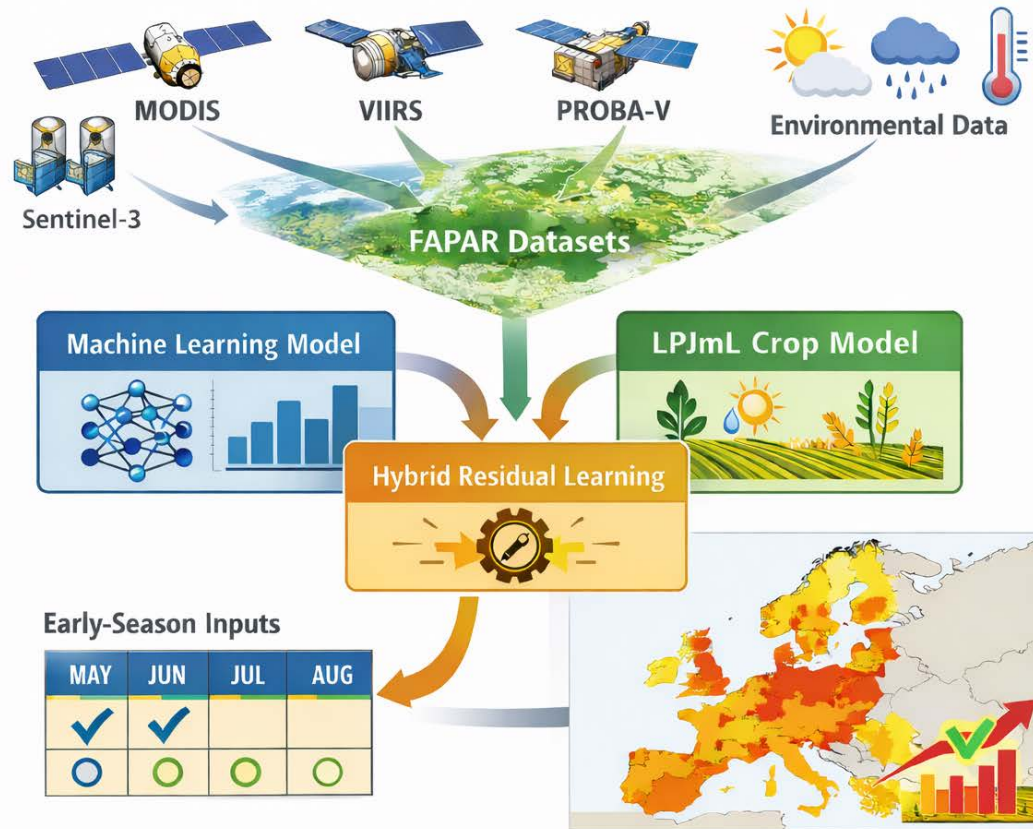


fill gap between EOF and EOY with daily representative climatology



sensitivity experiments with different ensemble members

Hybrid Model Framework



Maize & Wheat Yield Prediction



spatial domain: European NUTS regions



challenges: heterogeneity and crop diversity & climate gradients



Random Forest & XGBoost



LSTM & RESNET

Hybrid Model Framework



- Features: monthly time series of different feature combinations
- Settings:

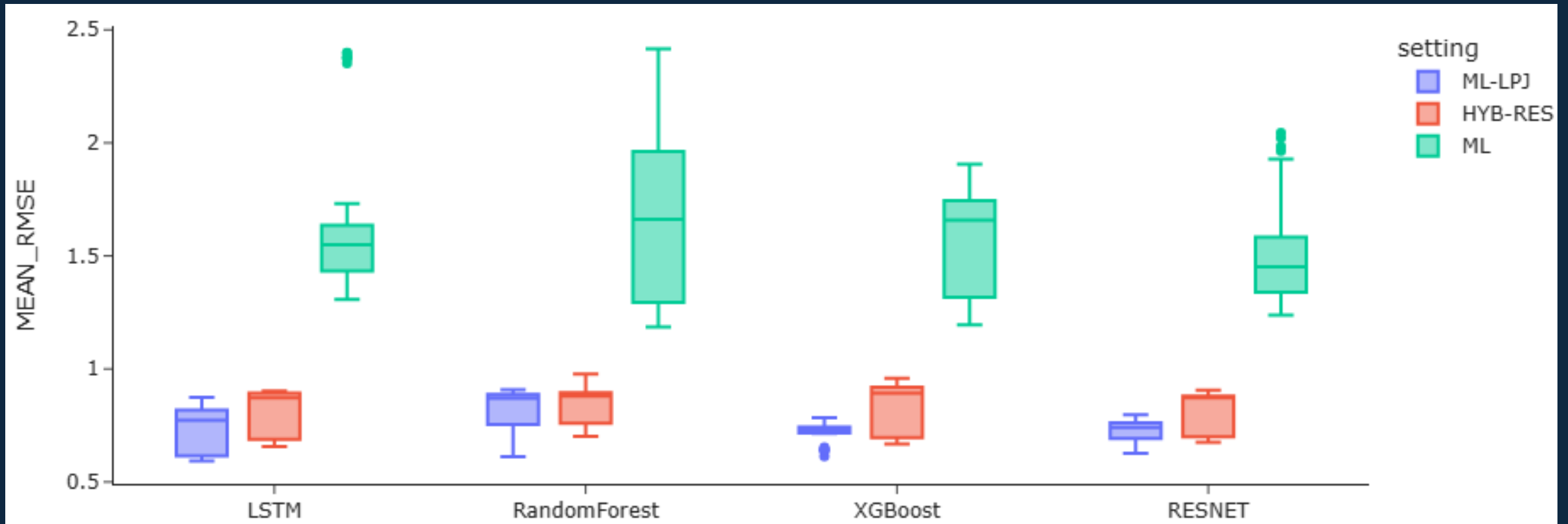


- Train: 2018–2021 years
- Test: 2021–2024 years
- Lead Time: Oct–April, ..., Oct–Sept
- Models: RF, XGB, LSTM, RESNET

Results | Wheat Yield Forecast

WP 1

Hybrid
Machine
Learning

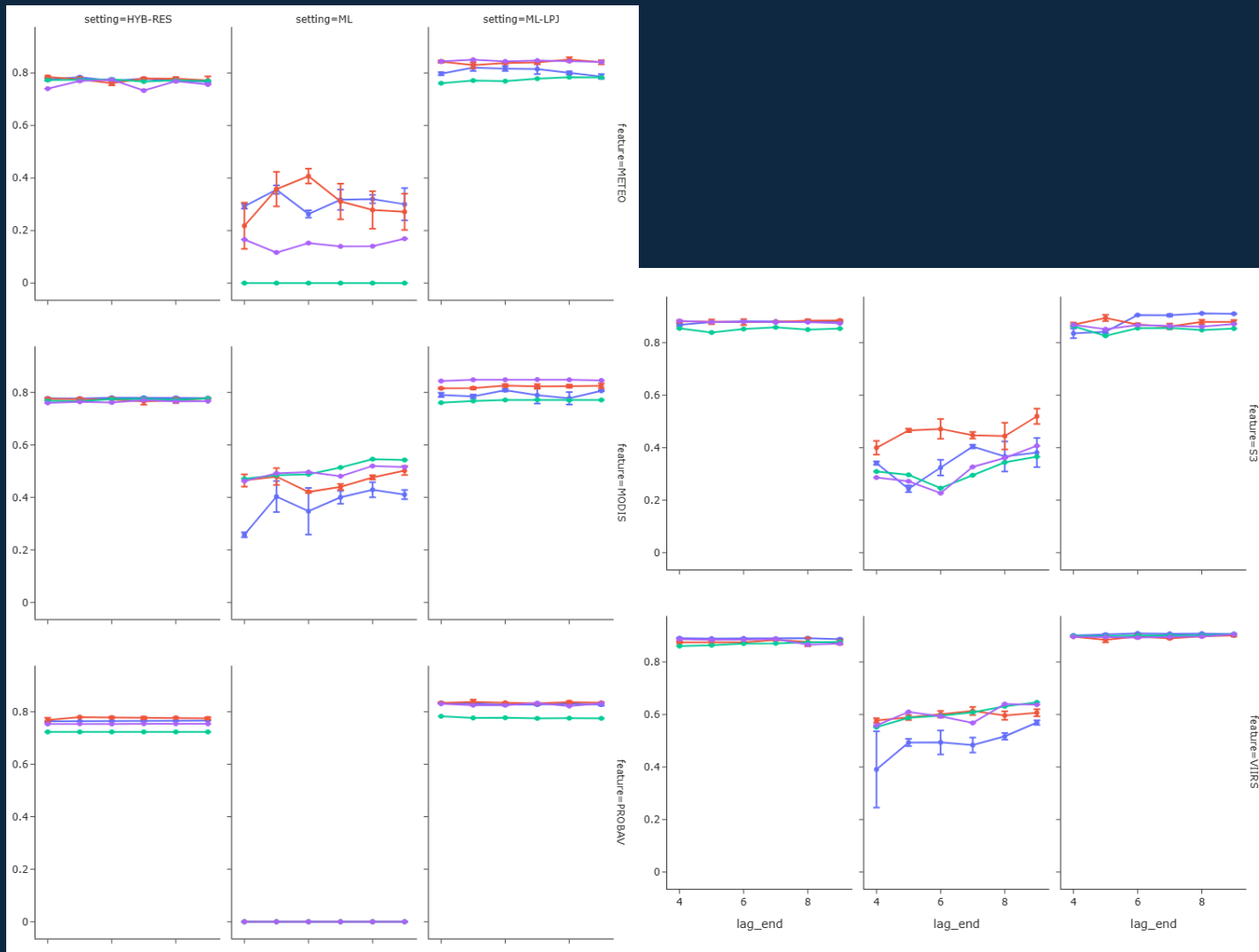


ML+LPJmL shows lowest RMSE

Results | Wheat Yield Forecast

WP 1

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Learning

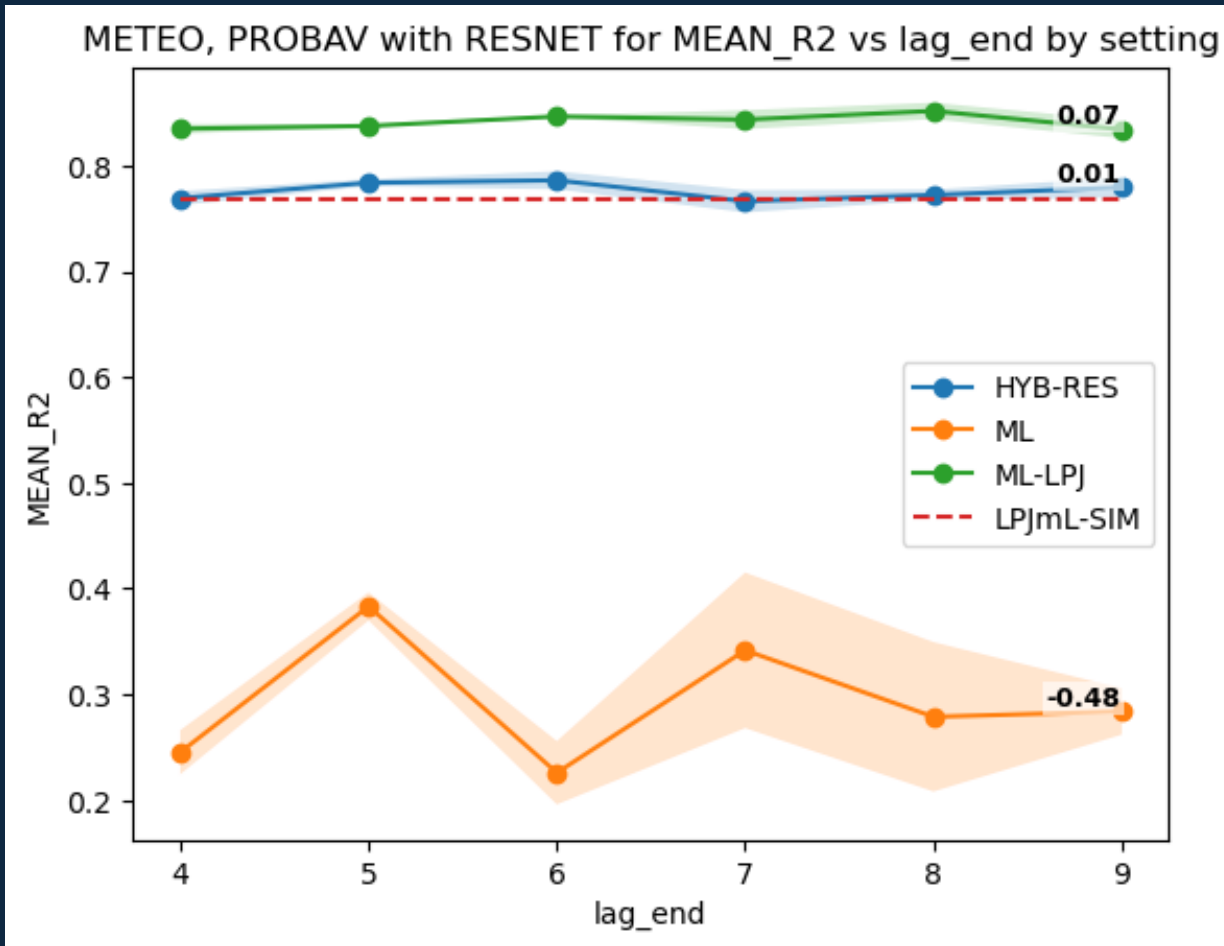


- performance ML+LPJmL > Residual > ML only for all feature combinations
- ML only performance increases until harvest
- FAPAR reduces ML model variability compared to METEO

Results | Wheat Yield Forecast

WP 1

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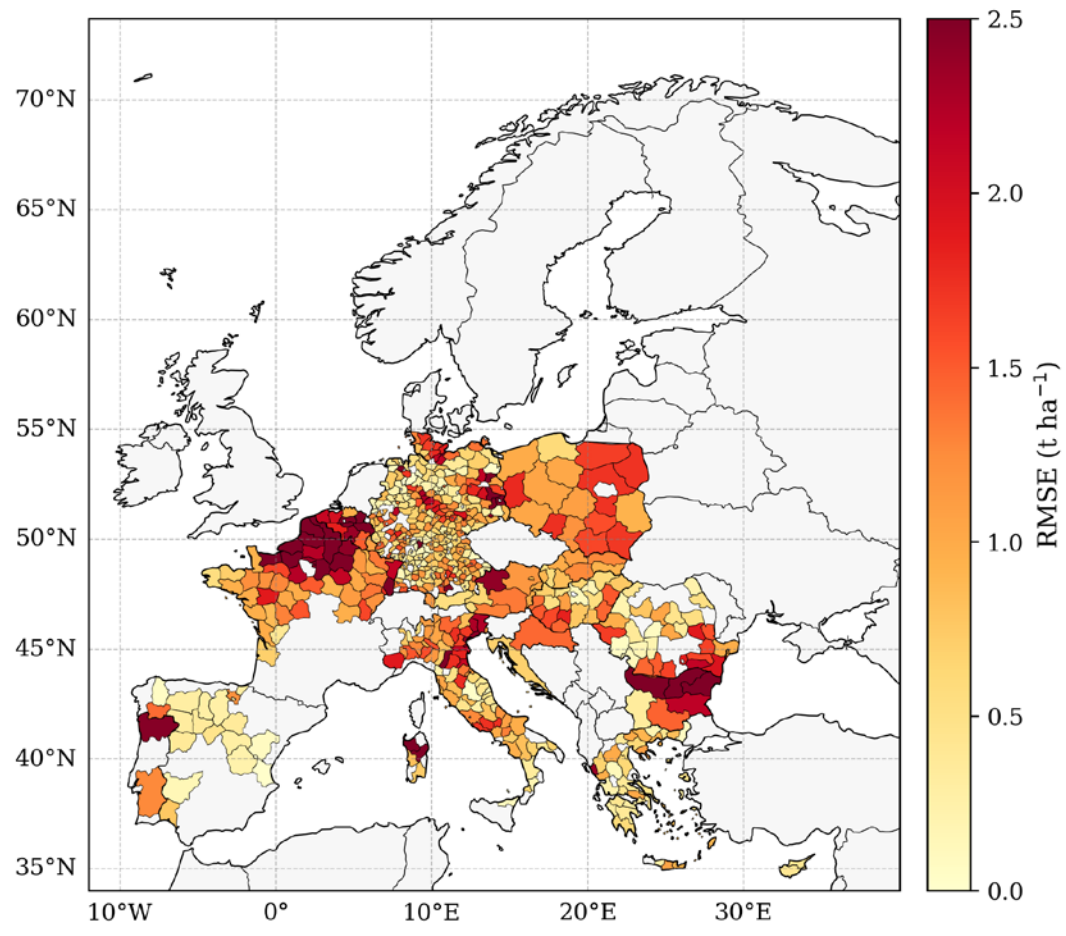
- combining meteorological and **PROBA-V FAPAR** data with RESNET model leads to +7% R^2 for ML+LPJmL and +1% R^2 for hybrid residual model on average across Europe
- LPJmL simulation shows R^2 of 0.77 for test years

Results | Wheat Yield Forecast

WP 1

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Regional prediction error (RMSE) of crop yield across Europe



Spatial Distribution of RMSE

- Model: RESNET
- Features: Meteo + MODIS FAPAR
- Setting: Hybrid Model (ML + LPJmL)
- high RMSE in coastal areas
- high RMSE in northern Italy
- rest randomly distributed

(3) Conclusions

Discussion & Limitations

- FAPAR retrieval uncertainties
 - sensitive to sensor choice
 - PROBA-V showed highest increase of +7% R^2
- LPJmL structural constraints (e.g., harvest date)
- ML (generalization) limits
 - DL (LSTM, RESNET) better than tree-based (RF, XGB)
 - hybrid ML+LPJmL feature better than hybrid residual approach
- scale mismatches – 500m to NUTS-level
- scarce yield validation data

General Conclusions & Outlook

- hybrid modeling improves seasonal yield forecasts
- EO can constraints PB phenology
- ML might capture non-linear stress factors
- strong benefits for European food security
- next:
 - extent to other crops (maize)
 - design PINN architecture
 - integrate assimilation methods (parameter forcing, EnKF)
 - build operational forecasting system including C3S (WP 3)

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